



Checklist for Withdrawal of Statutory Deposit

The following documents are required for an insurance company to withdraw securities on deposit, due to a reduction, dissolution, merger, or cancellation of the company's Certificate of Authority.

- ❑ A cover letter on company letterhead explaining the transaction being submitted. Include the company and custodian contact information (name, telephone number and email). If securities are held at the Texas Treasury Safekeeping Trust, specify where release of proceeds should be delivered/wired.
- ❑ [Statutory Deposit Transaction Form \(FIN407\)](#)
- ❑ Copy of the Texas Department of Insurance's approval of dissolution, merger, or cancellation of company's Certificate of Authority and evidence that the insurer has withdrawn from business and does not have any unsecured liabilities outstanding or potential policyholder liabilities or obligations in another state, country, or province.
- ❑ \$50.00 filing fee, submit with the [Statutory Deposit Fee Transmittal Form \(FIN406\)](#). Include "Withdrawal" and company name on the check's description line.

Submit a complete filing to: SDFilings@tdi.texas.gov & mail check with statutory deposit fee transmittal form to:

Texas Department of Insurance
Company Licensing and Registration MC103-CL
333 Guadalupe, Austin, TX 78701