Official Order of the Texas Commissioner of Insurance

Date: <u>11/28/2022</u>

Subject Considered:

Brookside General Insurance Services Inc. P.O. Box 77758 Stockton, California 95267

Consent Order
TDI Enforcement File No. 30644

General remarks and official action taken:

This is a consent order with Brookside General Insurance Services Inc. Brookside General Insurance Services Inc. failed to timely file surplus lines policies and related documents. Brookside General Insurance Services Inc. has agreed to pay an administrative penalty of \$6,225 for these violations.

Waiver

Brookside General Insurance Services Inc. acknowledges that the Texas Insurance Code and other applicable law provide certain rights. Brookside General Insurance Services Inc. waives all of these rights, and any other applicable procedural rights, in consideration of the entry of this consent order.

Findings of Fact

1. Brookside General Insurance Services Inc., organization identification number 101246, holds a surplus lines license issued by the Texas Department of Insurance.

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- 2. Pursuant to Tex. Ins. Code § 981.105(a), a surplus lines insurance agent must file with the Surplus Lines Stamping Office of Texas either:
 - a. a copy of the policy issued or
 - b. if the policy has not yet been issued, a copy of the certificate, cover note, or other confirmation of insurance delivered to the insured.

This information is due not later than the 60th day after the later of the effective date or the issue date of new or renewal surplus lines insurance.

- 3. In 2021, Brookside General Insurance Services Inc. filed surplus lines policies late.
- 4. The commissioner previously disciplined Brookside General Insurance Services Inc. for violating Tex. Ins. Code § 981.105(a) in Official Order No. 2020-6257, entered on February 26, 2020.

Conclusions of Law

- 1. The commissioner of insurance has jurisdiction over this matter pursuant to TEX. INS. CODE §§ 82.051-82.055, 84.021-84.044, and 981.105.
- 2. The commissioner of insurance has authority to informally dispose of this matter under Tex. Ins. Code § 82.055, Tex. Gov't Code § 2001.056, and 28 Tex. Admin. Code § 1.47.
- 3. Brookside General Insurance Services Inc. has knowingly and voluntarily waived all procedural rights to which it may have been entitled regarding the entry of this order, including, but not limited to, issuance and service of notice of intention to institute disciplinary action, notice of hearing, a public hearing, a proposal for decision, rehearing by the commissioner, and judicial review.
- 4. Brookside General Insurance Services Inc. violated Tex. Ins. Code § 981.105(a) by failing to timely file new or renewal surplus lines policies and related documents.

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Order

It is ordered that Brookside General Insurance Services Inc. must pay an administrative penalty of \$6,225 within 30 days from the date of this order. The administrative penalty must be paid as instructed in the invoice, which the department will send after entry of this order.

DocuSigned by:

FC5D7EDDFFBB4F8.

Cassie Brown

Commissioner of Insurance

Recommended and reviewed by:

Leah Gillum, Deputy Commissioner Fraud and Enforcement Division

Sarah White, Attorney

Enforcement

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A 10	Affidavit
THE STATE OF <u>California</u>	5
S. Tan	§
COUNTY OF San Joaquin	§

Before me, the undersigned authority, personally appeared the affiant, who being by me duly sworn, deposed as follows:

"My name is Lehicic E. Mexical am of sound mind, capable of making this statement, and have personal knowledge of these facts which are true and correct.

I hold the office of ______ and am the authorized representative of Brookside General Insurance Services Inc. I am duly authorized by the organization to execute this statement.

Brookside General Insurance Services Inc. has knowingly and voluntarily entered into the foregoing consent order and agrees with and consents to the issuance and service of the same by the commissioner of insurance of the state of Texas."

Affiant

SWORN TO AND SUBSCRIBED before me on _

October 2/, 2022.

(NOTARY SEAL)

AMERICA KIMBERLY
ANDRADE
COMM # 2412088
SAN JOAQUIN County
California Notary Public
Comm Exp Aug. 1, 2026

Signature of Notary Public

Printed Name of Notary Public