No. 2023-8148

Official Order of the Texas Commissioner of Workers' Compensation

Date: 8/24/2023

Subject Considered:

David Mitchell Bloome, M.D. Fondren Orthopedic Group 7401 Main Street Houston, Texas 77030-4509

Consent Order
DWC Enforcement File No. 31673

General remarks and official action taken:

This is a consent order for David Mitchell Bloome, M.D. (Respondent). The commissioner of the Texas Department of Insurance, Division of Workers' Compensation (DWC) considers whether DWC should take disciplinary action against Respondent.

Waiver

Respondent acknowledges that the Texas Labor Code and other applicable laws provide certain rights. Respondent waives all of these rights, and any other procedural rights that apply, in consideration of the entry of this consent order.

Findings of Fact

- 1. Respondent is a health care provider operating in the Texas workers' compensation system.
- 2. Respondent was classified as "average" tier in the 2013_73M1, 2013_73M2, 2015_73M2, 2017_73M2, and 2019_73M2 Performance Based Oversight (PBO) assessments. Respondent was classified as "poor" tier in the 2015_73M1, 2017_73M1, 2019_73M1, and 2021_73 PBO assessments. Respondent was not selected to be tiered in the 2007, 2009, 2011_73, 2011_69, 2013_69, 2013_MRI, 2015_69, 2015_MRI, 2017_69, 2019_69, or 2021_69 PBO assessments.

Failure to Timely Comply with a DWC Order to Produce Documents

- 3. On DWC ordered Respondent to produce legible, single-sided copies of documents pertaining to a Return-to-Work Plan-Based-Audit, identified as Medical Quality Review 22-54 HCP. Specifically, DWC ordered Respondent to produce the following: 4. (a) Authentication of Business Records Affidavit (Cases 3 and 4);
 - (b) Notarized Authentication of No Business Records Affidavit (Case 5); (c) Office Visit Notes for dates of service through

6-8);

(Cases

(d) All related medical records (Cases 6-8); and

(e) All DWC073 forms for dates of service (Cases 6-8).

through

- Respondent received the order at his office address. The deadline 5. On to produce the documents was
- 6. Respondent produced the requested information, which was On 125 days late.

Assessment of Sanction

- 1. Timely submitting information to DWC and complying with DWC orders is imperative to DWC's ability to implement and enforce the Texas Workers' Compensation Act.
- 2. In assessing the sanction for this case, DWC fully considered the following factors in Tex. Lab. Code § 415.021(c) and 28 Tex. Admin. Code § 180.26(e):
 - the seriousness of the violation, including the nature, circumstances, consequences, extent, and gravity of the prohibited act;
 - the history and extent of previous administrative violations;
 - the violator's demonstration of good faith, including actions it took to rectify the consequences of the prohibited act;
 - the penalty necessary to deter future violations;
 - whether the administrative violation had a negative impact on the delivery of benefits to an injured employee;
 - the history of compliance with electronic data interchange requirements;

Confidential Information Redacted Texas Labor Code §§402.083 and 402.092

- to the extent reasonable, the economic benefit resulting from the prohibited act; and
- other matters that justice may require, including, but not limited to:
 - o PBO assessments;
 - prompt and earnest actions to prevent future violations;
 - o self-report of the violation;
 - o the size of the company or practice;
 - o the effect of a sanction on the availability of health care; and
 - evidence of heightened awareness of the legal duty to comply with the Texas Workers' Compensation Act and DWC rules.
- 3. DWC found the following factors in Tex. Lab. Code § 415.021(c) and 28 Tex. Admin. Code § 180.26(e) to be aggravating: the seriousness of the violation; the violator's lack of good faith; the penalty necessary to deter future violations; and other matters that justice may require such as PBO assessments.
- 4. DWC considered the following mitigating factors pursuant to Tex. Lab. Code § 415.021(c) and 28 Tex. Admin. Code § 180.26(e): no history of administrative violations, and corrective action to prevent future violations.
- 5. Respondent acknowledges communicating with DWC about the relevant statute and rule violations alleged; that the facts establish that the administrative violation(s) occurred; and that the proposed sanction is appropriate, including the factors DWC considered under Tex. Lab. Code § 415.021(c) and 28 Tex. Admin. Code § 180.26(e).
- 6. Respondent acknowledges that, in assessing the sanction, DWC considered the factors in Tex. Lab. Code § 415.021(c) and 28 Tex. Admin. Code § 180.26(e).

Conclusions of Law

- 1. The commissioner has jurisdiction over this matter pursuant to Tex. Lab. Code §§ 402.001, 402.00114, 402.00116, 402.00128, 414.002, and 414.003.
- 2. The commissioner has the authority to dispose of this case informally pursuant to Tex. Gov't Code § 2001.056, Tex. Lab. Code §§ 401.021 and 402.00128(b)(6)-(7), and 28 Tex. Admin. Code § 180.26(h) and (i).

Confidential Information Redacted
Texas Labor Code §§402.083 and 402.092

- 3. Respondent has knowingly and voluntarily waived all procedural rights to which it may have been entitled regarding the entry of this order, including, but not limited to, issuance and service of notice of intent to institute disciplinary action, notice of hearing, a public hearing, a proposal for decision, a rehearing by the commissioner, and judicial review.
- 4. Pursuant to Tex. Lab. Code § 415.021, the commissioner may assess an administrative penalty against a person who commits an administrative violation.
- 5. Pursuant to Tex. Lab. Code §§ 415.0035(e) and 415.021(a), a person regulated by DWC under this title commits an administrative violation if they violate the Texas Workers' Compensation Act, a DWC rule, or a commissioner's order or decision.
- 6. Pursuant to 28 Tex. Admin. Code § 102.9, DWC requires those subject to the Texas Workers' Compensation Act to provide information as necessary. DWC may follow up on a request for information by issuing an order to produce information.
- 7. Respondent violated Tex. Lab. Code §§ 415.0035(e); 415.021(a); and 28 Tex. Admin. Code § 102.9 by failing to comply with a DWC order to produce documents.

Order

It is ordered that David Mitchell Bloome, M.D. must pay an administrative penalty of \$2,000.00 within 30 days from the date the Commissioner signs the order.

After receiving an invoice, Respondent must pay the administrative penalty by electronic transfer using the State Invoice Payment Service, company check, cashier's check, or money order and make it payable to the "State of Texas." Mail the administrative penalty to the Texas Department of Insurance, Attn: DWC Enforcement Section, MC AO-9999, PO Box 12030, Austin, Texas 78711-2030.

Jeff Nelson Commissioner

TDI, Division of Workers' Compensation

Approved Form and Content:

Stephen M. Jewell

Stephen 11. Jewell

Staff Attorney, Enforcement

Compliance and Investigations

TDI, Division of Workers' Compensation

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Unsworn Declaration

STATE OF Texas §
COUNTY OF Harris §
Pursuant to the Tex. Civ. Prac. and Rem. Code § 132.001(a), (b), and (d), my name is: David Mitchell Bloome . My license
(First) (Middle) (Last) is <u>L0989</u> , which was issued by the <u>Texas Medical Board</u> . (Licensing agency/board/entity)
My business address is: 1401 Main Street , Houston , Hurris , Tx , 77030 . (Street) (City) (State) (ZIP Code)
I am executing this declaration as part of my assigned duties and responsibilities. I declare under penalty of perjury that the facts stated in this document are true and correct.
Declarant
Executed on August 21, 2023.