

AGENCY:	REPORT #
PERSON INTERVIEWED:	TITLE:

GOING CONCERN QUESTIONNAIRE

Underwriter Premium Remittances	YES	NO
1. Do you have any premium remittances overdue (beyond 15 th day of second month after premium was collected)?	<input type="checkbox"/>	<input type="checkbox"/>
If yes, give details:		
<i>Auditor:</i> If yes, complete the "Underwriter Remittances Worksheet".	Initial:	

Indicators of Possible Cash Flow or Insolvency Problems		
2. Do you have any long-term liabilities to underwriters?	<input type="checkbox"/>	<input type="checkbox"/>
If yes, has there been a new obligation or an increase in an obligation to an underwriter during the past 12 months?	<input type="checkbox"/>	<input type="checkbox"/>
3. Do you have any payroll taxes that are overdue?	<input type="checkbox"/>	<input type="checkbox"/>
If yes, how far behind and amount overdue:		
4. Do you have any debt obligations of any kind which are not current?	<input type="checkbox"/>	<input type="checkbox"/>
If yes, give details:		

Other Indicators of Possible Problem Areas		
5. Has your agency been terminated by any underwriter within the past year?	<input type="checkbox"/>	<input type="checkbox"/>
If yes, what was the reason?		
6. Does your agency have any outstanding claims made by policyholders?	<input type="checkbox"/>	<input type="checkbox"/>
If yes, give details:		
7. Is there any pending litigation or asserted claim by or against either the agency or any of its owners and/or officers?	<input type="checkbox"/>	<input type="checkbox"/>
If yes, give details:		
8. Have there been any actual or attempted levies of escrow bank accounts by IRS?	<input type="checkbox"/>	<input type="checkbox"/>
If yes, give details:		