

Premium Finance Company License Application (Form PF1)

Sole Proprietorship

Partnership

Corporation

Section I – Demographic Information

Name:

Applicant Name: _____

Assumed Name (DBA): _____

FEIN: _____

Physical Address:

Street: _____

City: _____ State: _____ Zip: _____

Mailing Address:

Street: _____

City: _____ State: _____ Zip: _____

Contact Person:

First Name: _____ MI: _____ Last Name: _____

Telephone number: _____ Fax Number: _____ Toll Free Number: _____

*Email: _____ Website: _____

Note:** An email address of a member of the public that is provided for the purpose of communicating electronically with a governmental body is confidential and not subject to disclosure. TEX. GOV'T CODE §552.137(a). However, a member of the public's email address may be released if the member of the public affirmatively consents to disclosure of the email address. TEX. GOV'T CODE §552.137(b). ***I consent to public disclosure of this email address.

Section II – Additional Requirements

The following documents are submitted as required:

- A. List of Principals ([FIN164 FORM PF2](#));
- B. Premium Finance Application Questionnaire ([FIN165 FORM PF3](#));
- C. Biographical Affidavit ([FIN166 FORM PF4](#)) for each individual named on FORM PF2;
- D. General statement of experience giving applicant's qualifications;
- E. List of Other States Licensure ([FIN167 FORM PF5](#));
- F. Appointment of Statutory Agent and Consent to Service ([FIN168 FORM PF6](#));
- G. Sworn financial statement;
- H. Sample Business Operation forms;
- I. \$400.00 Investigation Fee (NOTE: The \$200.00 License fee will be submitted upon application approval – Do Not Send with initial application.);

- J. Partnership Agreement (not required of sole proprietor or corporation);
- K. Certified copy of Assumed Name Certificate as on file with the County Clerk(s) and/or Secretary of State (Sole proprietor and partnership must file; corporation must file only if using a name other than their corporate name);
- L. Certified copy of Articles of Incorporation from the office of the Secretary of State or equivalent office in another state (not required of sole proprietor or partnership);
- M. Certified copy of Bylaws (not required of sole proprietor or partnership);
- N. Certified copy of Minutes (not required of sole proprietor or partnership);
- O. [Current Franchise Tax Certificate of Good Standing](#) or Letter of Exemption issued by the Texas Comptroller of Public Accounts (not required of sole proprietor or partnership);
- P. Certified copy of Certificate of Authority issued by the Texas Secretary of State (foreign corporations only).

Section III - Certification

I know of no reason under the provisions of the Texas Insurance Code why this applicant is not entitled to a license authorizing it to transact premium finance business in the State of Texas and hereby execute this form and upon oath affirm that all statements in it and in all supporting schedules, documents and exhibits are true, correct and are made for the purpose of securing the license indicated herein.

_____ (Signature) _____ (Date)

Officer Partner Sole Proprietor

Subscribed and sworn to before me, by the said _____ (Name and Title)

this _____ day of _____, 20 _____, to certify which witness my hand and seal of office.

(SEAL)

_____ Notary Public (Signature)

_____ Printed or Stamped Name

_____ County,

State of _____

My Commission Expires _____

TDI USE ONLY:

Application Complete Date: _____

Application Approved Date: _____

Approved By: _____ (Printed Name)

_____ (Signature)