Official Order of the Texas Commissioner of Insurance

Date: FEB 2 6 2020

Subject Considered:

Willis of Texas, Inc. 15305 Dallas Parkway, Suite No. 1100 Addison, Texas 75001

Consent Order TDI Enforcement File No. 22530

General remarks and official action taken:

This is a consent order with Willis of Texas, Inc. Willis of Texas, Inc. failed to timely file surplus lines policies and related documents. Willis of Texas, Inc. has agreed to pay a \$8,450 administrative penalty for these violations.

Waiver

Willis of Texas, Inc. acknowledges that the Texas Insurance Code and other applicable law provide certain rights. Willis of Texas, Inc. waives all of these rights, and any other applicable procedural rights, in consideration of the entry of this consent order.

Findings of Fact

1. Willis of Texas, Inc., organization identification number 11467, holds a surplus lines license issued by the Texas Department of Insurance.

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- 2. Pursuant to Tex. Ins. Code § 981.105(a), a surplus lines insurance agent must file with the Surplus Lines Stamping Office of Texas either:
 - a. a copy of the policy issued, or
 - b. if the policy has not yet been issued, a copy of the certificate, cover note, or other confirmation of insurance delivered to the insured.

This information is due not later than the 60th day after the later of the effective date or the issue date of new or renewal surplus lines insurance.

- 3. In 2018, Willis of Texas, Inc. filed surplus lines policies late.
- 4. Willis of Texas, Inc. did not timely pay the fees assessed pursuant to Tex. Ins. Code § 981.105(c-e).
- 5. The commissioner previously disciplined Willis of Texas, Inc. for violating Tex. INS. CODE § 981.105(a) in Official Order No. 2018-5769, entered on December 12, 2018.

Conclusions of Law

- 1. The commissioner of insurance has jurisdiction over this matter pursuant to Tex. INS. CODE §§ 82.051-82.055, 84.021-84.044, and 981.105.
- 2. The commissioner of insurance has authority to informally dispose of this matter under Tex. Ins. Code § 82.055, Tex. Gov't Code § 2001.056, and 28 Tex. Admin. Code § 1.47.
- 3. Willis of Texas, Inc. has knowingly and voluntarily waived all procedural rights to which it may have been entitled regarding the entry of this order, including, but not limited to, issuance and service of notice of intention to institute disciplinary action, notice of hearing, a public hearing, a proposal for decision, rehearing by the commissioner, and judicial review.
- 4. Willis of Texas, Inc. violated Tex. Ins. Code § 981.105(a) by failing to timely file new or renewal surplus lines policies and related documents.

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It is ordered that Willis of Texas, Inc. must pay an administrative penalty of \$8,450.00. The administrative penalty must be paid within 30 days from the date of this order. The administrative penalty must be paid by cashier's check or money order made payable to the "State of Texas." Mail the administrative penalty to the Texas Department of Insurance, Attn: Enforcement Division, Division 60851, MC 9999, P.O. Box 149104, Austin, Texas 78714-9104.

Kent C. Sullivan

Commissioner of Insurance

By:

Doug Slape

Chief Deputy Commissioner

Commissioner's Order No. 2018-5528

Recommended and reviewed by:

Leah Gillum, Deputy Commissioner

Enforcement Division

Allison J. Anglin, Staff Attorney

Enforcement Division

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Q1 1/1	Affidavit
THE STATE OF Mer York	§
	§
COUNTY OF MELYON	§

Before me, the undersigned authority, personally appeared the affiant, who being by me duly sworn, deposed as follows:

"My name is Susaw Hu. I am of sound mind, capable of making this statement, and have personal knowledge of these facts which are true and correct.

I hold the office of VicePresident and am the authorized representative of Willis of Texas, Inc. I am duly authorized by the organization to execute this statement.

Willis of Texas. Inc. has knowingly and voluntarily entered into the foregoing consent order and agrees with and consents to the issuance and service of the same by the commissioner of insurance of the state of Texas."

Affiant

SWORN TO AND SUBSCRIBED before me on

2019

(NOTARY SEAL)

Rita Stemberga NOTARY PUBLIC, STATE OF NEW YORK Registration No. 01ST8167192 Qualified in New York County Commission Expires May 29, 2023 Signature of Notary Public

Printed Name of Notary Public