

2020 - 6261
No. _____

**Official Order
of the
Texas Commissioner of Insurance**

Date: FEB 26 2020

Subject Considered:

HUB International Midwest Limited
55 E. Jackson Blvd, 14th Floor
Chicago, IL 60604

Consent Order
TDI Enforcement File No. 22388

General remarks and official action taken:

This is a consent order with HUB International Midwest Limited. HUB International Midwest Limited failed to timely file surplus lines policies and related documents. HUB International Midwest Limited has agreed to pay a \$6,675 administrative penalty for these violations.

Waiver

HUB International Midwest Limited acknowledges that the Texas Insurance Code and other applicable law provide certain rights. HUB International Midwest Limited waives all of these rights, and any other applicable procedural rights, in consideration of the entry of this consent order.

Findings of Fact

1. HUB International Midwest Limited, organization identification number 17913, holds a surplus lines license issued by the Texas Department of Insurance.

2. Pursuant to TEX. INS. CODE § 981.105(a), a surplus lines insurance agent must file with the Surplus Lines Stamping Office of Texas either:
 - a. a copy of the policy issued, or
 - b. if the policy has not yet been issued, a copy of the certificate, cover note, or other confirmation of insurance delivered to the insured.

This information is due not later than the 60th day after the later of the effective date or the issue date of new or renewal surplus lines insurance.

3. In 2018, HUB International Midwest Limited filed surplus lines policies late.
4. The commissioner previously disciplined HUB International Midwest Limited for violating TEX. INS. CODE § 981.105(a) in Official Order Nos. 3314, entered on May 25-8, 2014 and 2018-5751 entered on December 12, 2018.

Conclusions of Law

1. The commissioner of insurance has jurisdiction over this matter pursuant to TEX. INS. CODE §§ 82.051-82.055, 84.021-84.044, and 981.105.
2. The commissioner of insurance has authority to informally dispose of this matter under TEX. INS. CODE § 82.055, TEX. GOV'T CODE § 2001.056, and 28 TEX. ADMIN. CODE § 1.47.
3. HUB International Midwest Limited has knowingly and voluntarily waived all procedural rights to which it may have been entitled regarding the entry of this order, including, but not limited to, issuance and service of notice of intention to institute disciplinary action, notice of hearing, a public hearing, a proposal for decision, rehearing by the commissioner, and judicial review.
4. HUB International Midwest Limited violated TEX. INS. CODE § 981.105(a) by failing to timely file new or renewal surplus lines policies and related documents.

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It is ordered that HUB International Midwest Limited must pay an administrative penalty of \$6,675. The administrative penalty must be paid within 30 days from the date of this order. The administrative penalty must be paid by cashier's check or money order made payable to the "State of Texas." Mail the administrative penalty to the Texas Department of Insurance, Attn: Enforcement Division, Division 60851, MC 9999, P.O. Box 149104, Austin, Texas 78714-9104.

Kent C. Sullivan
Commissioner of Insurance

By:




Doug Slape
Chief Deputy Commissioner
Commissioner's Order No. 2018-5528

Recommended and reviewed by:



Leah Gillum, Deputy Commissioner
Enforcement Division



Nancy Williams, Attorney
Enforcement Division

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Affidavit

THE STATE OF Texas §

§

COUNTY OF Denton §

Before me, the undersigned authority, personally appeared the affiant, who being by me duly sworn, deposed as follows:

"My name is Scott Eckerty. I am of sound mind, capable of making this statement, and have personal knowledge of these facts which are true and correct.

I hold the office of President and am the authorized representative of HUB International Midwest Limited. I am duly authorized by the organization to execute this statement.

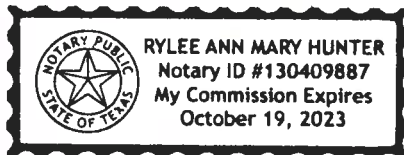
HUB International Midwest Limited has knowingly and voluntarily entered into the foregoing consent order and agrees with and consents to the issuance and service of the same by the commissioner of insurance of the state of Texas."

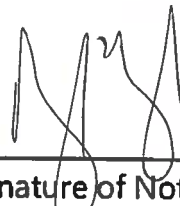


Affiant

SWORN TO AND SUBSCRIBED before me on December 2, 2019.

(NOTARY SEAL)





Signature of Notary Public

Rylee Hunter
Printed Name of Notary Public