

## New Surplus Lines Rules Organization Chart

- Subchapter A: General Provisions
- § 15.1. Effective Date of Rules and Regulations [currently § 15.1]
  - § 15.2. Definitions [currently § 15.2]
  - § 15.3. Sanctions [currently § 15.5]
  - § 15.4. Minimum Content of Contracts [currently § 15.21]
  - § 15.5. Forms [currently § 15.11]
  - § 15.6. Correct Execution Required for Filing [currently § 15.13]
  - § 15.7. Eligibility Requirements for Surplus Lines Insurance [currently § 15.7]
- Subchapter B: Surplus Lines Agents
- § 15.100. Licensing of Surplus Lines Agents [currently § 15.3]
  - § 15.101. Submission of Applications, Notices, and Correspondence to the Department [new]
  - § 15.102. Conduct of Agent's Business [currently § 15.6]
  - § 15.103. Surplus Lines Stamping Fee [currently § 15.10]
  - § 15.104. Duty of Reasonable Effort by Surplus Lines Agents to Ascertain Financial Condition and Other Practices of Eligible Surplus Lines Insurers [currently § 15.9.]
  - § 15.105. Furnishing Evidence of Insurance [currently § 15.22]
  - § 15.106. Policy Forms Filings and Stamping Office Fees [currently § 15.23]
  - § 15.107. Surplus Lines Insurance Requests for Information, Examination, and Complaints [currently § 15.12]
  - § 15.108. Recordkeeping [currently § 15.14]
  - § 15.109. Policy Number (currently § 15.15)
  - § 15.110. Contract File [currently § 15.16)
  - § 15.111. Required documentation Supporting Exempt Commercial Purchaser Status [new]
  - § 15.112. Agent Accounting Records [currently § 15.17]

- § 15.113 Untimely Filed Policies [new]
- § 15.114 Unacceptable Reasons for Changing an Untimely Filing to Timely Filing [new]
- § 15.115 Purchase of Insurance by Purchasing Groups through Surplus Lines Agents [currently § 15.25(b-c)]
  
- Subchapter C: SLSOT Plan of Operation [currently § 15.101]
  - § 15.201 Commissioner Approval
  
- Subchapter D: Surplus Lines Insurers
  - § 15.301 Eligibility Requirements for Surplus Lines Insurers [currently § 15.8]
  
- Subchapter E Surplus Lines Premium Tax
  - § 15.401 Financed Transactions [Currently 15.18]
  - § 15.402 Allocation of Premium [Currently 15.19]
  - § 15.403 Reporting of Premium Allocation [Currently 15.20]