OFFICIAL ORDER of the TEXAS COMMISSIONER OF INSURANCE

Date: FEB 28 2017

Subject Considered:

JEFFREY STEVEN AULT
1613 Sugarberry Ln
Austin, Texas 78748

CONSENT ORDER
TDI ENFORCEMENT FILE NO. 11710

General remarks and official action taken:

The commissioner of insurance considers whether disciplinary action should be taken against Jeffrey Steven Ault.

WAIVER

Ault acknowledges that the Texas Insurance Code and other applicable law provide certain rights. Ault waives all of these rights in consideration of the entry of this consent order.

FINDINGS OF FACT

The commissioner makes the following findings of fact:

1. Jeffrey Steven Ault, individual identification no. 1333848, holds a life agent license with a life agent/agency qualification that the department issued on June 12, 2014.


3. Goldberg hired Ault on October 11, 2010, as an agent at Goldberg and Associates. Although Ault was not licensed by the department at the time, Goldberg claims he believed Ault was a licensed agent at the time he hired Ault.

CONCLUSIONS OF LAW

The commissioner makes the following conclusions of law:

1. The commissioner has jurisdiction over this matter pursuant to TEX. INS. CODE §§ 82.001–82.056, 84.021–84.022, 4005.101–4005.103, and 4054.301, and TEX. GOV’T CODE §§ 2001.051–2001.178.

2. The commissioner has the authority to dispose of this case informally pursuant to the provisions of TEX. GOV’T CODE § 2001.056; TEX. INS. CODE §§ 36.104 and 82.055; and 28 TEX. ADMIN. CODE § 1.47.

3. Ault engaged in acts constituting the business of insurance without a license, as defined in TEX. INS. CODE §§ 101.051 and 4001.051, in violation of TEX. INS. CODE §§ 101.102, 4001.101 and 4054.301.

The commissioner of insurance orders Jeffrey Steven Ault to pay a $2,500.00 administrative penalty within 60 days from the date of this order. The administrative penalty must be paid by cashier’s check or money order made payable to the “State of Texas.” Mail the administrative penalty to the Texas Department of Insurance, Attn: Enforcement Section, Division 40111, MC 9999, P.O. Box 149104, Austin, Texas, 78714-9104.

David C. Mattax
Commissioner of Insurance
By: Kevin Brady, Deputy Commissioner
Delegation Order 4506

APPROVED AS TO FORM AND CONTENT:

Amy L. K. Wills
Staff Attorney
Compliance Division, Enforcement Section
Texas Department of Insurance
STATE OF TEXAS
COUNTY OF

Before me, the undersigned authority, personally appeared the affiant, who being by me duly sworn, deposed as follows:

"My name is Jeffrey Steven Ault. I am of sound mind, capable of making this statement, and have personal knowledge of these facts which are true and correct.

I waive rights provided by the Texas Insurance Code and other applicable law and acknowledge the jurisdiction of the commissioner.

I have knowingly and voluntarily entered into this consent order and agree with and consent to the issuance and service of the same by the commissioner of insurance of the State of Texas."

Affiant

SWORN TO AND SUBSCRIBED before me on 2/23/2017.

Signature of Notary Public