

Fraternal

All domestic fraternal and commercially-domiciled fraternal must also refer to the [Holding Company Filings](#) section for additional filing instructions.

IMPORTANT: All annual and quarterly statements and NAIC supplements and forms that are filed with TDI must be bar coded in accordance with the instructions contained in the NAIC Annual Statement Instructions manual. Refer to the NAIC filing requirements checklist for [Fraternal](#).

Fraternal must file the following documents:

1. ANNUALLY ON OR BEFORE MARCH 1:

FINANCIAL ANALYSIS Domestic file paper copy with TDI	NAIC Domestic and Foreign file electronically with the NAIC
<ul style="list-style-type: none"> • Actuarial Opinion • Advertising Certificate of Compliance • All NAIC Annual Supplements due 3/1 • Annual Statement% • Annual Statement of the Separate Accounts* • Supplemental Compensation Exhibit^ • Texas Overhead Assessment Exemption Form# • Policy Count Exhibit (submit electronically) Form; Instructions 	<ul style="list-style-type: none"> • Actuarial Opinion • All NAIC Annual Supplements • Annual Statement% • Annual Statement of the Separate Accounts*

% Foreign insurers file signed Jurat with TDI

* If Applicable

^ Required of Texas domestic companies only

To be filed only by domestic companies that have qualified pension contracts under TIC §401.151

2. ANNUALLY ON OR BEFORE APRIL 1:

FINANCIAL ANALYSIS Domestic file paper copy with TDI	NAIC Foreign file electronically with the NAIC
<ul style="list-style-type: none"> • Management’s Discussion and Analysis • All NAIC Annual Supplements due 4/1 	<ul style="list-style-type: none"> • Management’s Discussion and Analysis

3. ANNUALLY ON OR BEFORE JUNE 30:

FINANCIAL ANALYSIS Domestic file paper copy with TDI	NAIC Foreign file electronically with the NAIC
<ul style="list-style-type: none"> • CPA Audited Financial Report* 	<ul style="list-style-type: none"> • CPA Audited Financial Report*

* Under Texas Insurance Code Article [401.006](#), generally companies are exempt from filing CPA audited financial reports if they write **both** less than \$1 million in direct Texas premiums and less than \$1 million in nationwide assumed reinsurance premiums. The Texas Only rule for a 6/30 filing date applies only if a Texas insurer **and all of its affiliated insurers** (if any) do business **only** in Texas. **NOTE: If a Texas company does business only in Texas, but its affiliates sell insurance outside of**

Texas, then the 6/1 date applies.

4. QUARTERLY ON OR BEFORE MAY 15, AUGUST 15 AND NOVEMBER 15:

<p style="text-align: center;">FINANCIAL ANALYSIS Domestic file paper copy with TDI</p>	<p style="text-align: center;">NAIC Foreign file electronically with the NAIC</p>
<ul style="list-style-type: none"> • All NAIC Quarterly Supplements • Quarterly Statement *% 	<ul style="list-style-type: none"> • All NAIC Quarterly Supplements • Quarterly Statement*%

*Beginning with first quarter, 2019, Fraternal entities file on the Life statement blank

% Foreign insurers file signed Jurat with TDI