

No. 2017- 4964

**OFFICIAL ORDER  
of the  
TEXAS COMMISSIONER OF INSURANCE**

**Date:** FEB 28 2017

**Subject Considered:**

JEFFREY STEVEN AULT  
1613 Sugarberry Ln  
Austin, Texas 78748

**CONSENT ORDER**  
TDI ENFORCEMENT FILE NO. 11710

**General remarks and official action taken:**

The commissioner of insurance considers whether disciplinary action should be taken against Jeffrey Steven Ault.

**WAIVER**

Ault acknowledges that the Texas Insurance Code and other applicable law provide certain rights. Ault waives all of these rights in consideration of the entry of this consent order.

**FINDINGS OF FACT**

The commissioner makes the following findings of fact:

1. Jeffrey Steven Ault, individual identification no. 1333848, holds a life agent license with a life agent/agency qualification that the department issued on June 12, 2014.
2. Michael Niel Goldberg, individual identification no. 48302, holds a general lines agent license with a property and casualty qualification and a life, accident, and health qualification, originally issued by the department on May 13, 1987. Goldberg began doing business as Goldberg and Associates in 1996.
3. Goldberg hired Ault on October 11, 2010, as an agent at Goldberg and Associates. Although Ault was not licensed by the department at the time, Goldberg claims he believed Ault was a licensed agent at the time he hired Ault.
4. From October 11, 2010, to June 11, 2014, Ault engaged in the business of insurance without a license. His activities included quoting, soliciting, and issuing policies.

CONCLUSIONS OF LAW

The commissioner makes the following conclusions of law:

1. The commissioner has jurisdiction over this matter pursuant to TEX. INS. CODE §§ 82.001–82.056, 84.021–84.022, 4005.101–4005.103, and 4054.301, and TEX. GOV'T CODE §§ 2001.051–2001.178.
2. The commissioner has the authority to dispose of this case informally pursuant to the provisions of TEX. GOV'T CODE § 2001.056; TEX. INS. CODE §§ 36.104 and 82.055; and 28 TEX. ADMIN. CODE § 1.47.
3. Ault engaged in acts constituting the business of insurance without a license, as defined in TEX. INS. CODE §§ 101.051 and 4001.051, in violation of TEX. INS. CODE §§ 101.102, 4001.101 and 4054.301.

The commissioner of insurance orders Jeffrey Steven Ault to pay a \$2,500.00 administrative penalty within 60 days from the date of this order. The administrative penalty must be paid by cashier's check or money order made payable to the "State of Texas." Mail the administrative penalty to the Texas Department of Insurance, Attn: Enforcement Section, Division 40111, MC 9999, P.O. Box 149104, Austin, Texas, 78714-9104.



David C. Mattax  
Commissioner of Insurance  
By: Kevin Brady, Deputy Commissioner  
Delegation Order 4506

APPROVED AS TO FORM AND CONTENT:



Amy L. K. Wills  
Staff Attorney  
Compliance Division, Enforcement Section  
Texas Department of Insurance

AFFIDAVIT

STATE OF TEXAS

COUNTY OF Williamson

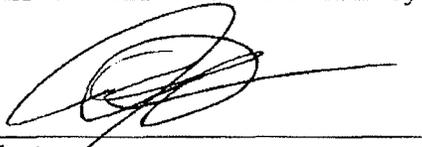
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Before me, the undersigned authority, personally appeared the affiant, who being by me duly sworn, deposed as follows:

"My name is Jeffrey Steven Ault. I am of sound mind, capable of making this statement, and have personal knowledge of these facts which are true and correct.

I waive rights provided by the Texas Insurance Code and other applicable law and acknowledge the jurisdiction of the commissioner.

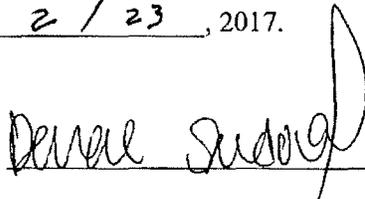
I have knowingly and voluntarily entered into this consent order and agree with and consent to the issuance and service of the same by the commissioner of insurance of the State of Texas."



\_\_\_\_\_  
Affiant

SWORN TO AND SUBSCRIBED before me on 2 / 23, 2017.

(NOTARY STAMP)



Signature of Notary Public

