No. 2020 - 6249

Official Order of the Texas Commissioner of Insurance

Date: FEB 2 6 2020

Subject Considered:

Chris Campbell
Alliant Insurance Services, Inc.

Santa Ana, California 92705

Consent Order
TDI Enforcement File No. 22381

General remarks and official action taken:

This is a consent order with Chris Campbell ("Campbell"). Campbell failed to timely file surplus lines policies and related documents. Campbell has agreed to pay a \$3,525.00 administrative penalty for these violations.

Waiver

Campbell acknowledges that the Texas Insurance Code and other applicable law provide certain rights. Campbell waives all of these rights, and any other applicable procedural rights, in consideration of the entry of this consent order.

Findings of Fact

1. Campbell, individual identification number 1590766, holds a surplus lines license issued by the Texas Department of Insurance.

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- 2. Pursuant to Tex. Ins. Code § 981.105(a), a surplus lines insurance agent must file with the Surplus Lines Stamping Office of Texas either:
 - a. a copy of the policy issued, or
 - b. if the policy has not yet been issued, a copy of the certificate, cover note, or other confirmation of insurance delivered to the insured.

This information is due not later than the 60th day after the later of the effective date or the issue date of new or renewal surplus lines insurance.

3. In 2018, Campbell filed surplus lines policies late.

Conclusions of Law

- 1. The commissioner of insurance has jurisdiction over this matter pursuant to Tex. INS. CODE §§ 82.051-82.055, 84.021-84.044, and 981.105.
- 2. The commissioner of insurance has authority to informally dispose of this matter under Tex. Ins. Code § 82.055, Tex. Gov't Code § 2001.056, and 28 Tex. Admin. Code § 1.47.
- 3. Campbell has knowingly and voluntarily waived all procedural rights to which Campbell may have been entitled regarding the entry of this order, including, but not limited to, issuance and service of notice of intention to institute disciplinary action, notice of hearing, a public hearing, a proposal for decision, rehearing by the commissioner, and judicial review.
- 4. Campbell violated Tex. Ins. Code § 981.105(a) by failing to timely file new or renewal surplus lines policies and related documents.

It is ordered that Chris Campbell must pay an administrative penalty of \$3,525.00. The administrative penalty must be paid within 30 days from the date of this order. The administrative penalty must be paid by cashier's check or money order made payable to the "State of Texas." Mail the administrative penalty to the Texas Department of Insurance, Attn: Enforcement Division, Division 60851, MC 9999, P.O. Box 149104, Austin, Texas 78714-9104.

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Kent C. Sullivan

Commissioner of Insurance

By:

Doug Slape

Chief Deputy Commissioner

Commissioner's Order No. 2018-5528

Recommended and reviewed by:

Leah Gillum, Deputy Commissioner

Enforcement Division

Anna Kalapach, Attorney

Enforcement Division

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Affidavit	
THE STATE OF <u>California</u> § COUNTY OF <u>Orange</u> §	
Before me, the undersigned authority, personaduly sworn, deposed as follows:	ally appeared the affiant, who being by me
"My name is Chris Campbell. I am of sound make personal knowledge of these facts which	,
I have knowingly and voluntarily entered into the and consent to the issuance and service of the the state of Texas." Chris Campbell	
SWORN TO AND SUBSCRIBED before me on _ (NOTARY SEAL)	, 2019.
	Signature of Notary Public
	Printed Name of Notary Public

CALIFORNIA JURAT WITH AFFIANT STATEMENT

GOVERNMENT CODE § 8202

See Attached Document (Notary to cross out ling See Statement Below (Lines 1–6 to be complete	nes 1–6 below) ed only by document signer(s), not Notary)
	,
3	
5	
5	
Signature of Document Signer No. 1	Signature of Document Signer No. 2 (if any)
A notary public or other officer completing this certificate to which this certificate is attached, and not the truthful	e verifies only the identity of the individual who signed the document lness, accuracy, or validity of that document.
tate of California	Subscribed and sworn to (or affirmed) before me
ounty of Orange	on this 19 day of November, 2019,
0	by A Date A has a Month Year
	(1) Chris campoeu
FRANCES M. BABICZ Notary Public - California Orange County Commission # 2150089 My Comm. Expires May 20, 2020	(and (2)),
	Name(s) of Signer(s)
	proved to me on the basis of satisfactory evidence to be the person(s) who appeared before me.
	To What he
Place Notary Seal and/or Stamp Above	Signature W. W.
•	Signature of Notary Public
	PPTIONAL ————————————————————————————————————
	an deter alteration of the document or this form to an unintended document.
Description of Attached Document	1
Title or Type of Document: ##ULD OV	der Dt Texas Affidavit
	Number of Pages:
Signer(s) Other Than Named Above:	