

No. **4498**

**OFFICIAL ORDER  
of the  
TEXAS COMMISSIONER OF INSURANCE**

Date: JUN 07 2016

**Subject Considered:**

CARLISS DONALD DYKES  
8403 Redhart  
Arlington, Texas 76002

**CONSENT ORDER**  
TDI ENFORCEMENT FILE NO. 10006

**General remarks and official action taken:**

The commissioner of insurance considers whether disciplinary action should be taken against Carliss Donald Dykes.

**Waiver**

Dykes acknowledges that the Texas Insurance Code and other applicable law provide certain rights relating to the subject matter of any disciplinary proceeding and how it is conducted. Dykes waives all of these rights in consideration of the entry of this consent order.

**Findings of Fact**

1. Dykes, individual identification no. 510490, holds a general lines life, accident, and health license originally issued by the Texas Department of Insurance on September 20, 2002.
2. Dykes failed to inform TDI timely of the following administrative actions:
  - a. A 2007 offer of settlement from the National Association of Securities Dealers (NASD) assessing a \$10,000 fine and suspending Dykes from association with any NASD member for three months.
  - b. A 2011 order of summary denial and issuance of a restricted license from the California Department of Insurance for failure to disclose the 2007 NASD administrative action.

- c. A 2011 order denying Dykes' application for a non-resident insurance license from the Missouri Department of Insurance for failure to disclose the 2007 NASD administrative action and the California order.
- d. A 2013 consent order from the Delaware Department of Insurance issued for failure to report administrative actions taken against Dykes by the states of Missouri and California and the 2007 NASD administrative action. The Delaware order assessed a \$500 administrative penalty against Dykes.
- e. A 2013 settlement order from the Virginia Bureau of Insurance issued for failure to report administrative actions taken against Dykes by the states of California and Missouri and for providing materially incorrect, misleading, incomplete or untrue information in his license application. The Virginia order assessed a \$500 administrative penalty.

**Conclusions of Law**

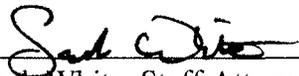
1. The commissioner has jurisdiction over this matter pursuant to TEX. INS. CODE §§ 82.001-82.056, 84.021-84.022, 4005.101-4005.102, and 4054.051; and TEX. GOV'T CODE §§ 2001.051-2001.178.
2. The commissioner has the authority to dispose of this case informally pursuant to the provisions of TEX. GOV'T CODE § 2001.056, TEX. INS. CODE §§ 36.104 and 82.055, and 28 TEX. ADMIN. CODE § 1.47.
3. Dykes violated TEX. INS. CODE § 81.003 for failing to notify the department and failing to deliver a copy of any applicable order or judgment to the department within 30 days of the occurrence of the imposition of any penalty, forfeiture, or sanction for a violation of the insurance laws of another state.
4. Dykes violated TEX. INS. CODE § 4001.252(a)(3) by failing to notify the department on a monthly basis of any administrative actions taken against him by a financial or insurance regulator of another state or the federal government.

The commissioner orders Carliss Donald Dykes to pay a \$500 administrative penalty within 30 days from the date of this order. The administrative penalty must be paid by cashier's check or money order made payable to the "State of Texas." Mail the administrative penalty to Texas Department of Insurance, Attn: Enforcement Section, Division 40111, MC 9999, P.O. Box 149104, Austin, Texas 78714-9104.



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David C. Mattax  
Commissioner of Insurance

APPROVED AS TO FORM AND CONTENT:



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Sarah White, Staff Attorney  
Texas Department of Insurance

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Commissioner's Order  
Carliss Donald Dykes  
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**AFFIDAVIT**

STATE OF TEXAS

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COUNTY OF TARRANT

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Before me, the undersigned authority, personally appeared the affiant, who being by me duly sworn, deposed as follows:

"My name is Carliss Donald Dykes. I am of sound mind, capable of making this statement, and have personal knowledge of these facts which are true and correct.

I am waiving rights provided by the Texas Insurance Code and other applicable law and acknowledge the jurisdiction of the commissioner of insurance.

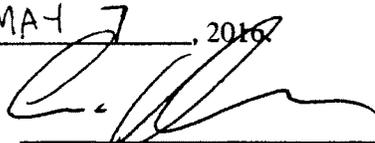
I voluntarily enter into this consent order and consent to the issuance and service of this consent order."



Affiant

SWORN TO AND SUBSCRIBED before me on MAY 7, 2016.

(NOTARY STAMP)



Signature of Notary Public

