

No. **2024-8894**

**Official Order
of the
Texas Commissioner of Insurance**

Date: 10/07/2024

Subject Considered:

Willis Towers Watson Northeast, Inc.
200 Liberty St.
New York, NY 10281

Consent Order
TDI Enforcement File No. 35294

General remarks and official action taken:

This is a consent order with Willis Towers Watson Northeast, Inc. (Willis Northeast). Willis Northeast failed to timely file surplus lines policies and related documents. Willis Northeast has agreed to pay an administrative penalty of \$9,150 for these violations.

Waiver

Willis Northeast acknowledges that the Texas Insurance Code and other applicable law provide certain rights. Willis Northeast waives all of these rights, and any other applicable procedural rights, in consideration of the entry of this consent order.

Findings of Fact

1. Willis Northeast, license number 14826, holds a surplus lines license issued by the Texas Department of Insurance.
2. Pursuant to TEX. INS. CODE § 981.105(a), a surplus lines insurance agent must file with the Surplus Lines Stamping Office of Texas either:
 - a. a copy of the policy issued, or
 - b. if the policy has not yet been issued, a copy of the certificate, cover note, or other confirmation of insurance delivered to the insured.

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This information is due not later than the 60th day after the later of the effective date or the issue date of new or renewal surplus lines insurance.

3. In 2023, Willis Northeast filed surplus lines policies and related documents late.
4. The commissioner previously disciplined Willis Northeast for violating TEX. INS. CODE § 981.105(a) in Official Order No. 2022-7596, entered on November 4, 2022.
5. The commissioner previously disciplined Willis Northeast for violating TEX. INS. CODE § 981.105(a) in Official Order No. 2023-8320, entered on November 2, 2023.

Conclusions of Law

1. The commissioner of insurance has jurisdiction over this matter pursuant to TEX. INS. CODE §§ 82.051-82.055, 84.021-84.044, and 981.105.
2. The commissioner of insurance has authority to informally dispose of this matter under TEX. INS. CODE § 82.055, TEX. GOV'T CODE § 2001.056, and 28 TEX. ADMIN. CODE § 1.47.
3. Willis Northeast has knowingly and voluntarily waived all procedural rights to which it may have been entitled regarding the entry of this order, including, but not limited to, issuance and service of notice of intention to institute disciplinary action, notice of hearing, a public hearing, a proposal for decision, rehearing by the commissioner, and judicial review.
4. Willis Northeast violated TEX. INS. CODE § 981.105(a) by failing to timely file new or renewal surplus lines policies and related documents.

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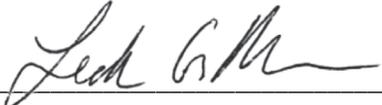
Order

It is ordered that Willis Towers Watson Northeast, Inc. must pay an administrative penalty of \$9,150 within 30 days from the date of this order. The administrative penalty must be paid as instructed in the invoice, which the department will send after entry of this order.

Signed by:

FC5D7EDDFFBB4F8...
Cassie Brown
Commissioner of Insurance

Recommended and reviewed by:


Leah Gillum, Deputy Commissioner
Fraud and Enforcement Division


Victor Moya III, Attorney
Enforcement

Affidavit

THE STATE OF New Jersey §

§

COUNTY OF Somerset §

Before me, the undersigned authority, personally appeared the affiant, who being by me duly sworn, deposed as follows:

"My name is Susan M Au. I am of sound mind, capable of making this statement, and have personal knowledge of these facts which are true and correct.

I hold the office of Vice President and am the authorized representative of Willis Towers Watson Northeast, Inc. I am duly authorized by the organization to execute this statement.

Willis Towers Watson Northeast, Inc has knowingly and voluntarily entered into the foregoing consent order and agrees with and consents to the issuance and service of the same by the commissioner of insurance of the state of Texas."

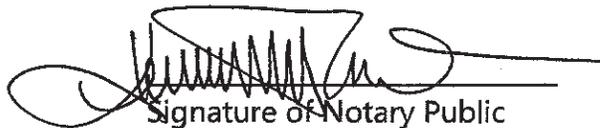


Affiant

SWORN TO AND SUBSCRIBED before me on September 26, 2024.

(NOTARY SEAL)

KIMBERLY R TAURIELLO
Notary Public
State of New Jersey
My Commission Expires Feb. 14, 2029
I.D.# 2443094


Signature of Notary Public

Kimberly R Tauriello
Printed Name of Notary Public