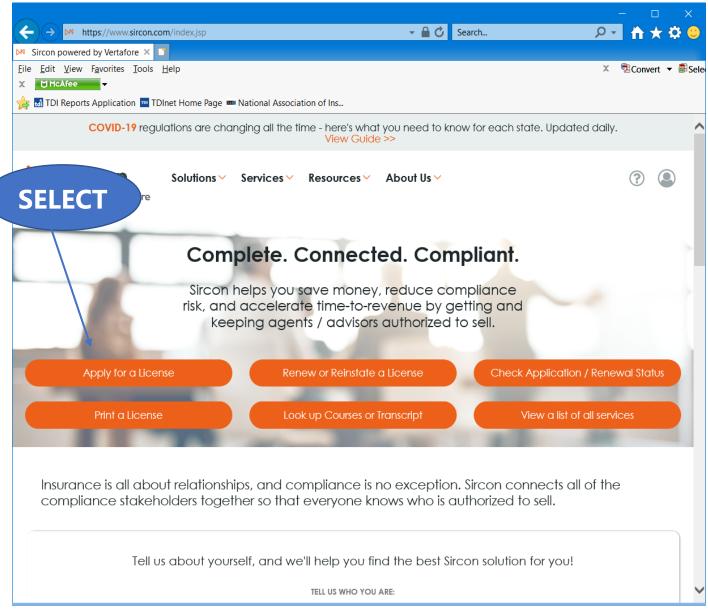
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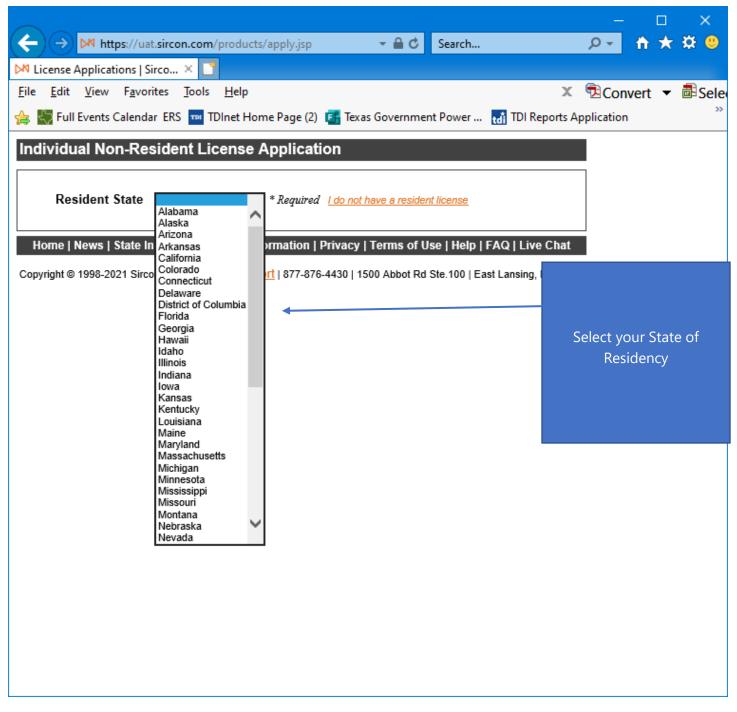
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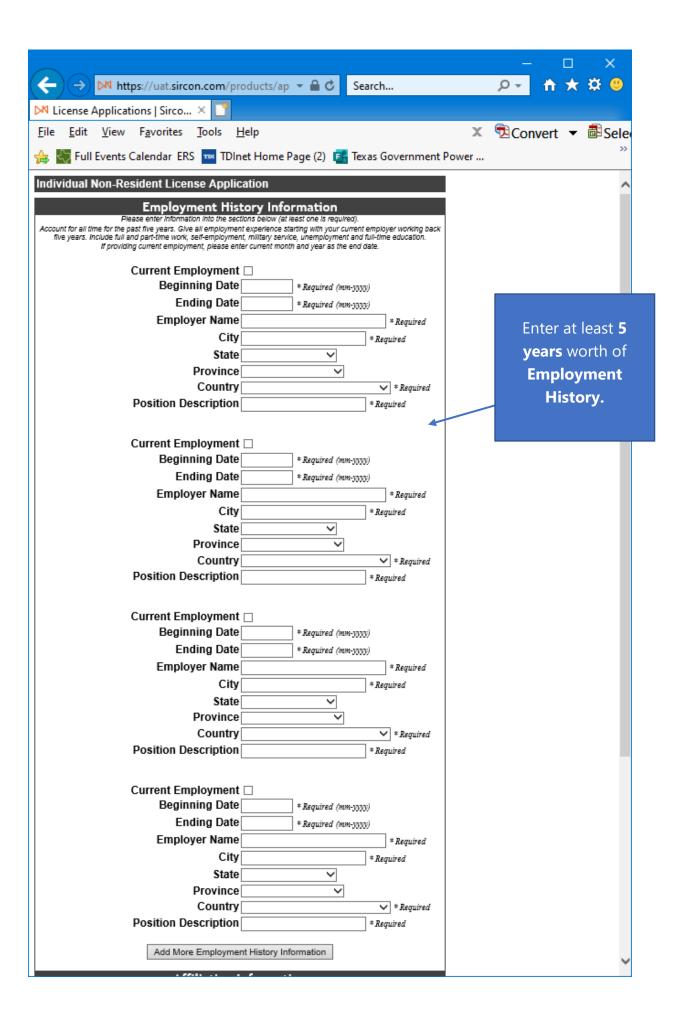
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Do not Complete this Section, it is optional anyway. Then select "Continue".

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Individual Non-Resident License Application				
TX Individual Resident/Non-Resident State Specific Questions - Spec	ialtv			
All questions are required unless otherwise specified				
Please answer the following TX Individual Resident/Non-Resident State Specific Questions - Specialty				
Questions - Specialty				
Question 1				
Are you fully aware that no individual may act under the license applied for herew	ith until	-		
that individual has successfully completed a training program approved by the				
Department of Insurance as required by the Texas Insurance Code, Chapter 405	<u>5.012</u> ?			
If this question is answered "No", the license will not be issued.				
○ No	^		مىرىم	ati a in a
⊖ Yes	A	nswer a		
		car	efully	
Question 2	A	ttach a	ny rec	uired
Do you qualify for Military processing?	do	cumen	tation	to this
	ap	oplicati	on aft	er you
If yes, attach DD214 supporting documentation.	sub	omit th	e appl	ication.
O No				
⊖ Yes				
Question 2A				
Select how you qualify?		-		
A. Military service member: A person who is currently serving in (1) the are forces of the United States, (2) a reserve component of the armed forces, include the service of the armed forces.				
the National Guard, or (3) a state military service of any state.	-			
O B. Military spouse: A person who is married to a military service member currently on active duty.	who is			
 C. Military veteran: A person who has served in: (1) the Army, Navy, Air F 	orce.			
Marine Corps, or Coast Guard of the United States, or (2) in an auxiliary servi				
one of those branches of the armed services.				
Outpation 2				
Question 3		-		
Please attach the required document once you submit the application.				
A signed Appointment Certification (FIN700) form will be attached to this application	on.			
O No				
○ Yes				\sim

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Question 4	~	
Will the applicant conduct the business under the specialty insurance license in a name other than the applicant entity's full legal name?		
A copy of an assumed name certificate that has been filed with the County Clerk's office of the county in which the assumed name is utilized must be attached to this application.		
○ No		
○ Yes	Answer	all questions
Question 4A		refully.
If "Yes", Enter the assumed name in the space below.	Ca	eruny.
\bigcirc		ny required tation to this
	applicati	on after you
Question 5		e application.
Does the applicant understand that if additional business or assumed names are used, a Texas Department of Insurance form <u>LDTL, FIN528</u> must be filed separately with the Department for each?	Then Sele	ect Continue .
O No O Yes		
U Tes		
Question 6		
 Are you fully aware that every office location where insurance sales will be conducted under the specialty insurance license except specialty credit, must prominently display and make readily available brochures or other written material that: summarize, clearly and correctly, the material terms of insurance coverage offered to consumers and identify the insurer; disclose that the policies offered may duplicate coverage already provided by a consumer's personal auto policy, homeowner's policy, personal liability policy or other coverage; state that, except as specifically provided by another law of this state or the United States, the purchase by the consumer of the kinds of insurance specified in <u>Texas Insurance Code, Chapter 4055</u> is not required to complete the associated consumer transaction; and describe the process for filing a claim should the coverage be purchased, and a claim arise? 		
○ Yes		
Cancel Back Continue		
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Select "Continue".

Answer all the **Universal Background** questions.

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Individual Non-Resident License Application Uniform Background Questions - Individual All questions are required unless otherwise specified	
An questions are required unless otherwise specified	<u>л н</u>
Please answer the following Uniform Background Questions - Individual	Answer all questions carefully.
The Applicant must read the following very carefully and answer every question. All written statements submitted by the Applicant must include an original signature.	Attach any required
Question 1	documentation to this application after you
<u>NOTE</u> : For Questions 1a, 1b and 1c, "Convicted" includes, but is not limited to, having been found guilty by verdict of a judge or jury, having entered a plea of guilty or nolo contendere or no contest, or having been given probation, a suspended sentence, or a fine.	
If you answer yes to any of these questions, you must attach to this application:	
 a) a written statement explaining the circumstances of each incident, b) a copy of the charging document, c) a copy of the official document, which demonstrates the resolution of the charges or any final judgment. 	
Question 1A	-
Have you ever been convicted of a misdemeanor, had a judgment withheld or deferred, or are you currently charged with committing a misdemeanor?	
You may exclude the following misdemeanor convictions or pending misdemeanor charges: traffic citations, driving under the influence (DUI), driving while intoxicated (DWI), driving without a license, reckless driving, or driving with a suspended or revoked license.	
You may also exclude juvenile adjudications (offenses where you were adjudicated delinquent in a juvenile court)	
O No	
○ Yes	\sim

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Question 1B	_ ^
Have you ever been convicted of a felony, had a judgment withheld or deferred, or are you currently charged with committing a felony?	
You may exclude juvenile adjudications (offenses where you were adjudicated delinquent in a juvenile court)	Answer all questions
O No	carefully.
○ Yes	Attach any required
Question 1B1	documentation to this
If you have a felony conviction involving dishonesty or breach of trust, have you applied for written consent to engage in the business of insurance in your home state as required by 18 USC 1033?	
○ No	
○ Yes	
O Not Applicable	
Question 1B2	
If so, was consent granted? (Attach copy of 1033 consent approved by home state.)	
O No	
 Yes Not Applicable 	
Question 1C	-
Have you ever been convicted of a military offense, had a judgment withheld or deferred, or are you currently charged with committing a military offense?	
O No	
○ Yes	
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_ <u> </u>	uestion 2			_ ^
	Have you ever been named or involved as a pa including FINRA sanction or arbitration proceed occupational license or registration?			
	"Involved" means having a license censured, su terminated; or, being assessed a fine, a cease compliance order, placed on probation, sanction an administrative action.	and desist order,	a prohibition order, a	
	"Involved" also means being named as a party proceeding, which is related to a professional o "Involved" also means having a license, or regis withdrawing an application to avoid a denial. IN of your actions in your capacity as an owner, pa manager of a Limited Liability Company. You may EXCLUDE terminations due solely to requirements or failure to pay a renewal fee.	r occupational lic stration applicatio CLUDE any busi artner, officer or d	ense, or registration. n denied or the act of ness so named becaus lirector, or member or	documentation to this application after you
	If you answer yes, you must attach to this appli- a) a written statement identifying the type of lice each incident, b) a copy of the Notice of Hearing or other docu allegations, and c) a copy of the official document, which demor any final judgment. O No O Yes	ense and explaini ument that states	the charges and	f
<u> </u>	Has any demand been made or judgment rende which you are or were an owner, partner, office limited liability company, for overdue monies by	r or director, or m	ember or manager of a	
	you ever been subject to a bankruptcy proceed bankruptcies, unless they involve funds held on If you answer yes, submit a statement summari arrangements for repayment, and/or type and k	ing? Do not inclu behalf of others. izing the details o	de personal f the indebtedness and	
	○ No ○ Yes			~

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Question 4	_
Have you been notified by any jurisdiction to which you are applying of any delinquent tax obligation that is not the subject of a repayment agreement?	
If you answer yes, identify the jurisdiction(s):	
O No O Yes Comment	
	Answer all questions carefully .
Question 5	Attach any required documentation to this
Are you currently a party to, or have you ever been found liable in, any lawsuit, arbitrations or mediation proceeding involving allegations of fraud, misappropriation or conversion of funds, misrepresentation or breach of fiduciary duty?	application after you submit the application.
If you answer yes, you must attach to this application: a) a written statement summarizing the details of each incident, b) a copy of the Petition, Complaint or other document that commenced the lawsuit or arbitration, or mediation proceedings, and c) a copy of the official documents, which demonstrates the resolution of the charges of any final judgment.	r
O No O Yes	
Question 6	
Have you or any business in which you are or were an owner, partner, officer or directo or member or manager of a limited liability company, ever had an insurance agency contract or any other business relationship with an insurance company terminated for any alleged misconduct?	r,
If you answer yes, you must attach to this application: a) a written statement summarizing the details of each incident and explaining why you feel this incident should not prevent you from receiving an insurance license, and b) copies of all relevant documents.	
O No O Yes	~

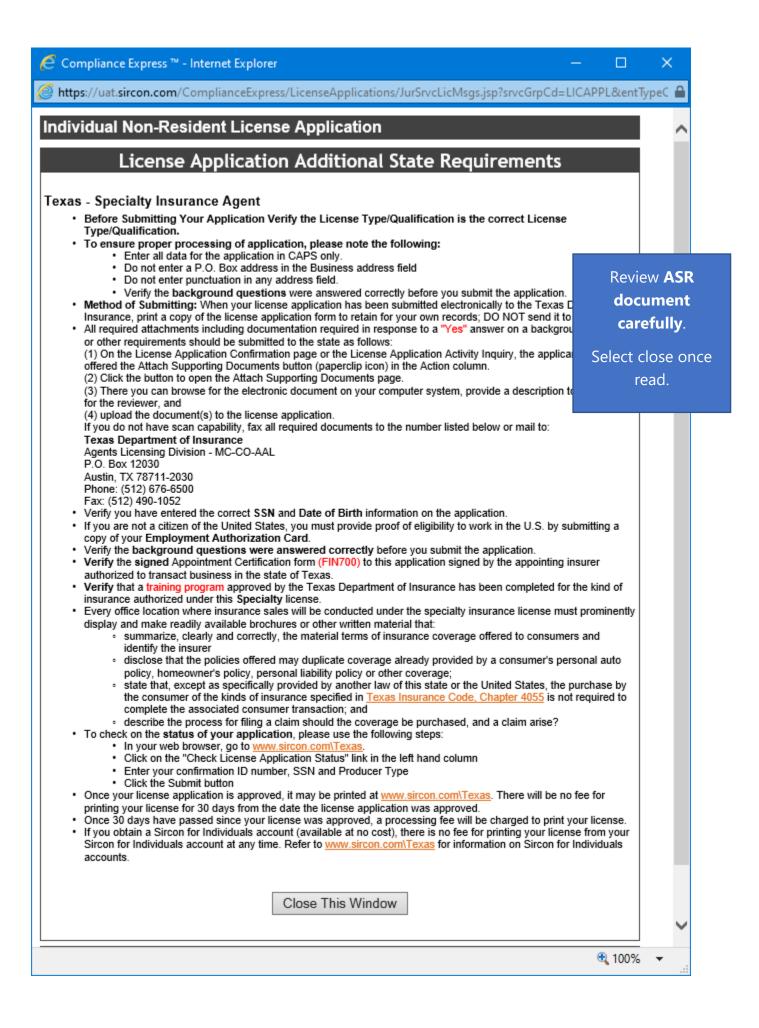
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Question 7				^
Do you have a child support obligation in arrearage?				
(If you answered yes, provide documentation showing proof of current payments o	or an			_
approved repayment plan from the appropriate state child support agency.)	Ansı	wer all qu	estio	าร
○ No		carefull		
○ Yes		carcran		
Question 7A	Atta	ich any re	quire	d
By how many months are you in arrearage?	docu	mentatio	h to t	his
	appl	ication af	ter yc	bu
	subm	it the app	licati	on.
Question 7B				
Are you currently subject to and in compliance with any repayment agreement	t?			
○ No				
○ Yes				_
Question 7C		Select Co	ntinu	e
Are you the subject of a child support related subpoena/warrant?		1		
O No				
○ Yes				
		-		- 11
Cancel Back Continue				
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Individual Non-Resident License Application					
**	1				
Attestation Information for State of Texas Verify the background questions were answered correctly before you submit the application.	"				
I hereby certify that, under penalty of perjury, all of the information submitted in this application and attachments is true and complete. I am aware that submitting false information or omitting pertinent or material information in connection with this application is grounds for license revocation or denial of the license and may subject me to civil or criminal penalties.					
Unless provided otherwise by law or regulation of the jurisdiction, I hereby designate the Commissioner, Director,		Re		ttest	
Superintendent of Insurance, or other appropriate party in each jurisdiction for which this application is made to be my agent for service of process regarding all insurance matters in the respective jurisdiction and agree that service upon the Commissioner. Director or Superintendent of Insurance, or other appropriate party of that jurisdiction is of the same legal force			са	refully	/ ,
and validity as personal service upon myself.	3		Sele	ct Agr	ee
I further certify that I grant permission to the Commissioner, Director, Superintendent of Insurance, or other appropriate party				Ű	
in each jurisdiction for which this application is made to verify information with any federal, state or local government agency, current or former employer, or insurance company.					
I authorize the jurisdictions to which this application is made to give any information concerning me, as permitted by law, to					
any federal, state or municipal agency, or any other organization and I release the jurisdictions and any person acting on their behalf from any and all liability of whatever nature by reason of furnishing such information.	\square				
I acknowledge that I understand and will comply with the insurance laws and regulations of the jurisdictions to which am					
applying for licensure.					
I hereby certify that upon request I will furnish the jurisdictions to which I am applying certified copies of any documents that will be attached, as a part of this application process or any items requested by the jurisdiction.					
I acknowledge that I am fully aware that no individual may act under the license applied for herewith until that individual has successfully completed a training program approved by the Texas Department of Insurance as required by the Texas Insurance Code, Chapter 4055.012					
I will attach the Appointment Certification form (FIN700) to this application signed by the appointing insurer authorized to					
transact insurance business in the state of Texas.					
□ I Agree* Required					
Cancel Back Continue					
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Read the Attestation carefully, then select "I Agree", then select "Continue".

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Dest. State	License Type	Qualification Type	Total State Fee		Also		
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		State Fee Total Sircon Service Fee	\$50.00 \$12.50		view I		
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Fee Summary							
		Electronic Applications State	e Fee Total \$50.00	the	applic	atioi	1.
		Sircon Service Fee Total	\$12.50				
		Processing Fee Total	\$2.79				
		Total	\$65.29		Must	be	
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	Click here to view	w additional state requirements					
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The applicant must check the box next to "I understand that all license renewal fees are non-refundable."



This is a **sample** of what you will see after you submit your application. This screen will provide you the opportunity to attach required documentation with your application submission. Be sure to provide a **Document Description** to each attached file.

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				attach to your
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You may attach files to the licen	se applications below.			submission, atta
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