

No. 2022-7199

**Official Order  
of the  
Texas Commissioner of Insurance**

**Date:** 02/01/2022

**Subject Considered:**

Alliant Insurance Services Houston LLC  
PO Box 120670  
San Diego, California 92112

Consent Order  
TDI Enforcement File No. 28818

**General remarks and official action taken:**

This is a consent order with Alliant Insurance Services Houston LLC (Alliant Houston). Alliant Houston failed to timely file surplus lines policies and related documents. Alliant Houston has agreed to pay an administrative penalty of \$15,375 for these violations.

**Waiver**

Alliant Houston acknowledges that the Texas Insurance Code and other applicable law provide certain rights. Alliant waives all of these rights, and any other applicable procedural rights, in consideration of the entry of this consent order.

**Findings of Fact**

1. Alliant Insurance Services Houston LLC, firm identification number 18529, holds a surplus lines agency license issued by the Texas Department of Insurance.
2. Pursuant to TEX. INS. CODE § 981.105(a), a surplus lines insurance agent must file with the Surplus Lines Stamping Office of Texas either:
  - a. a copy of the policy issued or
  - b. if the policy has not yet been issued, a copy of the certificate, cover note, or other confirmation of insurance delivered to the insured.

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This information is due not later than the 60th day after the later of the effective date or the issue date of new or renewal surplus lines insurance.

3. In 2020, Alliant Houston filed surplus lines policies late.
4. The commissioner previously disciplined Alliant Houston for violating TEX. INS. CODE § 981.105(a) in Official Order No. 12-0106, entered on February 6, 2012.
5. The commissioner previously disciplined Alliant Houston for violating TEX. INS. CODE § 981.105(a) in Official Order No. 2017-5280, entered on November 14, 2017.
6. The commissioner previously disciplined Alliant Houston for violating TEX. INS. CODE § 981.105(a) in Official Order No. 2018-5749, entered on December 12, 2018.

### Conclusions of Law


1. The commissioner of insurance has jurisdiction over this matter pursuant to TEX. INS. CODE §§ 82.051-82.055, 84.021-84.044, and 981.105.
2. The commissioner of insurance has authority to informally dispose of this matter under TEX. INS. CODE § 82.055, TEX. GOV'T CODE § 2001.056, and 28 TEX. ADMIN. CODE § 1.47.
3. Alliant Houston has knowingly and voluntarily waived all procedural rights to which it may have been entitled regarding the entry of this order, including, but not limited to, issuance and service of notice of intention to institute disciplinary action, notice of hearing, a public hearing, a proposal for decision, rehearing by the commissioner, and judicial review.
4. Alliant Houston violated TEX. INS. CODE § 981.105(a) by failing to timely file new or renewal surplus lines policies and related documents.

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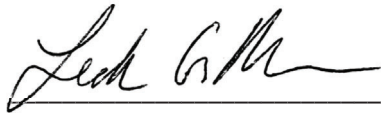
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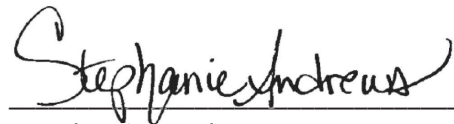
It is ordered that Alliant Insurance Services Houston LLC must pay an administrative penalty of \$15,375. The administrative penalty must be paid within 30 days from the date of this order. The administrative penalty must be paid as instructed in the invoice, which the department will send after entry of this order.

DocuSigned by:  
  
FC5D7EDDFFB4F8... \_\_\_\_\_  
Cassie Brown  
Commissioner of Insurance

Recommended and reviewed by:



Leah Gillum, Deputy Commissioner  
Enforcement Division



Stephanie Andrews, Attorney  
Enforcement Division

Affidavit

THE STATE OF Missouri

§

§

COUNTY OF Jackson

§

Before me, the undersigned authority, personally appeared the affiant, who being by me duly sworn, deposed as follows:

"My name is Dennis F. Burden. I am of sound mind, capable of making this statement, and have personal knowledge of these facts which are true and correct.

I hold the office of Senior Vice President and am the authorized representative of Alliant Insurance Services Houston LLC. I am duly authorized by the organization to execute this statement.

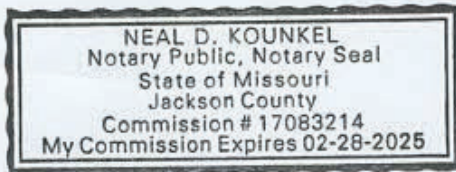
Alliant Insurance Services Houston LLC has knowingly and voluntarily entered into the foregoing consent order and agrees with and consents to the issuance and service of the same by the commissioner of insurance of the state of Texas."

Dennis F. Burden

Affiant

SWORN TO AND SUBSCRIBED before me on DECEMBER 22, 2021

(NOTARY SEAL)



Neal D. Kounkel

Signature of Notary Public

NEAL D. KOUNKEL

Printed Name of Notary Public