

## CPA Audited Financial Statements – Intent Form

(To be filed by Texas Domestic only, on or before December 31)

NAIC No \_\_\_\_\_ Company Name \_\_\_\_\_

Address \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

Contact \_\_\_\_\_ Phone \_\_\_\_\_ Email \_\_\_\_\_

Check the appropriate box(s) below to indicate intention for filing the CPA Audited Financial Statements.

**Register a CPA:** Providing the Accountant information below indicates you intend to file an audited financial report for year:

a. Designation of Accountant **must be indicated below** and filed on or before December 31.

Name of Firm \_\_\_\_\_

Address \_\_\_\_\_

City/State/Zip \_\_\_\_\_

b. Registration of Accountant: A letter signed by the accountant indicating awareness of the Requirements of ([TIC § 401.014](#)) of **MUST** be attached to this form and filed on or before December 31.

c. Is this the same CPA firm that prepared the prior year financial statements?      **Yes**      **No**

**File a consolidated audited financial report:** A letter requesting permission to file a consolidated audited financial report must be filed on or before December 31. Include a listing of companies in consolidation.

**Request an exemption:** Submit Intent Form ([FIN 244](#)) by year end and submit the Affidavit for Exemption from Filing CPA Report ([FIN246](#)) by the due date\*.

\_\_\_\_\_  
Name of Officer (Print)

\_\_\_\_\_  
Title of Officer

\_\_\_\_\_  
Signature of Officer

\_\_\_\_\_  
Date

\* Companies are exempt from filing CPA audited financial reports if they write **both** less than \$1 million in direct Texas premiums and assume less than \$1 million of reinsurance premiums ([TIC § 401.006](#)). If a Texas insurer is licensed only in Texas and does business only in Texas, the filing is due on or before 06/30. If a Texas company is licensed in more than one state or reinsures business covering risks in at least one state other than Texas, the filing is due on or before 6/1.