



LIFE SETTLEMENT PROVIDER DATA REPORT

1. This annual report is due no later than March 1st. Refer to [Texas Insurance Code Section 1111A.006](#).
2. Each provider must submit an annual report, whether or not any reportable transactions occurred.
3. If no reportable transactions occurred, complete page 1 only and submit.
4. Report transactions based on insureds who are Texas residents.
5. Numerical fields will only allow numbers; if the value is none or N/A, use the number 0.
6. Prior to submission, you may save with a unique name and print a copy for your records.
7. If the e-mail or print does not work, check the following:
 - This form uses Java Script. You must download, then fill out the form. Do not fill out on your browser.**
 - Make sure your PDF reader is capable of running Java Script.**
 - Make sure Java Script is enabled.**
 - Make sure your PDF reader is updated to the latest version.**
 - For further assistance, please contact your company's IT support.**
8. When submitting by e-mail, the form will attach as an .xml data attachment, not the form itself. The recipient is automatically populated, just click on the send button. You will not receive a confirmation e-mail.

Calendar Year _____

Provider Name: _____

Address: _____

City: _____ State: _____ Zip: _____

TDI License Number: _____

Contact Name: _____

Contact E-mail: _____

Phone Number: _____

Fax Number: _____

| 28 TAC §3.1760(b)(3)(A)-(C) Policy Issue Year | Total Number of Life Settlement Contracts entered into during: | Aggregate Face Amount of Policies Settled during: | Proceeds of Life Settlement Contract entered into during: |
|--|--|---|---|
| Past year (2017) | | | |
| 2 years ago (2016) | | | |
| 3 years ago (2015) | | | |
| 4 years ago (2014) | | | |
| 5 years ago (2013) | | | |

[28 TAC §3.1760\(3\)\(D\)](#)

| Full Name of each Insurance Company whose policies have been settled: | Full Name of each Broker that settled the policies: |
|---|---|
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[28 TAC §3.1760\(3\)\(E\)](#)

| Name of Life Settlement Broker who estimated Life Expectancies for a Life Settlement Contract | Life Settlement Broker's TDI License Number |
|---|---|
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