

No. **2024-8819**

**Official Order
of the
Texas Commissioner of Workers' Compensation**

Date: 9/14/2024

Subject Considered:

Taylor Woods Lewis, M.D.
KSF Orthopedic Center PA
17270 Red Oak Drive
Houston, Texas 77090-2632

Consent Order
DWC Enforcement File No. 33792

General remarks and official action taken:

This is a consent order for Taylor Woods Lewis, M.D. (Respondent). The commissioner of the Texas Department of Insurance, Division of Workers' Compensation (DWC) considers whether DWC should take disciplinary action against Respondent.

Waiver

Respondent acknowledges that the Texas Labor Code and other applicable laws provide certain rights. Respondent waives all of these rights, and any other procedural rights that apply, in consideration of the entry of this consent order.

Findings of Fact

1. Respondent is a health care provider operating in the Texas workers' compensation system.
2. Respondent was not selected in any Performance Based Oversight (PBO) assessments.

Failure to Timely Comply with a DWC Order to Produce Documents

3. On [REDACTED], DWC ordered Respondent to produce legible, single-sided copies of documents pertaining to a Medical Quality Review (MQR) Plan-Based-Audit, identified as MQR 24-0008.
4. Specifically, DWC ordered Respondent to produce documents related to the identified Injured Employee(s), including the following:
 - (a) Initial evaluation, all progress notes, consults/referral notes and all procedure/operative notes, psychological testing/referrals, etc.
 - (b) Results of all diagnostic studies to include drug and laboratory testing results, neurological testing, reports of MRIs, CT Scan, X-Rays, etc.
 - (c) History of prescriptions for all pharmaceuticals.
 - (d) Preauthorization requests along with supporting documentation.
 - (e) Rehabilitation documentation (e.g., physical/occupational therapy, functional restoration program notes, etc.).
5. On [REDACTED], Respondent received the order at his office address. The deadline to produce the documents was [REDACTED].
6. Respondent ultimately complied with the Commissioner's Order for Production of Documents after being contacted by DWC enforcement.

Assessment of Sanction

1. Timely submitting information to DWC and complying with DWC orders is imperative to DWC's ability to implement and enforce the Texas Workers' Compensation Act.
2. In assessing the sanction for this case, DWC fully considered the following factors in Tex. Lab. Code § 415.021(c) and 28 Tex. Admin. Code § 180.26(e):
 - the seriousness of the violation, including the nature, circumstances, consequences, extent, and gravity of the prohibited act;
 - the history and extent of previous administrative violations;
 - the violator's demonstration of good faith, including actions it took to rectify the consequences of the prohibited act;
 - the penalty necessary to deter future violations;

- whether the administrative violation had a negative impact on the delivery of benefits to an injured employee;
 - the history of compliance with electronic data interchange requirements;
 - to the extent reasonable, the economic benefit resulting from the prohibited act; and
 - other matters that justice may require, including, but not limited to:
 - PBO assessments;
 - prompt and earnest actions to prevent future violations;
 - self-report of the violation;
 - the size of the company or practice;
 - the effect of a sanction on the availability of health care; and
 - evidence of heightened awareness of the legal duty to comply with the Texas Workers' Compensation Act and DWC rules.
3. DWC found the following factors in Tex. Lab. Code § 415.021(c) and 28 Tex. Admin. Code § 180.26(e) to be aggravating: the seriousness of the violation; the violator's lack of good faith; the penalty necessary to deter future violations; and other matters that justice may require, including evidence of heightened awareness of the legal duty to comply with the Texas Workers' Compensation Act and DWC rules.
4. DWC considered the following mitigating factors pursuant to Tex. Lab. Code § 415.021(c) and 28 Tex. Admin. Code § 180.26(e): Respondent has no history of administrative violations.
5. Respondent acknowledges communicating with DWC about the relevant statute and rule violations alleged; that the facts establish that the administrative violation(s) occurred; and that the proposed sanction is appropriate, including the factors DWC considered under Tex. Lab. Code § 415.021(c) and 28 Tex. Admin. Code § 180.26(e).
6. Respondent acknowledges that, in assessing the sanction, DWC considered the factors in Tex. Lab. Code § 415.021(c) and 28 Tex. Admin. Code § 180.26(e).

Conclusions of Law

1. The commissioner has jurisdiction over this matter pursuant to Tex. Lab. Code §§ 402.001, 402.00114, 402.00116, 402.00128, 414.002, and 414.003.

2. The commissioner has the authority to dispose of this case informally pursuant to Tex. Gov't Code § 2001.056, Tex. Lab. Code §§ 401.021 and 402.00128(b)(6)-(7), and 28 Tex. Admin. Code § 180.26(h) and (i).
3. Respondent has knowingly and voluntarily waived all procedural rights to which it may have been entitled regarding the entry of this order, including, but not limited to, issuance and service of notice of intent to institute disciplinary action, notice of hearing, a public hearing, a proposal for decision, a rehearing by the commissioner, and judicial review.
4. Pursuant to Tex. Lab. Code § 415.021, the commissioner may assess an administrative penalty against a person who commits an administrative violation.
5. Pursuant to Tex. Lab. Code §§ 415.0035(e) and 415.021(a), a person regulated by DWC under this title commits an administrative violation if they violate the Texas Workers' Compensation Act, a DWC rule, or a commissioner's order or decision.
6. Pursuant to 28 Tex. Admin. Code § 102.9, DWC requires those subject to the Texas Workers' Compensation Act to provide information as necessary. DWC may follow up on a request for information by issuing an order to produce information.
7. Respondent violated Tex. Lab. Code §§ 415.0035(e); 415.021(a); and 28 Tex. Admin. Code § 102.9 by failing to comply with a DWC order to produce documents.

Order

It is ordered that Taylor Woods Lewis, M.D must pay an administrative penalty of \$750.00 within 30 days from the date the Commissioner signs the order.

After receiving an invoice, Respondent must pay the administrative penalty by electronic transfer using the State Invoice Payment Service, company check, cashier's check, or money order and make it payable to the "State of Texas." Mail the administrative penalty to the Texas Department of Insurance, Attn: DWC Enforcement Section, MC AO-9999, PO Box 12030, Austin, Texas 78711-2030.



Jeff Nelson
Commissioner
TDI, Division of Workers' Compensation

Approved Form and Content:



Dan Garcia
Staff Attorney, Enforcement
Compliance and Investigations
TDI, Division of Workers' Compensation

Commissioner's Order
Taylor Woods Lewis, M.D
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Unsworn Declaration

STATE OF Texas §

§

COUNTY OF Harris §

Pursuant to the Tex. Civ. Prac. and Rem. Code § 132.001(a), (b), and (d), my name is:
Taylor Woods Lewis My license number
(First) (Middle) (Last)
is S0178, which was issued by the Texas Medical Board
(Licensing agency/board/entity)

My business address is:
17270 Red Oak Dr. Ste 200, Houston, Harris, Texas, 77090
(Street) (City) (County) (State) (ZIP Code)

I am executing this declaration as part of my assigned duties and responsibilities. I declare under penalty of perjury that the facts stated in this document are true and correct.



Declarant

Executed on September 3rd, 2024.