

No. 2018-5749

**OFFICIAL ORDER
of the
TEXAS COMMISSIONER OF INSURANCE**

Date: DEC 1 2 2018

Subject Considered:

ALLIANT INSURANCE SERVICES HOUSTON LLC
PO Box 120670
San Diego, California 92112

CONSENT ORDER
TDI ENFORCEMENT FILE NO. 16879

General remarks and official action taken:

The subject of this order is whether disciplinary action should be taken against Alliant Insurance Services Houston LLC (Alliant Houston).

WAIVER

Alliant Houston acknowledges that the Texas Insurance Code and other applicable law provide certain rights. Alliant Houston waives all of these rights, and any other applicable procedural rights, in consideration of the entry of this consent order.

FINDINGS OF FACT

1. Alliant Houston, organization identification number 18529, holds a surplus lines license issued by the Texas Department of Insurance.
2. Pursuant to TEX. INS. CODE § 981.105(a), a surplus lines insurance agent must file with the Surplus Lines Stamping Office of Texas either:
 - a. a copy of the policy issued, or
 - b. if the policy has not yet been issued, a copy of the certificate, cover note, or other confirmation of insurance delivered to the insured.

This information is due not later than the 60th day after the later of the effective date or the issue date of new or renewal surplus lines insurance.

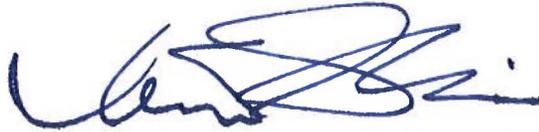
3. In 2017, Alliant Houston filed surplus lines policies late.

4. The commissioner previously disciplined Alliant Houston for violating TEX. INS. CODE § 981.105(a) in Official Order No. 12-0106, entered on February 6, 2012, and in Official Order No. 2017-5280, entered on November 14, 2017.

CONCLUSIONS OF LAW

1. The commissioner of insurance has jurisdiction over this matter pursuant to TEX. INS. CODE §§ 82.051-82.055, 84.021-84.044, and 981.105.
2. The commissioner of insurance has authority to informally dispose of this matter under TEX. INS. CODE § 82.055, TEX. GOV'T CODE § 2001.056, and 28 TEX. ADMIN. CODE § 1.47.
3. Alliant Houston has knowingly and voluntarily waived all procedural rights to which it may have been entitled regarding the entry of this order, including, but not limited to, issuance and service of notice of intention to institute disciplinary action, notice of hearing, a public hearing, a proposal for decision, rehearing by the commissioner, and judicial review.
4. Alliant Houston violated TEX. INS. CODE § 981.105(a) by failing to timely file new or renewal surplus lines policies and related documents.

It is ordered that Alliant Houston must pay an administrative penalty of \$20,150. The administrative penalty must be paid within 30 days from the date of this order. The administrative penalty must be paid by cashier's check or money order made payable to the "State of Texas." Mail the administrative penalty to the Texas Department of Insurance, Attn: Enforcement Section, Division 60851, MC 9999, P.O. Box 149104, Austin, Texas 78714-9104.



Kent C. Sullivan
Commissioner of Insurance

For the Department:



Natalie Olvera, Staff Attorney

2018- 5749

COMMISSIONER'S ORDER
Alliant Insurance Services Houston LLC
Page 3 of 3

Affidavit

THE STATE OF CA §

COUNTY OF San Diego §

Before me, the undersigned authority, personally appeared the affiant, who being by me duly sworn, deposed as follows:

"My name is Kenneth A. Zak. I am of sound mind, capable of making this statement, and have personal knowledge of these facts which are true and correct.

I hold the office of Sr. Vice President/Secretary and am the authorized representative of Alliant Insurance Services Houston LLC. I am duly authorized by the organization to execute this statement.

Alliant Insurance Services Houston LLC has knowingly and voluntarily entered into the foregoing consent order and agrees with and consents to the issuance and service of the same by the commissioner of insurance of the state of Texas."

[Handwritten Signature]
Affiant

SWORN TO AND SUBSCRIBED before me on 9/25, 2018.

(NOTARY STAMP)

[Handwritten Signature]
Signature of Notary Public

