

**Life Agent Notification to TDI to Act as a Life Settlement Broker**  
***This form also applies to Life, Accident and Health agents***

This application is to be used by a life agent that intends to engage in the business of a life settlement broker pursuant to the provisions of Texas Insurance Code, Chapter 1111A, and 28 Texas Administrative Code Sections 3.1701 – 3.1760, for initial and renewal license.

Agent's Name: \_\_\_\_\_

Texas Life Agent License Number: \_\_\_\_\_ Expiration Date: \_\_\_\_\_

YOU MUST ATTACH A CURRENT COPY OF YOUR LIFE AGENT LICENSE AND LIFE SETTLEMENT LICENSE OR REGISTRATION FROM YOUR DOMICILIARY STATE.

**Will applicant act solely as a Life Expectancy Estimator? YES \_\_\_ NO \_\_\_**

\_\_\_ Initial Application, include payment of \$50 (submit with attached Invoice)

Please accept my signature below as notification of my acknowledgement that I will act as a life settlement broker pursuant to the provisions of Texas Insurance Code, Chapter 111A, and 28 Texas Administrative Code §§3.1701 – 3.1760.

\_\_\_\_\_  
Agent's Signature

\_\_\_\_\_  
Print Full Legal Name

THE STATE OF \_\_\_\_\_ §

COUNTY OF \_\_\_\_\_ §

Before me, \_\_\_\_\_, on this day personally appeared \_\_\_\_\_  
(printed name of notary public) (printed name of agent)

known to me to be or proved to me on the oath of \_\_\_\_\_,  
(printed name of witness known to notary public)

or through \_\_\_\_\_, to be the person whose name is subscribed to the  
(description of identity card or other document)

forgoing instrument, and acknowledged to me that he/she executed the same for the purposes and consideration therein expressed.

Given under my hand and seal of office this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_.

(Notary Seal)

\_\_\_\_\_  
(notary public signature)

Notary Public, State of \_\_\_\_\_

My Commission Expires \_\_\_\_\_

# INVOICE

## **FOR AN AGENT ACTING AS A LIFE SETTLEMENT BROKER**

### PAYMENT OF APPLICATION FEE

AGENT'S NAME \_\_\_\_\_

FEDERAL EMPLOYER IDENTIFICATION NUMBER \_\_\_\_\_

MAILING ADDRESS \_\_\_\_\_

CITY \_\_\_\_\_ STATE \_\_\_\_\_ ZIP CODE \_\_\_\_\_

PHONE NUMBER \_\_\_\_\_

***You must return this form with the fee payment.***

**PLEASE NOTE:**

**Mail the application, application fee (make check payable to the Texas Department of Insurance), and this invoice to:**

Texas Department of Insurance  
 Agent and Adjuster Licensing Office  
 Mail Code: CO-AAL  
 P.O. Box 12069  
 Austin TX 78711-2069

**FOR TDI USE ONLY**

RECEIPT NUMBER	AMOUNT	CRE CODE
		<b>93</b>

## NOTICE TO APPLICANTS REGISTERING AS SOLE PROPRIETORS

### You must attest to the following:

No other individuals (including staff) will engage in the business of a life settlement broker under my license, as defined by Texas Insurance Code Ch. 1111A. The business of a life settlement broker includes:

- Offering or attempting to negotiate a life settlement contract between an owner and a provider; or
- Estimating life expectancies for a life settlement contract

I certify that the above is true: \_\_\_\_\_  
(agent's signature)

If you are not a sole proprietor and the above does not apply to you, you must apply to be licensed as a corporation or a partnership, as appropriate. In that case, you must submit biographical affidavits for all officers, directors, shareholders (10 percent or more), designated employees, as well as any other individual who be acting as a broker or provider as defined by Texas Insurance Code Ch. 1111A.