

Budget Overview
86th Regular Session, Fiscal Year 2020 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

454 Department of Insurance

	GENERAL REVENUE FUNDS		GR DEDICATED		FEDERAL FUNDS		OTHER FUNDS		ALL FUNDS	
	2019	2020	2019	2020	2019	2020	2019	2020	2019	2020
Goal: 1. Promote Consumer Access to Affordable Insur Products W/in a Fair Mrkt										
1.1.1. Consumer Education And Outreach	3,213,541	3,365,947	1,190,736	1,191,390	579,618		7,158,374	5,224,860	12,142,269	9,782,197
1.2.1. Resolve Complaints	2,930,766	2,320,937	1,083,889	821,505			250		4,014,905	3,142,442
1.2.2. Investigation And Enforcement	2,047,477	2,126,316	757,747	752,618					2,805,224	2,878,934
1.2.3. Insurance Fraud	2,576,032	2,777,152	951,328	982,984			10,902	588	3,538,262	3,760,724
1.2.4. Workers Compensation Fraud			848,926	989,259					848,926	989,259
1.3.1. Process Rates, Forms & Licenses	7,447,134	8,604,166	2,859,717	3,466,321			250	5,000	10,307,101	12,075,487
1.3.2. Texas.Gov	5,808	6,520	594,417	373,480					600,225	380,000
1.3.3. Certify Self-Insurance			500,121	459,783					500,121	459,783
1.4.1. Three-Share Programs	41,048	47,933	1,533,982	16,966			438,764	4,140,564	2,013,794	4,205,463
1.5.1. Loss Control Programs	2,058,395	2,162,003	762,342	765,249					2,820,737	2,927,252
Total, Goal	20,320,201	21,410,974	11,083,205	9,819,555	579,618		7,608,540	9,371,012	39,591,564	40,601,541
Goal: 2. Promote Financial Strength of Ins. Industry										
2.1.1. Insurers Financial Condition	5,566,754	5,448,804	2,063,397	1,928,625			5,984	2,606	7,636,135	7,380,035
Total, Goal	5,566,754	5,448,804	2,063,397	1,928,625			5,984	2,606	7,636,135	7,380,035
Goal: 3. Reduce Loss of Life & Property Due to Fire										
3.1.1. Fire Marshal	3,776,842	3,434,192	1,399,636	1,215,546					5,176,478	4,649,738
Total, Goal	3,776,842	3,434,192	1,399,636	1,215,546					5,176,478	4,649,738
Goal: 4. Effectively Regulate the Texas Workers' Compensation System										
4.1.1. Oversight And Enforcement			7,084,747	7,624,666			15,649	54,000	7,100,396	7,678,666
4.1.2. Dispute Resolution			12,972,784	13,633,444			281,649	272,453	13,254,433	13,905,897
4.1.3. Subsequent Injury Fund Admin			18,120,188	6,777,981					18,120,188	6,777,981
4.2.1. Health And Safety Services			1,752,983	1,772,623	2,257,612	2,227,593	33,994	7,723	4,044,589	4,007,939
4.2.2. Customer Service & Records Admin			3,843,973	4,029,048			61,471	134,004	3,905,444	4,163,052
Total, Goal			43,774,675	33,837,762	2,257,612	2,227,593	392,763	468,180	46,425,050	36,533,535

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	2019	2020	2019	2020	2019	2020	2019	2020	2019	2020
Goal: 5. Indirect Administration										
5.1.1. Central Administration	4,070,076	2,514,476	5,325,956	5,947,363					9,396,032	8,461,839
5.1.2. Information Resources	5,123,104	6,408,646	8,224,089	9,044,331					13,347,193	15,452,977
5.1.3. Other Support Services	1,898,370	1,868,399	2,252,506	2,297,766			8		4,150,884	4,166,165
Total, Goal	11,091,550	10,791,521	15,802,551	17,289,460			8		26,894,109	28,080,981
Goal: 6. Regulatory Response										
6.1.1. Contingency Regulatory Response		2,200,000								2,200,000
Total, Goal		2,200,000								2,200,000
Total, Agency	40,755,347	43,285,491	74,123,464	64,090,948	2,837,230	2,227,593	8,007,295	9,841,798	125,723,336	119,445,830
Total FTEs									1,156.3	1,339.7

2.A. Summary of Budget By Strategy

DATE : 11/22/2019

TIME : 5:13:49PM

86th Regular Session, Fiscal Year 2020 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 454 Agency name: Department of Insurance

Goal/Objective/STRATEGY	EXP 2018	EXP 2019	BUD 2020
1 Promote Consumer Access to Affordable Insur Products W/in a Fair Mrkt			
1 <i>Provide Insurance Consumers with Meaningful Information</i>			
1 CONSUMER EDUCATION AND OUTREACH	\$8,103,703	\$12,142,269	\$9,782,197
2 <i>Reduce Unfair & Illegal Insurer Practices</i>			
1 RESOLVE COMPLAINTS	\$3,305,025	\$4,014,905	\$3,142,442
2 INVESTIGATION AND ENFORCEMENT	\$2,763,542	\$2,805,224	\$2,878,934
3 INSURANCE FRAUD	\$3,170,058	\$3,538,262	\$3,760,724
4 WORKERS COMPENSATION FRAUD	\$602,962	\$848,926	\$989,259
3 <i>Reduce Impediments to Competition and Improve Insurance Availability</i>			
1 PROCESS RATES, FORMS & LICENSES	\$9,970,334	\$10,307,101	\$12,075,487
2 TEXAS.GOV	\$633,108	\$600,225	\$380,000
3 CERTIFY SELF-INSURANCE	\$478,302	\$500,121	\$459,783
4 <i>Administer Innovative Insurance Initiatives</i>			
1 THREE-SHARE PROGRAMS	\$1,841,870	\$2,013,794	\$4,205,463
5 <i>Assure Loss Control Services & Windstorm Inspections</i>			
1 LOSS CONTROL PROGRAMS	\$2,722,192	\$2,820,737	\$2,927,252
TOTAL, GOAL 1	\$33,591,096	\$39,591,564	\$40,601,541
2 Promote Financial Strength of Ins. Industry			
1 <i>Regulate Insurance Industry Solvency</i>			
1 INSURERS FINANCIAL CONDITION	\$7,223,126	\$7,636,135	\$7,380,035
TOTAL, GOAL 2	\$7,223,126	\$7,636,135	\$7,380,035
3 Reduce Loss of Life & Property Due to Fire			
1 <i>Protect the Public from Loss of Life and Property due to fire</i>			
1 FIRE MARSHAL	\$4,972,128	\$5,176,478	\$4,649,738
TOTAL, GOAL 3	\$4,972,128	\$5,176,478	\$4,649,738

2.A. Summary of Budget By Strategy

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Agency code: 454 Agency name: Department of Insurance

Goal/Objective/STRATEGY	EXP 2018	EXP 2019	BUD 2020
4 Effectively Regulate the Texas Workers' Compensation System			
1 Ensure Appropriate Delivery of Workers' Compensation Benefits			
1 OVERSIGHT AND ENFORCEMENT	\$7,163,215	\$7,100,396	\$7,678,666
2 DISPUTE RESOLUTION	\$12,679,141	\$13,254,433	\$13,905,897
3 SUBSEQUENT INJURY FUND ADMIN	\$4,690,448	\$18,120,188	\$6,777,981
2 Ensure Workers' Comp System Participants are Educated and Informed			
1 HEALTH AND SAFETY SERVICES	\$3,809,394	\$4,044,589	\$4,007,939
2 CUSTOMER SERVICE & RECORDS ADMIN	\$4,443,349	\$3,905,444	\$4,163,052
TOTAL, GOAL 4	\$32,785,547	\$46,425,050	\$36,533,535
5 Indirect Administration			
1 Indirect Administration			
1 CENTRAL ADMINISTRATION	\$8,801,004	\$9,396,032	\$8,461,839
2 INFORMATION RESOURCES	\$11,394,777	\$13,347,193	\$15,452,977
3 OTHER SUPPORT SERVICES	\$3,280,994	\$4,150,884	\$4,166,165
TOTAL, GOAL 5	\$23,476,775	\$26,894,109	\$28,080,981
6 Regulatory Response			
1 Regulatory Response			
1 CONTINGENCY REGULATORY RESPONSE	\$498,260	\$0	\$2,200,000
TOTAL, GOAL 6	\$498,260	\$0	\$2,200,000

2.A. Summary of Budget By Strategy

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Goal/Objective/STRATEGY	EXP 2018	EXP 2019	BUD 2020
General Revenue Funds:			
1 General Revenue Fund	\$231,186	\$276,973	\$230,926
8042 Insurance Maint Tax Fees	\$38,672,588	\$40,478,374	\$43,054,565
	\$38,903,774	\$40,755,347	\$43,285,491
General Revenue Dedicated Funds:			
36 Dept Ins Operating Acct	\$52,067,554	\$56,202,558	\$57,539,484
5101 Subsequent Injury Fund	\$4,572,955	\$17,920,906	\$6,551,464
	\$56,640,509	\$74,123,464	\$64,090,948
Federal Funds:			
555 Federal Funds	\$2,979,758	\$2,837,230	\$2,227,593
	\$2,979,758	\$2,837,230	\$2,227,593
Other Funds:			
161 TexasSure Fund	\$3,092,746	\$7,054,759	\$5,073,752
666 Appropriated Receipts	\$930,145	\$952,536	\$4,768,046
	\$4,022,891	\$8,007,295	\$9,841,798
TOTAL, METHOD OF FINANCING	\$102,546,932	\$125,723,336	\$119,445,830
FULL TIME EQUIVALENT POSITIONS	1,179.3	1,156.3	1,339.7

2.B. Summary of Budget By Method of Finance
 86th Regular Session, Fiscal Year 2020 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/22/2019**
 TIME: **5:14:12PM**

Agency code: **454** Agency name: **Department of Insurance**

METHOD OF FINANCING	Exp 2018	Exp 2019	Bud 2020
<u>GENERAL REVENUE</u>			
<u>1</u> General Revenue Fund			
<i>REGULAR APPROPRIATIONS</i>			
Regular Appropriations from MOF Table (2018-19 GAA)	\$230,926	\$230,926	\$0
Regular Appropriations from MOF Table (2020-21 GAA)	\$0	\$0	\$230,926
<i>RIDER APPROPRIATION</i>			
Art IX, Sec 9.05, Texas.gov Project: Occupational Licenses (2020-21 GAA)	\$260	\$0	\$0
Comments: Appropriation of collections in excess of GAA.			
Art IX, Sec 13.11, Earned Federal Funds (2018-19 GAA)	\$0	\$46,759	\$0
Comments: Collections in excess of APS 23 threshold. Notification sent to LBB and CPA on May 24, 2019.			
<i>LAPSED APPROPRIATIONS</i>			
Article VIII, Spec Provisions, Sec. 4, Texas.gov Appropriation (2018-19 GAA)	\$0	\$(712)	\$0
Comments: Undercollections relative to amounts in GAA.			
TOTAL, General Revenue Fund	\$231,186	\$276,973	\$230,926
<u>8042</u> General Revenue - Insurance Companies Maintenance Tax and Insurance Department Fees Account No. 8042			
<i>REGULAR APPROPRIATIONS</i>			
Regular Appropriations from MOF Table (2018-19 GAA)	\$42,221,557	\$42,298,112	\$0
Regular Appropriations from MOF Table (2020-21 GAA)	\$0	\$0	\$42,958,467
<i>RIDER APPROPRIATION</i>			

2.B. Summary of Budget By Method of Finance
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DATE: **11/22/2019**
 TIME: **5:14:12PM**

Agency code: **454** Agency name: **Department of Insurance**

METHOD OF FINANCING	Exp 2018	Exp 2019	Bud 2020
Art IX, Sec 14.03(i), Capital Budget UB (2018-19 GAA)	\$976,953	\$976,953	\$0
Art VIII, p. VIII-21 Rider 11, Appropriation of Unexpended Balances (2018-2019 GAA)	\$(845,330)	\$845,330	\$0
Art IX, Sec. 18.59- Contingency for SB 1584	\$0	\$0	\$96,098
<i>LAPSED APPROPRIATIONS</i>			
Regular Appropriations from MOF Table (2018-19 GAA)	\$(24,946)	\$(1,442,021)	\$0
Art VIII, pp. VIII-22-23 Rider 19, Regulatory Response	\$(1,701,740)	\$(2,200,000)	\$0
Comments: Rider activated in 2018 for Harvey response. Rider not activated in 2019.			
TOTAL, General Revenue - Insurance Companies Maintenance Tax and Insurance Department Fees Account No. 8042	\$38,672,588	\$40,478,374	\$43,054,565
TOTAL, ALL GENERAL REVENUE	\$38,903,774	\$40,755,347	\$43,285,491

GENERAL REVENUE FUND - DEDICATED

36 GR Dedicated - Texas Department of Insurance Operating Fund Account No. 036

REGULAR APPROPRIATIONS

Regular Appropriations from MOF Table (2018-19 GAA)	\$56,654,087	\$55,243,392	\$0
Regular Appropriations from MOF Table (2020-21 GAA)	\$0	\$0	\$57,539,484

RIDER APPROPRIATION

Art IX, Sec 9.05, Texas.gov Project: Occupational Licenses (2018-19 GAA)	\$252,848	\$220,937	\$0
Comments: Agents license collections in excess of appropriation in GAA.			
Art IX, Sec 14.03(i), Capital Budget UB (2018-19 GAA)	\$(1,455,492)	\$1,455,492	\$0
Art VIII, p. VIII-21 Rider 11, Appropriation of Unexpended Balances (2018-2019 GAA)	\$(2,391,804)	\$2,391,804	\$0

2.B. Summary of Budget By Method of Finance
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METHOD OF FINANCING	Exp 2018	Exp 2019	Bud 2020
Art VIII, p. VIII-22 Rider 16, Medical Fee Disputes - (2018-2019 GAA)	\$(962,960)	\$962,960	\$0
Comments: Unexpended balance within biennium			
Art IX, Sec. 18.48- Contingency for SB 2087 - (2018-2019 GAA)	\$841,602	\$933,351	\$0
Art IX, Sec. 18.64- Contingency for SB 1940 - (2020-21 GAA)	\$0	\$0	\$841,602
<i>LAPSED APPROPRIATIONS</i>			
Regular Appropriations from MOF Table (2018-19 GAA)	\$(29,125)	\$(4,072,027)	\$0
Art IX, Sec. 18.48- Contingency for SB 2087 (2018-19 GAA)	\$(841,602)	\$(933,351)	\$0
Comments: No finding of fact filed for 2018- dependent on changes in federal legislation.			
Art IX, Sec. 18.64- Contingency for SB 1940 - (2020-21 GAA)	\$0	\$0	\$(841,602)
Comments: No finding of fact filed at this time.			
TOTAL, GR Dedicated - Texas Department of Insurance Operating Fund Account No. 036	\$52,067,554	\$56,202,558	\$57,539,484
<hr/>			
5101 GR Dedicated - Subsequent Injury Account No. 5101			
<i>REGULAR APPROPRIATIONS</i>			
Regular Appropriations from MOF Table (2018-19 GAA)	\$7,716,556	\$7,716,556	\$0
Regular Appropriations from MOF Table (2020-21 GAA)	\$0	\$0	\$6,551,464
<i>RIDER APPROPRIATION</i>			
AArt VIII, p. VIII-21 Rider 11, Appropriation of Unexpended Balances (2018-2019 GAA)	\$(385,828)	\$385,828	\$0
Art VIII, p. VIII-21 Rider 12, Subsequent Injury Fund (2018-2019 GAA)	\$0	\$10,539,101	\$0
Comments: Finding of fact from Comptroller dated June 20, 2019.			
<i>LAPSED APPROPRIATIONS</i>			

2.B. Summary of Budget By Method of Finance
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Agency code: **454** Agency name: **Department of Insurance**

METHOD OF FINANCING	Exp 2018	Exp 2019	Bud 2020
Regular Appropriations from MOF Table (2018-19 GAA)	\$ (2,757,773)	\$ 0	\$ 0
Art VIII, p. VIII-21 Rider 12, Subsequent Injury Fund (2018-2019 GAA)	\$ 0	\$ (720,579)	\$ 0
Comments: Ultimate payments from rider appropriation under forecasted amount.			
TOTAL, GR Dedicated - Subsequent Injury Account No. 5101	\$4,572,955	\$17,920,906	\$6,551,464
TOTAL, ALL GENERAL REVENUE FUND - DEDICATED	\$56,640,509	\$74,123,464	\$64,090,948

FEDERAL FUNDS

555 Federal Funds

REGULAR APPROPRIATIONS

Regular Appropriations from MOF Table (2018-19 GAA)	\$2,190,259	\$2,190,259	\$ 0
Regular Appropriations from MOF Table (2020-21 GAA)	\$ 0	\$ 0	\$2,227,593

RIDER APPROPRIATION

Art IX, Sec 13.01, Federal Funds/Block Grants (2018-19 GAA)	\$836,816	\$579,618	\$ 0
Comments: ACA Cycle III grant award. No cost extension of prior award.			
Art IX, Sec 13.01, Federal Funds/Block Grants (2018-19 GAA)	\$ 0	\$67,353	\$ 0
Comments: Workplace safety grant spending in state fiscal year above estimated appropriation amount.			

LAPSED APPROPRIATIONS

Regular Appropriations from MOF Table (2018-19 GAA)	\$ (47,317)	\$ 0	\$ 0
Comments: Underspending of workplace safety grants in state fiscal year.			

2.B. Summary of Budget By Method of Finance
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DATE: **11/22/2019**
 TIME: **5:14:12PM**

Agency code: **454** Agency name: **Department of Insurance**

METHOD OF FINANCING		Exp 2018	Exp 2019	Bud 2020
TOTAL,	Federal Funds	\$2,979,758	\$2,837,230	\$2,227,593
TOTAL, ALL	FEDERAL FUNDS	\$2,979,758	\$2,837,230	\$2,227,593

OTHER FUNDS

161	TexasSure Fund No. 161			
	<i>REGULAR APPROPRIATIONS</i>			
	Regular Appropriations from MOF Table (2018-19 GAA)	\$5,073,753	\$5,073,752	\$0
	Regular Appropriations from MOF Table (2020-21 GAA)	\$0	\$0	\$5,073,752
	<i>RIDER APPROPRIATION</i>			
	Art IX, Sec 14.03(i), Capital Budget UB (2018-19 GAA)	\$(1,981,007)	\$1,981,007	\$0
TOTAL,	TexasSure Fund No. 161	\$3,092,746	\$7,054,759	\$5,073,752
329	Healthy Texas Small Employer Premium Stabilization Fund			
	<i>RIDER APPROPRIATION</i>			
	Art IX, Sec. 18.48- Contingency for SB 2087 - (2018-19 GAA)	\$12,000,000	\$28,312,122	\$0
	Art IX, Sec. 18.64- Contingency for SB 1940 - (2020-21 GAA)	\$0	\$0	\$12,000,000
	<i>LAPSED APPROPRIATIONS</i>			
	Art IX, Sec. 18.48- Contingency for SB 2087 - (2018-19 GAA)	\$(12,000,000)	\$(28,312,122)	\$0
	Comments: No finding of fact filed for 2018- dependent on changes in federal legislation.			
	Lapse- Art IX, Sec. 18.64- Contingency for SB 1940 - (2020-21 GAA)	\$0	\$0	\$(12,000,000)
	Comments: No finding of fact filed at this time.			

2.B. Summary of Budget By Method of Finance
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METHOD OF FINANCING		Exp 2018	Exp 2019	Bud 2020
TOTAL,	Healthy Texas Small Employer Premium Stabilization Fund	\$0	\$0	\$0
666	Appropriated Receipts			
	<i>REGULAR APPROPRIATIONS</i>			
	Regular Appropriations from MOF Table (2018-19 GAA)	\$343,030	\$343,030	\$0
	Regular Appropriations from MOF Table (2020-21 GAA)	\$0	\$0	\$362,130
	<i>RIDER APPROPRIATION</i>			
	Art IX, Sec 8.03, Surplus Property (2018-19 GAA)	\$3,902	\$8	\$0
	Art VIII, p. VIII-21 Rider 13- Three Share Premium Assistance Programs - (2018-19 GAA)	\$1,884,724	\$0	\$0
	Comments: Rollover of unexpended funds from prior fiscal year.			
	Art VIII, p. VIII-21 Rider 13- Three Share Premium Assistance Programs - (2018-19 GAA)	\$1,500,000	\$1,500,000	\$0
	Comments: Appropriation of fines and penalties collected in fiscal year.			
	Art VIII, p. VIII-21 Rider 13- Three Share Premium Assistance Programs - (2018-19 GAA)	\$(3,079,328)	\$3,079,328	\$0
	Comments: Rollover of unexpended funds from 2018 to 2019.			
	Art IX, Sec. 8.02- Third Party Reimbursements (2018-19 GAA)	\$77,402	\$54,513	\$0
	Art IX, Sec 8.07, Seminars and Conferences (2018-19 GAA)	\$216,846	\$0	\$0
	Comments: Rollover of unexpended funds from prior fiscal year.			
	Art IX, Sec. 8.07- Seminars and Conferences (2018-19 GAA)	\$341,370	\$218,401	\$0
	Comments: Appropriation of collections in fiscal year.			
	Art IX, Sec. 8.07- Seminars and Conferences (2018-19 GAA)	\$(269,895)	\$269,895	\$0

2.B. Summary of Budget By Method of Finance
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METHOD OF FINANCING	Exp 2018	Exp 2019	Bud 2020
Comments: Rollover of unexpended funds from 2018 to 2019.			
Art VIII, p. VIII-20 Rider 13- Three Share Premium Assistance Programs (2020-21 GAA)	\$0	\$(4,140,564)	\$4,140,564
Comments: Rollover of unexpended funds from 2019 to 2020.			
Art IX, Sec 8.02, Reimbursements and Payments (2020-21 GAA)	\$0	\$0	\$8,496
Comments: Reimbursements received as of November 2019			
Art IX, Sec 8.03, Surplus Property (2020-21 GAA)	\$0	\$0	\$4
Art IX, Sec. 8.07- Seminars and Conferences (2020-21 GAA)	\$0	\$(217,578)	\$217,578
Comments: Rollover of unexpended funds from 2019 to 2020.			
Art IX, Sec. 8.07- Seminars and Conferences (2020-21 GAA)	\$0	\$0	\$39,274
Comments: Collections received as of November 2019			
<i>LAPSED APPROPRIATIONS</i>			
Regular Appropriations from MOF Table (2018-19 GAA)	\$(87,906)	\$(154,497)	\$0
Comments: Undercollection of appropriated receipts in GAA.			
TOTAL, Appropriated Receipts	\$930,145	\$952,536	\$4,768,046
TOTAL, ALL OTHER FUNDS	\$4,022,891	\$8,007,295	\$9,841,798
GRAND TOTAL	\$102,546,932	\$125,723,336	\$119,445,830

2.B. Summary of Budget By Method of Finance
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METHOD OF FINANCING	Exp 2018	Exp 2019	Bud 2020
FULL-TIME-EQUIVALENT POSITIONS			
REGULAR APPROPRIATIONS			
Regular Appropriations from MOF Table (2018-19 GAA)	1,363.7	1,363.7	0.0
Regular Appropriations from MOF Table (2020-21 GAA)	0.0	0.0	1,337.7
RIDER APPROPRIATION			
Art IX, Sec. 18.64 Contingency for SB 1940 (2020 - 2021 GAA)	0.0	0.0	10.5
Art IX, Sec 18.48, Contingency for SB 2087 (2018 - 2019 GAA)	10.5	12.0	0.0
Art. IX, Sec 18.59, contingency for SB 1584 (2020 - 2021 GAA)	0.0	0.0	2.0
LAPSED APPROPRIATIONS			
Art VIII, p. VIII-22 Rider 19, Contingency Appropriation: State Regulatory Response Rider (2018 - 2019 GAA) Comments: Contingency appropriation Not Activated	(40.0)	(40.0)	0.0
Art IX, Sec 18.48, Contingency for SB 2087 (2018 - 2019 GAA) Comments: No finding of fact filed for 2018 or 2019 - dependent on changes in federal legislation.	(10.5)	(12.0)	0.0
Art IX, Sec. 18.64 Contingency for SB 1940 (2020 - 2021 GAA) Comments: No finding of fact filed at this time.	0.0	0.0	(10.5)
UNAUTHORIZED NUMBER OVER (BELOW) CAP			
Regular Appropriations from MOF Table (2018 - 2019 GAA)	(144.4)	(167.4)	0.0
TOTAL, ADJUSTED FTES	1,179.3	1,156.3	1,339.7

2.B. Summary of Budget By Method of Finance
86th Regular Session, Fiscal Year 2020 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/22/2019**
TIME: **5:14:12PM**

Agency code: **454**

Agency name: **Department of Insurance**

METHOD OF FINANCING

Exp 2018

Exp 2019

Bud 2020

NUMBER OF 100% FEDERALLY FUNDED FTEs

0.0

0.0

0.0

2.C. Summary of Budget By Object of Expense
 86th Regular Session, Fiscal Year 2020 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/22/2019**
 TIME: **5:14:45PM**

Agency code: **454**

Agency name: **Department of Insurance**

OBJECT OF EXPENSE	EXP 2018	EXP 2019	BUD 2020
1001 SALARIES AND WAGES	\$68,563,383	\$68,795,400	\$77,339,267
1002 OTHER PERSONNEL COSTS	\$3,068,580	\$3,082,301	\$1,930,539
2001 PROFESSIONAL FEES AND SERVICES	\$9,882,526	\$10,653,451	\$11,973,572
2002 FUELS AND LUBRICANTS	\$132,566	\$130,729	\$131,500
2003 CONSUMABLE SUPPLIES	\$309,703	\$383,044	\$421,283
2004 UTILITIES	\$613,620	\$598,613	\$693,553
2005 TRAVEL	\$1,225,084	\$1,177,062	\$1,386,858
2006 RENT - BUILDING	\$3,599,513	\$3,651,169	\$3,836,724
2007 RENT - MACHINE AND OTHER	\$576,181	\$541,430	\$560,118
2009 OTHER OPERATING EXPENSE	\$11,711,440	\$29,039,456	\$14,946,569
4000 GRANTS	\$1,785,847	\$1,957,575	\$4,140,564
5000 CAPITAL EXPENDITURES	\$1,078,489	\$5,713,106	\$2,085,283
Agency Total	\$102,546,932	\$125,723,336	\$119,445,830

2.D. Summary of Budget By Objective Outcomes
 86th Regular Session, Fiscal Year 2020 Operating Budget
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 11/22/2019
 Time: 4:28:32PM

Agency code: 454 Agency name: Department of Insurance

Goal/ Objective / OUTCOME	Exp 2018	Exp 2019	Bud2020
1 Promote Consumer Access to Affordable Insur Products W/in a Fair Mrkt			
1 <i>Provide Insurance Consumers with Meaningful Information</i>			
KEY 1 % of Calls Answered by the TDI Consumer Help Line Call Center	92.00 %	94.00 %	90.00 %
2 <i>Reduce Unfair & Illegal Insurer Practices</i>			
1 Percent of Enforcement Cases Concluded with action within 365 Days	83.00 %	68.00 %	80.00 %
2 Average Number of Dollars Returned to Consumers, Per Complaint	2,215.00	1,641.00	1,500.00
3 Percent of Insurance Related Fraud Reports Investigated and Resolved	2.00 %	2.00 %	2.00 %
4 % of Workers' Comp Insurance Fraud Reports Investigated and Resolved	96.00 %	90.00 %	95.00 %
3 <i>Reduce Impediments to Competition and Improve Insurance Availability</i>			
1 Percent of Company, TPA and PF License Apps Completed within 60 Days	98.00 %	100.00 %	96.00 %
KEY 2 Percent of Continuing Education Filings Completed within 25 Days	90.00 %	99.00 %	93.00 %
KEY 3 Percent of Agent and Adjuster Applications Completed within 25 Days	86.00 %	96.00 %	93.00 %
KEY 4 Percent of Statutory Rate and Form Filings Completed within 90 Days	90.00 %	88.00 %	87.00 %
KEY 5 Percent Personal Auto/Res Property Form Filings Completed in 60 Days	62.00 %	80.00 %	87.00 %
KEY 6 % of Autos in Underserved Mrkt w/Personal or Comm. Liability Insurance	83.00 %	84.00 %	80.00 %
7 Percent of Licensees Who Renew Online	94.00 %	98.00 %	93.00 %
2 Promote Financial Strength of Ins. Industry			
1 <i>Regulate Insurance Industry Solvency</i>			
1 Percent of Identified Companies Reviewed	97.00 %	100.00 %	95.00 %
3 Reduce Loss of Life & Property Due to Fire			
1 <i>Protect the Public from Loss of Life and Property due to fire</i>			
1 Percent of Initial Reports Completed Annually	99.00 %	87.00 %	85.00 %
KEY 2 % of Fire Sfty Registrations, Licenses, Permits Issued w/in 20 Days	94.00 %	65.00 %	99.00 %
4 Effectively Regulate the Texas Workers' Compensation System			
1 <i>Ensure Appropriate Delivery of Workers' Compensation Benefits</i>			
1 % of WC Enforcement Cases Concluded within 365 Days	94.00 %	75.00 %	70.00 %
KEY 2 Percent of Medical Bills Processed Timely	99.00 %	99.00 %	98.00 %
KEY 3 % of Med Fee Disputes Resolved or Upheld Upon Appeal	100.00 %	100.00 %	95.00 %
2 <i>Ensure Workers' Comp System Participants are Educated and Informed</i>			
KEY 1 Percent of TIBs Recipients Returning to Work Within 90 Days of Injury	59.00 %	59.00 %	54.00 %

3.A. Strategy Level Detail

DATE: 11/22/2019

TIME: 5:15:43PM

86th Regular Session, Fiscal Year 2020 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Promote Consumer Access to Affordable Insur Products W/in a Fair Mrkt

OBJECTIVE: 1 Provide Insurance Consumers with Meaningful Information

STRATEGY: 1 Educate Consumers and Industry by Providing Outreach and Information

Service Categories:

Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2018	EXP 2019	BUD 2020
Output Measures:				
1	Number of Consumer Information Presentations Made	513.00	498.00	500.00
Explanatory/Input Measures:				
KEY 1	Number of Inquiries Received	412,426.00	363,686.00	550,000.00
Objects of Expense:				
1001	SALARIES AND WAGES	\$3,425,841	\$3,674,203	\$4,135,576
1002	OTHER PERSONNEL COSTS	\$141,636	\$152,287	\$98,688
2001	PROFESSIONAL FEES AND SERVICES	\$3,213,512	\$2,649,803	\$2,894,489
2003	CONSUMABLE SUPPLIES	\$10,330	\$17,567	\$1,690
2004	UTILITIES	\$11,663	\$5,403	\$8,047
2005	TRAVEL	\$46,471	\$59,452	\$20,862
2006	RENT - BUILDING	\$6,880	\$6,007	\$2,075
2007	RENT - MACHINE AND OTHER	\$25,286	\$16,993	\$0
2009	OTHER OPERATING EXPENSE	\$579,925	\$637,962	\$540,365
5000	CAPITAL EXPENDITURES	\$642,159	\$4,922,592	\$2,080,405
TOTAL, OBJECT OF EXPENSE		\$8,103,703	\$12,142,269	\$9,782,197
Method of Financing:				
8042	Insurance Maint Tax Fees	\$2,653,249	\$3,213,541	\$3,365,947
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$2,653,249	\$3,213,541	\$3,365,947
Method of Financing:				
36	Dept Ins Operating Acct	\$1,377,214	\$1,190,736	\$1,191,390
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$1,377,214	\$1,190,736	\$1,191,390
Method of Financing:				

3.A. Strategy Level Detail

DATE: 11/22/2019

TIME: 5:15:43PM

86th Regular Session, Fiscal Year 2020 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Promote Consumer Access to Affordable Insur Products W/in a Fair Mrkt

OBJECTIVE: 1 Provide Insurance Consumers with Meaningful Information

STRATEGY: 1 Educate Consumers and Industry by Providing Outreach and Information

Service Categories:

Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2018	EXP 2019	BUD 2020
555	Federal Funds			
93.511.000	ACA-Grnts St Hlth Ins Premium Revw	\$836,816	\$579,618	\$0
CFDA Subtotal, Fund	555	\$836,816	\$579,618	\$0
SUBTOTAL, MOF (FEDERAL FUNDS)		\$836,816	\$579,618	\$0
Method of Financing:				
161	TexasSure Fund	\$3,092,746	\$7,054,759	\$5,073,752
666	Appropriated Receipts	\$143,678	\$103,615	\$151,108
SUBTOTAL, MOF (OTHER FUNDS)		\$3,236,424	\$7,158,374	\$5,224,860
TOTAL, METHOD OF FINANCE :		\$8,103,703	\$12,142,269	\$9,782,197
FULL TIME EQUIVALENT POSITIONS:		52.8	60.2	70.9

3.A. Strategy Level Detail

DATE: 11/22/2019

TIME: 5:15:43PM

86th Regular Session, Fiscal Year 2020 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Promote Consumer Access to Affordable Insur Products W/in a Fair Mrkt

OBJECTIVE: 2 Reduce Unfair & Illegal Insurer Practices

Service Categories:

STRATEGY: 1 Respond Promptly and Act on Complaints

Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2018	EXP 2019	BUD 2020
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Output Measures:

KEY 1	Number of Complaints Resolved	19,389.00	32,249.00	20,000.00
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Efficiency Measures:

KEY 1	Average Response Time (in Days) to Complaints	151.00	212.00	130.00
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Objects of Expense:

1001	SALARIES AND WAGES	\$2,888,876	\$3,428,193	\$3,004,358
1002	OTHER PERSONNEL COSTS	\$129,035	\$152,845	\$71,709
2001	PROFESSIONAL FEES AND SERVICES	\$7,459	\$149,576	\$1,139
2003	CONSUMABLE SUPPLIES	\$7,893	\$10,446	\$750
2004	UTILITIES	\$14,562	\$180	\$2,203
2005	TRAVEL	\$4,025	\$6,482	\$3,800
2006	RENT - BUILDING	\$88	\$113	\$750
2007	RENT - MACHINE AND OTHER	\$15,478	\$13,665	\$0
2009	OTHER OPERATING EXPENSE	\$219,541	\$240,721	\$57,733
5000	CAPITAL EXPENDITURES	\$18,068	\$12,684	\$0
TOTAL, OBJECT OF EXPENSE		\$3,305,025	\$4,014,905	\$3,142,442

Method of Financing:

8042	Insurance Maint Tax Fees	\$2,474,524	\$2,930,766	\$2,320,937
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$2,474,524	\$2,930,766	\$2,320,937

Method of Financing:

36	Dept Ins Operating Acct	\$830,501	\$1,083,889	\$821,505
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$830,501	\$1,083,889	\$821,505

Method of Financing:

3.A. Strategy Level Detail

DATE: 11/22/2019

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86th Regular Session, Fiscal Year 2020 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Promote Consumer Access to Affordable Insur Products W/in a Fair Mrkt

OBJECTIVE: 2 Reduce Unfair & Illegal Insurer Practices

STRATEGY: 1 Respond Promptly and Act on Complaints

Service Categories:

Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2018	EXP 2019	BUD 2020
666	Appropriated Receipts	\$0	\$250	\$0
SUBTOTAL, MOF (OTHER FUNDS)		\$0	\$250	\$0
TOTAL, METHOD OF FINANCE :		\$3,305,025	\$4,014,905	\$3,142,442
FULL TIME EQUIVALENT POSITIONS:		61.0	70.4	66.5

3.A. Strategy Level Detail

DATE: 11/22/2019

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86th Regular Session, Fiscal Year 2020 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Promote Consumer Access to Affordable Insur Products W/in a Fair Mrkt

OBJECTIVE: 2 Reduce Unfair & Illegal Insurer Practices

STRATEGY: 2 Investigate Trade Practices and Bring Enforcement Actions as Needed

Service Categories:

Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2018	EXP 2019	BUD 2020
Objects of Expense:				
1001	SALARIES AND WAGES	\$2,524,645	\$2,468,745	\$2,716,286
1002	OTHER PERSONNEL COSTS	\$67,052	\$86,453	\$52,292
2001	PROFESSIONAL FEES AND SERVICES	\$9,698	\$5,111	\$12,304
2003	CONSUMABLE SUPPLIES	\$8,464	\$9,186	\$3,007
2004	UTILITIES	\$2,372	\$2,538	\$1,838
2005	TRAVEL	\$5,548	\$5,296	\$11,389
2007	RENT - MACHINE AND OTHER	\$11,772	\$9,440	\$0
2009	OTHER OPERATING EXPENSE	\$119,984	\$207,885	\$81,818
5000	CAPITAL EXPENDITURES	\$14,007	\$10,570	\$0
TOTAL, OBJECT OF EXPENSE		\$2,763,542	\$2,805,224	\$2,878,934
Method of Financing:				
8042	Insurance Maint Tax Fees	\$2,068,994	\$2,047,477	\$2,126,316
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$2,068,994	\$2,047,477	\$2,126,316
Method of Financing:				
36	Dept Ins Operating Acct	\$694,548	\$757,747	\$752,618
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$694,548	\$757,747	\$752,618
TOTAL, METHOD OF FINANCE :		\$2,763,542	\$2,805,224	\$2,878,934
FULL TIME EQUIVALENT POSITIONS:		37.2	35.9	44.8

3.A. Strategy Level Detail

DATE: 11/22/2019

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86th Regular Session, Fiscal Year 2020 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Promote Consumer Access to Affordable Insur Products W/in a Fair Mrkt

OBJECTIVE: 2 Reduce Unfair & Illegal Insurer Practices

STRATEGY: 3 Investigate Insurance Fraud and Refer Violations for Prosecution

Service Categories:

Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2018	EXP 2019	BUD 2020
Output Measures:				
KEY 1	Investigations of Criminal Activity Related to Insurance Fraud Rslvd	283.00	302.00	270.00
Efficiency Measures:				
1	Percent of Insurance-related Fraud Cases Resolved within 365 Days	64.00 %	33.00 %	50.00 %
Explanatory/Input Measures:				
1	Number of Insurance-related Fraud Reports Received	13,935.00	14,465.00	13,000.00
Objects of Expense:				
1001	SALARIES AND WAGES	\$2,770,435	\$2,954,891	\$3,369,905
1002	OTHER PERSONNEL COSTS	\$69,816	\$77,578	\$72,092
2001	PROFESSIONAL FEES AND SERVICES	\$6,329	\$3,004	\$25,864
2003	CONSUMABLE SUPPLIES	\$18,807	\$5,711	\$10,520
2004	UTILITIES	\$22,437	\$21,771	\$26,093
2005	TRAVEL	\$87,206	\$78,367	\$82,890
2006	RENT - BUILDING	\$36,726	\$39,321	\$57,972
2007	RENT - MACHINE AND OTHER	\$8,767	\$5,664	\$836
2009	OTHER OPERATING EXPENSE	\$133,229	\$316,390	\$114,552
5000	CAPITAL EXPENDITURES	\$16,306	\$35,565	\$0
TOTAL, OBJECT OF EXPENSE		\$3,170,058	\$3,538,262	\$3,760,724
Method of Financing:				
8042	Insurance Maint Tax Fees	\$2,372,661	\$2,576,032	\$2,777,152
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$2,372,661	\$2,576,032	\$2,777,152
Method of Financing:				
36	Dept Ins Operating Acct	\$795,776	\$951,328	\$982,984

3.A. Strategy Level Detail

DATE: 11/22/2019

TIME: 5:15:43PM

86th Regular Session, Fiscal Year 2020 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Promote Consumer Access to Affordable Insur Products W/in a Fair Mrkt

OBJECTIVE: 2 Reduce Unfair & Illegal Insurer Practices

STRATEGY: 3 Investigate Insurance Fraud and Refer Violations for Prosecution

Service Categories:

Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2018	EXP 2019	BUD 2020
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$795,776	\$951,328	\$982,984
Method of Financing:				
	666 Appropriated Receipts	\$1,621	\$10,902	\$588
SUBTOTAL, MOF (OTHER FUNDS)		\$1,621	\$10,902	\$588
TOTAL, METHOD OF FINANCE :		\$3,170,058	\$3,538,262	\$3,760,724
FULL TIME EQUIVALENT POSITIONS:		40.8	37.3	44.0

3.A. Strategy Level Detail

DATE: 11/22/2019

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86th Regular Session, Fiscal Year 2020 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Promote Consumer Access to Affordable Insur Products W/in a Fair Mrkt

OBJECTIVE: 2 Reduce Unfair & Illegal Insurer Practices

STRATEGY: 4 Investigate Workers' Comp Fraud & Refer Violations for Prosecution

Service Categories:

Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2018	EXP 2019	BUD 2020
Output Measures:				
1	# of Invest of Criminal Activity Related to Workers' Comp Fraud Reslvd	173.00	146.00	55.00
2	# of Prosecutions for Workers' Comp Fraud Cases	14.00	8.00	10.00
Efficiency Measures:				
1	% of Workers' Compensation Fraud Cases Resolved within 365 Days	91.00 %	83.00 %	60.00 %
Explanatory/Input Measures:				
1	Number of Reports of WC Insurance Fraud Received	1,525.00	2,078.00	1,300.00
Objects of Expense:				
1001	SALARIES AND WAGES	\$529,434	\$668,434	\$842,109
1002	OTHER PERSONNEL COSTS	\$10,292	\$11,316	\$11,409
2001	PROFESSIONAL FEES AND SERVICES	\$2,952	\$1,781	\$4,400
2002	FUELS AND LUBRICANTS	\$0	\$0	\$0
2003	CONSUMABLE SUPPLIES	\$979	\$1,359	\$2,000
2004	UTILITIES	\$7,369	\$9,624	\$8,559
2005	TRAVEL	\$2,541	\$9,124	\$16,663
2006	RENT - BUILDING	\$32,645	\$39,826	\$61,731
2007	RENT - MACHINE AND OTHER	\$5,481	\$5,286	\$0
2009	OTHER OPERATING EXPENSE	\$11,269	\$98,869	\$42,388
5000	CAPITAL EXPENDITURES	\$0	\$3,307	\$0
TOTAL, OBJECT OF EXPENSE		\$602,962	\$848,926	\$989,259
Method of Financing:				
36	Dept Ins Operating Acct	\$602,962	\$848,926	\$989,259
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$602,962	\$848,926	\$989,259

3.A. Strategy Level Detail

DATE: 11/22/2019

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86th Regular Session, Fiscal Year 2020 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Promote Consumer Access to Affordable Insur Products W/in a Fair Mrkt

OBJECTIVE: 2 Reduce Unfair & Illegal Insurer Practices

STRATEGY: 4 Investigate Workers' Comp Fraud & Refer Violations for Prosecution

Service Categories:

Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2018	EXP 2019	BUD 2020
TOTAL, METHOD OF FINANCE :		\$602,962	\$848,926	\$989,259
FULL TIME EQUIVALENT POSITIONS:		9.3	11.1	13.0

3.A. Strategy Level Detail

DATE: 11/22/2019

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86th Regular Session, Fiscal Year 2020 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Promote Consumer Access to Affordable Insur Products W/in a Fair Mrkt

OBJECTIVE: 3 Reduce Impediments to Competition and Improve Insurance Availability

STRATEGY: 1 Process Rates, Forms & Licenses Promptly

Service Categories:

Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2018	EXP 2019	BUD 2020
Output Measures:				
1	Number of Life and Health Filings Completed	17,182.00	16,561.00	18,000.00
2	Number of Property and Casualty Filings Completed	31,216.00	26,744.00	31,000.00
Objects of Expense:				
1001	SALARIES AND WAGES	\$8,978,886	\$8,499,897	\$11,276,194
1002	OTHER PERSONNEL COSTS	\$322,165	\$375,912	\$280,194
2001	PROFESSIONAL FEES AND SERVICES	\$107,891	\$414,521	\$54,426
2003	CONSUMABLE SUPPLIES	\$27,371	\$36,000	\$1,070
2004	UTILITIES	\$11,929	\$2,853	\$3,241
2005	TRAVEL	\$28,175	\$46,512	\$35,085
2006	RENT - BUILDING	\$263	\$339	\$0
2007	RENT - MACHINE AND OTHER	\$53,130	\$46,659	\$0
2009	OTHER OPERATING EXPENSE	\$378,435	\$840,013	\$425,277
5000	CAPITAL EXPENDITURES	\$62,089	\$44,395	\$0
TOTAL, OBJECT OF EXPENSE		\$9,970,334	\$10,307,101	\$12,075,487
Method of Financing:				
8042	Insurance Maint Tax Fees	\$7,463,109	\$7,447,134	\$8,604,166
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$7,463,109	\$7,447,134	\$8,604,166
Method of Financing:				
36	Dept Ins Operating Acct	\$2,507,225	\$2,859,717	\$3,466,321
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$2,507,225	\$2,859,717	\$3,466,321
Method of Financing:				
666	Appropriated Receipts	\$0	\$250	\$5,000

3.A. Strategy Level Detail

DATE: 11/22/2019

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86th Regular Session, Fiscal Year 2020 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Promote Consumer Access to Affordable Insur Products W/in a Fair Mrkt

OBJECTIVE: 3 Reduce Impediments to Competition and Improve Insurance Availability

STRATEGY: 1 Process Rates, Forms & Licenses Promptly

Service Categories:

Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2018	EXP 2019	BUD 2020
SUBTOTAL, MOF (OTHER FUNDS)		\$0	\$250	\$5,000
TOTAL, METHOD OF FINANCE :		\$9,970,334	\$10,307,101	\$12,075,487
FULL TIME EQUIVALENT POSITIONS:		154.7	137.9	185.3

3.A. Strategy Level Detail

DATE: 11/22/2019

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86th Regular Session, Fiscal Year 2020 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Promote Consumer Access to Affordable Insur Products W/in a Fair Mrkt

OBJECTIVE: 3 Reduce Impediments to Competition and Improve Insurance Availability

STRATEGY: 2 Texas.gov. Estimated and Nontransferable

Service Categories:

Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2018	EXP 2019	BUD 2020
Objects of Expense:				
2009	OTHER OPERATING EXPENSE	\$633,108	\$600,225	\$380,000
TOTAL, OBJECT OF EXPENSE		\$633,108	\$600,225	\$380,000
Method of Financing:				
1	General Revenue Fund	\$6,780	\$5,808	\$6,520
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$6,780	\$5,808	\$6,520
Method of Financing:				
36	Dept Ins Operating Acct	\$626,328	\$594,417	\$373,480
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$626,328	\$594,417	\$373,480
TOTAL, METHOD OF FINANCE :		\$633,108	\$600,225	\$380,000
FULL TIME EQUIVALENT POSITIONS:				

3.A. Strategy Level Detail

DATE: 11/22/2019

TIME: 5:15:43PM

86th Regular Session, Fiscal Year 2020 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Promote Consumer Access to Affordable Insur Products W/in a Fair Mrkt

OBJECTIVE: 3 Reduce Impediments to Competition and Improve Insurance Availability

STRATEGY: 3 Regulate Private Employers that Qualify to Self-Ins w/in the WC System

Service Categories:

Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2018	EXP 2019	BUD 2020
Explanatory/Input Measures:				
	1 % Market Share of Self-insurance to Total WC Insurance Market	10.00 %	9.00 %	10.00 %
Objects of Expense:				
1001	SALARIES AND WAGES	\$388,848	\$373,161	\$366,112
1002	OTHER PERSONNEL COSTS	\$15,396	\$15,933	\$15,774
2001	PROFESSIONAL FEES AND SERVICES	\$668	\$1,065	\$0
2003	CONSUMABLE SUPPLIES	\$1,253	\$1,853	\$830
2004	UTILITIES	\$3,863	\$4,896	\$3,266
2005	TRAVEL	\$5,498	\$5,828	\$7,800
2006	RENT - BUILDING	\$38,218	\$59,739	\$41,154
2007	RENT - MACHINE AND OTHER	\$6,348	\$7,929	\$0
2009	OTHER OPERATING EXPENSE	\$18,210	\$26,410	\$24,847
5000	CAPITAL EXPENDITURES	\$0	\$3,307	\$0
TOTAL, OBJECT OF EXPENSE		\$478,302	\$500,121	\$459,783
Method of Financing:				
	36 Dept Ins Operating Acct	\$478,302	\$500,121	\$459,783
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$478,302	\$500,121	\$459,783
TOTAL, METHOD OF FINANCE :		\$478,302	\$500,121	\$459,783
FULL TIME EQUIVALENT POSITIONS:		7.2	6.1	6.0

3.A. Strategy Level Detail

DATE: 11/22/2019

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86th Regular Session, Fiscal Year 2020 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Promote Consumer Access to Affordable Insur Products W/in a Fair Mrkt

OBJECTIVE: 4 Administer Innovative Insurance Initiatives

STRATEGY: 1 Administer Three-Share Grant Program

Service Categories:

Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2018	EXP 2019	BUD 2020
Objects of Expense:				
1001	SALARIES AND WAGES	\$51,999	\$52,001	\$60,026
1002	OTHER PERSONNEL COSTS	\$3,400	\$3,620	\$3,687
2009	OTHER OPERATING EXPENSE	\$624	\$598	\$1,186
4000	GRANTS	\$1,785,847	\$1,957,575	\$4,140,564
TOTAL, OBJECT OF EXPENSE		\$1,841,870	\$2,013,794	\$4,205,463
Method of Financing:				
8042	Insurance Maint Tax Fees	\$1,149,835	\$41,048	\$47,933
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$1,149,835	\$41,048	\$47,933
Method of Financing:				
36	Dept Ins Operating Acct	\$386,639	\$1,533,982	\$16,966
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$386,639	\$1,533,982	\$16,966
Method of Financing:				
666	Appropriated Receipts	\$305,396	\$438,764	\$4,140,564
SUBTOTAL, MOF (OTHER FUNDS)		\$305,396	\$438,764	\$4,140,564
TOTAL, METHOD OF FINANCE :		\$1,841,870	\$2,013,794	\$4,205,463
FULL TIME EQUIVALENT POSITIONS:		1.0	1.0	1.0

3.A. Strategy Level Detail

DATE: 11/22/2019

TIME: 5:15:43PM

86th Regular Session, Fiscal Year 2020 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Promote Consumer Access to Affordable Insur Products W/in a Fair Mrkt

OBJECTIVE: 5 Assure Loss Control Services & Windstorm Inspections

STRATEGY: 1 Inspect Loss Control Programs & Assure Code & Schedule Compliance

Service Categories:

Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2018	EXP 2019	BUD 2020
Output Measures:				
KEY 1	Number of Windstorm Inspections Completed	6,828.00	4,050.00	6,000.00
Objects of Expense:				
1001	SALARIES AND WAGES	\$2,265,174	\$2,305,888	\$2,569,874
1002	OTHER PERSONNEL COSTS	\$70,090	\$98,627	\$68,421
2001	PROFESSIONAL FEES AND SERVICES	\$6,822	\$608	\$450
2003	CONSUMABLE SUPPLIES	\$8,320	\$7,079	\$3,271
2004	UTILITIES	\$21,647	\$20,576	\$23,120
2005	TRAVEL	\$115,855	\$102,561	\$113,815
2006	RENT - BUILDING	\$93,290	\$95,233	\$97,665
2007	RENT - MACHINE AND OTHER	\$8,793	\$7,552	\$0
2009	OTHER OPERATING EXPENSE	\$73,257	\$172,043	\$50,636
5000	CAPITAL EXPENDITURES	\$58,944	\$10,570	\$0
TOTAL, OBJECT OF EXPENSE		\$2,722,192	\$2,820,737	\$2,927,252
Method of Financing:				
8042	Insurance Maint Tax Fees	\$2,037,553	\$2,058,395	\$2,162,003
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$2,037,553	\$2,058,395	\$2,162,003
Method of Financing:				
36	Dept Ins Operating Acct	\$684,639	\$762,342	\$765,249
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$684,639	\$762,342	\$765,249
TOTAL, METHOD OF FINANCE :		\$2,722,192	\$2,820,737	\$2,927,252
FULL TIME EQUIVALENT POSITIONS:		41.4	41.6	50.0

3.A. Strategy Level Detail

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86th Regular Session, Fiscal Year 2020 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 2 Promote Financial Strength of Ins. Industry

OBJECTIVE: 1 Regulate Insurance Industry Solvency

STRATEGY: 1 Analyze the Financial Condition of Insurers and Take Solvency Action

Service Categories:

Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2018	EXP 2019	BUD 2020
Explanatory/Input Measures:				
1	Number of Insurance Company Insolvencies	1.00	1.00	2.00
2	Number of Entities Receiving TDI Solvency-related Intervention	4.00	1.00	4.00
Objects of Expense:				
1001	SALARIES AND WAGES	\$6,164,970	\$6,003,151	\$6,598,031
1002	OTHER PERSONNEL COSTS	\$236,852	\$299,972	\$137,274
2001	PROFESSIONAL FEES AND SERVICES	\$68,292	\$20,808	\$55,441
2003	CONSUMABLE SUPPLIES	\$23,603	\$29,933	\$1,802
2004	UTILITIES	\$16,532	\$12,472	\$11,632
2005	TRAVEL	\$16,902	\$45,664	\$69,598
2006	RENT - BUILDING	\$84,910	\$91,127	\$92,209
2007	RENT - MACHINE AND OTHER	\$51,687	\$44,939	\$0
2009	OTHER OPERATING EXPENSE	\$521,559	\$1,030,989	\$414,048
5000	CAPITAL EXPENDITURES	\$37,819	\$57,080	\$0
TOTAL, OBJECT OF EXPENSE		\$7,223,126	\$7,636,135	\$7,380,035
Method of Financing:				
8042	Insurance Maint Tax Fees	\$5,397,273	\$5,566,754	\$5,448,804
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$5,397,273	\$5,566,754	\$5,448,804
Method of Financing:				
36	Dept Ins Operating Acct	\$1,812,946	\$2,063,397	\$1,928,625
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$1,812,946	\$2,063,397	\$1,928,625
Method of Financing:				
666	Appropriated Receipts	\$12,907	\$5,984	\$2,606

3.A. Strategy Level Detail

DATE: 11/22/2019

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86th Regular Session, Fiscal Year 2020 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 2 Promote Financial Strength of Ins. Industry

OBJECTIVE: 1 Regulate Insurance Industry Solvency

STRATEGY: 1 Analyze the Financial Condition of Insurers and Take Solvency Action

Service Categories:

Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2018	EXP 2019	BUD 2020
SUBTOTAL, MOF (OTHER FUNDS)		\$12,907	\$5,984	\$2,606
TOTAL, METHOD OF FINANCE :		\$7,223,126	\$7,636,135	\$7,380,035
FULL TIME EQUIVALENT POSITIONS:		91.8	90.2	98.3

3.A. Strategy Level Detail

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86th Regular Session, Fiscal Year 2020 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 3 Reduce Loss of Life & Property Due to Fire

OBJECTIVE: 1 Protect the Public from Loss of Life and Property due to fire

STRATEGY: 1 Provide Fire Protection through Education, Enforcement and Engineering

Service Categories:

Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2018	EXP 2019	BUD 2020
Output Measures:				
	1 # of Fire Prevention and Fire Safety Presentations Coordinated by SFMO	84.00	52.00	50.00
	2 Number of Investigations Initiated by State Fire Marshal's Office	721.00	561.00	600.00
	3 Number of Samples Analyzed in the Arson Lab	2,047.00	1,794.00	2,500.00
KEY	4 Number of SFMO Registrations, Licenses, & Permits Issued	15,923.00	14,532.00	13,500.00
	5 Number of Licensing Investigations or Inspections Conducted	770.00	494.00	550.00
	6 Number of Buildings Inspected or Reinspected for Fire Safety Hazards	5,875.00	5,451.00	5,200.00
Efficiency Measures:				
	1 Average Cost Per Fire Safety Inspection	171.00	415.00	170.00
	2 Average Time to Complete Initial Investigation Report	63.00	51.00	60.00
Objects of Expense:				
	1001 SALARIES AND WAGES	\$3,763,155	\$3,578,605	\$3,584,621
	1002 OTHER PERSONNEL COSTS	\$222,356	\$229,635	\$91,540
	2001 PROFESSIONAL FEES AND SERVICES	\$110,323	\$65,068	\$118,243
	2002 FUELS AND LUBRICANTS	\$126,997	\$120,309	\$124,500
	2003 CONSUMABLE SUPPLIES	\$30,256	\$28,002	\$16,270
	2004 UTILITIES	\$34,462	\$50,202	\$55,358
	2005 TRAVEL	\$194,257	\$144,312	\$217,154
	2006 RENT - BUILDING	\$1,580	\$0	\$0
	2007 RENT - MACHINE AND OTHER	\$8,224	\$6,414	\$0
	2009 OTHER OPERATING EXPENSE	\$360,496	\$835,496	\$442,052
	5000 CAPITAL EXPENDITURES	\$120,022	\$118,435	\$0
TOTAL, OBJECT OF EXPENSE		\$4,972,128	\$5,176,478	\$4,649,738
Method of Financing:				
	8042 Insurance Maint Tax Fees	\$3,717,526	\$3,776,842	\$3,434,192

3.A. Strategy Level Detail

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86th Regular Session, Fiscal Year 2020 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 3 Reduce Loss of Life & Property Due to Fire

OBJECTIVE: 1 Protect the Public from Loss of Life and Property due to fire

STRATEGY: 1 Provide Fire Protection through Education, Enforcement and Engineering

Service Categories:

Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2018	EXP 2019	BUD 2020
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$3,717,526	\$3,776,842	\$3,434,192
Method of Financing:				
	36 Dept Ins Operating Acct	\$1,249,421	\$1,399,636	\$1,215,546
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$1,249,421	\$1,399,636	\$1,215,546
Method of Financing:				
	666 Appropriated Receipts	\$5,181	\$0	\$0
SUBTOTAL, MOF (OTHER FUNDS)		\$5,181	\$0	\$0
TOTAL, METHOD OF FINANCE :		\$4,972,128	\$5,176,478	\$4,649,738
FULL TIME EQUIVALENT POSITIONS:		65.4	60.8	67.5

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86th Regular Session, Fiscal Year 2020 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 4 Effectively Regulate the Texas Workers' Compensation System
OBJECTIVE: 1 Ensure Appropriate Delivery of Workers' Compensation Benefits
STRATEGY: 1 Oversee Activities of System Participants and Take Enforcement Action

Service Categories:
Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2018	EXP 2019	BUD 2020
Output Measures:				
	1 # of Workers' Compensation Enforcement Cases Concluded with Action	96.00	384.00	200.00
KEY	2 Number of Quality of Care Reviews Completed	504.00	272.00	200.00
	3 # of Complaints Closed Involving WC System Participants	5,860.00	6,812.00	4,700.00
	4 Number of Performance Reviews Completed	59.00	59.00	62.00
Efficiency Measures:				
KEY	1 AVG # Days Per Q of C Reviews of Provider Carriers/URAS/IROS Completed	124.00	25.00	180.00
KEY	2 AVG # of Days to Close a Complaint Involving WC System	131.00	179.00	110.00
	3 Average Number of Days to Complete a Performance Review	148.00	151.00	180.00
Objects of Expense:				
	1001 SALARIES AND WAGES	\$5,418,374	\$4,986,478	\$5,556,708
	1002 OTHER PERSONNEL COSTS	\$279,514	\$215,689	\$141,372
	2001 PROFESSIONAL FEES AND SERVICES	\$716,036	\$755,178	\$1,144,176
	2003 CONSUMABLE SUPPLIES	\$12,397	\$17,348	\$1,097
	2004 UTILITIES	\$45,467	\$45,359	\$41,414
	2005 TRAVEL	\$27,206	\$21,269	\$34,572
	2006 RENT - BUILDING	\$415,368	\$521,741	\$496,045
	2007 RENT - MACHINE AND OTHER	\$68,157	\$68,719	\$0
	2009 OTHER OPERATING EXPENSE	\$180,696	\$419,003	\$263,282
	5000 CAPITAL EXPENDITURES	\$0	\$49,612	\$0
	TOTAL, OBJECT OF EXPENSE	\$7,163,215	\$7,100,396	\$7,678,666
Method of Financing:				
	36 Dept Ins Operating Acct	\$7,100,625	\$7,084,747	\$7,624,666
	SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)	\$7,100,625	\$7,084,747	\$7,624,666

3.A. Strategy Level Detail

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86th Regular Session, Fiscal Year 2020 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 4 Effectively Regulate the Texas Workers' Compensation System

OBJECTIVE: 1 Ensure Appropriate Delivery of Workers' Compensation Benefits

STRATEGY: 1 Oversee Activities of System Participants and Take Enforcement Action

Service Categories:

Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2018	EXP 2019	BUD 2020
Method of Financing:				
	666 Appropriated Receipts	\$62,590	\$15,649	\$54,000
SUBTOTAL, MOF (OTHER FUNDS)		\$62,590	\$15,649	\$54,000
TOTAL, METHOD OF FINANCE :		\$7,163,215	\$7,100,396	\$7,678,666
FULL TIME EQUIVALENT POSITIONS:		80.0	74.1	83.6

3.A. Strategy Level Detail

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86th Regular Session, Fiscal Year 2020 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 4 Effectively Regulate the Texas Workers' Compensation System
OBJECTIVE: 1 Ensure Appropriate Delivery of Workers' Compensation Benefits
STRATEGY: 2 Resolve Indemnity, Medical Fee and Medical Necessity Disputes

Service Categories:
Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2018	EXP 2019	BUD 2020
Output Measures:				
1	Number of Benefit Review Conferences Held	12,738.00	11,565.00	15,900.00
2	Number of Contested Case Hearings Held	6,478.00	5,594.00	7,500.00
3	Number of Appellate Decisions Issued	3,042.00	2,435.00	3,000.00
Efficiency Measures:				
KEY 1	Average Number of Days to Resolve a Medical Fee Dispute	67.00	81.00	300.00
KEY 2	Avg # of Days to Resolve Indemnity Disputes Via Resolution Proceedings	122.00	110.00	135.00
Explanatory/Input Measures:				
1	Number of Medical Fee Disputes Received	5,322.00	5,382.00	4,100.00
Objects of Expense:				
1001	SALARIES AND WAGES	\$9,809,544	\$9,936,643	\$10,769,010
1002	OTHER PERSONNEL COSTS	\$557,677	\$428,670	\$350,556
2001	PROFESSIONAL FEES AND SERVICES	\$344,165	\$327,117	\$667,772
2003	CONSUMABLE SUPPLIES	\$48,727	\$64,825	\$53,495
2004	UTILITIES	\$45,355	\$47,623	\$60,205
2005	TRAVEL	\$254,701	\$277,427	\$273,779
2006	RENT - BUILDING	\$888,561	\$957,851	\$1,030,385
2007	RENT - MACHINE AND OTHER	\$88,185	\$95,289	\$29,531
2009	OTHER OPERATING EXPENSE	\$642,226	\$996,611	\$671,164
5000	CAPITAL EXPENDITURES	\$0	\$122,377	\$0
TOTAL, OBJECT OF EXPENSE		\$12,679,141	\$13,254,433	\$13,905,897
Method of Financing:				
36	Dept Ins Operating Acct	\$12,437,860	\$12,972,784	\$13,633,444
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$12,437,860	\$12,972,784	\$13,633,444

3.A. Strategy Level Detail

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86th Regular Session, Fiscal Year 2020 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 4 Effectively Regulate the Texas Workers' Compensation System

OBJECTIVE: 1 Ensure Appropriate Delivery of Workers' Compensation Benefits

STRATEGY: 2 Resolve Indemnity, Medical Fee and Medical Necessity Disputes

Service Categories:

Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2018	EXP 2019	BUD 2020
Method of Financing:				
	666 Appropriated Receipts	\$241,281	\$281,649	\$272,453
SUBTOTAL, MOF (OTHER FUNDS)		\$241,281	\$281,649	\$272,453
TOTAL, METHOD OF FINANCE :		\$12,679,141	\$13,254,433	\$13,905,897
FULL TIME EQUIVALENT POSITIONS:		193.1	190.4	212.3

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86th Regular Session, Fiscal Year 2020 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 4 Effectively Regulate the Texas Workers' Compensation System

OBJECTIVE: 1 Ensure Appropriate Delivery of Workers' Compensation Benefits

STRATEGY: 3 Administer Subsequent Injury Fund

Service Categories:

Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2018	EXP 2019	BUD 2020
Efficiency Measures:				
1	Avg Days from Receipt of SIF Request for Reimbursement to Payment	85.00	69.00	62.00
Explanatory/Input Measures:				
KEY 1	# of Injured Workers Receiving Lifetime Income Benefits through SIF	25.00	26.00	27.00
Objects of Expense:				
1001	SALARIES AND WAGES	\$109,018	\$184,809	\$201,845
1002	OTHER PERSONNEL COSTS	\$2,670	\$4,004	\$4,153
2001	PROFESSIONAL FEES AND SERVICES	\$4,418	\$8,082	\$16,246
2003	CONSUMABLE SUPPLIES	\$0	\$0	\$1,000
2009	OTHER OPERATING EXPENSE	\$4,574,342	\$17,923,293	\$6,554,737
TOTAL, OBJECT OF EXPENSE		\$4,690,448	\$18,120,188	\$6,777,981
Method of Financing:				
36	Dept Ins Operating Acct	\$117,493	\$199,282	\$226,517
5101	Subsequent Injury Fund	\$4,572,955	\$17,920,906	\$6,551,464
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$4,690,448	\$18,120,188	\$6,777,981
TOTAL, METHOD OF FINANCE :		\$4,690,448	\$18,120,188	\$6,777,981
FULL TIME EQUIVALENT POSITIONS:		2.3	3.8	3.0

3.A. Strategy Level Detail

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86th Regular Session, Fiscal Year 2020 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 4 Effectively Regulate the Texas Workers' Compensation System

OBJECTIVE: 2 Ensure Workers' Comp System Participants are Educated and Informed

STRATEGY: 1 Provide Educational Services&WPS Consultations to System Participants

Service Categories:

Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2018	EXP 2019	BUD 2020
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Output Measures:

KEY 1	Number of Consultations and Inspections Provided to Employers	3,158.00	3,058.00	3,000.00
2	Number of Division of Workers' Compensation Presentations Made	565.00	356.00	250.00
3	# RTW, Provider, WPS Educational Products & Services	4,771,569.00	3,271,254.00	3,500,000.00
4	Number of WC Income Benefit Recipients Referred to TWC	20,381.00	20,781.00	20,000.00

Explanatory/Input Measures:

1	Incidence of Injuries/Illness per 100 FTEs in the Private Sector	2.20	2.20	3.20
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Objects of Expense:

1001	SALARIES AND WAGES	\$2,868,631	\$2,844,963	\$3,216,057
1002	OTHER PERSONNEL COSTS	\$132,805	\$100,090	\$94,707
2001	PROFESSIONAL FEES AND SERVICES	\$11,697	\$6,121	\$8
2003	CONSUMABLE SUPPLIES	\$12,384	\$20,689	\$13,274
2004	UTILITIES	\$22,586	\$26,862	\$24,533
2005	TRAVEL	\$242,386	\$253,648	\$203,180
2006	RENT - BUILDING	\$274,315	\$316,325	\$340,544
2007	RENT - MACHINE AND OTHER	\$31,244	\$30,558	\$2,383
2009	OTHER OPERATING EXPENSE	\$163,336	\$367,456	\$108,375
5000	CAPITAL EXPENDITURES	\$50,010	\$77,877	\$4,878
TOTAL, OBJECT OF EXPENSE		\$3,809,394	\$4,044,589	\$4,007,939

Method of Financing:

36	Dept Ins Operating Acct	\$1,619,939	\$1,752,983	\$1,772,623
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SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)

\$1,619,939	\$1,752,983	\$1,772,623
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Method of Financing:

555 Federal Funds

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86th Regular Session, Fiscal Year 2020 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 4 Effectively Regulate the Texas Workers' Compensation System

OBJECTIVE: 2 Ensure Workers' Comp System Participants are Educated and Informed

STRATEGY: 1 Provide Educational Services&WPS Consultations to System Participants

Service Categories:

Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2018	EXP 2019	BUD 2020
17.005.001	OSHA BUREAU OF LABOR STATISTICS	\$165,313	\$190,438	\$197,136
17.504.001	OSHA Consultation Agreements	\$1,977,629	\$2,067,174	\$2,030,457
CFDA Subtotal, Fund 555		\$2,142,942	\$2,257,612	\$2,227,593
SUBTOTAL, MOF (FEDERAL FUNDS)		\$2,142,942	\$2,257,612	\$2,227,593
Method of Financing:				
666 Appropriated Receipts		\$46,513	\$33,994	\$7,723
SUBTOTAL, MOF (OTHER FUNDS)		\$46,513	\$33,994	\$7,723
TOTAL, METHOD OF FINANCE :		\$3,809,394	\$4,044,589	\$4,007,939
FULL TIME EQUIVALENT POSITIONS:		58.5	57.5	66.6

3.A. Strategy Level Detail

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86th Regular Session, Fiscal Year 2020 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 4 Effectively Regulate the Texas Workers' Compensation System
OBJECTIVE: 2 Ensure Workers' Comp System Participants are Educated and Informed
STRATEGY: 2 Provide Customer Assistance & Records Admin for System Participants

Service Categories:
Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2018	EXP 2019	BUD 2020
Output Measures:				
1	Number of Injury Records in Which Indemnity Benefits are Initiated	50,231.00	40,842.00	65,800.00
Objects of Expense:				
1001	SALARIES AND WAGES	\$2,653,228	\$2,618,806	\$3,093,142
1002	OTHER PERSONNEL COSTS	\$182,757	\$173,581	\$98,049
2001	PROFESSIONAL FEES AND SERVICES	\$214,365	\$220,480	\$303,119
2003	CONSUMABLE SUPPLIES	\$24,310	\$27,846	\$16,095
2004	UTILITIES	\$48,967	\$48,742	\$60,273
2005	TRAVEL	\$30,657	\$37,057	\$28,000
2006	RENT - BUILDING	\$737,351	\$457,285	\$475,862
2007	RENT - MACHINE AND OTHER	\$42,235	\$42,190	\$2,034
2009	OTHER OPERATING EXPENSE	\$509,479	\$229,845	\$86,478
5000	CAPITAL EXPENDITURES	\$0	\$49,612	\$0
TOTAL, OBJECT OF EXPENSE		\$4,443,349	\$3,905,444	\$4,163,052
Method of Financing:				
36	Dept Ins Operating Acct	\$4,356,624	\$3,843,973	\$4,029,048
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$4,356,624	\$3,843,973	\$4,029,048
Method of Financing:				
666	Appropriated Receipts	\$86,725	\$61,471	\$134,004
SUBTOTAL, MOF (OTHER FUNDS)		\$86,725	\$61,471	\$134,004
TOTAL, METHOD OF FINANCE :		\$4,443,349	\$3,905,444	\$4,163,052
FULL TIME EQUIVALENT POSITIONS:		68.7	65.4	68.6

3.A. Strategy Level Detail

DATE: 11/22/2019

TIME: 5:15:43PM

86th Regular Session, Fiscal Year 2020 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 5 Indirect Administration

OBJECTIVE: 1 Indirect Administration

STRATEGY: 1 Central Administration

Service Categories:

Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2018	EXP 2019	BUD 2020
Objects of Expense:				
1001	SALARIES AND WAGES	\$6,913,317	\$7,146,850	\$6,715,456
1002	OTHER PERSONNEL COSTS	\$296,150	\$355,327	\$133,096
2001	PROFESSIONAL FEES AND SERVICES	\$195,242	\$144,748	\$107,162
2003	CONSUMABLE SUPPLIES	\$49,852	\$64,298	\$45,601
2004	UTILITIES	\$40,730	\$38,601	\$54,455
2005	TRAVEL	\$39,327	\$51,718	\$36,491
2006	RENT - BUILDING	\$830,425	\$884,904	\$939,293
2007	RENT - MACHINE AND OTHER	\$72,955	\$68,684	\$9,040
2009	OTHER OPERATING EXPENSE	\$341,981	\$596,336	\$421,245
5000	CAPITAL EXPENDITURES	\$21,025	\$44,566	\$0
TOTAL, OBJECT OF EXPENSE		\$8,801,004	\$9,396,032	\$8,461,839
Method of Financing:				
1	General Revenue Fund	\$224,406	\$271,165	\$24,406
8042	Insurance Maint Tax Fees	\$3,740,970	\$3,798,911	\$2,490,070
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$3,965,376	\$4,070,076	\$2,514,476
Method of Financing:				
36	Dept Ins Operating Acct	\$4,835,628	\$5,325,956	\$5,947,363
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$4,835,628	\$5,325,956	\$5,947,363
TOTAL, METHOD OF FINANCE :		\$8,801,004	\$9,396,032	\$8,461,839
FULL TIME EQUIVALENT POSITIONS:		99.3	95.8	96.3

3.A. Strategy Level Detail

DATE: 11/22/2019

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86th Regular Session, Fiscal Year 2020 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 5 Indirect Administration

OBJECTIVE: 1 Indirect Administration

STRATEGY: 2 Information Resources

Service Categories:

Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2018	EXP 2019	BUD 2020
Objects of Expense:				
1001	SALARIES AND WAGES	\$5,033,736	\$5,140,998	\$5,272,059
1002	OTHER PERSONNEL COSTS	\$181,485	\$209,045	\$140,119
2001	PROFESSIONAL FEES AND SERVICES	\$4,719,051	\$5,865,344	\$6,549,611
2003	CONSUMABLE SUPPLIES	\$13,029	\$17,269	\$4,472
2004	UTILITIES	\$225,911	\$217,416	\$270,914
2005	TRAVEL	\$10,036	\$17,545	\$20,500
2006	RENT - BUILDING	\$46,658	\$59,739	\$61,731
2007	RENT - MACHINE AND OTHER	\$30,199	\$26,810	\$0
2009	OTHER OPERATING EXPENSE	\$1,120,217	\$1,692,250	\$3,133,571
5000	CAPITAL EXPENDITURES	\$14,455	\$100,777	\$0
TOTAL, OBJECT OF EXPENSE		\$11,394,777	\$13,347,193	\$15,452,977
Method of Financing:				
8042	Insurance Maint Tax Fees	\$3,966,674	\$5,123,104	\$6,408,646
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$3,966,674	\$5,123,104	\$6,408,646
Method of Financing:				
36	Dept Ins Operating Acct	\$7,428,103	\$8,224,089	\$9,044,331
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$7,428,103	\$8,224,089	\$9,044,331
TOTAL, METHOD OF FINANCE :		\$11,394,777	\$13,347,193	\$15,452,977
FULL TIME EQUIVALENT POSITIONS:		75.3	74.7	80.0

3.A. Strategy Level Detail

DATE: 11/22/2019

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86th Regular Session, Fiscal Year 2020 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 5 Indirect Administration

OBJECTIVE: 1 Indirect Administration

STRATEGY: 3 Other Support Services

Service Categories:

Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2018	EXP 2019	BUD 2020
Objects of Expense:				
1001	SALARIES AND WAGES	\$1,736,226	\$1,928,684	\$1,991,898
1002	OTHER PERSONNEL COSTS	\$144,955	\$91,717	\$65,407
2001	PROFESSIONAL FEES AND SERVICES	\$7,117	\$15,036	\$18,722
2002	FUELS AND LUBRICANTS	\$5,569	\$10,420	\$7,000
2003	CONSUMABLE SUPPLIES	\$11,524	\$23,633	\$245,039
2004	UTILITIES	\$37,768	\$43,495	\$38,402
2005	TRAVEL	\$24,440	\$14,800	\$11,280
2006	RENT - BUILDING	\$112,235	\$121,619	\$139,308
2007	RENT - MACHINE AND OTHER	\$48,240	\$44,639	\$516,294
2009	OTHER OPERATING EXPENSE	\$1,129,335	\$1,807,061	\$1,132,815
5000	CAPITAL EXPENDITURES	\$23,585	\$49,780	\$0
TOTAL, OBJECT OF EXPENSE		\$3,280,994	\$4,150,884	\$4,166,165
Method of Financing:				
1	General Revenue Fund	\$0	\$0	\$200,000
8042	Insurance Maint Tax Fees	\$1,131,960	\$1,898,370	\$1,668,399
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$1,131,960	\$1,898,370	\$1,868,399
Method of Financing:				
36	Dept Ins Operating Acct	\$2,124,781	\$2,252,506	\$2,297,766
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$2,124,781	\$2,252,506	\$2,297,766
Method of Financing:				
666	Appropriated Receipts	\$24,253	\$8	\$0

3.A. Strategy Level Detail

DATE: 11/22/2019

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86th Regular Session, Fiscal Year 2020 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 5 Indirect Administration

OBJECTIVE: 1 Indirect Administration

STRATEGY: 3 Other Support Services

Service Categories:

Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2018	EXP 2019	BUD 2020
SUBTOTAL, MOF (OTHER FUNDS)		\$24,253	\$8	\$0
TOTAL, METHOD OF FINANCE :		\$3,280,994	\$4,150,884	\$4,166,165
FULL TIME EQUIVALENT POSITIONS:		39.5	42.1	42.0

3.A. Strategy Level Detail

DATE: 11/22/2019

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86th Regular Session, Fiscal Year 2020 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 6 Regulatory Response

OBJECTIVE: 1 Regulatory Response

STRATEGY: 1 Contingency Regulatory Response

Service Categories:

Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2018	EXP 2019	BUD 2020
Objects of Expense:				
1001	SALARIES AND WAGES	\$269,046	\$0	\$2,000,000
1002	OTHER PERSONNEL COSTS	\$2,477	\$0	\$0
2001	PROFESSIONAL FEES AND SERVICES	\$136,489	\$0	\$0
2003	CONSUMABLE SUPPLIES	\$204	\$0	\$0
2005	TRAVEL	\$89,853	\$0	\$200,000
2009	OTHER OPERATING EXPENSE	\$191	\$0	\$0
TOTAL, OBJECT OF EXPENSE		\$498,260	\$0	\$2,200,000
Method of Financing:				
8042	Insurance Maint Tax Fees	\$498,260	\$0	\$2,200,000
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$498,260	\$0	\$2,200,000
TOTAL, METHOD OF FINANCE :		\$498,260	\$0	\$2,200,000
FULL TIME EQUIVALENT POSITIONS:		0.0	0.0	40.0

3.A. Strategy Level Detail

DATE: 11/22/2019

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Automated Budget and Evaluation System of Texas (ABEST)

TOTAL, METHOD OF FINANCE :

\$0

FULL TIME EQUIVALENT POSITIONS:

0.0

0.0

0.0

3.A. Strategy Level Detail

DATE: 11/22/2019

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86th Regular Session, Fiscal Year 2020 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

SUMMARY TOTALS:

OBJECTS OF EXPENSE:	\$102,546,932	\$125,723,336	\$119,445,830
METHODS OF FINANCE :	\$102,546,932	\$125,723,336	\$119,445,830
FULL TIME EQUIVALENT POSITIONS:	1,179.3	1,156.3	1,339.7

Capital Budget Allocation to Strategies
 86th Regular Session, Fiscal Year 2020 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/22/2019
 TIME: 4:32:13PM

Agency code: 454 Agency name: Department of Insurance

Category Code/Name

Project Sequence/Project Id/Name

	Goal/Obj/Str	Strategy Name	EXP 2018	EXP 2019	BUD 2020
5005 Acquisition of Information Resource Technologies					
	1/1	Obsolescence and Network Security			
Capital	5-1-1	CENTRAL ADMINISTRATION	13,974	88,255	\$0
Capital	5-1-2	INFORMATION RESOURCES	9,607	66,941	455,976
Capital	5-1-3	OTHER SUPPORT SERVICES	4,685	34,971	0
Capital	1-1-1	CONSUMER EDUCATION AND OUTREACH	15,026	30,626	0
Capital	1-2-3	INSURANCE FRAUD	10,838	19,141	0
Capital	1-2-1	RESOLVE COMPLAINTS	12,009	22,969	0
Capital	1-2-2	INVESTIGATION AND ENFORCEMENT	9,310	19,141	0
Capital	1-2-4	WORKERS COMPENSATION FRAUD	0	6,829	0
Capital	1-3-1	PROCESS RATES, FORMS & LICENSES	41,268	80,393	0
Capital	1-3-3	CERTIFY SELF-INSURANCE	0	6,829	0
Capital	1-5-1	LOSS CONTROL PROGRAMS	53,476	19,141	0
Capital	2-1-1	INSURERS FINANCIAL CONDITION	10,838	103,362	0
Capital	3-1-1	FIRE MARSHAL	17,468	34,454	0
Capital	4-1-1	OVERSIGHT AND ENFORCEMENT	0	102,429	0
Capital	4-1-2	DISPUTE RESOLUTION	0	252,657	0
Capital	4-2-1	HEALTH AND SAFETY SERVICES	0	75,114	0
Capital	4-2-2	CUSTOMER SERVICE & RECORDS ADMIN	0	102,429	0
TOTAL, PROJECT			\$198,499	\$1,065,681	\$455,976

Capital Budget Allocation to Strategies
 86th Regular Session, Fiscal Year 2020 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/22/2019
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Agency code: 454 Agency name: Department of Insurance

Category Code/Name

Project Sequence/Project Id/Name

	Goal/Obj/Str	Strategy Name	EXP 2018	EXP 2019	BUD 2020
2/2		<i>Texassure Vehicle Ins Verification</i>			
Capital	1-1-1	CONSUMER EDUCATION AND OUTREACH	3,092,746	7,054,759	\$5,073,752
		TOTAL, PROJECT	\$3,092,746	\$7,054,759	\$5,073,752
3/3		<i>PC Replacement</i>			
Capital	5-1-1	CENTRAL ADMINISTRATION	0	91,292	0
Capital	5-1-2	INFORMATION RESOURCES	0	69,262	461,169
Capital	5-1-3	OTHER SUPPORT SERVICES	0	34,544	0
Capital	1-1-1	CONSUMER EDUCATION AND OUTREACH	0	46,746	0
Capital	1-2-3	INSURANCE FRAUD	0	30,964	0
Capital	1-2-1	RESOLVE COMPLAINTS	0	35,952	0
Capital	1-2-2	INVESTIGATION AND ENFORCEMENT	0	29,117	0
Capital	1-2-4	WORKERS COMPENSATION FRAUD	0	5,890	0
Capital	1-3-1	PROCESS RATES, FORMS & LICENSES	0	124,911	0
Capital	1-3-3	CERTIFY SELF-INSURANCE	0	6,332	0
Capital	1-5-1	LOSS CONTROL PROGRAMS	0	30,964	0
Capital	2-1-1	INSURERS FINANCIAL CONDITION	0	161,105	0
Capital	3-1-1	FIRE MARSHAL	0	53,270	0
Capital	4-1-1	OVERSIGHT AND ENFORCEMENT	0	82,382	0
Capital	4-1-2	DISPUTE RESOLUTION	0	205,465	0
Capital	4-2-1	HEALTH AND SAFETY SERVICES	0	60,502	0
Capital	4-2-2	CUSTOMER SERVICE & RECORDS ADMIN	0	83,555	0

Capital Budget Allocation to Strategies
 86th Regular Session, Fiscal Year 2020 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/22/2019
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Agency code: 454 Agency name: Department of Insurance

Category Code/Name

Project Sequence/Project Id/Name

Goal/Obj/Str	Strategy Name	EXP 2018	EXP 2019	BUD 2020
TOTAL, PROJECT		\$0	\$1,152,253	\$461,169
4/4	<i>Document Management System</i>			
Capital	5-1-1 CENTRAL ADMINISTRATION	0	20,230	\$0
Capital	5-1-2 INFORMATION RESOURCES	0	14,450	316,943
Capital	5-1-3 OTHER SUPPORT SERVICES	0	5,780	0
Capital	1-1-1 CONSUMER EDUCATION AND OUTREACH	0	23,120	0
Capital	1-2-3 INSURANCE FRAUD	0	14,450	0
Capital	1-2-1 RESOLVE COMPLAINTS	0	17,340	0
Capital	1-2-2 INVESTIGATION AND ENFORCEMENT	0	14,450	0
Capital	1-3-1 PROCESS RATES, FORMS & LICENSES	0	60,690	0
Capital	1-5-1 LOSS CONTROL PROGRAMS	0	14,450	0
Capital	2-1-1 INSURERS FINANCIAL CONDITION	0	78,030	0
Capital	3-1-1 FIRE MARSHAL	0	26,010	0
TOTAL, PROJECT		\$0	\$289,000	\$316,943
7000 Data Center Consolidation				
5/5	<i>Data Center Consolidation</i>			
Capital	5-1-2 INFORMATION RESOURCES	4,633,454	5,782,559	6,509,521
TOTAL, PROJECT		\$4,633,454	\$5,782,559	\$6,509,521

9000 Cybersecurity

Capital Budget Allocation to Strategies
 86th Regular Session, Fiscal Year 2020 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/22/2019
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Agency code: 454 Agency name: Department of Insurance

Category Code/Name

Project Sequence/Project Id/Name

	Goal/Obj/Str	Strategy Name	EXP 2018	EXP 2019	BUD 2020
	6/6	Cybersecurity Enhancements			
Capital	5-1-2	INFORMATION RESOURCES	77,672	268,833	\$140,112
		TOTAL, PROJECT	\$77,672	\$268,833	\$140,112
		TOTAL CAPITAL, ALL PROJECTS	\$8,002,371	\$15,613,085	\$12,957,473
		TOTAL INFORMATIONAL, ALL PROJECTS			
		TOTAL, ALL PROJECTS	\$8,002,371	\$15,613,085	\$12,957,473

Agency code: 454

Agency name: Department of Insurance

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE

EXP 2018

EXP 2019

BUD 2020

5005 Acquisition of Information Resource Technologies

1/1 Obsolescence Hardware and Software

Replacement and Network Security

OBJECTS OF EXPENSE

Capital

2009 OTHER OPERATING EXPENSE		\$0	\$643,302	\$455,976
5000 CAPITAL EXPENDITURES		\$198,499	\$422,379	\$0
Capital Subtotal OOE, Project	1	\$198,499	\$1,065,681	\$455,976
Subtotal OOE, Project	1	\$198,499	\$1,065,681	\$455,976

TYPE OF FINANCING

Capital

CA 36 Dept Ins Operating Acct		\$49,951	\$786,172	\$270,750
CA 8042 Insurance Maint Tax Fees		\$148,548	\$279,509	\$185,226
Capital Subtotal TOF, Project	1	\$198,499	\$1,065,681	\$455,976
Subtotal TOF, Project	1	\$198,499	\$1,065,681	\$455,976

2/2 Texassure Vehicle Insurance Verification

OBJECTS OF EXPENSE

Capital

2001 PROFESSIONAL FEES AND SERVICES		\$2,366,811	\$2,146,374	\$2,889,345
2004 UTILITIES		\$810	\$0	\$0
2009 OTHER OPERATING EXPENSE		\$105,574	\$2,706	\$104,002
5000 CAPITAL EXPENDITURES		\$619,551	\$4,905,679	\$2,080,405
Capital Subtotal OOE, Project	2	\$3,092,746	\$7,054,759	\$5,073,752
Subtotal OOE, Project	2	\$3,092,746	\$7,054,759	\$5,073,752

TYPE OF FINANCING

Capital

4.A. Capital Budget Project Schedule
 86th Regular Session, Fiscal Year 2020 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/22/2019**
 TIME : **4:31:30PM**

Agency code: **454**

Agency name: **Department of Insurance**

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE	EXP 2018	EXP 2019	BUD 2020
CA 161 TexasSure Fund	\$3,092,746	\$7,054,759	\$5,073,752
Capital Subtotal TOF, Project 2	\$3,092,746	\$7,054,759	\$5,073,752
Subtotal TOF, Project 2	\$3,092,746	\$7,054,759	\$5,073,752

3/3 PC Replacement

OBJECTS OF EXPENSE

Capital

2009 OTHER OPERATING EXPENSE	\$0	\$1,152,253	\$461,169
Capital Subtotal OOE, Project 3	\$0	\$1,152,253	\$461,169
Subtotal OOE, Project 3	\$0	\$1,152,253	\$461,169

TYPE OF FINANCING

Capital

CA 36 Dept Ins Operating Acct	\$0	\$716,208	\$273,834
CA 8042 Insurance Maint Tax Fees	\$0	\$436,045	\$187,335
Capital Subtotal TOF, Project 3	\$0	\$1,152,253	\$461,169
Subtotal TOF, Project 3	\$0	\$1,152,253	\$461,169

4/4 Support for Document Management System

OBJECTS OF EXPENSE

Capital

2009 OTHER OPERATING EXPENSE	\$0	\$289,000	\$316,943
Capital Subtotal OOE, Project 4	\$0	\$289,000	\$316,943
Subtotal OOE, Project 4	\$0	\$289,000	\$316,943

TYPE OF FINANCING

Capital

CA 36 Dept Ins Operating Acct	\$0	\$74,093	\$82,856
CA 8042 Insurance Maint Tax Fees	\$0	\$214,907	\$234,087

4.A. Capital Budget Project Schedule
 86th Regular Session, Fiscal Year 2020 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/22/2019
 TIME : 4:31:30PM

Agency code: 454

Agency name: Department of Insurance

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE		EXP 2018	EXP 2019	BUD 2020
Capital Subtotal TOF, Project	4	\$0	\$289,000	\$316,943
Subtotal TOF, Project	4	\$0	\$289,000	\$316,943
Capital Subtotal, Category	5005	\$3,291,245	\$9,561,693	\$6,307,840
Informational Subtotal, Category	5005			
Total, Category	5005	\$3,291,245	\$9,561,693	\$6,307,840

7000 Data Center Consolidation

5/5 Data Center Consolidation

OBJECTS OF EXPENSE

Capital

2001 PROFESSIONAL FEES AND SERVICES		\$4,633,454	\$5,782,559	\$6,509,521
Capital Subtotal OOE, Project	5	\$4,633,454	\$5,782,559	\$6,509,521
Subtotal OOE, Project	5	\$4,633,454	\$5,782,559	\$6,509,521

TYPE OF FINANCING

Capital

CA 36 Dept Ins Operating Acct		\$2,691,662	\$3,418,224	\$3,865,238
CA 8042 Insurance Maint Tax Fees		\$1,941,792	\$2,364,335	\$2,644,283
Capital Subtotal TOF, Project	5	\$4,633,454	\$5,782,559	\$6,509,521
Subtotal TOF, Project	5	\$4,633,454	\$5,782,559	\$6,509,521
Capital Subtotal, Category	7000	\$4,633,454	\$5,782,559	\$6,509,521
Informational Subtotal, Category	7000			
Total, Category	7000	\$4,633,454	\$5,782,559	\$6,509,521

9000 Cybersecurity

6/6 Cybersecurity Enhancements

4.A. Capital Budget Project Schedule
 86th Regular Session, Fiscal Year 2020 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/22/2019
 TIME : 4:31:30PM

Agency code: 454

Agency name: Department of Insurance

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE	EXP 2018	EXP 2019	BUD 2020
OBJECTS OF EXPENSE			
<u>Capital</u>			
2009 OTHER OPERATING EXPENSE	\$77,672	\$229,779	\$140,112
5000 CAPITAL EXPENDITURES	\$0	\$39,054	\$0
Capital Subtotal OOE, Project 6	\$77,672	\$268,833	\$140,112
Subtotal OOE, Project 6	\$77,672	\$268,833	\$140,112
TYPE OF FINANCING			
<u>Capital</u>			
CA 36 Dept Ins Operating Acct	\$19,545	\$72,550	\$83,196
CA 8042 Insurance Maint Tax Fees	\$58,127	\$196,283	\$56,916
Capital Subtotal TOF, Project 6	\$77,672	\$268,833	\$140,112
Subtotal TOF, Project 6	\$77,672	\$268,833	\$140,112
Capital Subtotal, Category 9000	\$77,672	\$268,833	\$140,112
Informational Subtotal, Category 9000			
Total, Category 9000	\$77,672	\$268,833	\$140,112
AGENCY TOTAL -CAPITAL	\$8,002,371	\$15,613,085	\$12,957,473
AGENCY TOTAL -INFORMATIONAL			
AGENCY TOTAL	\$8,002,371	\$15,613,085	\$12,957,473

4.A. Capital Budget Project Schedule
 86th Regular Session, Fiscal Year 2020 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/22/2019
 TIME : 4:31:30PM

Agency code: 454

Agency name: Department of Insurance

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE

EXP 2018

EXP 2019

BUD 2020

METHOD OF FINANCING:

Capital

36 Dept Ins Operating Acct	\$2,761,158	\$5,067,247	\$4,575,874
161 TexasSure Fund	\$3,092,746	\$7,054,759	\$5,073,752
8042 Insurance Maint Tax Fees	\$2,148,467	\$3,491,079	\$3,307,847
Total, Method of Financing-Capital	\$8,002,371	\$15,613,085	\$12,957,473
Total, Method of Financing	\$8,002,371	\$15,613,085	\$12,957,473

TYPE OF FINANCING:

Capital

CA CURRENT APPROPRIATIONS	\$8,002,371	\$15,613,085	\$12,957,473
Total, Type of Financing-Capital	\$8,002,371	\$15,613,085	\$12,957,473
Total, Type of Financing	\$8,002,371	\$15,613,085	\$12,957,473

4.B. Federal Funds Supporting Schedule
 86th Regular Session, Fiscal Year 2020 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/22/2019
 TIME: 4:32:43PM

Agency code: **454** Agency name: Department of Insurance

CFDA NUMBER/ STRATEGY	EXP 2018	EXP 2019	BUD 2020
17.005.001 OSHA BUREAU OF LABOR STATISTICS			
4 - 2 - 1 HEALTH AND SAFETY SERVICES	165,313	190,438	197,136
TOTAL, ALL STRATEGIES	\$165,313	\$190,438	\$197,136
ADDL FED FNDS FOR EMPL BENEFITS	0	0	0
TOTAL, FEDERAL FUNDS	\$165,313	\$190,438	\$197,136
ADDL GR FOR EMPL BENEFITS	\$0	\$0	\$0
17.504.001 OSHA Consultation Agreements			
4 - 2 - 1 HEALTH AND SAFETY SERVICES	1,977,629	2,067,174	2,030,457
TOTAL, ALL STRATEGIES	\$1,977,629	\$2,067,174	\$2,030,457
ADDL FED FNDS FOR EMPL BENEFITS	0	0	0
TOTAL, FEDERAL FUNDS	\$1,977,629	\$2,067,174	\$2,030,457
ADDL GR FOR EMPL BENEFITS	\$0	\$0	\$0
93.511.000 ACA-Grnts St Hlth Ins Premium Revw			
1 - 1 - 1 CONSUMER EDUCATION AND OUTREACH	836,816	579,618	0
TOTAL, ALL STRATEGIES	\$836,816	\$579,618	\$0
ADDL FED FNDS FOR EMPL BENEFITS	0	0	0
TOTAL, FEDERAL FUNDS	\$836,816	\$579,618	\$0
ADDL GR FOR EMPL BENEFITS	\$0	\$0	\$0

4.B. Federal Funds Supporting Schedule
 86th Regular Session, Fiscal Year 2020 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/22/2019**
 TIME: **4:32:43PM**

Agency code: **454** Agency name: Department of Insurance

CFDA NUMBER/ STRATEGY	EXP 2018	EXP 2019	BUD 2020
<u>SUMMARY LISTING OF FEDERAL PROGRAM AMOUNTS</u>			
17.005.001 OSHA BUREAU OF LABOR STATISTICS	165,313	190,438	197,136
17.504.001 OSHA Consultation Agreements	1,977,629	2,067,174	2,030,457
93.511.000 ACA-Grnts St Hlth Ins Premium Revw	836,816	579,618	0
TOTAL, ALL STRATEGIES	\$2,979,758	\$2,837,230	\$2,227,593
TOTAL, ADDL FED FUNDS FOR EMPL BENEFITS	0	0	0
TOTAL, FEDERAL FUNDS	\$2,979,758	\$2,837,230	\$2,227,593
TOTAL, ADDL GR FOR EMPL BENEFITS	\$0	\$0	\$0

4.C. Federal Funds Tracking Schedule
 86th Regular Session, Fiscal Year 2020 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/22/2019
 TIME : 4:33:08PM

Agency code: 454

Agency name: Department of Insurance

Federal FY	Expended SFY 2017	Estimated SFY 2018	Budgeted SFY 2019	Requested SFY 2020	Requested SFY 2021	Estimated SFY 2022	Total	Difference from Award
CFDA 17.005.001 OSHA BUREAU OF LABOR STATISTICS								
2017	\$286,900	\$206,352	\$24,603	\$0	\$0	\$0	\$230,955	\$55,945
2018	\$288,797	\$0	\$219,442	\$38,774	\$0	\$0	\$258,216	\$30,581
2019	\$288,797	\$0	\$0	\$250,501	\$31,296	\$0	\$281,797	\$7,000
2020	\$288,797	\$0	\$0	\$264,731	\$24,066	\$0	\$288,797	\$0
2021	\$288,797	\$0	\$0	\$0	\$264,731	\$24,066	\$288,797	\$0
Total	\$1,442,088	\$206,352	\$244,045	\$289,275	\$296,027	\$24,066	\$1,348,562	\$93,526

Empl. Benefit Payment	\$57,601	\$56,894	\$66,077	\$74,228	\$74,228	\$74,228	\$403,256	
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TRACKING NOTES

\$55,945 deobligated in July 2017. \$30,581 deobligated in July 2018. \$7,000 deobligated in July 2019. Vacancies were main cause of underspending in grant years.

4.C. Federal Funds Tracking Schedule
 86th Regular Session, Fiscal Year 2020 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/22/2019
 TIME : 4:33:08PM

Agency code: 454

Agency name: Department of Insurance

Federal FY	Expended SFY 2017	Estimated SFY 2018	Budgeted SFY 2019	Requested SFY 2020	Requested SFY 2021	Estimated SFY 2022	Total	Difference from Award
CFDA 17.504.001 OSHA Consultation Agreements								
2017	\$2,739,200	\$2,389,268	\$349,932	\$0	\$0	\$0	\$2,739,200	\$0
2018	\$2,808,400	\$0	\$2,408,775	\$399,625	\$0	\$0	\$2,808,400	\$0
2019	\$2,808,400	\$0	\$0	\$2,444,439	\$363,961	\$0	\$2,808,400	\$0
2020	\$2,808,400	\$0	\$0	\$2,574,367	\$234,033	\$0	\$2,808,400	\$0
2021	\$2,808,400	\$0	\$0	\$0	\$2,574,367	\$234,033	\$2,808,400	\$0
Total	\$13,972,800	\$2,389,268	\$2,758,707	\$2,844,064	\$2,938,328	\$234,033	\$13,972,800	\$0

Empl. Benefit Payment	\$528,448	\$489,269	\$482,016	\$544,036	\$544,036	\$544,036	\$3,131,841	
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4.C. Federal Funds Tracking Schedule
 86th Regular Session, Fiscal Year 2020 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/22/2019
 TIME : 4:33:08PM

Agency code: 454

Agency name: Department of Insurance

Federal FY	Expended SFY 2017	Estimated SFY 2018	Budgeted SFY 2019	Requested SFY 2020	Requested SFY 2021	Estimated SFY 2022	Total	Difference from Award	
CFDA 93.511.000 ACA-Grnts St Hlth Ins Premium Revw									
2014	\$4,326,798	\$430,200	\$836,816	\$579,618	\$0	\$0	\$0	\$1,846,634	\$2,480,164
Total	\$4,326,798	\$430,200	\$836,816	\$579,618	\$0	\$0	\$0	\$1,846,634	\$2,480,164

Empl. Benefit Payment	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
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TRACKING NOTES

\$821,324 expended in SFY 2014
 \$1,156,734 expended in SFY 2015
 \$96,394 expended in SFY 2016

Grant period ended March 2019.
 \$405,712 was deobligated in SFY 2019.

4.D. Estimated Revenue Collections Supporting Schedule
 86th Regular Session, Fiscal Year 2020 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/22/2019
 TIME: 4:33:34PM

Agency Code: 454

Agency name: Department of Insurance

FUND/ACCOUNT	Exp 2018	Exp 2019	Bud 2020
1 General Revenue Fund			
Beginning Balance (Unencumbered):	\$0	\$0	\$0
Estimated Revenue:			
3175 Professional Fees	79,302	63,121	65,060
3206 Insurance Companies Fees	96,900	103,970	96,050
3210 Insurance Agents Licenses	637,926	683,907	693,003
3221 Unauthorized Insurance Penalty	46,240,045	36,207,660	45,185,976
3222 Ins Penalty Lieu of Suspension	7,269,938	5,961,334	6,594,996
3557 Health Care Facilities Fees	50,448	28,321	36,915
3714 Judgments	3,128	0	3,983
3727 Fees - Administrative Services	360,837	314,965	330,500
3733 Workers Compensation Penalties	766,075	794,739	780,000
3750 Sale of Furniture & Equipment	0	8	0
3775 Returned Check Fees	600	1,175	458
3795 Other Misc Government Revenue	2	0	0
3839 Sale of Motor Vehicle/Boat/Aircraft	11,705	15	0
3852 Interest on Local Deposits-St Agy	206	247	170
Subtotal: Estimated Revenue	<u>55,517,112</u>	<u>44,159,462</u>	<u>53,787,111</u>
Total Available	<u>\$55,517,112</u>	<u>\$44,159,462</u>	<u>\$53,787,111</u>
DEDUCTIONS:			
Expended/Budgeted/Requested	(6,520)	(5,808)	(6,520)
Art IX, Sec. 9.05- Texas.Gov Occupational Licenses	(260)	0	0
Other (Balances swept by Agency 902)	(55,510,332)	(44,153,654)	(53,780,591)
Total, Deductions	<u>\$(55,517,112)</u>	<u>\$(44,159,462)</u>	<u>\$(53,787,111)</u>
Ending Fund/Account Balance	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>

REVENUE ASSUMPTIONS:

Estimate assumes fee rates will remain the same. COBJ 3221 estimate based on 3 year average. COBJ 3222 estimate based on 4 year average.

4.D. Estimated Revenue Collections Supporting Schedule
86th Regular Session, Fiscal Year 2020 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/22/2019
TIME: 4:33:34PM

Agency Code: **454**

Agency name: **Department of Insurance**

FUND/ACCOUNT

Exp 2018

Exp 2019

Bud 2020

CONTACT PERSON:

Anthony Infantini or Robert Palm

4.D. Estimated Revenue Collections Supporting Schedule
 86th Regular Session, Fiscal Year 2020 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/22/2019
 TIME: 4:33:34PM

Agency Code: 454

Agency name: Department of Insurance

FUND/ACCOUNT	Exp 2018	Exp 2019	Bud 2020
36 Dept Ins Operating Acct			
Beginning Balance (Unencumbered):	\$70,069,220	\$80,460,165	\$91,031,694
Estimated Revenue:			
3149 Amusement Ride Inspection	420,777	393,078	412,239
3175 Professional Fees	3,228,237	3,224,799	3,192,291
3206 Insurance Companies Fees	450,421	381,007	381,137
3210 Insurance Agents Licenses	23,912,790	24,947,397	22,251,000
3212 Tx Work Comp Self - Ins Reg Fees	689,162	569,596	700,147
3213 Catastrophe Prop Ins Pool Fees	375	615	330
3214 Insur Maint Tax/Fee Coll-Comptrollr	57,353,701	59,747,777	56,140,000
3215 Insurance Dept Fees - Misc	966,544	965,077	989,055
3216 Insurance Dept Exam/Audit Fees	3,455,850	4,965,218	4,696,133
3219 Workers Comp Comm-Ins Co Maint Tax	1,127,317	1,180,597	1,050,000
3220 W/C Res & Oversight CNCL/Maint Tax	23,984	24,719	11,390
3714 Judgments	0	0	0
3722 Conf, Semin, & Train Regis Fees	0	0	0
3727 Fees - Administrative Services	160,500	109,000	56,028
3795 Other Misc Government Revenue	0	0	0
3802 Reimbursements-Third Party	0	0	0
Subtotal: Estimated Revenue	<u>91,789,658</u>	<u>96,508,880</u>	<u>89,879,750</u>
Total Available	<u>\$161,858,878</u>	<u>\$176,969,045</u>	<u>\$180,911,444</u>
DEDUCTIONS:			
Reg Appns from MOF Table of GAA	(51,814,706)	(55,981,621)	(57,539,484)
Art IX, Sec. 9.05- Texas.Gov Occupational Licenses	(252,848)	(220,937)	0
Art IX - Statewide Allocated Costs	(222,099)	(232,729)	(235,056)
Unemployment Reimbursement (GAA)	(7,201)	(4,846)	(5,740)
Art I - Texas Facilities Commission (GAA)	(1,030,083)	(1,030,083)	(1,030,083)
Art VIII - Office of Injured Employee Counsel	(10,880,205)	(11,144,191)	(11,325,795)
Art VIII - Lease Payments (GAA)	(162,570)	0	0
Art V - Department of Public Safety	0	0	(300,244)
Art IX - Benefit Replacement Pay	(121,597)	(99,758)	(79,806)
Transfer - Employee Benefits (OASI, Ret, Ins)	(16,887,720)	(17,208,967)	(17,541,827)
Transfer to Comptroller (TIC Art. 201.052)	(19,684)	(14,219)	(15,203)

4.D. Estimated Revenue Collections Supporting Schedule
86th Regular Session, Fiscal Year 2020 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/22/2019
TIME: 4:33:34PM

Agency Code: **454**

Agency name: **Department of Insurance**

FUND/ACCOUNT	Exp 2018	Exp 2019	Bud 2020
Total, Deductions	\$(81,398,713)	\$(85,937,351)	\$(88,073,238)
Ending Fund/Account Balance	\$80,460,165	\$91,031,694	\$92,838,206

REVENUE ASSUMPTIONS:

CONTACT PERSON:

Anthony Infantini or Robert Palm

4.D. Estimated Revenue Collections Supporting Schedule
 86th Regular Session, Fiscal Year 2020 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/22/2019
 TIME: 4:33:34PM

Agency Code: 454

Agency name: Department of Insurance

FUND/ACCOUNT	Exp 2018	Exp 2019	Bud 2020
161 TexasSure Fund			
Beginning Balance (Unencumbered):	\$180,391	\$2,161,398	\$0
Estimated Revenue:			
3972 Other Cash Transfers Between Funds	5,073,753	4,893,361	5,073,752
Subtotal: Estimated Revenue	<u>5,073,753</u>	<u>4,893,361</u>	<u>5,073,752</u>
Total Available	<u>\$5,254,144</u>	<u>\$7,054,759</u>	<u>\$5,073,752</u>
DEDUCTIONS:			
Expended/Budgeted/Requested	(3,092,746)	(7,054,759)	(5,073,752)
Total, Deductions	<u>\$(3,092,746)</u>	<u>\$(7,054,759)</u>	<u>\$(5,073,752)</u>
Ending Fund/Account Balance	<u>\$2,161,398</u>	<u>\$0</u>	<u>\$0</u>

REVENUE ASSUMPTIONS:

\$1 motor vehicle registration fee up to the amount appropriated to the TexasSure fund (Transportation Code 502.357)

CONTACT PERSON:

Anthony Infantini or Robert Palm

4.D. Estimated Revenue Collections Supporting Schedule
 86th Regular Session, Fiscal Year 2020 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/22/2019
TIME: 4:33:34PM

Agency Code: **454**

Agency name: **Department of Insurance**

FUND/ACCOUNT	Exp 2018	Exp 2019	Bud 2020
<u>329</u> Healthy TX Sm Emp Prem Stabil. Fund			
Beginning Balance (Unencumbered):	\$47,083,053	\$47,827,477	\$47,827,477
Estimated Revenue:			
3991 Residual Equity Transfers	744,424	0	0
Subtotal: Estimated Revenue	<u>744,424</u>	<u>0</u>	<u>0</u>
Total Available	<u>\$47,827,477</u>	<u>\$47,827,477</u>	<u>\$47,827,477</u>
Ending Fund/Account Balance	<u>\$47,827,477</u>	<u>\$47,827,477</u>	<u>\$47,827,477</u>

REVENUE ASSUMPTIONS:

Prompt payment penalties that used to be deposited in this fund are now deposited to the General Revenue Fund. For 2018, \$744,424 was transferred in as a Residual Equity Transfer In (COBJ 3991). The source of the transfer is the Texas Health Insurance Risk Pool account in the Texas Treasury Safekeeping Trust Company, as authorized by SB 2087, 85th Legislature.

CONTACT PERSON:

Anthony Infantini or Robert Palm

4.D. Estimated Revenue Collections Supporting Schedule
 86th Regular Session, Fiscal Year 2020 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/22/2019
 TIME: 4:33:34PM

Agency Code: 454

Agency name: Department of Insurance

FUND/ACCOUNT	Exp 2018	Exp 2019	Bud 2020
666 Appropriated Receipts			
Beginning Balance (Unencumbered):	\$2,101,570	\$3,349,223	\$4,358,142
Estimated Revenue:			
3222 Ins Penalty Lieu of Suspension	1,500,000	1,500,000	0
3719 Fees/Copies or Filing of Records	118,161	86,955	87,500
3722 Conf, Semin, & Train Regis Fees	341,370	218,401	0
3802 Reimbursements-Third Party	2,756,444	2,706,715	2,817,074
3839 Sale of Motor Vehicle/Boat/Aircraft	3,902	8	4
3879 Credit Card and Related Fees	14,389	12,581	17,175
Subtotal: Estimated Revenue	<u>4,734,266</u>	<u>4,524,660</u>	<u>2,921,753</u>
Total Available	<u>\$6,835,836</u>	<u>\$7,873,883</u>	<u>\$7,279,895</u>
DEDUCTIONS:			
Reg Appns from MOF Table of GAA	(255,124)	(188,533)	(362,130)
Art IX, Sec. 8.07 - Seminars and Conferences	(288,321)	(270,718)	(256,852)
Art IX, Sec. 8.02- Third Party Reimbursements	(77,402)	(54,513)	(8,496)
Art IX, Sec. 8.03 - Surplus Property	(3,902)	(8)	(4)
Art VIII- Rider - Three Share	(305,396)	(438,764)	(4,140,564)
Art IX Sec 8.10 Appropriation of Credit Card Fees	(14,389)	(12,581)	(17,175)
Art IX - Title Examination and Liquidation Oversight (GAA)	(2,033,192)	(2,055,829)	(1,992,506)
Art IX - Benefit Replacement Pay	(3,225)	(3,224)	(3,224)
Transfer - Employee Benefits (OASI, ERS, Insurance)	(505,662)	(491,571)	(498,944)
Total, Deductions	<u>\$(3,486,613)</u>	<u>\$(3,515,741)</u>	<u>\$(7,279,895)</u>
Ending Fund/Account Balance	<u>\$3,349,223</u>	<u>\$4,358,142</u>	<u>\$0</u>

REVENUE ASSUMPTIONS:

Assumes revenues will be collected to sustain current appropriated receipt funding levels. Estimated Liquidation and Title Allocated accounts revenue equal estimated disbursements including matching and BRP benefits.

CONTACT PERSON:

Anthony Infantini or Robert Palm

4.D. Estimated Revenue Collections Supporting Schedule
 86th Regular Session, Fiscal Year 2020 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/22/2019
 TIME: 4:33:34PM

Agency Code: 454

Agency name: Department of Insurance

FUND/ACCOUNT	Exp 2018	Exp 2019	Bud 2020
888 Earned Federal Funds			
Beginning Balance (Unencumbered):	\$0	\$0	\$0
Estimated Revenue:			
3702 Fed Receipts-Earned Federal Funds	269,037	324,867	269,037
Subtotal: Estimated Revenue	<u>269,037</u>	<u>324,867</u>	<u>269,037</u>
Total Available	<u>\$269,037</u>	<u>\$324,867</u>	<u>\$269,037</u>
DEDUCTIONS:			
Expended/Budgeted/Requested	(224,406)	(224,406)	(224,406)
Art IX, Sec. 13.11- Earned Federal Funds	0	(46,759)	0
Transfer - Employee Benefits	(44,631)	(53,702)	(44,631)
Total, Deductions	<u>\$(269,037)</u>	<u>\$(324,867)</u>	<u>\$(269,037)</u>
Ending Fund/Account Balance	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>

REVENUE ASSUMPTIONS:

forecast revenue = 2018 levels, 2019 is likely an anomaly

CONTACT PERSON:

Anthony Infantini or Robert Palm

4.D. Estimated Revenue Collections Supporting Schedule
 86th Regular Session, Fiscal Year 2020 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/22/2019
 TIME: 4:33:34PM

Agency Code: 454

Agency name: Department of Insurance

FUND/ACCOUNT	Exp 2018	Exp 2019	Bud 2020
5101 Subsequent Injury Fund			
Beginning Balance (Unencumbered):	\$81,949,887	\$88,354,661	\$80,789,938
Estimated Revenue:			
3869 Workers'CompDeathBenefits to State	10,977,729	10,356,183	10,667,000
Subtotal: Estimated Revenue	<u>10,977,729</u>	<u>10,356,183</u>	<u>10,667,000</u>
Total Available	<u>\$92,927,616</u>	<u>\$98,710,844</u>	<u>\$91,456,938</u>
DEDUCTIONS:			
Expended/Budgeted/Requested	(4,572,955)	(8,102,384)	(6,551,464)
Art VIII- Rider 12- SIF Additional Appropriation	0	(9,818,522)	0
Total, Deductions	<u>\$(4,572,955)</u>	<u>\$(17,920,906)</u>	<u>\$(6,551,464)</u>
Ending Fund/Account Balance	<u>\$88,354,661</u>	<u>\$80,789,938</u>	<u>\$84,905,474</u>

REVENUE ASSUMPTIONS:

Projected revenue is the average of the previous two (2) years normally it is 3 year average, but 2017 spiked and is being ignored this time

CONTACT PERSON:

Anthony Infantini or Robert Palm

4.D. Estimated Revenue Collections Supporting Schedule
86th Regular Session, Fiscal Year 2020 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/22/2019
TIME: 4:33:34PM

Agency Code: 454

Agency name: Department of Insurance

FUND/ACCOUNT	Exp 2018	Exp 2019	Bud 2020
<u>5138</u> Fire Prevention And Public Safety			
Beginning Balance (Unencumbered):	\$49,153	\$49,153	\$49,153
Estimated Revenue:			
Ending Fund/Account Balance	\$49,153	\$49,153	\$49,153

REVENUE ASSUMPTIONS:

CONTACT PERSON:

Anthony Infantini or Robert Palm

4.D. Estimated Revenue Collections Supporting Schedule
 86th Regular Session, Fiscal Year 2020 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/22/2019
 TIME: 4:33:34PM

Agency Code: 454

Agency name: Department of Insurance

FUND/ACCOUNT	Exp 2018	Exp 2019	Bud 2020
8042 Insurance Maint Tax Fees			
Beginning Balance (Unencumbered):	\$53,755,732	\$55,909,978	\$57,594,752
Estimated Revenue:			
3203 Insurance Comp Maintenance Tax	91,127,602	90,560,165	86,210,432
3206 Insurance Companies Fees	1,863,677	1,726,113	1,483,152
3215 Insurance Dept Fees - Misc	223,328	224,714	219,591
Subtotal: Estimated Revenue	<u>93,214,607</u>	<u>92,510,992</u>	<u>87,913,175</u>
Total Available	<u>\$146,970,339</u>	<u>\$148,420,970</u>	<u>\$145,507,927</u>
DEDUCTIONS:			
Reg Appns from MOF Table of GAA	(38,672,588)	(40,478,374)	(42,958,467)
Art IX, Sec. 18.59- Contingency for SB 1584	0	0	(96,098)
Art III - Forest Service Rider TIFMAS Grants	(1,000,000)	(1,000,000)	(1,000,000)
Art III - Texas A&M Forest Service	(25,540,660)	(23,919,592)	(25,191,651)
Art I - Attorney General	(4,680,901)	(3,730,977)	(4,372,308)
Art II - Department of State Health Services	(6,872,809)	(6,923,922)	(7,483,967)
Art VII - Texas Department of Transportation	(730,218)	(730,218)	(730,218)
Unemployment Reimbursement (GAA)	(10,362)	(6,974)	(8,260)
Art IX - Benefit Replacement Pay	(55,572)	(45,940)	(36,752)
Transfer - Employee Benefits (Ret)	(2,202,861)	(2,231,394)	(2,262,633)
Transfer - Employee Benefits (Ins)	(3,153,216)	(3,123,034)	(3,226,094)
Transfer - Employee Benefits (OASI)	(1,826,381)	(1,842,497)	(1,864,607)
Transfer - Employee Benefits (Matching)	(5,449,662)	(6,150,594)	(6,218,250)
Transfer to Comptroller (TIC Art. 201.052)	(865,131)	(642,702)	(687,204)
Total, Deductions	<u>\$(91,060,361)</u>	<u>\$(90,826,218)</u>	<u>\$(96,136,509)</u>
Ending Fund/Account Balance	<u>\$55,909,978</u>	<u>\$57,594,752</u>	<u>\$49,371,418</u>

REVENUE ASSUMPTIONS:

4.D. Estimated Revenue Collections Supporting Schedule
86th Regular Session, Fiscal Year 2020 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/22/2019
TIME: 4:33:34PM

Agency Code: **454**

Agency name: **Department of Insurance**

FUND/ACCOUNT

Exp 2018

Exp 2019

Bud 2020

CONTACT PERSON:

Anthony Infantini or Robert Palm

4.E. HOMELAND SECURITY FUNDING SCHEDULE - PART B NATURAL OR MAN-MADE DISASTERS

DATE: 11/22/2019
TIME: 4:34:01PM

86th Regular Session, Fiscal Year 2020 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **454** Agency name: **Department of Insurance**

CODE	DESCRIPTION	EXP 2018	EXP 2019	BUD 2020
OBJECTS OF EXPENSE				
1001	SALARIES AND WAGES	\$269,046	\$0	\$0
1002	OTHER PERSONNEL COSTS	\$2,477	\$0	\$0
2001	PROFESSIONAL FEES AND SERVICES	\$136,489	\$0	\$0
2003	CONSUMABLE SUPPLIES	\$204	\$0	\$0
2005	TRAVEL	\$89,853	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$191	\$0	\$0
TOTAL, OBJECTS OF EXPENSE		\$498,260	\$0	\$0
METHOD OF FINANCING				
8042	Insurance Maint Tax Fees	\$498,260	\$0	\$0
	Subtotal, MOF (General Revenue Funds)	\$498,260	\$0	\$0
TOTAL, METHOD OF FINANCE		\$498,260	\$0	\$0

FULL-TIME-EQUIVALENT POSITIONS

NO FUNDS WERE PASSED THROUGH TO LOCAL ENTITIES

NO FUNDS WERE PASSED THROUGH TO OTHER STATE AGENCIES OR INSTITUTIONS OF HIGHER EDUCATION

USE OF HOMELAND SECURITY FUNDS

TDI was appropriated funds in the regulatory response strategy in 2018 to respond to needs created by Hurricane Harvey.

4.E. HOMELAND SECURITY FUNDING SCHEDULE - PART B NATURAL OR MAN-MADE DISASTERS

DATE: 11/22/2019

Funds Passed through to Local Entities

TIME: 4:34:01PM

86th Regular Session, Fiscal Year 2020 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **454** Agency name: **Department of Insurance**

CODE	DESCRIPTION	EXP 2018	EXP 2019	BUD 2020
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4.E. HOMELAND SECURITY FUNDING SCHEDULE - PART B NATURAL OR MAN-MADE DISASTERS

DATE: 11/22/2019

Funds Passed through to State Agencies

TIME: 4:34:01PM

86th Regular Session, Fiscal Year 2020 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **454** Agency name: **Department of Insurance**

CODE	DESCRIPTION	EXP 2018	EXP 2019	BUD 2020
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4.F. Part A Budgetary Impacts Related to Recently Enacted State Legislation Schedule
86th Regular Session, Fiscal Year 2020 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/22/2019
TIME: 4:34:34PM

Agency code: 454

Agency name: Department of Insurance

Exp 2019 Bud 2020 Est 2021 Est 2022 Est 2023

Expanded or New Initiative: 1. Temporary Health Insurance Risk Pool

Legal Authority for Item:

Insurance Code, Chapter 1510, as amended by SB 1940, 86th Regular Session

Description/Key Assumptions (including start up/implementation costs and ongoing costs):

S.B. 1940 provides TDI the ability to create a temporary high risk pool and to apply for access to federal funds on an interim basis to administer this chapter should such funds become available under federal law, regulation, or executive action. The chapter expires August 31, 2021. No costs or FTEs are estimated at this time for this legislation as actual amounts will depend on further coordination with the federal government.

State Budget by Program: Contingency - Temporary Health Insurance Risk Pool

IT Component: No

Involve Contracts > \$50,000: No

4.F. Part A Budgetary Impacts Related to Recently Enacted State Legislation Schedule

86th Regular Session, Fiscal Year 2020 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/22/2019

TIME: 4:34:34PM

Agency code: 454

Agency name: Department of Insurance

	Exp 2019	Bud 2020	Est 2021	Est 2022	Est 2023
Expanded or New Initiative: 2. Agents License Alternative Continuing Education					
Legal Authority for Item: Insurance Code, Section 4101.062, as added by SB 1584, 86th Regular Session					
Description/Key Assumptions (including start up/implementation costs and ongoing costs): SB 1584 amends the Insurance Code to require the Texas Department of Insurance to accept a claims certification issued to a licensed insurance adjuster by a national or state claims association as satisfaction of any continuing education requirement imposed on the adjuster if the association and its certification program satisfy certain criteria. The increase in workload from the enactment of this bill created a fiscal impact and TDI was appropriated 2 FTEs and funding to implement the legislation.					
State Budget by Program: Financial Solvency					
IT Component: No					
Involve Contracts > \$50,000: No					
Objects of Expense					
Strategy: 1-3-1 PROCESS RATES, FORMS & LICENSES					
1001 SALARIES AND WAGES	\$0	\$90,048	\$90,048	\$90,048	\$90,048
1002 OTHER PERSONNEL COSTS	\$0	\$450	\$450	\$450	\$450
2003 CONSUMABLE SUPPLIES	\$0	\$1,070	\$1,070	\$1,070	\$1,070
2009 OTHER OPERATING EXPENSE	\$0	\$4,530	\$1,530	\$1,530	\$1,530
SUBTOTAL, Strategy 1-3-1	\$0	\$96,098	\$93,098	\$93,098	\$93,098
TOTAL, Objects of Expense	\$0	\$96,098	\$93,098	\$93,098	\$93,098
Method of Financing					
GENERAL REVENUE FUNDS					
Strategy: 1-3-1 PROCESS RATES, FORMS & LICENSES					
8042 Insurance Maint Tax Fees	\$0	\$96,098	\$93,098	\$93,098	\$93,098
SUBTOTAL, Strategy 1-3-1	\$0	\$96,098	\$93,098	\$93,098	\$93,098
SUBTOTAL, GENERAL REVENUE FUNDS	\$0	\$96,098	\$93,098	\$93,098	\$93,098
TOTAL, Method of Financing	\$0	\$96,098	\$93,098	\$93,098	\$93,098
FULL-TIME-EQUIVALENT POSITIONS (FTE)					
Strategy: 1-3-1 PROCESS RATES, FORMS & LICENSES	0.0	2.0	2.0	2.0	2.0
TOTAL FTES	0.0	2.0	2.0	2.0	2.0

4.F. Part B Summary of Costs Related to Recently Enacted State Legislation Schedule
 86th Regular Session, Fiscal Year 2020 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/22/2019
 TIME: 4:35:21PM

Agency code: 454

Agency name: Department of Insurance

ITEM EXPANDED OR NEW INITIATIVE	Exp 2019	Bud 2020	Est 2021	Est 2022	Est 2023
1 Temporary Health Insurance Risk Pool					
2 Agents License Alternative Continuing Education	\$0	\$96,098	\$93,098	\$93,098	\$93,098
Total, Cost Related to Expanded or New Initiatives	\$0	\$96,098	\$93,098	\$93,098	\$93,098
METHOD OF FINANCING					
GENERAL REVENUE FUNDS	\$0	\$96,098	\$93,098	\$93,098	\$93,098
Total, Method of Financing	\$0	\$96,098	\$93,098	\$93,098	\$93,098
FULL-TIME-EQUIVALENTS (FTES):	0.0	2.0	2.0	2.0	2.0