

## Financial Regulation Division

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The Financial Regulation Division has responsibilities for licensing and monitoring insurance companies throughout their entire lifecycle, from initial formation, through subsequent surveillance, to initiating action revoking the authority to operate in Texas.

Mail Code 113-1F  
PO Box 12030  
Austin, TX 78711-2030

Phone: (512) 676-6365  
FAX: (512) 490-1038

Jamie Walker, Deputy Commissioner  
Ruby Cortez, Assistant to Deputy Commissioner

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## Company Licensing and Registration Office

The Company Licensing & Registration office is tasked with evaluating financial wherewithal and managerial capabilities related to entities seeking entrance into the Texas insurance marketplace. It reviews corporate transactions, maintains deposits and ensures that companies exit the Texas marketplace in a solvent and compliant manner.

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PO Box 12030  
Austin, TX 78711-2030

E-mail address: [CompanyLicense@tdi.texas.gov](mailto:CompanyLicense@tdi.texas.gov)  
Phone: (512) 676-6365  
FAX: (512) 490-1035

Robert Rudnai, Manager  
Stephanie Capparelli, Admissions Officer

## Financial Analysis Section

The Financial Analysis section monitors, supervises and assesses insurer and insurance group operations and solvency through the analysis of financial statements, business plans, regulatory filings, and regular dialogue with executive management of insurers and insurance groups. It also maintains financial statement files for companies domiciled in Texas.

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PO Box 12030  
Austin, TX 78711-2030

E-mail address: [FinancialAnalysis@tdi.texas.gov](mailto:FinancialAnalysis@tdi.texas.gov)  
Phone: (512) 676-6885  
FAX: (512) 490-1008

Amy Garcia, Associate Commissioner/Chief Analyst  
Elizabeth Luis, Assistant to Chief Analyst

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## Supervisory Interventions Office

The Supervisory Interventions office manages the regulatory oversight of insurance entities identified as being at risk of failure or otherwise operating in a manner hazardous to the public or its policyholders ensures the efficient administration of insurance company receiverships for the benefit of policyholders, receivership creditors and the taxpayers of Texas. It also serves as the liaison between TDI and the state insurance guaranty associations.

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PO Box 12030  
Austin, TX 78711-2030

E-mail address: [RLO@tdi.texas.gov](mailto:RLO@tdi.texas.gov)  
Phone: (512) 676-6530  
FAX: (512) 490-1023

John O. Alexander, Director  
Deona Sokol, Assistant to Director

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## Examinations Section

The Examinations section performs examinations of insurance companies, HMOs and other entities such as managing general agents, to evaluate a company's financial condition and compliance with statutory requirements, including treatment of policyholders and audits of title agents.

Mail Code 103-FE  
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Phone: (512) 676-6830  
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Andy Shuttleworth, Associate Commissioner  
Theresa Ramirez, Assistant to Associate Commissioner

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## Financial Solvency Examinations Office

Mail Code 103-FE  
PO Box 12030  
Austin, TX 78711-2030

Phone: (512) 676-6830  
FAX: (512) 490-1010

Shawn Frederick, Chief Financial Solvency Examiner  
LaTania Dudley, Assistant to Chief Financial Solvency Examiner

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### **Actuarial Office**

Actuarial staff performs actuarial examinations of insurance companies, HMOs, and other entities in conjunction with Financial Examinations staff. Also provides actuarial reviews and support to Financial Regulation Division and other parts of the Agency.

Mail Code 103-AC  
PO Box 12030  
Austin, TX 78711-2030

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Phone: (512) 676-6860  
FAX: (512) 490-1010

Mike Boerner, Chief Actuary  
Brie Gainer, Assistant to Chief Actuary

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### **Title Examinations Office**

Title Examiners perform compliance audits of title agents and agencies licensed in Texas.

Mail Code 103-2T  
PO Box 12030  
Austin, TX 78711-2030

Phone: (512) 676-6880  
FAX: (512) 490-1034

Arturo Bustamante, Director  
Lisa DeShay, Assistant to Director

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### **Market Conduct and Quality of Care Examinations Office**

The office performs market conduct examinations of insurers and other regulated entities such as managing general agents. The office also performs quality of care examinations of HMOs in order to determine compliance with Texas statutes and rules.

Mail Code 103-Exams  
PO Box 12030  
Austin, TX 78711-2030

E-mail address: [MarketConduct@tdi.texas.gov](mailto:MarketConduct@tdi.texas.gov)  
Phone: (512) 676-6830  
FAX: (512) 490-1013

Matt Tarpley, Chief Market Conduct Examiner  
Toi Powell, Assistant to Chief Market Conduct Examiner