No. 2022-7224

Official Order of the Texas Commissioner of Insurance

Date: 02/15/2022

Subject Considered:

Aon Risk Services Southwest Inc 4 Overlook PT Lincolnshire, Illinois 60069-4302

Consent Order TDI Enforcement File No. 28819

General remarks and official action taken:

This is a consent order with Aon Risk Services Southwest Inc (Aon Risk Services). Aon Risk Services failed to timely file surplus lines policies and related documents. Aon Risk Services has agreed to pay an administrative penalty of \$3,925 for these violations.

Waiver

Aon Risk Services acknowledges that the Texas Insurance Code and other applicable law provide certain rights. Aon Risk Services waives all of these rights, and any other applicable procedural rights, in consideration of the entry of this consent order.

Findings of Fact

- 1. Aon Risk Services, organization identification number 11341, holds a surplus lines license issued by the Texas Department of Insurance.
- 2. Pursuant to TEX. INS. CODE § 981.105(a), a surplus lines insurance agent must file with the Surplus Lines Stamping Office of Texas either:
 - a. a copy of the policy issued, or
 - b. if the policy has not yet been issued, a copy of the certificate, cover note, or other confirmation of insurance delivered to the insured.

This information is due not later than the 60th day after the later of the effective date or the issue date of new or renewal surplus lines insurance.

- 3. In 2020, Aon Risk Services filed surplus lines policies late.
- 4. The commissioner has previously twice disciplined Aon Risk Services for violating TEX. INS. CODE § 981.105(a) in Official Order No. 12-0108 entered in 2012, and No. 4525 entered in 2016.

Conclusions of Law

- 1. The commissioner of insurance has jurisdiction over this matter pursuant to TEX. INS. CODE §§ 82.051-82.055, 84.021-84.044, and 981.105.
- 2. The commissioner of insurance has authority to informally dispose of this matter under TEX. INS. CODE § 82.055, TEX. GOV'T CODE § 2001.056, and 28 TEX. ADMIN. CODE § 1.47.
- 3. Aon Risk Services has knowingly and voluntarily waived all procedural rights to which it may have been entitled regarding the entry of this order, including, but not limited to, issuance and service of notice of intention to institute disciplinary action, notice of hearing, a public hearing, a proposal for decision, rehearing by the commissioner, and judicial review.
- 4. Aon Risk Services violated TEX. INS. CODE § 981.105(a) by failing to timely file new or renewal surplus lines policies and related documents.

Order

It is ordered that Aon Risk Services Southwest Inc must pay an administrative penalty of \$3,925. The administrative penalty must be paid within 30 days from the date of this order. The administrative penalty must be paid as instructed in the invoice, which the department will send after entry of this order.

DocuSigned by: Anour FC5D7EDDFFBB4F8

Cassie Brown Commissioner of Insurance

2022-7224

Commissioner's Order Aon Risk Services Southwest Inc Page 3 of 4

Recommended and reviewed by:

61 Lett

Leah Gillum, Deputy Commissioner Enforcement Division

Sarah White, Attorney Enforcement Division

2022-7224

Commissioner's Order Aon Risk Services Southwest Inc. Page 4 of 4

Affidavit

THE STATE OF ILINOIS § § COUNTY OF have §

Before me, the undersigned authority, personally appeared the affiant, who being by me duly sworn, deposed as follows:

"My name is Kaci Jomlinson. I am of sound mind, capable of making this statement, and have personal knowledge of these facts which are true and correct.

I hold the office of Vice Vresident and am the authorized representative of Aon Risk Services Southwest Inc. I am duly authorized by the organization to execute this statement.

Aon Risk Services Southwest Inc has knowingly and voluntarily entered into the foregoing consent order and agrees with and consents to the issuance and service of the same by the commissioner of insurance of the state of Texas."

ffian

SWORN TO AND SUBSCRIBED before me on February 10, 2022

(NOTARY SEAL)

OFFICIAL SEAL NATALIE POLISHCHUK NOTARY PUBLIC - STATE OF ILLINOIS MY COMMISSION EXPIRES:10/26/23

Natage Polishchuk

Printed Name of Notary Public