


Notification to TDI to Act as a Life Settlement Broker For Life agents and General Lines, Life, Accident, and Health agents

To engage in the business of a life settlement broker pursuant to the provisions of [Texas Insurance Code \(TIC\), Chapter 1111A](#) and [28 Texas Administrative Code \(TAC\) Sections 3.1701 - 3.1760](#), you need a life settlement broker license. If you are a licensed Life agent or General Lines, Life, Accident, and Health agent, use this form to apply for your initial life settlement broker license or to renew an existing one.

Name _____

Texas license _____ Expiration date _____

 You must attach a current copy of your Texas Life agent or General Lines, Life, Accident, and Health agent license and life settlement license or registration from your domiciliary state.

- Will applicant act solely as a Life Expectancy Estimator? Yes No
- Initial applications must include the application fee of \$50 (submit with attached invoice)

With my signature below, I acknowledge that I will act as a life settlement broker pursuant to the provisions of TIC, Chapter IIIA, and 28 TAC Sections 3.1701 – 3.1760.

Full legal name _____ Agent signature _____

The state of _____ County of _____

Before me, _____, on this day appeared _____,
Printed name of notary public Printed name of licensee

known to me (or proved to me) on the oath of _____
Printed name of witness known to notary public

or through _____ to be the person whose name is subscribed to the
Description of identity card or other document

instrument and acknowledged to me that they executed the same for purposes and consideration therein expressed.

Given under my hand and seal of office this _____ day of _____, A.D. _____

(NOTARY SEAL)

Notary public signature

Notary public, State of _____

My commission expires _____

Sole proprietors

If you are registering as sole proprietor, you must attest to the following:

No other individuals (including staff) will engage in the business of a life settlement broker under my license, as defined by TIC Chapter 1111A. The business of a life settlement broker includes:

- Offering or attempting to negotiate a life settlement contract between an owner and a provider; or
- Estimating life expectancies for a life settlement contract.

I certify that the above is true: _____

Agent signature

If you are not a sole proprietor and the above does not apply to you, you must apply to be licensed as a corporation or a partnership, as appropriate. In that case, you must submit biographical affidavits for all officers, directors, shareholders (10% or more), designated employees, and any other individual who will be acting as a broker or provider as defined by TIC, Chapter 1111A.

Invoice

For an agent acting as a life settlement broker

Payment of application fee

Agent name _____

Federal employer identification number _____

Mailing address _____

City _____ State _____ ZIP _____ Phone number _____

You must return this form with the fee payment.

Note: Mail the application, application fee (make check payable to: Texas Department of Insurance), and this invoice to:

MC: CO-AAL

Agent and Adjuster Licensing

Texas Department of Insurance

PO Box 12030

Austin, TX 78711-2030

For TDI use only

Receipt Number	Amount	CRE Code
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