



Texas Department of Insurance

**2013
Annual Report
of
Nonfinancial Data**

For the Fiscal year Ending August 31, 2013

**Texas Department of Insurance
ANNUAL REPORT OF NONFINANCIAL DATA
Fiscal Year 2013**

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TEXAS DEPARTMENT OF INSURANCE (454)

SCHEDULE OF BONDED EMPLOYEES

For The Fiscal Year Ended August 31, 2013

| <u>EMPLOYEE NAME</u> | <u>AMOUNT OF BOND</u> | <u>SURETY COMPANY</u> |
|---|--|--------------------------------|
| Deputy Commissioners and employees of the Texas Department of Insurance (All) | \$5,000,000 aggregate for all claims \$50,000 limit of liability each claim | ACE American Insurance Company |

SCHEDULE OF SPACE OCCUPIED
For the Fiscal Year ended August 31, 2013

| <u>Location</u> | <u>Address</u> | <u>Lessor</u> | <u>Lease No.</u> | <u>Type</u> |
|-------------------------------|--|---|------------------|------------------|
| State Owned Buildings: | | | | |
| Austin | Hobby Building | | | |
| | TDI Warehouse | | | |
| El Paso FO | El Paso State Office Bldg. 401 Franklin Ave., Suite 330 | | | |
| Houston/East FO | Elias Ramirez Bldg. 5425 Polk Ave., Suite 130 | | | |
| Waco FO | Raleigh State Bldg. 801 Austin Ave., Suite 840 | | | |
| SUBTOTAL | | | | |
| Leased Space: | | | | |
| Abilene | Commerce Plaza 1290 S. Willis, Suite 102 | CP Office Limited Partnership c/o Lost Creek LLC, General Partnership Attn: Jack or Kim Carasso | 453-9619 | Office Office |
| Amarillo | 7112 IH-40 West, Building D | Amarillo Independent School District c/o Storm Realty Attn: Mr. Chris Honstra, V. Pres. | 453-8739 | Office |
| Angleton | 1204 North Velasco | Rancho Plano LLC DBA Chenango Park Attn: Abel Gutierrez | 303-2-20312 | Office |
| Austin Records Repository | 3636-A Dime Circle | Dime Circle Ltd c/o Riverside Resources Corporation Attn: Christina Young | 453-7294 | Office |
| Austin CO | Metro Center 7551-10 Metro Center Drive, Suite 100 | NNN Met Center 10, LLC Attn: Anthony W. Thompson, President & CEO | 453-10224 | Office |
| Austin FO | 4616 W Howard Lane, Suite 130 | Transwestern Attn: Ashley Wilbanks | 453-10352 | Office |
| Beaumont | Concord Square Office Park, 6430 Concord Road | Madeline Linn Walker c/o Cliff Walker | 453-9694 | Office |
| Beaumont | 5550 Eastex Freeway | 5550 Eastex Frwy, LP Attn: Eric Marroquin, Sr. | 454-1346 | Office |
| Corpus Christi | Flynn Pkwy Tower, 5155 Flynn Pkwy, Suite 218 | Sun Flynn, LP Attn: George Thamaravelil | 303-6-10125 | Office |
| Corpus Christi | Wilson Plaza, 606 N. Carancahua | Wilson Plaza Associates, LP Attn: Robert Winter | 454-5856 | Office |
| Dallas | Oakbrook Plaza, 1515 West Mockingbird Lane, Suite 100 | Oakbrook Plaza, Ltd | 453-10424 | Office |
| Dallas | 9241 LBJ Freeway, Suite 114 | Neelgiri Investments, LLC Attn: Rajesh Schgal | 454-10413 | Office |
| Denton FO | Dallas Drive Tech Center, 625 Dallas Dr., Suite 475 | Dallas Drive Development Group, Mr. John S. Adami | 453-6836 | Office |
| El Paso | El Paso State Office Building, 401 Franklin Ave, Suite 330 | Texas Facilities Commission Attn: Mike Lacy & Dina Lanning | N/A | Office |
| Fort Worth FO | 6900 Anderson Blvd., Suite 200 | Eastpointe Realty Center, LLC c/o Randol Asset Management Attn: William Siu | 453-1176 | Office Office |
| Houston West FO | 350 N Sam Houston Parkway E | 340 North Belt, L.P. Attn: Andrew Segal | 453-20347 | Office |
| Houston East | Elias Ramirez Building, 5425 Polk Ave, Suite 130 | State Owned Building Attn: Laurie Allison | N/A | Office |
| La Marque | 7124 Mark 45 Blvd. | John R. Ranck 613 Westwood Circle | 454-1313 | Office |
| Laredo FO | 5420 Springfield Ave. | Araiza Investments, Ltd Attn: Eva Araiza | 453-10036 | Office |
| Lubbock FO | 22 Briercroft Office Park, Suite A | Jason Robertson, dba Robertson Investments | 453-5599 | Office |
| Lufkin FO | 310 Harmony Hill Dr., Suite 100 | Tulane Plaza I, Ltd. Attn: Richard Youngblood | 453-20150 | Office |
| Midland FO | Executive Office Park, 4500 W. Illinois Ave., Suite 315 | Jerry L. and Patricia A. Jones, dba Midland Business Center | 453-6820 | Office |
| San Angelo FO | State of Texas Service Center, 622 S.Oaks, Suite M | City of San Angelo Attn: R. Weise | 453-9918 | Office |
| San Antonio | Nine Oaks Bldg., 9514 Console Dr., Suite 200 | AGS Real Estate Investment, LLC Attn: Mohamed H. Ghannam | 453-7078 | Office |
| San Antonio | Titan Building, 2700 NE Loop 410, Suite 225 | TNP Titan Plaza Fund Attn: Jim Wolford | 454-20346 | Office |
| Tyler FO | 3800 Paluxy Drive, Suite 570 | Genecov Investments Ltd Attn: David Wilson | 453-10499 | Office |
| Waco FO | 801 Austin Ave, Suite 840 | Texas Facilities Commission Attn: Mike Lacy | N/A | Office |
| Weslaco FO | 1108 Pike Blvd. | Adam Daniec and Marion Janik | 453-10135 | Office |
| Wichita Falls FO | Chelsea Plaza , 909 8th Street, Suite 112 | White Realty Mgmt Attn: Mark Chase | 303-7-10599 | Office |
| TOTALS | | | | |

Office space occupied by the Office of Injured Employee Counsel is included on this schedule. Per the Texas Labor Code § 404.002(c), "the department shall provide the staff and facilities necessary to enable the office to perform the duties of the office...". The FTEs reported above include 153 staff employed by the Office of Injured Employee Counsel.

| <u>Usable Square Feet</u> | <u>FTEs</u> | <u>Rental Per Month Cost Per Square Foot</u> | <u>Cost Per Month</u> | <u>Actual Annual Cost</u> | <u>Comments</u> |
|---------------------------|---------------|--|-----------------------|---------------------------|--|
| 196,664 | | | | | |
| 23,864 | | | | | |
| 7,052 | | | | | |
| 7,656 | | | | | |
| 2,150 | | | | | |
| 237,386 | | | | | |
| 2,200 | 10.00 | 0.7892 | 1,752.24 | 21,026.88 | |
| 285 | | 0.8125 | 233.54 | 2,802.48 | Expires 03/31/2015 |
| 2,925 | 11.00 | 0.8225 | 2,426.76 | 29,121.12 | Expires 07/31/2015 |
| 1,292 | 6.00 | 1.3883 | 1,793.66 | 21,523.92 | Expires 07/31/2017 |
| 24,546 | 13.00 | 0.7000 | 19,646.00 | 235,752.00 | Increases Annually 12/31/2014 Exp 8/31/2016 |
| 100,375 | 393.00 | 1.2783 | 130,726.07 | 1,568,712.84 | Expires 09/30/2018 |
| 3,476 | 15.00 | 1.4758 | 5,303.80 | 63,645.60 | Increases Annually 10/31/2013 Exp 10/31/2017 |
| 2,805 | 8.00 | 0.9008 | 2,547.06 | 30,564.72 | Expires 02/28/2015 |
| 657 | 2.00 | 1.1291 | 742.13 | 8,905.56 | Expires 04/30/2015 |
| 3,381 | 15.00 | 0.8717 | 2,965.64 | 35,587.68 | Expires 06/30/2015 |
| 2,329 | 6.00 | 1.0562 | 1,267.46 | 15,209.52 | Expires 05/31/2015 |
| 12,475 | 41.00 | 1.2175 | 15,716.38 | 188,596.56 | Expires 06/30/2014 |
| 2,600 | 15.00 | 1.0766 | 2,799.82 | 33,597.84 | Expires 08/31/2017 |
| 3,408 | 15.00 | 0.9333 | 3,180.20 | 38,162.40 | Expires 12/31/2016 |
| 7,052 | 22.00 | N/A | N/A | N/A | State Owned Building |
| 9,726 | 45.00 | 1.0217 | 9,935.11 | 119,221.32 | Expires 2/28/2019 |
| 1,471 | | 1.1667 | 1,716.17 | 20,594.04 | |
| 12,399 | 35.00 | 1.0416 | 12,915.63 | 154,987.56 | Increases Annually 1/13/2014 |
| 7,656 | 38.00 | N/A | N/A | N/A | State Owned Building |
| 2,000 | 5.00 | 1.5270 | 3,055.44 | 36,665.28 | Expires 04/30/2017 |
| 2,150 | 8.00 | 1.7442 | 4,000.00 | 48,000.00 | Expires 04/30/2016 |
| 3,000 | 11.00 | 0.6233 | 1,869.58 | 22,434.96 | Expires 8/31/2014 |
| 2,545 | 5.00 | 1.0660 | 2,765.08 | 33,180.96 | Expires 01/31/2018 |
| 3,175 | 8.00 | 0.5540 | 1,759.49 | 21,113.88 | Expires 12/31/2016 |
| 2,555 | 7.00 | 0.9408 | 2,467.70 | 29,612.40 | Increases Annually 07/31/2014 Exp 07/31/2019 |
| 8,893 | 37.00 | 0.8575 | 7,687.45 | 92,249.40 | Expires 9/30/2016 |
| 2,239 | 17.00 | 1.6617 | 3,701.94 | 44,423.28 | Expires 11/30/2017 |
| 5,236 | 21.00 | 1.0725 | 5,690.18 | 68,282.16 | Expires 04/30/2014 |
| 2,150 | 10.00 | N/A | N/A | N/A | State Owned Building |
| 5,225 | 18.00 | 1.2967 | 6,980.77 | 83,769.24 | Expires 10/31/2016 |
| 2,442 | 0.00 | 0.9908 | 2,277.18 | 27,326.16 | Expires 06/30/2014 *Not renewing |
| <u>480,054</u> | <u>837.00</u> | | <u>257,922.48</u> | <u>3,095,069.76</u> | |

SCHEDULE OF PROFESSIONAL/CONSULTING FEES AND LEGAL SERVICE FEES

For The Fiscal Year Ended August 31, 2013

| NAME | TYPE OF SERVICE RENDERED | AMOUNT |
|---|--|---------------|
| Professional/Consulting Fees | | |
| Aaron & Rose Hand Therapy Services Inc. | Medical Quality Review Services | \$ 1,600.00 |
| Albert E. Sanders | Medical Quality Review Services | 600.00 |
| Alliance Work Partners | Employee Assistance Program | 26,232.64 |
| Andrew P. Kant, MD | Medical Quality Review Services | 3,300.00 |
| Andrew W. Prychodko | Medical Quality Review Services | 1,087.50 |
| Angelina Animal Hospital | Veterinary Services | 295.52 |
| Animal Medical Center | Veterinary Services | 856.72 |
| Barbara Bergin | Medical Quality Review Services | 1,050.00 |
| Baylor College of Medicine | Medical Quality Review Services | 1,050.00 |
| Brad McKechnie | Medical Quality Review Services | 5,287.50 |
| Brazos Pain Management, PA | Medical Quality Review Services | 16,350.00 |
| Broussard Clinic of Chiropractic Inc. | Medical Quality Review Services | 6,157.50 |
| Bruce L. Ehni | Medical Quality Review Services | 1,275.00 |
| Caldwell Veterinary Clinic Inc. | Veterinary Services | 757.27 |
| Christopher M. Loar, MD, PA | Medical Training Services | 1,250.00 |
| Compeval, LLC | Medical Quality Review Services | 8,512.50 |
| Cooperative Personnel Services | Data Analysis Services | 112,319.33 |
| Cronin Consulting Services Inc. | Data Analysis Services | 78,462.50 |
| D C Health Center, LLP | Medical Quality Review Services | 3,525.00 |
| Daniel Conde Valdez | Medical Quality Review Services | 2,500.00 |
| David Alvarado, DCPC | Medical Quality Review Services | 9,750.00 |
| David G. Davis, MD | Medical Quality Review Services | 93,300.00 |
| David I. Tasker, MD, PA | Medical Quality Review Services | 1,950.00 |
| Desmond Sharda Jackson | Medical Quality Review Services | 100.00 |
| Don A. Mackey | Medical Quality Review Services | 13,987.50 |
| Donald R. Murphy, DC | Medical Quality Review Services | 6,750.00 |
| Dr. Richard Lutz | Medical Quality Review Services | 7,312.50 |
| Dr. Robert Conte | Medical Quality Review Services | 2,100.00 |
| Graves T. Owens | Medical Quality Review Services | 10,200.00 |
| Gregory Powell | Medical Training Services | 1,250.00 |
| HDI Solutions, LLC | Texas Sure Vehicle Insurance Verification Services | 2,735,990.03 |
| Ingleside Animal Hospital, PC | Veterinary Services | 1,016.06 |
| J. Martin Barrash | Medical Quality Review Services | 4,200.00 |
| J. William Wellborn, MD, PA | Medical Quality Review Services | 6,350.00 |
| James Allen Reinarz, MD | Medical Quality Review Services | 975.00 |
| Jeremiah J. Twomey, FACP, PA | Medical Quality Review Services | 27,637.50 |
| Jim Kelaher | Medical Training Services | 1,250.00 |
| Joan Krajca-Radcliffe, MD | Medical Training Services | 6,650.00 |
| Joe T. Powell, MD, PA | Medical Quality Review Services | 5,962.50 |
| Kyle Babick, PhD | Medical Quality Review Services | 2,450.00 |
| Lakeside Veterinary Clinic | Veterinary Services | 735.45 |
| Linda Longoria | Medical Quality Review Services | 400.00 |
| Madison Consulting Group | Actuarial Services | 34,734.80 |
| Marc T. Taylor | Medical Quality Review Services | 750.00 |
| Mark A. Doyne, MD, PA | Medical Quality Review Services | 1,500.00 |
| Mark R. Bronson | Medical Training Services | 4,625.00 |
| Martin Jones | Medical Quality Review Services | 4,050.00 |
| Martin R. Steiner, MD | Medical Quality Review Services | 3,712.50 |
| Melissa Cady | Medical Quality Review Services | 7,425.00 |
| Michael S. Perkins, MD | Medical Training Services | 14,750.00 |
| Milliman | Actuarial Services | 88,518.00 |
| Myers and Stauffer, LC | Financial and Accounting Services | 180,954.00 |
| New Braunfels Veterinary Clinic | Veterinary Services | 1,125.13 |

SCHEDULE OF PROFESSIONAL/CONSULTING FEES AND LEGAL SERVICE FEES

For The Fiscal Year Ended August 31, 2013

| NAME | TYPE OF SERVICE RENDERED | AMOUNT |
|---|---|------------------------|
| Professional/Consulting Fees | | |
| Pain and Rehab Services of the Southwest, LLC | Medical Quality Review Services | 400.00 |
| Patrick Beckham | Medical Quality Review Services | 2,700.00 |
| Paxton H. Howard, Jr. | Medical Quality Review Services | 6,487.50 |
| Physicians Contract Services, PA | Medical Quality Review Services | 1,200.00 |
| Presley Design Studio, LC | HelpInsure.com Interactive Project | 45,350.00 |
| R. David Bauer, MD | Medical Quality Review Services | 5,325.00 |
| RFD & Associates Inc. | Consulting Services | 97,790.08 |
| Robert S. Marks, MD, PLLC | Medical Quality Review Services | 750.00 |
| Ronald E. Manicom, PA | Medical Quality Review Services | 2,025.00 |
| Rupert and Associates, PC | Financial and Accounting Services | 3,825.00 |
| Sherry Matthews Inc. | Marketing Services | 199,657.68 |
| Simon J. Forster | Medical Quality Review Services | 13,700.00 |
| Sports & Spine Associates, PA | Medical Quality Review Services | 8,400.00 |
| Stephen J. Ringel, MD, PA | Medical Quality Review Services | 7,125.00 |
| Steven A. Doores, MD | Medical Training Services | 8,000.00 |
| Stuart D. Small, MD, PA | Medical Quality Review Services | 600.00 |
| SurveyMonkey.Com, LLC | Survey Subscription Services | 204.00 |
| Thomas B. Coopwood II | Medical Quality Review Services | 10,312.50 |
| Thomas D. Meek, MD | Medical Quality Review Services | 2,400.00 |
| Timothy Fahey, DC | Medical Quality Review Services | 3,900.00 |
| Virginia Jane Derebery, MD | Medical Quality Review Services | 4,650.00 |
| Visionary Integration Professionals, LLC | Database Assessment and Planning Services | 103,000.00 |
| Workers' Compensation Research Institute | Multi State Benchmarking Program | 195,000.00 |
| Total Professional/Consulting Fees | | <u>4,277,731.71</u> |
| Legal Services | | |
| State Office of Administrative Hearings | Legal Services | 222,772.61 |
| Total Legal Services | | <u>222,772.61</u> |
| Total Professional/Consulting Fees and Legal Services | | <u>\$ 4,500,504.32</u> |

TEXAS DEPARTMENT OF INSURANCE (454)

SCHEDULE OF ITEMIZED PURCHASES

For The Fiscal Year Ended August 31, 2013

| Product Purchased | PO Number | Amount | Name of the Vendor | Justification |
|--|------------|--------------|--|--|
| Software - Serena Collage | 0000003677 | \$14,836.50 | Immix Technology | Serena Collage is the only website content software Texas Department of Insurance (TDI) has used since 2005, and the agency has to maintain the software. Software licenses and related maintenance and support services continue to be proprietary to Serena Software Inc. |
| Contract for Research Services | 0000004110 | \$195,000.00 | Workers' Compensation Research Institute | Workers' Compensation Research Institute (WCRI) required services are very specific to the needs of TDI's Division of Workers' Compensation. No other state agency could benefit from access to the database or services to be provided by WCRI. WCRI maintains and analyzes a database of 10 million claims from 12 states, representing over 50% of the nations workers' compensation benefits paid. WCRI's data are highly representative of states which are comparable to Texas and are used to benchmark system costs and medical utilization. |
| Association of Certified Fraud Examiners Certification Program | 0000004371 | \$112,500.00 | National Association of Certified Fraud Examiners, San Antonio Chapter | Association of Certified Fraud Examiners is the only entity that administers fraud examiner certification. |
| 83rd Legislative Session | 0000004390 | \$5,043.12 | Texas Legislative Service | Texas Legislative Service provides legislative monitoring tools, including regular session hard copy, bill text, TELICON, TxALERT paging services and TRANSNET media transfer services, all utilized by Government Relations. These services are not available from any other source. These services are essential to the core functions of the agency and are in furtherance of the regulatory objectives of the agency. |
| Annual Software Maintenance and Support | 0000005367 | \$6,350.00 | Optuminsight Inc. | The Division of Workers' Compensation utilizes a number of EncoderPro.Com coding and training applications software subscriptions. The Software is created, and solely supported by Optuminsight, and only that company has the authority to provide annual subscription services. |

TEXAS DEPARTMENT OF INSURANCE(454)

HUB STRATEGIC PLAN PROGRESS FORM

For The Fiscal Year Ended August 31, 2013

| Goal = Strategic Plan HUB Goal Actual = % Spent with HUBs from HUB Report | Actual for FY '12 | Actual for FY '13 | Goal for FY '14 |
|--|------------------------------|------------------------------|----------------------------|
| Heavy Construction | n/a | n/a | n/a |
| Building Construction | n/a | n/a | n/a |
| Special Trade Construction Contracts | 17.26% | n/a | n/a |
| Professional Services Contracts | 0.57% | 0.63% | 12.60% |
| Other Services Contracts | 35.22% | 26.10% | 36.20% |
| Commodities Contracts | 22.39% | 17.57% | 35.50% |

Prepared by:



Printed Name: Sandy Williams

Phone Number: (512) 322-2236

Approved:



(Signature Required)

Printed Name: Mike Powers

SCHEDULE OF APPROPRIATION ITEM TRANSFERS

For The Fiscal Year Ended August 31, 2013

ITEM OF APPROPRIATION

| | | <u>Transfers-In</u> | <u>Transfers-Out</u> | <u>Net Transfers</u> |
|--|--|------------------------|------------------------|--------------------------|
| A. Goal: Access to Affordable Insurance | | | | |
| Strategies: | | | | |
| A.1.1 | (13058) Consumer Education and Outreach | \$ 661,785.74 | \$ 4,935,914.65 | \$ (4,274,128.91) |
| A.1.1 | (52001) TexasSure Vehicle Insurance Verification | 4,228,766.00 | - | 4,228,766.00 |
| A.1.1 | (32212) 600.1 SB 2 Sec. 12 Cont Rider SB7 | - | 82,638.29 | (82,638.29) |
| A.2.1 | (13034) Resolve Complaints | - | 274,421.76 | (274,421.76) |
| A.2.1 | (32212) 600.2 SB 2 Sec.12 Cont Rider SB7 | - | 65,078.12 | (65,078.12) |
| A.2.1 | (22844) 900.2 Sec. 18.44 Cont Rider HB1772 | - | 53,971.60 | (53,971.60) |
| A.2.2 | (13018) Investigation and Enforcement | - | 355,977.29 | (355,977.29) |
| A.2.2 | (32212) 600.3 SB 2 Sec.12 Cont Rider SB7 | - | 2,504.28 | (2,504.28) |
| A.2.2 | (22852) 903.2 Sec. 18.52 Cont Rider HB2408 | - | 279,942.00 | (279,942.00) |
| A.2.3 | (13023) Insurer Fraud | 325,573.66 | 125,000.00 | 200,573.66 |
| A.2.3 | (32212) 600.4 SB 2 Sec.12 Cont Rider SB7 | - | 24,755.22 | (24,755.22) |
| A.2.3 | (22850) 902.1 Sec. 18.50 Cont Rider HB2277 | - | 74,334.42 | (74,334.42) |
| A.3.1 | (13033) Process Rates, Forms & Licenses | 664,684.66 | 1,693,354.43 | (1,028,669.77) |
| A.3.1 | (32212) 600.5 SB 2 Sec.12 Cont Rider SB7 | - | 179,973.24 | (179,973.24) |
| A.3.2 | (13021) Promote Underserved Coverage | - | 30,225.73 | (30,225.73) |
| A.3.3 | (13035) Texas Online | - | - | 0.00 |
| A.3.4 | (13052) Certify Self-Insurance | 59,635.17 | - | 59,635.17 |
| A.4.1 | (13055) Long-Term Care | 17,332.86 | - | 17,332.86 |
| A.4.2 | (13057) Three-Share Programs | - | 1,148.62 | (1,148.62) |
| A.4.3 | (13059) Healthy Texas | 232.61 | - | 232.61 |
| Total Access to Affordable Insurance | | \$ 5,958,010.70 | \$ 8,179,239.65 | \$ (2,221,228.95) |
| B. Goal: Promote Insurer Financial Strength | | | | |
| Strategies: | | | | |
| B.1.1 | (13022) Insurers Financial Condition | \$ - | \$ 5,512,089.20 | \$ (5,512,089.20) |
| B.1.1 | (32212) 600.6 SB 2 Sec.12 Cont Rider SB7 | - | 390,445.03 | (390,445.03) |
| B.2.1 | (13019) Loss Control Programs | 160,495.92 | 240,000.00 | (79,504.08) |
| B.2.1 | (32212) 600.7 SB 2 Sec.12 Cont Rider SB7 | - | 24,755.22 | (24,755.22) |
| B.2.1 | (22878) 904.1 Sec 18.78 Cont Rider SB1291 | 7,421,489.00 | - | 7,421,489.00 |
| B.2.2 | (13020) Provider and Consumer Fraud | 92,325.22 | - | 92,325.22 |
| B.2.3 | (13024) Workers' Compensation Fraud | 4,579.52 | - | 4,579.52 |
| Total Promote Insurer Financial Strength | | \$ 7,678,889.66 | \$ 6,167,289.45 | \$ 1,511,600.21 |

SCHEDULE OF APPROPRIATION ITEM TRANSFERS

For The Fiscal Year Ended August 31, 2013

ITEM OF APPROPRIATION

| | <u>Transfers-In</u> | <u>Transfers-Out</u> | <u>Net Transfers</u> |
|---|--------------------------------|--------------------------------|-----------------------|
| C. Goal: Reduce Losses Due to Fire | | | |
| Strategies: | | | |
| C.1.1 (13028) Fire Protection | \$ 835,211.98 | \$ 382,000.00 | \$ 453,211.98 |
| Total Reduce Losses Due to Fire | <u>\$ 835,211.98</u> | <u>\$ 382,000.00</u> | <u>\$ 453,211.98</u> |
| D. Goal: Regulate Workers' Comp System | | | |
| Strategies: | | | |
| D.1.1 (13038) Oversight and Enforcement | \$ 486,872.30 | \$ - | \$ 486,872.30 |
| D.1.2 (13053) Dispute Resolution | - | 494,589.87 | (494,589.87) |
| D.1.3 (13054) Subsequent Injury Fund Administration | 6,421.66 | - | 6,421.66 |
| D.2.1 (13048) Health and Safety Services | 85,686.00 | 264,364.50 | (178,678.50) |
| D.2.2 (13051) Customer Service & Records Administration | 567,356.29 | 46,000.00 | 521,356.29 |
| Total Regulate Workers' Comp System | <u>\$ 1,146,336.25</u> | <u>\$ 804,954.37</u> | <u>\$ 341,381.88</u> |
| E. Goal: Indirect Administration | | | |
| Strategies: | | | |
| E.1.1 (13800) Central Administration | \$ 130,161.25 | \$ 726,586.30 | \$ (596,425.05) |
| E.1.1 (22622) EFF Excess - Article IX, Sec. 6.22C | - | 130,161.25 | (130,161.25) |
| E.1.1 (32212) 600.8 SB2 Sec.12 Cont Rider SB7 | - | 83,954.00 | (83,954.00) |
| E.1.2 (13801) Information Resources | 460,392.54 | 3,878,561.00 | (3,418,168.46) |
| E.1.2 (22701) Sec. 17.01 DCS COLA & Administration | 459,087.00 | - | 459,087.00 |
| E.1.2 (52150) Data Center Consolidation | 4,028,561.00 | 459,087.00 | 3,569,474.00 |
| E.1.3 (13802) Other Support Services | 157,028.81 | 41,846.17 | 115,182.64 |
| Total Indirect Administration | <u>\$ 5,235,230.60</u> | <u>\$ 5,320,195.72</u> | <u>\$ (84,965.12)</u> |
| F. Goal: Regulatory Response | | | |
| Strategies: | | | |
| F.1.1 (13002) Contingency Regulatory Response | \$ - | \$ - | \$ - |
| Total Regulatory Response | <u>\$ -</u> | <u>\$ -</u> | <u>\$ -</u> |
| NET APPROPRIATION ITEM TRANSFERS | <u><u>\$ 20,853,679.19</u></u> | <u><u>\$ 20,853,679.19</u></u> | <u><u>\$ -</u></u> |

* This schedule does not include Benefit Replacement Pay transfers.

SCHEDULE OF VEHICLES PURCHASED

For The Fiscal Year Ended August 31, 2013

| Qty | Make and Model | Unit Price | Total | Assigned Type of Use | Fuel Efficiency Rating |
|------------|-----------------------|-------------------|----------------------|---|-------------------------------|
| 1 | 2013 Ford Escape | 19,585.00 | 19,585.00 | Vehicles used to transport staff in the performance of Fire and Arson Investigations. | 22/31 |
| 1 | 2013 Ford Expedition | 26,935.82 | 26,935.82 | Vehicles used to transport staff in the performance of Fire and Arson Investigations. | 14/20 |
| 1 | 2013 Ford F150 | 20,747.25 | 20,747.25 | Vehicles used to transport staff in the performance of Fire and Arson Investigations. | 15/21 |
| 1 | 2013 Ford F150 | 20,771.00 | 20,771.00 | Vehicles used to transport staff in the performance of Fire and Arson Investigations. | 15/21 |
| 1 | 2013 Ford F150 4X4 | 24,327.25 | 24,327.25 | Vehicles used to transport staff in the performance of Fire and Arson Investigations. | 14/19 |
| 1 | 2006 Isuzu Box Truck | 19,064.12 | 19,064.12 | Transport equipment and supplies for agency operations. | 15/18 |
| | Total | | <u>\$ 131,430.44</u> | | |

TEXAS DEPARTMENT OF INSURANCE (454)

INDIRECT COST SCHEDULE

For The Fiscal Year Ended August 31, 2013

A. Payroll-related Costs (reported on Operating Statements)

| | | |
|-------------------------------------|-----------------|----------------------|
| FICA Employer Matching Contribution | \$ 5,327,129.38 | |
| Group Health Insurance | 14,908,970.77 | |
| Retirement | 4,364,991.43 | |
| Unemployment | 69,094.30 | |
| Total Payroll-related Costs | | <u>24,670,185.88</u> |

| | | |
|-------------------------------------|------------|-------------------|
| Workers' Compensation | 198,317.18 | |
| Benefit Replacement Pay (BRP) | 435,167.84 | |
| Total Workers' Compensation and BRP | | <u>633,485.02</u> |

B. Indirect Costs (not reported on Operating Statements)

| | | |
|-----------------------------------|------------|-------------------|
| Bond Debt Service Payments | | |
| Texas Facilities Commission (TFC) | 255,406.00 | |
| Total Indirect Costs | | <u>255,406.00</u> |

C. Indirect Costs - Statewide Full Cost Allocation Plan

| | | |
|---|--------------|---------------------|
| Building Depreciation | 75,676.00 | |
| CPA Fiscal and ITD | 358,425.00 | |
| CPA Purchasing & Support (TPASS) | (12,385.00) | |
| CPA Rebates | (6,327.00) | |
| CPA Rebates Adjust FY2012 | (463.00) | |
| Dept of Information Resources | 6,347.00 | |
| DIR Roll Forward Adjustment | (5,010.00) | |
| DPS Capitol Security | 100,315.00 | |
| TFC Planning & Asset Management | 2,180,243.00 | |
| TFC Facility Design & Construction | (35,563.00) | |
| Governor Budget & Planning | 1,099.00 | |
| Total Indirect Costs - Statewide Full Cost Allocation Plan | | <u>2,662,357.00</u> |

| | | |
|-----------------------------|--|--------------------------------|
| TOTAL INDIRECT COSTS | | <u><u>\$ 28,221,433.90</u></u> |
|-----------------------------|--|--------------------------------|

SCHEDULE OF STATE EMPLOYEES AUTHORIZED TO USE STATE-OWNED OR LEASED VEHICLES

For The Fiscal Year Ended August 31, 2013

| EMPLOYEE NAME | JOB TITLE | AUTHORIZATION REASON |
|----------------------|-----------------------------|-----------------------------|
| Cheever, Edward | Fire and Arson Investigator | See Note 1 Below |
| Clark, Jeremy | Fire and Arson Investigator | See Note 1 Below |
| Cooley, Thomas | Fire and Arson Investigator | See Note 1 Below |
| DaVault, James | Fire and Arson Investigator | See Note 1 Below |
| Fine, Brian | Fire and Arson Investigator | See Note 1 Below |
| Galloway, Stan | Fire and Arson Investigator | See Note 1 Below |
| Garcia, Ramon | Fire and Arson Investigator | See Note 1 Below |
| Harris, Glen | Fire and Arson Investigator | See Note 1 Below |
| Hubertus, Thomas | Fire and Arson Investigator | See Note 1 Below |
| Huston, Gregory | Fire and Arson Investigator | See Note 1 Below |
| Janssen, Chris | Fire and Arson Investigator | See Note 1 Below |
| Kistner, Kelly | Fire and Arson Investigator | See Note 1 Below |
| Kondratick, John | Fire and Arson Investigator | See Note 1 Below |
| Lang, Billy | Fire and Arson Investigator | See Note 1 Below |
| Lockerman, Mark | Fire and Arson Investigator | See Note 1 Below |
| Mather, Janine | Fire and Arson Investigator | See Note 1 Below |
| McCloud, Ernest | Fire and Arson Investigator | See Note 1 Below |
| Morris, Kyle | Fire and Arson Investigator | See Note 1 Below |
| Pleasant, Tommy | Fire and Arson Investigator | See Note 1 Below |
| Rives, David | Fire and Arson Investigator | See Note 1 Below |
| Shirley, Dean | Fire and Arson Investigator | See Note 1 Below |
| Steelman, Paul | Fire and Arson Investigator | See Note 1 Below |
| Turk, Donald | Fire and Arson Investigator | See Note 1 Below |
| Vesely, David | Fire and Arson Investigator | See Note 1 Below |
| Williams, Clint | Fire and Arson Investigator | See Note 1 Below |
| Wilson, Mitch | Fire and Arson Investigator | See Note 1 Below |
| Arthur, Aldrin | Fire Safety Inspector | See Note 2 Below |
| Beasley, Chris | Fire Safety Inspector | See Note 2 Below |
| Bishop, Richard | Fire Safety Inspector | See Note 2 Below |
| Fasel, Jason | Fire Safety Inspector | See Note 2 Below |
| Jarvis, Susan | Fire Safety Inspector | See Note 2 Below |

SCHEDULE OF STATE EMPLOYEES AUTHORIZED TO USE STATE-OWNED OR LEASED VEHICLES

For The Fiscal Year Ended August 31, 2013

| EMPLOYEE NAME | JOB TITLE | AUTHORIZATION REASON |
|------------------------|--------------------------|-----------------------------|
| Lyons, Michael | Fire Safety Inspector | See Note 2 Below |
| Martin, Bud | Fire Safety Inspector | See Note 2 Below |
| McAnallen, James Scott | Fire Safety Inspector | See Note 2 Below |
| Nevis, Cliff | Fire Safety Inspector | See Note 2 Below |
| Nichols, John | Fire Safety Inspector | See Note 2 Below |
| Turner, Carl | Fire Safety Inspector | See Note 2 Below |
| Walt, Steve | Fire Safety Inspector | See Note 2 Below |
| Westberry, Wylie | Fire Safety Inspector | See Note 2 Below |
| Wilson, Larry | Fire Safety Inspector | See Note 2 Below |
| Guerrero, Cassandra | Licensing Investigations | See Note 3 Below |
| Stokely, David | Licensing Investigations | See Note 3 Below |
| Espinoza, James | Pool | See Note 4 Below |
| Gonzales, Ruben | Pool | See Note 4 Below |
| Jones, Otis | Pool | See Note 4 Below |
| Massoni, George | Pool | See Note 4 Below |
| Romero, Johnny | Pool | See Note 4 Below |
| Ruiz, Jesse | Pool | See Note 4 Below |

Note 1 - Fire and Arson Investigator - Vehicle used to transport staff in the performance of Fire and Arson Investigations.

Note 2- Fire Safety Inspector - Vehicle used to transport staff in the performance of Fire Safety Inspections.

Note 3 - Licensing Investigations - Vehicle used to transport staff in the performance of Licensing Investigations.

Note 4 - Pool - Transports equipment and supplies for agency operations.

AGENCY REPORT OF RECYCLED, REMANUFACTURED AND ENVIRONMENTALLY SENSITIVE PURCHASES

For The Fiscal Year Ended August 31, 2013

| Point of Contact: Sandy Williams Phone Number: (512) 322-2236 E-Mail Address: sandy.williams@tdi.texas.gov | | | | |
|--|---|------------------------------|---------------------------|--|
| E1, E2, or E3 | Description | Total Expenditures | | |
| | | Non-Delegated | Delegated | Total |
| Total E1 Including 1 st Choice | Recycled | \$ - | \$ 143,776.04 | \$ 143,776.04 |
| Total E2 Including 1 st Choice | Remanufactured | - | - | - |
| Total E3 Including 1 st Choice | Environmentally Sensitive | - | 1,805.92 | 1,805.92 |
| Total of E1, E2 and E3 includes Recycled 1st Choice | | \$ - | \$ 145,581.96 | \$ 145,581.96 |
| FY 2013 1 st Choice Targeted Commodities | Expenditures | | | % Spent on 1st Choice Products 100 * [A/(A+B)] |
| | 1 st Choice Products (A) | Virgin Counterpart (B) | Total (A+B) | |
| Motor Oil & Lubricants | \$ - | \$ - | \$ - | 0% |
| Toilet Paper, Toilet Seat Covers & Paper Towels | - | - | - | 0% |
| Printing Paper, Copier Paper & Computer Paper | 91,767.18 | - | 91,767.18 | 100% |
| Business Envelopes | 32,735.43 | - | 32,735.43 | 100% |
| Plastic Trash Bags | - | - | - | 0% |
| Plastic Covered Binders | 8,434.88 | - | 8,434.88 | 100% |
| Recycling Containers | - | - | - | 0% |
| Photocopiers | - | - | - | 0% |
| Total 1st Choice Targeted Commodities | \$ 132,937.49 | \$ - | \$ 132,937.49 | 100% |
| Summary of Total Number of FY 2013 Justification Letters | Total Cost | Total Quality | Total Not Available | Total Other |
| Motor Oil & Lubricants | - | - | - | - |
| Toilet Paper, Toilet Seat Covers & Paper Towels | - | - | - | - |
| Printing Paper, Copier Paper & Computer Paper | - | - | - | - |
| Business Envelopes | - | - | - | - |
| Plastic Trash Bags | - | - | - | - |
| Plastic Covered Binders | - | - | - | - |
| Recycling Containers | - | - | - | - |
| Photocopiers | - | - | - | - |
| Total Number(s) | - | - | - | - |

Due to unanticipated data collection issues, the report of Non-Delegated Expenditures of Recycled, Remanufactured and Environmentally Sensitive Commodities may have incomplete data. The tracking categories that were used are E1, (recycle), E2, (remanufacturing), and E3 (environmentally friendly).

The following elements are not included in the current report:

1. OfficeMax and AHI purchases
2. Items that went through TxSmartBuy as quote items (including inside delivery and transportation)
3. Any purchases made outside the TXSmartBuy system (offline sales)
4. TXMAS items