

## CPA Audited Financial Statements – Intent Form

(To be filed by Texas Domestics only, on or before December 31)

NAIC # \_\_\_\_\_ Company name \_\_\_\_\_

Address \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ ZIP \_\_\_\_\_

Contact \_\_\_\_\_ Phone \_\_\_\_\_ Email \_\_\_\_\_

Check the appropriate box(s) below to indicate intention for filing the CPA Audited Financial Statements.

**Register a CPA:** Providing the Accountant information below indicates you intend to file an audited financial report for year: \_\_\_\_\_

a. Designation of Accountant **must be indicated below** and filed on or before December 31.

Name of firm \_\_\_\_\_

Address \_\_\_\_\_

City / State / ZIP \_\_\_\_\_

b. Registration of Accountant: A letter signed by the accountant indicating awareness of the requirements of [TIC Section 401.014](#) **must** be attached to this form and filed on or before December 31.

c. Is this the same CPA firm that prepared the prior year financial statements:  **Yes**  **No**

**File a combined or consolidated audited financial report:** A letter requesting permission to file consolidated must be filed on or before December 31. Include a listing of companies in consolidation.

**Request an exemption:** Submit Intent Form ([FIN 244](#)) by year end and submit the Affidavit for Exemption from Filing CPA Report ([FIN246](#)) by the due date.\*

\_\_\_\_\_  
Name of officer (print)

\_\_\_\_\_  
Title of Officer

\_\_\_\_\_  
Signature of officer

\_\_\_\_\_  
Date

\* Companies are exempt from filing CPA audited financial reports if they write **both** less than \$1 million in direct Texas premiums and less than \$1 million in nationwide assumed reinsurance premiums ([TIC Section 401.006](#)). If a Texas insurer **and all its affiliated insurers** (if any) do business only in Texas, the filing is due on or before 06/30. **If a Texas company does business only in Texas, but its affiliates sell insurance outside of Texas, the filing is due on or before 6/1.**