

No. 2017- 5232

**OFFICIAL ORDER  
of the  
TEXAS COMMISSIONER OF INSURANCE**

Date: OCT 0 2 2017

**Subject Considered:**

RLA INSURANCE INTERMEDIARIES, LLC  
75 Federal St., Suite 1250  
Boston, Massachusetts 02110

**CONSENT ORDER**  
TDI ENFORCEMENT FILE NO. 14091

**General remarks and official action taken:**

This order is in consideration of whether disciplinary action should be taken against RLA Insurance Intermediaries, LLC for failure to timely file new or renewal surplus lines insurance policies with the Surplus Lines Stamping Office of Texas.

**WAIVER**

RLA Insurance Intermediaries, LLC acknowledges that the Texas Insurance Code and other applicable law provide certain rights. RLA Insurance Intermediaries, LLC waives all of these rights, and any other applicable procedural rights, in consideration of the entry of this consent order.

**FINDINGS OF FACT**

1. RLA Insurance Intermediaries, LLC, organization identification number 37789, holds a surplus lines license issued by the Texas Department of Insurance.
2. Pursuant to TEX. INS. CODE § 981.105(a), a surplus lines insurance agent must file with the Surplus Lines Stamping Office of Texas either:
  - a. a copy of the policy issued, or
  - b. if the policy has not yet been issued, a copy of the certificate, cover note, or other confirmation of insurance delivered to the insured.

This information is due not later than the 60<sup>th</sup> day after the later of the effective date or the issue date of new or renewal surplus lines insurance.

3. In 2016, RLA Insurance Intermediaries, LLC filed surplus lines policies late.

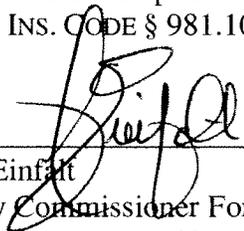
- 4. The commissioner previously disciplined RLA Insurance Intermediaries, LLC for violating TEX. INS. CODE § 981.105(a) in Official Order No. 3644, entered on November 6, 2014, and in Official Order No. 2016-4709, entered on October 7, 2016.

**CONCLUSIONS OF LAW**

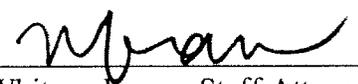
- 1. The commissioner of insurance has jurisdiction over this matter pursuant to TEX. INS. CODE § 981.105.
- 2. The commissioner of insurance has authority to informally dispose of this matter under TEX. INS. CODE § 82.055, TEX. GOV'T CODE § 2001.056, and 28 TEX. ADMIN. CODE § 1.47.
- 3. RLA Insurance Intermediaries, LLC has knowingly and voluntarily waived all procedural rights to which it may have been entitled regarding the entry of this order, including, but not limited to, issuance and service of notice of intention to institute disciplinary action, notice of hearing, a public hearing, a proposal for decision, rehearing by the commissioner, and judicial review.
- 4. RLA Insurance Intermediaries, LLC violated TEX. INS. CODE § 981.105(a) by failing to timely file new or renewal surplus lines policies and related documents.

RLA Insurance Intermediaries, LLC is ordered to pay an administrative penalty of \$11,750. The administrative penalty must be paid within 30 days from the date of this order. The administrative penalty must be paid by cashier's check or money order made payable to the "State of Texas." Mail the administrative penalty to the Texas Department of Insurance, Attn: Enforcement Section, Division 60851, MC 9999, P.O. Box 149104, Austin, Texas 78714-9104.

The commissioner further orders RLA Insurance Intermediaries, LLC to implement internal policies, procedures, and other safeguards designed to ensure future surplus lines policies and related documents are timely filed in compliance with TEX. INS. CODE § 981.105(a).

  
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 Mark Einfalt  
 Deputy Commissioner For Compliance  
 Texas Department of Insurance  
 Delegation Order 4506

For the Department:

  
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 Whitney Fraser, Staff Attorney

