

# Operating Budget

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For Fiscal Year 2012

*Submitted to the Governor's Office of Budget, Planning and Policy  
and the Legislative Budget Board, by the*



Texas Department of Insurance

*Eleanor Kitzman*

Eleanor Kitzman, Commissioner of Insurance  
December 1, 2011

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## CERTIFICATE

**Agency Name:** Texas Department of Insurance

This is to certify that the information contained in the agency operating budget filed with the Legislative Budget Board (LBB) and the Governor's Office of Budget, Planning and Policy (GOBPP) is accurate to the best of my knowledge and that the electronic submission to the LBB via the Automated Budget and Evaluation System of Texas (ABEST) and the PDF file submitted via the LBB Document Submission application are identical.

Additionally, should it become likely at any time that unexpended balances will accrue for any account, the LBB and the GOBPP will be notified in writing in accordance with Article IX, Section 7.01 (2012-13 GAA).

**Chief Executive Officer or Presiding Judge**

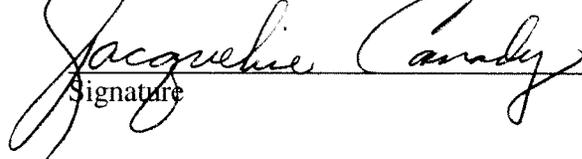
  
Signature

Eleanor Kitzman  
Printed Name

Commissioner of Insurance  
Title

December 1, 2011  
Date

**Chief Financial Officer**

  
Signature

Jacqueline Canady  
Printed Name

Chief Financial Officer  
Title

December 1, 2011  
Date

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**Texas Department of Insurance  
Operating Budget for Fiscal Year 2012**

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## **SUMMARY OF BUDGET BY STRATEGY**

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**II.A. SUMMARY OF BUDGET BY STRATEGY**  
82nd Regular Session, Fiscal Year 2012 Operating Budget  
Automated Budget and Evaluation System of Texas (ABEST)

DATE : 11/29/2011  
TIME : 4:15:27PM

Agency code: 454 Agency name: Department of Insurance

Goal/Objective/STRATEGY	EXP 2010	EXP 2011	BUD 2012
<b>1 Promote Consumer Access to Affordable Insur Products W/in a Fair Mrkt</b>			
1 <i>Provide Insurance Consumers with Meaningful Information</i>			
1 CONSUMER EDUCATION AND OUTREACH	\$3,014,215	\$2,973,246	\$7,496,252
2 <i>Reduce Unfair &amp; Illegal Insurer Practices</i>			
1 RESOLVE COMPLAINTS	\$2,807,578	\$3,280,630	\$3,257,477
2 INVESTIGATION AND ENFORCEMENT	\$2,829,081	\$2,887,751	\$3,417,658
3 INSURER FRAUD	\$1,341,981	\$1,480,920	\$1,584,116
3 <i>Reduce Impediments to Competition and Improve Insurance Availability</i>			
1 PROCESS RATES, FORMS & LICENSES	\$10,722,135	\$11,917,954	\$12,348,665
2 PROMOTE UNDERSERVED COVERAGE	\$190,935	\$174,429	\$179,992
3 TEXAS ONLINE	\$460,125	\$435,145	\$380,000
4 CERTIFY SELF-INSURANCE	\$639,116	\$585,069	\$663,780
4 <i>Administer Innovative Insurance Initiatives</i>			
1 LONG-TERM CARE	\$143,514	\$142,080	\$164,218
2 THREE-SHARE PROGRAMS	\$1,940,501	\$675,380	\$442,232
3 HEALTHY TEXAS	\$452,360	\$3,166,578	\$41,200,353
<b>TOTAL, GOAL 1</b>	<b>\$24,541,541</b>	<b>\$27,719,182</b>	<b>\$71,134,743</b>
<b>2 Promote Financial Strength of Ins. Industry &amp; Reduce Undue Loss Cost</b>			
1 <i>Regulate Insurance Industry Solvency</i>			
1 INSURERS FINANCIAL CONDITION	\$11,736,188	\$11,618,349	\$12,288,713
2 <i>Assure Loss Control Services, Windstorm Inspections &amp; Fraud Referrrals</i>			
1 LOSS CONTROL PROGRAMS	\$2,641,950	\$2,702,512	\$2,685,100
2 PROVIDER AND CONSUMER FRAUD	\$1,266,433	\$1,341,995	\$1,470,830
3 WORKERS' COMPENSATION FRAUD	\$267,917	\$163,408	\$251,836
<b>TOTAL, GOAL 2</b>	<b>\$15,912,488</b>	<b>\$15,826,264</b>	<b>\$16,696,479</b>

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Agency code: 454 Agency name: Department of Insurance

<b>Goal/Objective/STRATEGY</b>	<b>EXP 2010</b>	<b>EXP 2011</b>	<b>BUD 2012</b>
<b>3 Reduce Loss of Life &amp; Property Due to Fire</b>			
1 <i>Protect the Public from Loss of Life and Property due to fire</i>			
1 FIRE PROTECTION	\$4,463,914	\$4,476,514	\$4,565,491
<b>TOTAL, GOAL 3</b>	<b>\$4,463,914</b>	<b>\$4,476,514</b>	<b>\$4,565,491</b>
<b>4 Effectively Regulate the Texas Workers' Compensation System</b>			
1 <i>Ensure Appropriate Delivery of Workers' Compensation Benefits</i>			
1 OVERSIGHT AND ENFORCEMENT	\$4,438,210	\$5,178,297	\$5,440,183
2 DISPUTE RESOLUTION	\$13,756,773	\$13,623,966	\$15,900,149
3 SUBSEQUENT INJURY FUND ADMIN	\$4,496,585	\$5,431,236	\$4,500,878
2 <i>Ensure Workers' Comp System Participants are Educated and Informed</i>			
1 HEALTH AND SAFETY SERVICES	\$4,019,345	\$3,730,574	\$3,865,991
2 CUSTOMER SERVICE & RECORDS ADMIN	\$5,433,855	\$5,243,719	\$5,375,373
<b>TOTAL, GOAL 4</b>	<b>\$32,144,768</b>	<b>\$33,207,792</b>	<b>\$35,082,574</b>
<b>5 Indirect Administration</b>			
1 <i>Indirect Administration</i>			
1 CENTRAL ADMINISTRATION	\$9,780,343	\$10,105,303	\$9,840,048
2 INFORMATION RESOURCES	\$10,415,444	\$10,373,338	\$10,230,781
3 OTHER SUPPORT SERVICES	\$3,065,203	\$3,369,345	\$3,186,421
<b>TOTAL, GOAL 5</b>	<b>\$23,260,990</b>	<b>\$23,847,986</b>	<b>\$23,257,250</b>
<b>6 Regulatory Response</b>			
1 <i>Regulatory Response</i>			
1 CONTINGENCY REGULATORY RESPONSE	\$0	\$0	\$2,200,000
<b>TOTAL, GOAL 6</b>	<b>\$0</b>	<b>\$0</b>	<b>\$2,200,000</b>

**II.A. SUMMARY OF BUDGET BY STRATEGY**  
82nd Regular Session, Fiscal Year 2012 Operating Budget  
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Agency code: 454 Agency name: Department of Insurance

<b>Goal/Objective/STRATEGY</b>	<b>EXP 2010</b>	<b>EXP 2011</b>	<b>BUD 2012</b>
<b>General Revenue Funds:</b>			
1 General Revenue Fund	\$230,850	\$435,456	\$230,926
8042 Insurance Maint Tax Fees	\$34,284,515	\$34,382,719	\$38,187,542
	<b>\$34,515,365</b>	<b>\$34,818,175</b>	<b>\$38,418,468</b>
<b>General Revenue Dedicated Funds:</b>			
36 Dept Ins Operating Acct	\$55,731,350	\$56,128,871	\$59,587,126
5101 Subsequent Injury Fund	\$4,420,140	\$5,358,829	\$4,420,140
5138 Fire Prevention And Public Safety	\$0	\$0	\$100,000
	<b>\$60,151,490</b>	<b>\$61,487,700</b>	<b>\$64,107,266</b>
<b>Federal Funds:</b>			
555 Federal Funds	\$2,891,979	\$4,495,706	\$3,897,213
	<b>\$2,891,979</b>	<b>\$4,495,706</b>	<b>\$3,897,213</b>
<b>Other Funds:</b>			
6 State Highway Fund	\$0	\$0	\$4,225,766
329 Healthy TX Sm Emp Prem Stabil. Fund	\$0	\$1,000,000	\$33,829,000
444 Criminal Justice Grants	\$0	\$0	\$45,277
666 Appropriated Receipts	\$2,244,221	\$1,054,672	\$987,427
777 Interagency Contracts	\$520,646	\$2,221,485	\$7,426,120
	<b>\$2,764,867</b>	<b>\$4,276,157</b>	<b>\$46,513,590</b>
<b>TOTAL, METHOD OF FINANCING</b>	<b>\$100,323,701</b>	<b>\$105,077,738</b>	<b>\$152,936,537</b>
<b>FULL TIME EQUIVALENT POSITIONS</b>	<b>1,503.3</b>	<b>1,471.4</b>	<b>1,592.4</b>

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## **SUMMARY OF BUDGET BY MOF**

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**II.B. SUMMARY OF BUDGET BY METHOD OF FINANCE**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/29/2011**  
 TIME: **4:17:57PM**

Agency code: **454** Agency name: **Department of Insurance**

<b>METHOD OF FINANCING</b>	<b>Exp 2010</b>	<b>Exp 2011</b>	<b>Bud 2012</b>
<b><u>GENERAL REVENUE</u></b>			
<b><u>1</u></b> General Revenue Fund			
<i>REGULAR APPROPRIATIONS</i>			
Regular Appropriations - Hobby	\$6,520	\$6,520	\$6,520
Regular Appn - Metro GR in lieu of EFF Art IX, Sec 6.26	\$224,406	\$224,406	\$224,406
Article IX Sec 6.22(c) - Earned Federal Funds	\$0	\$194,421	\$0
Article IX Sec 8.04 Surplus Property 10-11 GAA - Hobby	\$7,941	\$13,995	\$0
<i>LAPSED APPROPRIATIONS</i>			
Lapsed Appropriations Hobby	\$(8,017)	\$(3,886)	\$0
Lapsed Appropriations Metro	\$0	\$0	\$0
<b>TOTAL, General Revenue Fund</b>	<b>\$230,850</b>	<b>\$435,456</b>	<b>\$230,926</b>
<b><u>8042</u></b> General Revenue - Insurance Companies Maintenance Tax and Insurance Department Fees			
<i>REGULAR APPROPRIATIONS</i>			
Regular Appropriations - Hobby	\$36,091,932	\$35,858,632	\$37,218,618
<i>TRANSFERS</i>			
Art IX Sec 17.01(a) - Data Center Reduction (COLA)	\$0	\$0	\$(122,123)
Art IX Sec 17.01(b) - Data Center Reduction (Admin Fees)	\$0	\$0	\$(14,788)
Art IX Sec 17.75, HB 4341 Disct Hlthcare - Hobby (10-11 GAA)	\$0	\$44,875	\$0
Art IX Sec 17.77, SB 78 Healthy Texas - Hobby (10-11 GAA)	\$96,000	\$75,000	\$0
Art IX Sec 18.44, HB 1772 Certain Benefits - Hobby (12-13 GAA)	\$0	\$0	\$109,195

**II.B. SUMMARY OF BUDGET BY METHOD OF FINANCE**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/29/2011**  
 TIME: **4:18:02PM**

Agency code: **454** Agency name: **Department of Insurance**

<b>METHOD OF FINANCING</b>	<b>Exp 2010</b>	<b>Exp 2011</b>	<b>Bud 2012</b>
Art IX Sec 18.50, HB 2277 Life Settlements - Hobby (12-13 GAA)	\$0	\$0	\$160,154
Art IX Sec 18.52, HB 2408 Title - Hobby (12-13 GAA)	\$0	\$0	\$535,708
82nd, SS 1, SB 2, Sec 12 (SB 7) Hlthcare Collaboratives - (12-13 GAA)	\$0	\$0	\$169,408
82nd Leg, SS 1, SB 2, Sec 20 (HB 3) TWIA - Hobby (12-13 GAA)	\$0	\$0	\$131,370
<i>LAPSED APPROPRIATIONS</i>			
Lapsed Appropriations Hobby			
Art VIII Rider 13 pg 32, GAA 10-11, UB Authority - Hobby	\$(143,553)	\$(3,355,652)	\$0
	\$(1,759,864)	\$1,759,864	\$0
<b>TOTAL, General Revenue - Insurance Companies Maintenance Tax and Insurance Department Fees</b>	<b>\$34,284,515</b>	<b>\$34,382,719</b>	<b>\$38,187,542</b>
<b>TOTAL, ALL GENERAL REVENUE</b>	<b>\$34,515,365</b>	<b>\$34,818,175</b>	<b>\$38,418,468</b>

**GENERAL REVENUE FUND - DEDICATED**

**36** GR Dedicated - Texas Department of Insurance Operating Fund Account No. 036

*REGULAR APPROPRIATIONS*

Regular Appropriations - Hobby	\$19,972,625	\$19,854,411	\$20,730,775
Regular Appropriations - Metro	\$39,522,728	\$39,237,311	\$38,740,397

*TRANSFERS*

Art IX Sec 17.01(a) - Data Center Reduction (COLA)	\$0	\$0	\$(215,641)
Art IX Sec 17.01(b) - Data Center Reduction (Admin Fees)	\$0	\$0	\$(26,111)
Art IX Sec 17.75 HB 4341 Disct Hlthcare - Hobby (10-11 GAA)	\$97,153	\$43,062	\$0
Art IX Sec 9.05 TX Online Occupational Licenses (10-11 GAA) Hobby	\$80,201	\$56,148	\$0
Art IX Sec 18.44 HB 1772 Certain Benefits - Hobby (12-13 GAA)	\$0	\$0	\$59,916

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<b>METHOD OF FINANCING</b>	<b>Exp 2010</b>	<b>Exp 2011</b>	<b>Bud 2012</b>
Art IX Sec 18.50 HB 2277 Life Settlements - Hobby (12-13 GAA)	\$0	\$0	\$43,678
82nd SS 1, SB 2, Sec 12 (SB 7) Hlthcare Collaboratives (12-13 GAA)	\$0	\$0	\$254,112
<i>LAPSED APPROPRIATIONS</i>			
Lapsed Appropriations Hobby	\$(34,267)	\$(1,743,454)	\$0
Lapsed Appropriations Metro	\$(771,792)	\$(4,453,905)	\$0
<i>UNEXPENDED BALANCES AUTHORITY</i>			
Art VIII Rider 13 pg 32, GAA 10-11, UB Authority - Hobby	\$(965,857)	\$965,857	\$0
Art VIII Rider 13 pg 32, GAA 10-11, UB Authority - Metro	\$(2,169,441)	\$2,169,441	\$0
<b>TOTAL, GR Dedicated - Texas Department of Insurance Operating Fund Account No. 036</b>	<b>\$55,731,350</b>	<b>\$56,128,871</b>	<b>\$59,587,126</b>
<b>5101</b> GR Dedicated - Subsequent Injury Account No. 5101			
<i>REGULAR APPROPRIATIONS</i>			
Regular Appropriations - Metro	\$4,420,140	\$4,420,140	\$4,420,140
Art VIII Rider 14 - Additional Subsequent Injury Funds	\$0	\$2,741,318	\$0
<i>LAPSED APPROPRIATIONS</i>			
Lapsed Appropriations - Metro Rider Appropriation	\$0	\$(1,802,615)	\$0
Lapsed Appropriations - Metro Base	\$0	\$(14)	\$0
<b>TOTAL, GR Dedicated - Subsequent Injury Account No. 5101</b>	<b>\$4,420,140</b>	<b>\$5,358,829</b>	<b>\$4,420,140</b>
<b>5138</b> GR Dedicated - Fire Prevention and Public Safety Account No. 5138			
<i>REGULAR APPROPRIATIONS</i>			
Regular Appropriations - Hobby	\$0	\$0	\$100,000

**II.B. SUMMARY OF BUDGET BY METHOD OF FINANCE**  
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Agency code: **454** Agency name: **Department of Insurance**

METHOD OF FINANCING	Exp 2010	Exp 2011	Bud 2012
<b>TOTAL, GR Dedicated - Fire Prevention and Public Safety Account No. 5138</b>	<b>\$0</b>	<b>\$0</b>	<b>\$100,000</b>
<b>TOTAL, ALL GENERAL REVENUE FUND - DEDICATED</b>	<b>\$60,151,490</b>	<b>\$61,487,700</b>	<b>\$64,107,266</b>
<b><u>FEDERAL FUNDS</u></b>			
<b>555</b> Federal Funds			
<i>REGULAR APPROPRIATIONS</i>			
Regular Appropriations - Metro	\$2,254,623	\$2,254,623	\$2,266,721
<i>TRANSFERS</i>			
Art IX Sec 8.02 Federal Funds 10-11 GAA - Metro	\$226,596	\$0	\$0
Art IX Sec 8.02 Federal Funds 10-11 GAA - Hobby - Safe Exit	\$566,500	\$155,740	\$285,048
Art IX Sec 8.02 Federal Funds 10-11 GAA - Hobby - FHR	\$0	\$2,057,336	\$1,345,444
Art IX Sec 8.02 Fed Funds 10-11 GAA - Hobby - Assist.to FireFighters	\$0	\$285,048	\$0
<i>LAPSED APPROPRIATIONS</i>			
Lapsed Appropriations - Hobby - Fed Funds - Safe Exit	\$(155,740)	\$(133,240)	\$0
Lapsed Appropriations - Hobby Fed Funds - Assistance to FireFighters	\$0	\$(64,168)	\$0
Lapsed Appropriations - Federal Funds - Metro	\$0	\$(59,633)	\$0
<b>TOTAL, Federal Funds</b>	<b>\$2,891,979</b>	<b>\$4,495,706</b>	<b>\$3,897,213</b>
<b>TOTAL, ALL FEDERAL FUNDS</b>	<b>\$2,891,979</b>	<b>\$4,495,706</b>	<b>\$3,897,213</b>

**OTHER FUNDS**

**II.B. SUMMARY OF BUDGET BY METHOD OF FINANCE**  
82nd Regular Session, Fiscal Year 2012 Operating Budget  
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DATE: **11/29/2011**  
TIME: **4:18:02PM**

Agency code: **454** Agency name: **Department of Insurance**

METHOD OF FINANCING	Exp 2010	Exp 2011	Bud 2012
<b>6</b> State Highway Fund No. 006 <i>RIDER APPROPRIATION</i> Capital Rider - TexasSure	\$0	\$0	\$4,225,766
<b>TOTAL, State Highway Fund No. 006</b>	<b>\$0</b>	<b>\$0</b>	<b>\$4,225,766</b>
<b>329</b> Healthy Texas Small Employer Premium Stabilization Fund <i>RIDER APPROPRIATION</i> Art IX Sec 17.77, SB 78 Healthy Texas - Hobby (10-11 GAA)	\$17,404,000	\$17,425,000	\$0
Art VIII Rider 22, GAA 12-13 pg 22, UB Authority - Hobby	\$0	\$(33,829,000)	\$33,829,000
<i>UNEXPENDED BALANCES AUTHORITY</i> Art IX Sec 17.77 Healthy Texas - Hobby (10-11 GAA), UB Authority	\$(17,404,000)	\$17,404,000	\$0
<b>TOTAL, Healthy Texas Small Employer Premium Stabilization Fund</b>	<b>\$0</b>	<b>\$1,000,000</b>	<b>\$33,829,000</b>
<b>444</b> Criminal Justice Grants <i>REGULAR APPROPRIATIONS</i> Equipment Purchase and Arson Canine Replacement	\$0	\$0	\$45,277
<b>TOTAL, Criminal Justice Grants</b>	<b>\$0</b>	<b>\$0</b>	<b>\$45,277</b>
<b>666</b> Appropriated Receipts <i>REGULAR APPROPRIATIONS</i> Regular Appropriations - Hobby	\$218,430	\$218,430	\$218,430
Regular Appropriations - Metro	\$470,686	\$470,686	\$470,686

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<b>METHOD OF FINANCING</b>	<b>Exp 2010</b>	<b>Exp 2011</b>	<b>Bud 2012</b>
<i>TRANSFERS</i>			
Art IX Sec 17.08 Appropriation of Fines 10-11 GAA - Hobby	\$1,500,000	\$266,500	\$0
Art IX Sec 8.08 Seminars & Conferences 10-11 GAA - Hobby	\$54,475	\$27,833	\$0
<i>LAPSED APPROPRIATIONS</i>			
Lapsed Appropriations - Hobby	\$(87,113)	\$(148,593)	\$0
Lapsed Appropriations Metro	\$(140,352)	\$(144,768)	\$0
<i>UNEXPENDED BALANCES AUTHORITY</i>			
Art IX Sec 8.01 Acceptance of Gift of Money 10-11 GAA - Metro	\$887,040	\$600,420	\$231,277
Art IX Sec 8.01 Acceptance of Gift of Money 10-11 GAA Metro	\$(600,420)	\$(231,277)	\$0
Art IX Sec 8.08 Seminars & Conferences 10-11 GAA - Hobby	\$3,752	\$10,277	\$9,991
Art IX Sec 8.08 Seminars & Conferences 10-11 GAA Hobby	\$(10,277)	\$(9,991)	\$0
Art IX Sec 8.08 Seminars & Conferences 10-11 GAA - Metro	\$198	\$52,198	\$57,043
Art IX Sec 8.08 Seminars & Conferences 10-11 GAA Metro	\$(52,198)	\$(57,043)	\$0
<b>TOTAL, Appropriated Receipts</b>	<b>\$2,244,221</b>	<b>\$1,054,672</b>	<b>\$987,427</b>
<u>777</u> Interagency Contracts			
<i>REGULAR APPROPRIATIONS</i>			
Regular Appropriations - Hobby	\$57,600	\$57,600	\$130,000
Regular Appropriations - Hobby (HHSC Grant - Healthy Texas)	\$4,900,000	\$4,900,000	\$0
<i>RIDER APPROPRIATION</i>			
Art IX Sec 14.01(d) Appropriation Transfers (10-11 GAA) Hobby	\$50,360	\$72,691	\$0
Art IX Sec 14.01(f) Appropriation Transfers (12-13 GAA) Hobby	\$0	\$0	\$7,296,120

**II.B. SUMMARY OF BUDGET BY METHOD OF FINANCE**  
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 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/29/2011**  
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Agency code: **454**

Agency name: **Department of Insurance**

<b>METHOD OF FINANCING</b>	<b>Exp 2010</b>	<b>Exp 2011</b>	<b>Bud 2012</b>
<i>LAPSED APPROPRIATIONS</i>			
Lapsed Appropriations - Hobby	\$(4,487,314)	\$(2,808,806)	\$0
<b>TOTAL, Interagency Contracts</b>	<b>\$520,646</b>	<b>\$2,221,485</b>	<b>\$7,426,120</b>
<b>TOTAL, ALL OTHER FUNDS</b>	<b>\$2,764,867</b>	<b>\$4,276,157</b>	<b>\$46,513,590</b>
<b>GRAND TOTAL</b>	<b>\$100,323,701</b>	<b>\$105,077,738</b>	<b>\$152,936,537</b>

**II.B. SUMMARY OF BUDGET BY METHOD OF FINANCE**  
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DATE: **11/29/2011**  
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Agency name: **Department of Insurance**

<b>METHOD OF FINANCING</b>	<b>Exp 2010</b>	<b>Exp 2011</b>	<b>Bud 2012</b>
<b><u>FULL-TIME-EQUIVALENT POSITIONS</u></b>			
<b>REGULAR APPROPRIATIONS</b>			
Regular Appropriations from MOF Table (12-13 GAA) - HOBBY	913.2	913.2	916.0
Regular Appropriations from MOF Table (12-13 GAA) - METRO	779.8	779.8	723.5
Regular Appropriations - Hobby - Regulatory Response Rider	0.0	0.0	40.0
Art IX Sec 17.75, HB 4341 Disct Hlthcare - Hobby (10-11 GAA)	2.0	2.0	0.0
Art IX Sec 17.77, SB 78 Healthy Texas - Hobby (10-11 GAA)	0.5	0.5	0.0
Art IX Sec 18.44, HB 1772 Certain Benefits - Hobby (12-13 GAA)	0.0	0.0	3.0
Art IX Sec 18.50, HB 2277 Life Settlements - Hobby (12-13 GAA)	0.0	0.0	3.0
Art IX Sec 18.52, HB 2408 Title - Hobby (2012-13 GAA)	0.0	0.0	8.5
82nd, SS 1, SB 2, Sec 12 Hlthcare Collaboratives - Hobby (12-13 GAA)	0.0	0.0	8.0
82nd Leg, SS 1, SB 2, Sec. 20 TWIA - Hobby (12-13 GAA)	0.0	0.0	2.0
<b>RIDER APPROPRIATION</b>			
SB 1, 82nd Leg, 1st Called Session	0.0	0.0	0.0
<b>LAPSED APPROPRIATIONS</b>			
Unauthorized Number Over (Below) Cap - TDI Metro	(31.3)	(86.0)	(50.0)
Unauthorized Number Over (Below) Cap - TDI Hobby	(160.9)	(138.1)	(61.6)
<b>TOTAL, ADJUSTED FTES</b>	<b>1,503.3</b>	<b>1,471.4</b>	<b>1,592.4</b>

**II.B. SUMMARY OF BUDGET BY METHOD OF FINANCE**  
82nd Regular Session, Fiscal Year 2012 Operating Budget  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/29/2011**  
TIME: **4:18:02PM**

Agency code: **454**

Agency name: **Department of Insurance**

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**METHOD OF FINANCING**

**Exp 2010**

**Exp 2011**

**Bud 2012**

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**NUMBER OF 100% FEDERALLY FUNDED FTEs**

**0.0**

**9.9**

**8.5**

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## **SUMMARY OF BUDGET BY OOE**

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**II.C. SUMMARY OF BUDGET BY OBJECT OF EXPENSE**  
82nd Regular Session, Fiscal Year 2012 Operating Budget  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/29/2011**  
TIME: **4:17:18PM**

Agency code: **454**

Agency name: **Department of Insurance**

<b>OBJECT OF EXPENSE</b>	<b>EXP 2010</b>	<b>EXP 2011</b>	<b>BUD 2012</b>
1001 SALARIES AND WAGES	\$71,918,742	\$71,787,795	\$78,089,756
1002 OTHER PERSONNEL COSTS	\$3,549,793	\$3,616,473	\$2,443,832
2001 PROFESSIONAL FEES AND SERVICES	\$4,610,002	\$9,360,641	\$8,440,020
2002 FUELS AND LUBRICANTS	\$111,804	\$149,341	\$117,460
2003 CONSUMABLE SUPPLIES	\$459,876	\$491,039	\$591,416
2004 UTILITIES	\$937,433	\$1,209,606	\$1,078,476
2005 TRAVEL	\$1,744,619	\$1,708,961	\$2,207,448
2006 RENT - BUILDING	\$3,251,064	\$3,311,270	\$3,560,124
2007 RENT - MACHINE AND OTHER	\$728,312	\$733,754	\$736,111
2009 OTHER OPERATING EXPENSE	\$10,854,459	\$11,614,933	\$55,328,829
3001 CLIENT SERVICES	\$1,134	\$2,598	\$0
4000 GRANTS	\$1,895,000	\$646,213	\$343,065
5000 CAPITAL EXPENDITURES	\$261,463	\$445,114	\$0
<b>Agency Total</b>	<b>\$100,323,701</b>	<b>\$105,077,738</b>	<b>\$152,936,537</b>

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## **SUMMARY OF OBJECTIVE OUTCOMES**

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**II.D. SUMMARY OF BUDGET OBJECTIVE OUTCOMES**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 11/29/2011  
 Time: 4:17:39PM

Agency code: 454 Agency name: Department of Insurance

Goal/ Objective / OUTCOME	Exp 2010	Exp 2011	Bud2012
1 Promote Consumer Access to Affordable Insur Products W/in a Fair Mrkt			
2 Reduce Unfair & Illegal Insurer Practices			
1 Percent of Enforcement Cases Concluded within 365 Days	59.00	64.00	46.00
2 Percent of Insurer Fraud Referrals Resulting in Legal Action	69.00	46.00	60.00
3 Reduce Impediments to Competition and Improve Insurance Availability			
1 Percent of Company, TPA and PF License Apps Completed within 60 Days	90.00 %	94.00 %	98.00 %
KEY 2 Percent of Agent License Filings Completed within 15 Days	97.00 %	97.00 %	96.00 %
KEY 3 Percent of Statutory Rate and Form Filings Completed within 90 Days	88.00 %	90.00 %	87.00 %
KEY 4 Percent Personal Auto/Res Property Form Filings Completed in 60 Days	92.00 %	94.00 %	95.00 %
KEY 5 % of Autos in Underserved Mrkt w/Personal or Comm. Liability Insurance	74.00 %	75.00 %	75.00 %
KEY 6 Percent of Licensees Who Renew Online	77.00 %	78.00 %	82.00 %
4 Administer Innovative Insurance Initiatives			
1 % of Small Employers Participating in Innovative Insurance Initiatives	5.00 %	5.00 %	7.00 %
2 Promote Financial Strength of Ins. Industry & Reduce Undue Loss Cost			
1 Regulate Insurance Industry Solvency			
KEY 1 Percent of Statutorily Mandated Examinations Completed w/in 18 Months	78.00 %	93.00 %	90.00 %
2 Percent of Identified Companies Reviewed	96.00 %	97.00 %	97.00 %
KEY 3 Percent of Special Deputy Receiver Collections Expended on Asset Admin	3.21 %	2.28 %	15.00 %
KEY 4 Average Days from Company "At Risk" Identification to Solvency Action	6.00	3.00	21.00
KEY 5 % of Companies Rehabilitated after TDI Solvency-Related Intervention	29.00 %	23.00 %	18.00 %
2 Assure Loss Control Services, Windstorm Inspections & Fraud Referrals			
1 Percent of Insurers Providing Adequate Loss Control Programs	100.00 %	96.00 %	97.00 %
KEY 2 Percent Comm Property Inspections Meeting Filed Rating Schedule Reqs	89.00 %	92.00 %	85.00 %
3 % of Windstorm Inspections That Result in an "Approved" Status Code	37.00 %	35.00 %	35.00 %
4 Percent Consumer and Provider Fraud Referrals w/in Legal Action	86.00 %	65.00 %	70.00 %
5 Percent of WC Insurance fraud Referral W/Legal Action	90.00 %	157.00 %	65.00 %
3 Reduce Loss of Life & Property Due to Fire			
1 Protect the Public from Loss of Life and Property due to fire			
1 % of SFMO Criminal Referrals Resulting in Enforcement/Legal Action	73.00 %	68.00 %	60.00 %
KEY 2 % of Fire Sfty Registrations, Licenses, Permits Issued w/in 20 Days	100.00 %	96.00 %	99.00 %
4 Effectively Regulate the Texas Workers' Compensation System			

**II.D. SUMMARY OF BUDGET OBJECTIVE OUTCOMES**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 11/29/2011  
 Time: 4:17:44PM

Agency code: 454                      Agency name: **Department of Insurance**

Goal/ Objective / OUTCOME	Exp 2010	Exp 2011	Bud2012
<i>1                      Ensure Appropriate Delivery of Workers' Compensation Benefits</i>			
<b>1 % of WC Enforcement Cases Concluded within 365 Days</b>	73.00 %	63.00 %	55.00 %
<b>KEY 2 Percent of Medical Bills Processed Timely</b>	98.00 %	98.00 %	95.00 %
<b>KEY 3 % of Med Fee Disputes Resolved or Upheld Upon Appeal</b>	48.00 %	33.00 %	90.00 %
<b>KEY 4 Payments from the Subsequent Injury Fund</b>	4,600,105.00	5,026,758.00	6,234,220.00
<i>2                      Ensure Workers' Comp System Participants are Educated and Informed</i>			
<b>KEY 1 Percent of TIBs Recipients Returning to Work Within 90 Days of Injury</b>	55.00 %	56.00 %	54.00 %

## **STRATEGY LEVEL DETAIL**

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**III.A. STRATEGY LEVEL DETAIL**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011  
 TIME: 4:15:51PM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Promote Consumer Access to Affordable Insur Products W/in a Fair Mrkt  
 OBJECTIVE: 1 Provide Insurance Consumers with Meaningful Information  
 STRATEGY: 1 Educate Consumers and Industry by Providing Outreach and Information

Statewide Goal/Benchmark: 7 1  
 Service Categories:  
 Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2010	EXP 2011	BUD 2012
<b>Output Measures:</b>				
KEY 1	Number of Inquiries Answered	641,289.00	768,034.00	650,000.00
KEY 2	Number of Consumer Information Publications Distributed	5,668,038.00	7,232,789.00	5,500,000.00
3	Number of Consumer Information Presentations Made	668.00	739.00	650.00
4	Number of TDI Calls to Insurance Industry for Data	25.00	27.00	20.00
<b>Explanatory/Input Measures:</b>				
1	Percent of Premiums Expended on Insurer Overhead Costs	19.00	20.00	21.00
2	% of Vehicles W/ Personal or Commercial Automobile Liability Insurance	81.00	80.00	79.00
<b>Objects of Expense:</b>				
1001	SALARIES AND WAGES	\$2,303,117	\$2,273,441	\$2,367,719
1002	OTHER PERSONNEL COSTS	\$129,679	\$126,051	\$87,619
2001	PROFESSIONAL FEES AND SERVICES	\$225,678	\$200,832	\$242,397
2002	FUELS AND LUBRICANTS	\$0	\$0	\$0
2003	CONSUMABLE SUPPLIES	\$890	\$11,143	\$11,441
2004	UTILITIES	\$7,080	\$21,000	\$10,953
2005	TRAVEL	\$30,217	\$22,019	\$30,217
2006	RENT - BUILDING	\$5,217	\$5,464	\$8,163
2007	RENT - MACHINE AND OTHER	\$15,871	\$18,149	\$20,368
2009	OTHER OPERATING EXPENSE	\$296,466	\$295,147	\$4,717,375
5000	CAPITAL EXPENDITURES	\$0	\$0	\$0
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$3,014,215</b>	<b>\$2,973,246</b>	<b>\$7,496,252</b>
<b>Method of Financing:</b>				
8042	Insurance Maint Tax Fees	\$1,852,051	\$1,756,655	\$1,964,485
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$1,852,051</b>	<b>\$1,756,655</b>	<b>\$1,964,485</b>

**III.A. STRATEGY LEVEL DETAIL**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011  
 TIME: 4:15:58PM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Promote Consumer Access to Affordable Insur Products W/in a Fair Mrkt  
 OBJECTIVE: 1 Provide Insurance Consumers with Meaningful Information  
 STRATEGY: 1 Educate Consumers and Industry by Providing Outreach and Information

Statewide Goal/Benchmark: 7 1  
 Service Categories:  
 Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2010	EXP 2011	BUD 2012
<b>Method of Financing:</b>				
	36 Dept Ins Operating Acct	\$1,016,451	\$964,096	\$1,104,699
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)</b>		<b>\$1,016,451</b>	<b>\$964,096</b>	<b>\$1,104,699</b>
<b>Method of Financing:</b>				
	555 Federal Funds			
	93.525.000 ACA-St Plann'g&Estbl Grnts Exchns	\$0	\$96,425	\$0
CFDA Subtotal, Fund	555	\$0	\$96,425	\$0
<b>SUBTOTAL, MOF (FEDERAL FUNDS)</b>		<b>\$0</b>	<b>\$96,425</b>	<b>\$0</b>
<b>Method of Financing:</b>				
	6 State Highway Fund	\$0	\$0	\$4,225,766
	666 Appropriated Receipts	\$48,233	\$38,496	\$84,086
	777 Interagency Contracts	\$97,480	\$117,574	\$117,216
<b>SUBTOTAL, MOF (OTHER FUNDS)</b>		<b>\$145,713</b>	<b>\$156,070</b>	<b>\$4,427,068</b>
<b>TOTAL, METHOD OF FINANCE :</b>		<b>\$3,014,215</b>	<b>\$2,973,246</b>	<b>\$7,496,252</b>
<b>FULL TIME EQUIVALENT POSITIONS :</b>		<b>45.0</b>	<b>43.9</b>	<b>45.6</b>

**III.A. STRATEGY LEVEL DETAIL**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011  
 TIME: 4:15:58PM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Promote Consumer Access to Affordable Insur Products W/in a Fair Mrkt Statewide Goal/Benchmark: 7 1  
 OBJECTIVE: 2 Reduce Unfair & Illegal Insurer Practices Service Categories:  
 STRATEGY: 1 Respond Promptly and Act on Complaints Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2010	EXP 2011	BUD 2012
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**Output Measures:**

KEY 1	Number of Complaints Resolved	24,484.00	21,875.00	23,350.00
2	Number of Insurance Advertising Filings Reviewed	6,131.00	6,152.00	6,100.00
3	Dollar Amount Returned to Consumers through Complaint Resolution	40,016,176.00	25,604,745.00	30,000,000.00
4	Number of Complaints Against Health Maintenance Organizations Resolved	1,420.00	755.00	1,000.00

**Efficiency Measures:**

KEY 1	Average Response Time (in Days) to Complaints	39.00	32.00	29.00
2	Avg Response Time (in Days) for HMO Complaint Resolution	7.00	5.00	10.00

**Objects of Expense:**

1001	SALARIES AND WAGES	\$2,506,363	\$2,613,676	\$2,740,002
1002	OTHER PERSONNEL COSTS	\$168,069	\$149,945	\$109,260
2001	PROFESSIONAL FEES AND SERVICES	\$12,322	\$313,115	\$25,986
2003	CONSUMABLE SUPPLIES	\$9,760	\$14,327	\$13,002
2004	UTILITIES	\$1,516	\$16,063	\$5,494
2005	TRAVEL	\$8,042	\$11,707	\$18,440
2006	RENT - BUILDING	\$0	\$4,977	\$2,250
2007	RENT - MACHINE AND OTHER	\$18,916	\$19,854	\$18,911
2009	OTHER OPERATING EXPENSE	\$82,590	\$136,966	\$324,132
5000	CAPITAL EXPENDITURES	\$0	\$0	\$0
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$2,807,578</b>	<b>\$3,280,630</b>	<b>\$3,257,477</b>

**Method of Financing:**

8042	Insurance Maint Tax Fees	\$1,812,715	\$1,821,703	\$1,905,166
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$1,812,715</b>	<b>\$1,821,703</b>	<b>\$1,905,166</b>

**Method of Financing:**

**III.A. STRATEGY LEVEL DETAIL**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011  
 TIME: 4:15:58PM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Promote Consumer Access to Affordable Insur Products W/in a Fair Mrkt  
 OBJECTIVE: 2 Reduce Unfair & Illegal Insurer Practices  
 STRATEGY: 1 Respond Promptly and Act on Complaints

Statewide Goal/Benchmark: 7 1  
 Service Categories:  
 Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2010	EXP 2011	BUD 2012
	36 Dept Ins Operating Acct	\$994,863	\$999,796	\$1,056,143
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)</b>		<b>\$994,863</b>	<b>\$999,796</b>	<b>\$1,056,143</b>
<b>Method of Financing:</b>				
	555 Federal Funds			
	93.519.000 ACA - Consumer Assist Prog Grants	\$0	\$459,131	\$296,168
	CFDA Subtotal, Fund 555	\$0	\$459,131	\$296,168
<b>SUBTOTAL, MOF (FEDERAL FUNDS)</b>		<b>\$0</b>	<b>\$459,131</b>	<b>\$296,168</b>
<b>TOTAL, METHOD OF FINANCE :</b>		<b>\$2,807,578</b>	<b>\$3,280,630</b>	<b>\$3,257,477</b>
<b>FULL TIME EQUIVALENT POSITIONS :</b>		<b>55.9</b>	<b>58.0</b>	<b>61.5</b>

**III.A. STRATEGY LEVEL DETAIL**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011  
 TIME: 4:15:58PM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Promote Consumer Access to Affordable Insur Products W/in a Fair Mrkt Statewide Goal/Benchmark: 7 1  
 OBJECTIVE: 2 Reduce Unfair & Illegal Insurer Practices Service Categories:  
 STRATEGY: 2 Investigate Trade Practices and Bring Enforcement Actions as Needed Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2010	EXP 2011	BUD 2012
<b>Output Measures:</b>				
1	Number of Enforcement Cases Concluded with Action	817.00	1,121.00	450.00
2	Dollar Amount of Penalties Assessed for Statute and Rule Violations	9,032,346.00	17,063,695.00	8,500,000.00
3	Dollar Amount of Restitution Assessed for Statute and Rule Violations	331,497,233.00	101,666,250.00	20,500,000.00
4	Number of Quality Assurance Examinations Conducted	28.00	38.00	22.00
<b>Efficiency Measures:</b>				
1	Average Cost Per QA Examination Conducted	2,000.00	1,651.00	6,000.00
<b>Objects of Expense:</b>				
1001	SALARIES AND WAGES	\$2,588,504	\$2,552,128	\$3,039,239
1002	OTHER PERSONNEL COSTS	\$100,082	\$113,722	\$77,239
2001	PROFESSIONAL FEES AND SERVICES	\$22,904	\$89,356	\$86,000
2002	FUELS AND LUBRICANTS	\$0	\$0	\$0
2003	CONSUMABLE SUPPLIES	\$10,626	\$15,522	\$23,974
2004	UTILITIES	\$87	\$4,566	\$0
2005	TRAVEL	\$4,933	\$1,103	\$29,582
2006	RENT - BUILDING	\$0	\$0	\$0
2007	RENT - MACHINE AND OTHER	\$16,539	\$16,766	\$16,537
2009	OTHER OPERATING EXPENSE	\$85,406	\$94,588	\$145,087
5000	CAPITAL EXPENDITURES	\$0	\$0	\$0
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$2,829,081</b>	<b>\$2,887,751</b>	<b>\$3,417,658</b>
<b>Method of Financing:</b>				
8042	Insurance Maint Tax Fees	\$1,826,598	\$1,864,479	\$2,339,184
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$1,826,598</b>	<b>\$1,864,479</b>	<b>\$2,339,184</b>

**Method of Financing:**

**III.A. STRATEGY LEVEL DETAIL**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011  
 TIME: 4:15:58PM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Promote Consumer Access to Affordable Insur Products W/in a Fair Mrkt  
 OBJECTIVE: 2 Reduce Unfair & Illegal Insurer Practices  
 STRATEGY: 2 Investigate Trade Practices and Bring Enforcement Actions as Needed

Statewide Goal/Benchmark: 7 1  
 Service Categories:  
 Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2010	EXP 2011	BUD 2012
	36 Dept Ins Operating Acct	\$1,002,483	\$1,023,272	\$1,078,474
	<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)</b>	<b>\$1,002,483</b>	<b>\$1,023,272</b>	<b>\$1,078,474</b>
	<b>TOTAL, METHOD OF FINANCE :</b>	<b>\$2,829,081</b>	<b>\$2,887,751</b>	<b>\$3,417,658</b>
	<b>FULL TIME EQUIVALENT POSITIONS :</b>	<b>48.4</b>	<b>47.1</b>	<b>55.8</b>

**III.A. STRATEGY LEVEL DETAIL**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011  
 TIME: 4:15:58PM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Promote Consumer Access to Affordable Insur Products W/in a Fair Mrkt  
 OBJECTIVE: 2 Reduce Unfair & Illegal Insurer Practices  
 STRATEGY: 3 Investigate Insurer Fraud and Refer Violations for Prosecution

Statewide Goal/Benchmark: 7 1  
 Service Categories:  
 Service: 34 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2010	EXP 2011	BUD 2012
<b>Output Measures:</b>				
KEY 1	Number of Insurer Fraud Referrals to State and Federal Prosecutors	70.00	71.00	62.00
2	Dollar Amount of Restitution for Insurer Fraud Cases Referred	3.00	5.90	2.00
<b>Efficiency Measures:</b>				
1	Average Number of Days Per Insurer Fraud Case Referred	309.00	377.00	300.00
<b>Explanatory/Input Measures:</b>				
1	Estimated Dollar Amount (in Millions) of Insurer Fraud Referred	6.34	21.93	7.00
2	Number of Reports of Insurer Fraud Received	918.00	717.00	902.00
<b>Objects of Expense:</b>				
1001	SALARIES AND WAGES	\$1,189,857	\$1,249,800	\$1,363,521
1002	OTHER PERSONNEL COSTS	\$34,507	\$31,932	\$26,952
2001	PROFESSIONAL FEES AND SERVICES	\$6,925	\$62,490	\$19,396
2003	CONSUMABLE SUPPLIES	\$5,478	\$6,586	\$6,546
2004	UTILITIES	\$6,749	\$10,962	\$7,137
2005	TRAVEL	\$49,133	\$58,642	\$49,374
2006	RENT - BUILDING	\$282	\$1,026	\$579
2007	RENT - MACHINE AND OTHER	\$8,035	\$8,219	\$8,282
2009	OTHER OPERATING EXPENSE	\$41,015	\$51,263	\$102,329
5000	CAPITAL EXPENDITURES	\$0	\$0	\$0
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$1,341,981</b>	<b>\$1,480,920</b>	<b>\$1,584,116</b>
<b>Method of Financing:</b>				
8042	Insurance Maint Tax Fees	\$861,338	\$900,763	\$981,963
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$861,338</b>	<b>\$900,763</b>	<b>\$981,963</b>

**III.A. STRATEGY LEVEL DETAIL**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011  
 TIME: 4:15:58PM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Promote Consumer Access to Affordable Insur Products W/in a Fair Mrkt  
 OBJECTIVE: 2 Reduce Unfair & Illegal Insurer Practices  
 STRATEGY: 3 Investigate Insurer Fraud and Refer Violations for Prosecution

Statewide Goal/Benchmark: 7 1  
 Service Categories:  
 Service: 34 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2010	EXP 2011	BUD 2012
<b>Method of Financing:</b>				
	36 Dept Ins Operating Acct	\$472,723	\$494,361	\$541,727
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)</b>		<b>\$472,723</b>	<b>\$494,361</b>	<b>\$541,727</b>
<b>Method of Financing:</b>				
	555 Federal Funds			
	93.519.000 ACA - Consumer Assist Prog Grants	\$0	\$77,298	\$49,362
CFDA Subtotal, Fund	555	\$0	\$77,298	\$49,362
<b>SUBTOTAL, MOF (FEDERAL FUNDS)</b>		<b>\$0</b>	<b>\$77,298</b>	<b>\$49,362</b>
<b>Method of Financing:</b>				
	666 Appropriated Receipts	\$2,680	\$2,139	\$4,672
	777 Interagency Contracts	\$5,240	\$6,359	\$6,392
<b>SUBTOTAL, MOF (OTHER FUNDS)</b>		<b>\$7,920</b>	<b>\$8,498</b>	<b>\$11,064</b>
<b>TOTAL, METHOD OF FINANCE :</b>		<b>\$1,341,981</b>	<b>\$1,480,920</b>	<b>\$1,584,116</b>
<b>FULL TIME EQUIVALENT POSITIONS :</b>		<b>23.1</b>	<b>24.2</b>	<b>26.6</b>

**III.A. STRATEGY LEVEL DETAIL**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011  
 TIME: 4:15:58PM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Promote Consumer Access to Affordable Insur Products W/in a Fair Mrkt  
 OBJECTIVE: 3 Reduce Impediments to Competition and Improve Insurance Availability  
 STRATEGY: 1 Process Rates, Forms & Licenses Promptly

Statewide Goal/Benchmark: 7 1  
 Service Categories:  
 Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2010	EXP 2011	BUD 2012
<b>Output Measures:</b>				
1	Number of Life/Health Filings Completed	20,919.00	20,145.00	29,800.00
2	Number of HMO Form Filings Completed	1,760.00	1,481.00	1,500.00
3	Number of Property and Casualty Rate and Form Filings Completed	15,460.00	16,850.00	13,500.00
<b>Explanatory/Input Measures:</b>				
1	Total of Number Licensed Agents	358,563.00	372,771.00	394,000.00
2	Number of Regulated Companies and Other Carriers	1,939.00	1,925.00	1,950.00
<b>Objects of Expense:</b>				
1001	SALARIES AND WAGES	\$9,681,189	\$9,930,393	\$10,969,102
1002	OTHER PERSONNEL COSTS	\$508,559	\$520,798	\$340,200
2001	PROFESSIONAL FEES AND SERVICES	\$57,414	\$834,894	\$47,743
2003	CONSUMABLE SUPPLIES	\$37,170	\$59,738	\$61,659
2004	UTILITIES	\$3,901	\$40,972	\$5,688
2005	TRAVEL	\$21,908	\$29,742	\$41,861
2006	RENT - BUILDING	\$48	\$8,734	\$0
2007	RENT - MACHINE AND OTHER	\$76,679	\$70,489	\$69,525
2009	OTHER OPERATING EXPENSE	\$335,267	\$422,194	\$812,887
5000	CAPITAL EXPENDITURES	\$0	\$0	\$0
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$10,722,135</b>	<b>\$11,917,954</b>	<b>\$12,348,665</b>
<b>Method of Financing:</b>				
8042	Insurance Maint Tax Fees	\$6,907,634	\$6,824,635	\$7,379,742
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$6,907,634</b>	<b>\$6,824,635</b>	<b>\$7,379,742</b>
<b>Method of Financing:</b>				
36	Dept Ins Operating Acct	\$3,791,081	\$3,745,530	\$4,018,371

**III.A. STRATEGY LEVEL DETAIL**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011  
 TIME: 4:15:58PM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Promote Consumer Access to Affordable Insur Products W/in a Fair Mrkt  
 OBJECTIVE: 3 Reduce Impediments to Competition and Improve Insurance Availability  
 STRATEGY: 1 Process Rates, Forms & Licenses Promptly

Statewide Goal/Benchmark: 7 1  
 Service Categories:  
 Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2010	EXP 2011	BUD 2012
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)</b>		<b>\$3,791,081</b>	<b>\$3,745,530</b>	<b>\$4,018,371</b>
<b>Method of Financing:</b>				
555 Federal Funds				
	93.511.000 ACA-Grnts St Hlth Ins Premium Revw	\$0	\$434,186	\$358,214
	93.519.000 ACA - Consumer Assist Prog Grants	\$0	\$913,603	\$592,338
CFDA Subtotal, Fund	555	\$0	\$1,347,789	\$950,552
<b>SUBTOTAL, MOF (FEDERAL FUNDS)</b>		<b>\$0</b>	<b>\$1,347,789</b>	<b>\$950,552</b>
<b>Method of Financing:</b>				
666 Appropriated Receipts				
<b>SUBTOTAL, MOF (OTHER FUNDS)</b>		<b>\$23,420</b>	<b>\$0</b>	<b>\$0</b>
<b>TOTAL, METHOD OF FINANCE :</b>		<b>\$10,722,135</b>	<b>\$11,917,954</b>	<b>\$12,348,665</b>
<b>FULL TIME EQUIVALENT POSITIONS :</b>		<b>199.1</b>	<b>204.4</b>	<b>218.3</b>

**III.A. STRATEGY LEVEL DETAIL**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011  
 TIME: 4:15:58PM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Promote Consumer Access to Affordable Insur Products W/in a Fair Mrkt  
 OBJECTIVE: 3 Reduce Impediments to Competition and Improve Insurance Availability  
 STRATEGY: 2 Promote Coverage in Underserved Markets

Statewide Goal/Benchmark: 7 1  
 Service Categories:  
 Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2010	EXP 2011	BUD 2012
<b>Objects of Expense:</b>				
1001	SALARIES AND WAGES	\$170,135	\$153,278	\$163,031
1002	OTHER PERSONNEL COSTS	\$15,140	\$15,320	\$10,800
2001	PROFESSIONAL FEES AND SERVICES	\$670	\$667	\$0
2003	CONSUMABLE SUPPLIES	\$430	\$667	\$992
2004	UTILITIES	\$293	\$610	\$46
2005	TRAVEL	\$29	\$0	\$412
2007	RENT - MACHINE AND OTHER	\$950	\$962	\$949
2009	OTHER OPERATING EXPENSE	\$3,288	\$2,925	\$3,762
5000	CAPITAL EXPENDITURES	\$0	\$0	\$0
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$190,935</b>	<b>\$174,429</b>	<b>\$179,992</b>
<b>Method of Financing:</b>				
8042	Insurance Maint Tax Fees	\$123,277	\$112,620	\$116,159
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$123,277</b>	<b>\$112,620</b>	<b>\$116,159</b>
<b>Method of Financing:</b>				
36	Dept Ins Operating Acct	\$67,658	\$61,809	\$63,833
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)</b>		<b>\$67,658</b>	<b>\$61,809</b>	<b>\$63,833</b>
<b>TOTAL, METHOD OF FINANCE :</b>		<b>\$190,935</b>	<b>\$174,429</b>	<b>\$179,992</b>
<b>FULL TIME EQUIVALENT POSITIONS :</b>		<b>3.0</b>	<b>2.6</b>	<b>2.9</b>

**III.A. STRATEGY LEVEL DETAIL**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011  
 TIME: 4:15:58PM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Promote Consumer Access to Affordable Insur Products W/in a Fair Mrkt  
 OBJECTIVE: 3 Reduce Impediments to Competition and Improve Insurance Availability  
 STRATEGY: 3 Support Texas Online

Statewide Goal/Benchmark: 7 7  
 Service Categories:  
 Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2010	EXP 2011	BUD 2012
<b>Objects of Expense:</b>				
	2009 OTHER OPERATING EXPENSE	\$460,125	\$435,145	\$380,000
	<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$460,125</b>	<b>\$435,145</b>	<b>\$380,000</b>
<b>Method of Financing:</b>				
	1 General Revenue Fund	\$6,444	\$5,517	\$6,520
	<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>	<b>\$6,444</b>	<b>\$5,517</b>	<b>\$6,520</b>
<b>Method of Financing:</b>				
	36 Dept Ins Operating Acct	\$453,681	\$429,628	\$373,480
	<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)</b>	<b>\$453,681</b>	<b>\$429,628</b>	<b>\$373,480</b>
	<b>TOTAL, METHOD OF FINANCE :</b>	<b>\$460,125</b>	<b>\$435,145</b>	<b>\$380,000</b>
	<b>FULL TIME EQUIVALENT POSITIONS :</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

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 82nd Regular Session, Fiscal Year 2012 Operating Budget  
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DATE: 11/29/2011  
 TIME: 4:15:58PM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Promote Consumer Access to Affordable Insur Products W/in a Fair Mrkt  
 OBJECTIVE: 3 Reduce Impediments to Competition and Improve Insurance Availability  
 STRATEGY: 4 Regulate Private Employers that Qualify to Self-Ins w/in the WC System

Statewide Goal/Benchmark: 7 5  
 Service Categories:  
 Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2010	EXP 2011	BUD 2012
<b>Efficiency Measures:</b>				
1	Average Cost Per Certified Self-Insured Certificate Holder	21,317.00	19,184.00	22,000.00
<b>Explanatory/Input Measures:</b>				
1	% Market Share of Self-insurance to Total WC Insurance Market	17.00	17.00	15.00
<b>Objects of Expense:</b>				
1001	SALARIES AND WAGES	\$531,004	\$472,793	\$557,008
1002	OTHER PERSONNEL COSTS	\$24,180	\$24,112	\$17,040
2001	PROFESSIONAL FEES AND SERVICES	\$0	\$2,265	\$0
2003	CONSUMABLE SUPPLIES	\$1,123	\$3,866	\$3,758
2004	UTILITIES	\$2,187	\$3,308	\$2,700
2005	TRAVEL	\$9,615	\$8,752	\$10,785
2006	RENT - BUILDING	\$37,623	\$37,692	\$24,582
2007	RENT - MACHINE AND OTHER	\$11,339	\$10,857	\$5,616
2009	OTHER OPERATING EXPENSE	\$20,933	\$21,424	\$42,291
5000	CAPITAL EXPENDITURES	\$1,112	\$0	\$0
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$639,116</b>	<b>\$585,069</b>	<b>\$663,780</b>
<b>Method of Financing:</b>				
36	Dept Ins Operating Acct	\$639,116	\$585,069	\$663,780
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)</b>		<b>\$639,116</b>	<b>\$585,069</b>	<b>\$663,780</b>
<b>TOTAL, METHOD OF FINANCE :</b>		<b>\$639,116</b>	<b>\$585,069</b>	<b>\$663,780</b>
<b>FULL TIME EQUIVALENT POSITIONS :</b>		<b>10.7</b>	<b>9.5</b>	<b>11.0</b>

**III.A. STRATEGY LEVEL DETAIL**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011  
 TIME: 4:15:58PM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Promote Consumer Access to Affordable Insur Products W/in a Fair Mrkt  
 OBJECTIVE: 4 Administer Innovative Insurance Initiatives  
 STRATEGY: 1 Support the State's Long-term Care Partnership Initiatives

Statewide Goal/Benchmark: 7 0  
 Service Categories:  
 Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2010	EXP 2011	BUD 2012
<b>Objects of Expense:</b>				
1001	SALARIES AND WAGES	\$138,959	\$136,532	\$158,790
1002	OTHER PERSONNEL COSTS	\$2,930	\$3,720	\$2,400
2003	CONSUMABLE SUPPLIES	\$347	\$512	\$506
2007	RENT - MACHINE AND OTHER	\$713	\$721	\$712
2009	OTHER OPERATING EXPENSE	\$565	\$595	\$1,810
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$143,514</b>	<b>\$142,080</b>	<b>\$164,218</b>
<b>Method of Financing:</b>				
	36 Dept Ins Operating Acct	\$143,514	\$142,080	\$164,218
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)</b>		<b>\$143,514</b>	<b>\$142,080</b>	<b>\$164,218</b>
<b>TOTAL, METHOD OF FINANCE :</b>		<b>\$143,514</b>	<b>\$142,080</b>	<b>\$164,218</b>
<b>FULL TIME EQUIVALENT POSITIONS :</b>		<b>3.0</b>	<b>2.6</b>	<b>3.0</b>

**III.A. STRATEGY LEVEL DETAIL**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
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DATE: 11/29/2011  
 TIME: 4:15:58PM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Promote Consumer Access to Affordable Insur Products W/in a Fair Mrkt  
 OBJECTIVE: 4 Administer Innovative Insurance Initiatives  
 STRATEGY: 2 Administer Three-Share Grant Program

Statewide Goal/Benchmark: 7 0  
 Service Categories:  
 Service: NA Income: NA Age: NA

CODE	DESCRIPTION	EXP 2010	EXP 2011	BUD 2012
<b>Objects of Expense:</b>				
1001	SALARIES AND WAGES	\$44,617	\$28,141	\$97,219
1002	OTHER PERSONNEL COSTS	\$180	\$240	\$0
2003	CONSUMABLE SUPPLIES	\$166	\$246	\$200
2005	TRAVEL	\$0	\$0	\$0
2007	RENT - MACHINE AND OTHER	\$339	\$331	\$339
2009	OTHER OPERATING EXPENSE	\$199	\$209	\$1,409
4000	GRANTS	\$1,895,000	\$646,213	\$343,065
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$1,940,501</b>	<b>\$675,380</b>	<b>\$442,232</b>
<b>Method of Financing:</b>				
8042	Insurance Maint Tax Fees	\$440,501	\$408,880	\$442,232
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$440,501</b>	<b>\$408,880</b>	<b>\$442,232</b>
<b>Method of Financing:</b>				
666	Appropriated Receipts	\$1,500,000	\$266,500	\$0
<b>SUBTOTAL, MOF (OTHER FUNDS)</b>		<b>\$1,500,000</b>	<b>\$266,500</b>	<b>\$0</b>
<b>TOTAL, METHOD OF FINANCE :</b>		<b>\$1,940,501</b>	<b>\$675,380</b>	<b>\$442,232</b>
<b>FULL TIME EQUIVALENT POSITIONS :</b>		<b>1.0</b>	<b>0.5</b>	<b>1.8</b>

**III.A. STRATEGY LEVEL DETAIL**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011  
 TIME: 4:15:58PM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Promote Consumer Access to Affordable Insur Products W/in a Fair Mrkt  
 OBJECTIVE: 4 Administer Innovative Insurance Initiatives  
 STRATEGY: 3 Promote the Healthy Texas program

Statewide Goal/Benchmark: 7 0  
 Service Categories:  
 Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2010	EXP 2011	BUD 2012
<b>Objects of Expense:</b>				
1001	SALARIES AND WAGES	\$153,431	\$295,277	\$313,604
1002	OTHER PERSONNEL COSTS	\$1,680	\$3,380	\$50,352
2001	PROFESSIONAL FEES AND SERVICES	\$223,614	\$2,851,990	\$1,882,000
2003	CONSUMABLE SUPPLIES	\$0	\$200	\$0
2005	TRAVEL	\$1,098	\$6,608	\$12,243
2006	RENT - BUILDING	\$0	\$1,125	\$0
2009	OTHER OPERATING EXPENSE	\$72,537	\$7,998	\$38,942,154
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$452,360</b>	<b>\$3,166,578</b>	<b>\$41,200,353</b>
<b>Method of Financing:</b>				
8042	Insurance Maint Tax Fees	\$39,674	\$75,384	\$75,233
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$39,674</b>	<b>\$75,384</b>	<b>\$75,233</b>
<b>Method of Financing:</b>				
329	Healthy TX Sm Emp Prem Stabil. Fund	\$0	\$1,000,000	\$33,829,000
777	Interagency Contracts	\$412,686	\$2,091,194	\$7,296,120
<b>SUBTOTAL, MOF (OTHER FUNDS)</b>		<b>\$412,686</b>	<b>\$3,091,194</b>	<b>\$41,125,120</b>
<b>TOTAL, METHOD OF FINANCE :</b>		<b>\$452,360</b>	<b>\$3,166,578</b>	<b>\$41,200,353</b>
<b>FULL TIME EQUIVALENT POSITIONS :</b>		<b>2.5</b>	<b>3.5</b>	<b>5.3</b>

**III.A. STRATEGY LEVEL DETAIL**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011  
 TIME: 4:15:58PM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 2 Promote Financial Strength of Ins. Industry & Reduce Undue Loss Cost  
 OBJECTIVE: 1 Regulate Insurance Industry Solvency  
 STRATEGY: 1 Analyze the Financial Condition of Insurers and Take Solvency Action

Statewide Goal/Benchmark: 7 1  
 Service Categories:  
 Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2010	EXP 2011	BUD 2012
<b>Output Measures:</b>				
KEY 1	Number of Entities Receiving TDI Solvency-related Intervention	5.00	2.00	10.00
KEY 2	Number of On-site Examinations Conducted	129.00	139.00	130.00
3	Number of Reviews of Annual and Interim Financial Statements Completed	1,751.00	1,790.00	1,750.00
<b>Efficiency Measures:</b>				
KEY 1	Average Cost Per Examination	39,395.00	35,137.00	38,000.00
<b>Explanatory/Input Measures:</b>				
KEY 1	Dollar Amount (in Millions) of Insurance Company Insolvencies	0.70	0.00	50.00
2	Percent of Ins Meeting Statutory or Risk-Based Capital and Surplus Req	99.00 %	99.00 %	97.00 %
<b>Objects of Expense:</b>				
1001	SALARIES AND WAGES	\$9,917,931	\$9,987,051	\$10,374,623
1002	OTHER PERSONNEL COSTS	\$396,212	\$367,678	\$247,205
2001	PROFESSIONAL FEES AND SERVICES	\$47,750	\$44,330	\$1,670
2003	CONSUMABLE SUPPLIES	\$44,728	\$43,985	\$42,357
2004	UTILITIES	\$29,363	\$29,601	\$52,487
2005	TRAVEL	\$753,118	\$623,669	\$923,230
2006	RENT - BUILDING	\$52,146	\$52,194	\$52,194
2007	RENT - MACHINE AND OTHER	\$70,833	\$71,781	\$70,805
2009	OTHER OPERATING EXPENSE	\$424,107	\$398,060	\$524,142
5000	CAPITAL EXPENDITURES	\$0	\$0	\$0
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$11,736,188</b>	<b>\$11,618,349</b>	<b>\$12,288,713</b>
<b>Method of Financing:</b>				
8042	Insurance Maint Tax Fees	\$7,527,296	\$7,483,922	\$7,832,138
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$7,527,296</b>	<b>\$7,483,922</b>	<b>\$7,832,138</b>

**III.A. STRATEGY LEVEL DETAIL**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011  
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Agency code: **454** Agency name: **Department of Insurance**

GOAL: 2 Promote Financial Strength of Ins. Industry & Reduce Undue Loss Cost  
 OBJECTIVE: 1 Regulate Insurance Industry Solvency  
 STRATEGY: 1 Analyze the Financial Condition of Insurers and Take Solvency Action

Statewide Goal/Benchmark: 7 1  
 Service Categories:  
 Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2010	EXP 2011	BUD 2012
<b>Method of Financing:</b>				
	36 Dept Ins Operating Acct	\$4,131,167	\$4,107,363	\$4,331,575
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)</b>		<b>\$4,131,167</b>	<b>\$4,107,363</b>	<b>\$4,331,575</b>
<b>Method of Financing:</b>				
	666 Appropriated Receipts	\$77,725	\$27,064	\$125,000
<b>SUBTOTAL, MOF (OTHER FUNDS)</b>		<b>\$77,725</b>	<b>\$27,064</b>	<b>\$125,000</b>
<b>TOTAL, METHOD OF FINANCE :</b>		<b>\$11,736,188</b>	<b>\$11,618,349</b>	<b>\$12,288,713</b>
<b>FULL TIME EQUIVALENT POSITIONS :</b>		<b>166.1</b>	<b>165.8</b>	<b>172.8</b>

**III.A. STRATEGY LEVEL DETAIL**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011  
 TIME: 4:15:58PM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 2 Promote Financial Strength of Ins. Industry & Reduce Undue Loss Cost  
 OBJECTIVE: 2 Assure Loss Control Services, Windstorm Inspections & Fraud Referrals  
 STRATEGY: 1 Inspect Loss Control Programs and Assure Code and Schedule Compliance

Statewide Goal/Benchmark: 7 1  
 Service Categories:  
 Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2010	EXP 2011	BUD 2012
<b>Output Measures:</b>				
KEY 1	Number of Windstorm Inspections Completed	8,351.00	9,343.00	11,500.00
KEY 2	Number of Inspections of Insurer Loss Control Programs Completed	202.00	202.00	200.00
KEY 3	Number of Commercial Property Oversight Inspections Completed	1,200.00	800.00	1,160.00
<b>Efficiency Measures:</b>				
KEY 1	Average Cost Per Windstorm Inspection	84.00	82.00	50.00
<b>Objects of Expense:</b>				
1001	SALARIES AND WAGES	\$2,205,332	\$2,208,638	\$2,210,600
1002	OTHER PERSONNEL COSTS	\$91,340	\$117,191	\$82,032
2001	PROFESSIONAL FEES AND SERVICES	\$8,405	\$10,153	\$0
2002	FUELS AND LUBRICANTS	\$0	\$0	\$0
2003	CONSUMABLE SUPPLIES	\$9,285	\$12,712	\$13,516
2004	UTILITIES	\$41,486	\$28,510	\$43,995
2005	TRAVEL	\$134,823	\$154,446	\$150,596
2006	RENT - BUILDING	\$76,126	\$76,332	\$83,968
2007	RENT - MACHINE AND OTHER	\$17,394	\$17,637	\$17,396
2009	OTHER OPERATING EXPENSE	\$57,759	\$76,893	\$82,997
3001	CLIENT SERVICES	\$0	\$0	\$0
4000	GRANTS	\$0	\$0	\$0
5000	CAPITAL EXPENDITURES	\$0	\$0	\$0
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$2,641,950</b>	<b>\$2,702,512</b>	<b>\$2,685,100</b>
<b>Method of Financing:</b>				
8042	Insurance Maint Tax Fees	\$1,705,777	\$1,744,879	\$1,732,851
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$1,705,777</b>	<b>\$1,744,879</b>	<b>\$1,732,851</b>

**III.A. STRATEGY LEVEL DETAIL**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011  
 TIME: 4:15:58PM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 2 Promote Financial Strength of Ins. Industry & Reduce Undue Loss Cost  
 OBJECTIVE: 2 Assure Loss Control Services, Windstorm Inspections & Fraud Referrals  
 STRATEGY: 1 Inspect Loss Control Programs and Assure Code and Schedule Compliance

Statewide Goal/Benchmark: 7 1  
 Service Categories:  
 Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2010	EXP 2011	BUD 2012
<b>Method of Financing:</b>				
	36 Dept Ins Operating Acct	\$936,173	\$957,633	\$952,249
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)</b>		<b>\$936,173</b>	<b>\$957,633</b>	<b>\$952,249</b>
<b>TOTAL, METHOD OF FINANCE :</b>		<b>\$2,641,950</b>	<b>\$2,702,512</b>	<b>\$2,685,100</b>
<b>FULL TIME EQUIVALENT POSITIONS :</b>		<b>47.0</b>	<b>45.6</b>	<b>46.7</b>

**III.A. STRATEGY LEVEL DETAIL**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
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DATE: 11/29/2011  
 TIME: 4:15:58PM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 2 Promote Financial Strength of Ins. Industry & Reduce Undue Loss Cost  
 OBJECTIVE: 2 Assure Loss Control Services, Windstorm Inspections & Fraud Referrals  
 STRATEGY: 2 Investigate Provider/Consumer Fraud & Refer Violations for Prosecution

Statewide Goal/Benchmark: 7 1  
 Service Categories:  
 Service: 34 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2010	EXP 2011	BUD 2012
<b>Output Measures:</b>				
KEY 1	Number of Referrals of Alleged Consumer & Provider Fraud	117.00	109.00	110.00
2	Dollar Amt of Restitution for Consumer/Provider Fraud Cases Referred	0.48	0.60	1.00
<b>Efficiency Measures:</b>				
1	Avg Number of Days Per Consumer or Provider Fraud Case Referred	299.00	326.00	265.00
<b>Explanatory/Input Measures:</b>				
1	Number of Reports of Consumer and Provider Fraud Received	8,864.00	8,394.00	7,959.00
2	Estimated \$ Amount (in Millions) of Consumer & Provider Fraud Referred	1.75	2.14	1.75
<b>Objects of Expense:</b>				
1001	SALARIES AND WAGES	\$1,072,763	\$1,057,113	\$1,244,433
1002	OTHER PERSONNEL COSTS	\$53,988	\$27,532	\$24,792
2001	PROFESSIONAL FEES AND SERVICES	\$5,782	\$61,851	\$19,396
2003	CONSUMABLE SUPPLIES	\$4,997	\$7,600	\$6,005
2004	UTILITIES	\$5,754	\$10,003	\$7,137
2005	TRAVEL	\$49,695	\$45,067	\$49,374
2006	RENT - BUILDING	\$5,929	\$5,045	\$10,570
2007	RENT - MACHINE AND OTHER	\$8,882	\$9,247	\$7,367
2009	OTHER OPERATING EXPENSE	\$58,643	\$118,537	\$101,756
5000	CAPITAL EXPENDITURES	\$0	\$0	\$0
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$1,266,433</b>	<b>\$1,341,995</b>	<b>\$1,470,830</b>
<b>Method of Financing:</b>				
8042	Insurance Maint Tax Fees	\$796,723	\$793,303	\$902,405
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$796,723</b>	<b>\$793,303</b>	<b>\$902,405</b>

**III.A. STRATEGY LEVEL DETAIL**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011  
 TIME: 4:15:58PM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 2 Promote Financial Strength of Ins. Industry & Reduce Undue Loss Cost  
 OBJECTIVE: 2 Assure Loss Control Services, Windstorm Inspections & Fraud Referrals  
 STRATEGY: 2 Investigate Provider/Consumer Fraud & Refer Violations for Prosecution

Statewide Goal/Benchmark: 7 1  
 Service Categories:  
 Service: 34 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2010	EXP 2011	BUD 2012
<b>Method of Financing:</b>				
	36 Dept Ins Operating Acct	\$437,261	\$435,384	\$498,008
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)</b>		<b>\$437,261</b>	<b>\$435,384</b>	<b>\$498,008</b>
<b>Method of Financing:</b>				
	555 Federal Funds			
	93.519.000 ACA - Consumer Assist Prog Grants	\$0	\$76,693	\$49,362
CFDA Subtotal, Fund	555	\$0	\$76,693	\$49,362
<b>SUBTOTAL, MOF (FEDERAL FUNDS)</b>		<b>\$0</b>	<b>\$76,693</b>	<b>\$49,362</b>
<b>Method of Financing:</b>				
	666 Appropriated Receipts	\$27,209	\$30,257	\$14,663
	777 Interagency Contracts	\$5,240	\$6,358	\$6,392
<b>SUBTOTAL, MOF (OTHER FUNDS)</b>		<b>\$32,449</b>	<b>\$36,615</b>	<b>\$21,055</b>
<b>TOTAL, METHOD OF FINANCE :</b>		<b>\$1,266,433</b>	<b>\$1,341,995</b>	<b>\$1,470,830</b>
<b>FULL TIME EQUIVALENT POSITIONS :</b>		<b>20.6</b>	<b>20.0</b>	<b>23.5</b>

**III.A. STRATEGY LEVEL DETAIL**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011  
 TIME: 4:15:58PM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 2 Promote Financial Strength of Ins. Industry & Reduce Undue Loss Cost  
 OBJECTIVE: 2 Assure Loss Control Services, Windstorm Inspections & Fraud Referrals  
 STRATEGY: 3 Investigate Workers' Comp Fraud & Refer Violations for Prosecution

Statewide Goal/Benchmark: 7 1  
 Service Categories:  
 Service: 34 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2010	EXP 2011	BUD 2012
<b>Output Measures:</b>				
1	Number of WC Insurance Fraud Referrals to State or Federal Prosecutors	21.00	7.00	40.00
2	Dollar Amount of Restitution for WC Fraud Cases Referred	0.07	0.02	0.20
<b>Efficiency Measures:</b>				
1	Average Number of Days Per WC Insurance Fraud Case Referred	190.00	264.00	200.00
<b>Explanatory/Input Measures:</b>				
1	Number of Reports of WC Insurance Fraud Received	2,042.00	2,013.00	1,592.00
2	Dollar Amount (in Millions) of WC Insurance Fraud Referred	0.19	0.06	0.20
<b>Objects of Expense:</b>				
1001	SALARIES AND WAGES	\$230,843	\$128,925	\$218,130
1002	OTHER PERSONNEL COSTS	\$5,280	\$4,010	\$2,640
2001	PROFESSIONAL FEES AND SERVICES	\$0	\$968	\$452
2002	FUELS AND LUBRICANTS	\$0	\$0	\$0
2003	CONSUMABLE SUPPLIES	\$723	\$1,013	\$964
2004	UTILITIES	\$2,040	\$2,563	\$2,598
2005	TRAVEL	\$5,741	\$4,297	\$8,115
2006	RENT - BUILDING	\$14,792	\$14,762	\$12,291
2007	RENT - MACHINE AND OTHER	\$4,413	\$4,230	\$2,808
2009	OTHER OPERATING EXPENSE	\$3,610	\$2,640	\$3,838
3001	CLIENT SERVICES	\$0	\$0	\$0
4000	GRANTS	\$0	\$0	\$0
5000	CAPITAL EXPENDITURES	\$475	\$0	\$0
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$267,917</b>	<b>\$163,408</b>	<b>\$251,836</b>

**Method of Financing:**

**III.A. STRATEGY LEVEL DETAIL**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011  
 TIME: 4:15:58PM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 2 Promote Financial Strength of Ins. Industry & Reduce Undue Loss Cost  
 OBJECTIVE: 2 Assure Loss Control Services, Windstorm Inspections & Fraud Referrals  
 STRATEGY: 3 Investigate Workers' Comp Fraud & Refer Violations for Prosecution

Statewide Goal/Benchmark: 7 1  
 Service Categories:  
 Service: 34 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2010	EXP 2011	BUD 2012
	36 Dept Ins Operating Acct	\$267,917	\$163,408	\$251,836
	<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)</b>	<b>\$267,917</b>	<b>\$163,408</b>	<b>\$251,836</b>
	<b>TOTAL, METHOD OF FINANCE :</b>	<b>\$267,917</b>	<b>\$163,408</b>	<b>\$251,836</b>
	<b>FULL TIME EQUIVALENT POSITIONS :</b>	<b>5.0</b>	<b>2.7</b>	<b>5.0</b>

**III.A. STRATEGY LEVEL DETAIL**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011  
 TIME: 4:15:58PM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 3 Reduce Loss of Life & Property Due to Fire Statewide Goal/Benchmark: 7 1  
 OBJECTIVE: 1 Protect the Public from Loss of Life and Property due to fire Service Categories:  
 STRATEGY: 1 Provide Fire Protection through Education, Enforcement and Engineering Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2010	EXP 2011	BUD 2012
<b>Output Measures:</b>				
	1 # of Individuals Attending SFMO Fire Prevention/Safety Presentations	20,577.00	16,571.00	22,500.00
KEY	2 Number of Fire Investigations Completed	527.00	412.00	507.00
	3 Number of Samples Analyzed in the Arson Lab	2,644.00	2,707.00	2,300.00
	4 Number of SFMO Criminal Referrals to Prosecution	111.00	65.00	110.00
KEY	5 Number of SFMO Registrations, Licenses, & Permits Issued	13,406.00	12,537.00	11,700.00
	6 Number of Licensing Investigations or Inspections Conducted	968.00	584.00	1,100.00
	7 Number of Buildings Inspected or Reinspected for Fire Safety Hazards	6,000.00	5,492.00	4,650.00
	8 # of Communities Accepting a SFMO Fire Prevention Program	81.00	39.00	40.00
<b>Efficiency Measures:</b>				
	1 Average Cost Per Fire Safety Inspection	121.00	145.00	145.00
	2 AverageTime to Complete Fire Investigations	202.00	130.00	150.00
<b>Objects of Expense:</b>				
	1001 SALARIES AND WAGES	\$3,170,180	\$3,268,629	\$3,264,093
	1002 OTHER PERSONNEL COSTS	\$189,608	\$161,743	\$120,000
	2001 PROFESSIONAL FEES AND SERVICES	\$51,201	\$43,307	\$3,500
	2002 FUELS AND LUBRICANTS	\$99,483	\$131,000	\$101,500
	2003 CONSUMABLE SUPPLIES	\$30,146	\$34,468	\$43,036
	2004 UTILITIES	\$46,249	\$55,190	\$72,765
	2005 TRAVEL	\$113,101	\$124,289	\$169,959
	2006 RENT - BUILDING	\$5,241	\$125	\$7,693
	2007 RENT - MACHINE AND OTHER	\$23,513	\$23,527	\$23,646
	2009 OTHER OPERATING EXPENSE	\$541,604	\$197,072	\$759,299
	5000 CAPITAL EXPENDITURES	\$193,588	\$437,164	\$0
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$4,463,914</b>	<b>\$4,476,514</b>	<b>\$4,565,491</b>

**III.A. STRATEGY LEVEL DETAIL**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011  
 TIME: 4:15:58PM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 3 Reduce Loss of Life & Property Due to Fire Statewide Goal/Benchmark: 7 1  
 OBJECTIVE: 1 Protect the Public from Loss of Life and Property due to fire Service Categories:  
 STRATEGY: 1 Provide Fire Protection through Education, Enforcement and Engineering Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2010	EXP 2011	BUD 2012
<b>Method of Financing:</b>				
1	General Revenue Fund	\$0	\$12,572	\$0
8042	Insurance Maint Tax Fees	\$2,616,922	\$2,725,009	\$2,668,662
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$2,616,922</b>	<b>\$2,737,581</b>	<b>\$2,668,662</b>
<b>Method of Financing:</b>				
36	Dept Ins Operating Acct	\$1,436,232	\$1,495,553	\$1,466,504
5138	Fire Prevention And Public Safety	\$0	\$0	\$100,000
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)</b>		<b>\$1,436,232</b>	<b>\$1,495,553</b>	<b>\$1,566,504</b>
<b>Method of Financing:</b>				
555	Federal Funds			
16.742.000	Coverdell Forensic Sciences Grant	\$0	\$220,880	\$0
97.044.000	Assistance to Firefighters Grant	\$410,760	\$22,500	\$285,048
CFDA Subtotal, Fund	555	\$410,760	\$243,380	\$285,048
<b>SUBTOTAL, MOF (FEDERAL FUNDS)</b>		<b>\$410,760</b>	<b>\$243,380</b>	<b>\$285,048</b>
<b>Method of Financing:</b>				
444	Criminal Justice Grants	\$0	\$0	\$45,277
<b>SUBTOTAL, MOF (OTHER FUNDS)</b>		<b>\$0</b>	<b>\$0</b>	<b>\$45,277</b>
<b>TOTAL, METHOD OF FINANCE :</b>		<b>\$4,463,914</b>	<b>\$4,476,514</b>	<b>\$4,565,491</b>
<b>FULL TIME EQUIVALENT POSITIONS :</b>		<b>69.2</b>	<b>68.6</b>	<b>70.0</b>

**III.A. STRATEGY LEVEL DETAIL**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011  
 TIME: 4:15:58PM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 4 Effectively Regulate the Texas Workers' Compensation System Statewide Goal/Benchmark: 7 5  
 OBJECTIVE: 1 Ensure Appropriate Delivery of Workers' Compensation Benefits Service Categories:  
 STRATEGY: 1 Oversee Activities of System Participants and Take Enforcement Action Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2010	EXP 2011	BUD 2012
<b>Output Measures:</b>				
1	# of Workers' Compensation Enforcement Cases Concluded with Action	448.00	458.00	276.00
2	\$ of Penalties Assessed for Violations for WC Statues and Rules	1,054,000.00	2,159,000.00	750,000.00
3	\$ Returned to WC System Participants through Complaint Resolution	804,712.00	748,318.00	1,100,000.00
KEY 4	Number of Quality of Care Reviews Completed	100.00	124.00	97.00
KEY 5	# of Complaints Closed Involving WC System Participants	3,262.00	3,714.00	6,640.00
6	Number of Performance Reviews Completed	70.00	69.00	68.00
<b>Efficiency Measures:</b>				
KEY 1	AVG # Days Per Q of C Reviews of Provider Carriers/URAS/IROS Completed	113.00	26.00	189.00
KEY 2	AVG # of Days to Close a Complaint Involving WC System	92.00	91.00	132.00
3	Average Number of Days to Complete a Performance Review	97.00	104.00	180.00
<b>Objects of Expense:</b>				
1001	SALARIES AND WAGES	\$3,425,995	\$3,565,374	\$4,446,368
1002	OTHER PERSONNEL COSTS	\$193,061	\$161,664	\$133,920
2001	PROFESSIONAL FEES AND SERVICES	\$288,435	\$938,433	\$338,777
2003	CONSUMABLE SUPPLIES	\$9,667	\$26,259	\$19,884
2004	UTILITIES	\$15,442	\$23,669	\$23,574
2005	TRAVEL	\$26,016	\$8,640	\$65,633
2006	RENT - BUILDING	\$261,970	\$259,899	\$212,226
2007	RENT - MACHINE AND OTHER	\$77,683	\$74,350	\$48,481
2009	OTHER OPERATING EXPENSE	\$131,406	\$120,009	\$151,320
5000	CAPITAL EXPENDITURES	\$8,535	\$0	\$0
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$4,438,210</b>	<b>\$5,178,297</b>	<b>\$5,440,183</b>
<b>Method of Financing:</b>				
36	Dept Ins Operating Acct	\$4,120,438	\$4,778,316	\$5,104,906

**III.A. STRATEGY LEVEL DETAIL**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011  
 TIME: 4:15:58PM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 4 Effectively Regulate the Texas Workers' Compensation System Statewide Goal/Benchmark: 7 5  
 OBJECTIVE: 1 Ensure Appropriate Delivery of Workers' Compensation Benefits Service Categories:  
 STRATEGY: 1 Oversee Activities of System Participants and Take Enforcement Action Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2010	EXP 2011	BUD 2012
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)</b>		<b>\$4,120,438</b>	<b>\$4,778,316</b>	<b>\$5,104,906</b>
<b>Method of Financing:</b>				
	666 Appropriated Receipts	\$317,772	\$399,981	\$335,277
<b>SUBTOTAL, MOF (OTHER FUNDS)</b>		<b>\$317,772</b>	<b>\$399,981</b>	<b>\$335,277</b>
<b>TOTAL, METHOD OF FINANCE :</b>		<b>\$4,438,210</b>	<b>\$5,178,297</b>	<b>\$5,440,183</b>
<b>FULL TIME EQUIVALENT POSITIONS :</b>		<b>68.9</b>	<b>69.1</b>	<b>85.1</b>

**III.A. STRATEGY LEVEL DETAIL**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011  
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Agency code: **454** Agency name: **Department of Insurance**

GOAL: 4 Effectively Regulate the Texas Workers' Compensation System  
 OBJECTIVE: 1 Ensure Appropriate Delivery of Workers' Compensation Benefits  
 STRATEGY: 2 Resolve Indemnity, Medical Fee and Medical Necessity Disputes

Statewide Goal/Benchmark: 7 5  
 Service Categories:  
 Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2010	EXP 2011	BUD 2012
<b>Output Measures:</b>				
1	Number of Benefit Review Conferences Held	9,658.00	10,009.00	8,929.00
2	Number of Contested Case Hearings Held	4,499.00	4,255.00	4,196.00
3	Number of Appellate Decisions Issued	2,057.00	2,053.00	2,300.00
<b>Efficiency Measures:</b>				
KEY 1	Average Number of Days to Resolve a Medical Fee Dispute	321.00	1,620.00	300.00
KEY 2	Avg # of Days to Resolve Indemnity Disputes Via Resolution Proceedings	110.00	104.00	85.00
<b>Explanatory/Input Measures:</b>				
1	Number of Initial Benefit Review Conferences Set	11,290.00	11,618.00	10,532.00
2	Number of Medical Fee Disputes Received	4,751.00	4,520.00	5,800.00
<b>Objects of Expense:</b>				
1001	SALARIES AND WAGES	\$11,204,806	\$10,787,608	\$10,902,253
1002	OTHER PERSONNEL COSTS	\$561,391	\$677,593	\$401,760
2001	PROFESSIONAL FEES AND SERVICES	\$68,065	\$243,009	\$2,150,000
2002	FUELS AND LUBRICANTS	\$8	\$30	\$0
2003	CONSUMABLE SUPPLIES	\$122,409	\$87,895	\$125,643
2004	UTILITIES	\$58,601	\$101,104	\$84,798
2005	TRAVEL	\$220,764	\$271,383	\$247,847
2006	RENT - BUILDING	\$975,919	\$980,110	\$1,417,945
2007	RENT - MACHINE AND OTHER	\$62,626	\$61,479	\$169,626
2009	OTHER OPERATING EXPENSE	\$455,291	\$413,755	\$400,277
5000	CAPITAL EXPENDITURES	\$26,893	\$0	\$0
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$13,756,773</b>	<b>\$13,623,966</b>	<b>\$15,900,149</b>

**Method of Financing:**

**III.A. STRATEGY LEVEL DETAIL**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011  
 TIME: 4:15:58PM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 4 Effectively Regulate the Texas Workers' Compensation System  
 OBJECTIVE: 1 Ensure Appropriate Delivery of Workers' Compensation Benefits  
 STRATEGY: 2 Resolve Indemnity, Medical Fee and Medical Necessity Disputes

Statewide Goal/Benchmark: 7 5  
 Service Categories:  
 Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2010	EXP 2011	BUD 2012
	36 Dept Ins Operating Acct	\$13,745,634	\$13,573,447	\$15,828,149
	<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)</b>	<b>\$13,745,634</b>	<b>\$13,573,447</b>	<b>\$15,828,149</b>
<b>Method of Financing:</b>				
	666 Appropriated Receipts	\$11,139	\$50,519	\$72,000
	<b>SUBTOTAL, MOF (OTHER FUNDS)</b>	<b>\$11,139</b>	<b>\$50,519</b>	<b>\$72,000</b>
	<b>TOTAL, METHOD OF FINANCE :</b>	<b>\$13,756,773</b>	<b>\$13,623,966</b>	<b>\$15,900,149</b>
	<b>FULL TIME EQUIVALENT POSITIONS :</b>	<b>266.3</b>	<b>252.4</b>	<b>252.4</b>

**III.A. STRATEGY LEVEL DETAIL**  
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DATE: 11/29/2011  
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Agency code: **454** Agency name: **Department of Insurance**

GOAL: 4 Effectively Regulate the Texas Workers' Compensation System  
 OBJECTIVE: 1 Ensure Appropriate Delivery of Workers' Compensation Benefits  
 STRATEGY: 3 Administer Subsequent Injury Fund

Statewide Goal/Benchmark: 7 5  
 Service Categories:  
 Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2010	EXP 2011	BUD 2012
<b>Output Measures:</b>				
KEY 1	# of Injured Workers Receiving Lifetime Income Benefits through SIF	38.00	37.00	42.00
<b>Efficiency Measures:</b>				
1	Avg Days from Receipt of SIF Request for Reimbursement to Payment	88.00	67.00	62.00
<b>Objects of Expense:</b>				
1001	SALARIES AND WAGES	\$52,847	\$54,863	\$54,808
1002	OTHER PERSONNEL COSTS	\$1,200	\$3,340	\$1,440
2001	PROFESSIONAL FEES AND SERVICES	\$8,372	\$344	\$17,500
2003	CONSUMABLE SUPPLIES	\$924	\$430	\$257
2004	UTILITIES	\$330	\$489	\$360
2005	TRAVEL	\$0	\$0	\$510
2006	RENT - BUILDING	\$5,581	\$5,500	\$3,278
2007	RENT - MACHINE AND OTHER	\$1,788	\$1,722	\$749
2009	OTHER OPERATING EXPENSE	\$4,425,407	\$5,364,548	\$4,421,976
5000	CAPITAL EXPENDITURES	\$136	\$0	\$0
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$4,496,585</b>	<b>\$5,431,236</b>	<b>\$4,500,878</b>
<b>Method of Financing:</b>				
36	Dept Ins Operating Acct	\$76,445	\$72,407	\$80,738
5101	Subsequent Injury Fund	\$4,420,140	\$5,358,829	\$4,420,140
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)</b>		<b>\$4,496,585</b>	<b>\$5,431,236</b>	<b>\$4,500,878</b>
<b>TOTAL, METHOD OF FINANCE :</b>		<b>\$4,496,585</b>	<b>\$5,431,236</b>	<b>\$4,500,878</b>
<b>FULL TIME EQUIVALENT POSITIONS :</b>		<b>1.3</b>	<b>1.3</b>	<b>1.3</b>

**III.A. STRATEGY LEVEL DETAIL**  
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 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011  
 TIME: 4:15:58PM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 4 Effectively Regulate the Texas Workers' Compensation System Statewide Goal/Benchmark: 7 5  
 OBJECTIVE: 2 Ensure Workers' Comp System Participants are Educated and Informed Service Categories:  
 STRATEGY: 1 Provide Educational Services/WPS Consultations to System Participants Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2010	EXP 2011	BUD 2012
<b>Output Measures:</b>				
KEY 1	Number of Consultations and Inspections Provided to Employers	2,820.00	3,187.00	3,000.00
2	Number of Divisions of Workers' Compensation Presentations Made	383.00	287.00	250.00
KEY 3	Number of Workplace Safety Hazards Identified	9,962.00	7,670.00	7,500.00
4	# RTW, Provider, WPS Educational Products & Services	4,622,391.00	6,108,882.00	3,500,000.00
5	Number of WC Income Benefit Recipients Referred to DARS	23,583.00	21,188.00	20,000.00
<b>Efficiency Measures:</b>				
1	Average Cost Per Consultation and Inspection	887.00	869.00	850.00
<b>Explanatory/Input Measures:</b>				
1	Incidence of Injuries/Illness per 100 FTEs in the Private Sector	3.00	2.90	3.90
<b>Objects of Expense:</b>				
1001	SALARIES AND WAGES	\$2,661,447	\$2,620,291	\$2,939,425
1002	OTHER PERSONNEL COSTS	\$144,117	\$107,944	\$84,960
2001	PROFESSIONAL FEES AND SERVICES	\$11,003	\$14,739	\$0
2003	CONSUMABLE SUPPLIES	\$15,909	\$8,841	\$28,046
2004	UTILITIES	\$17,005	\$24,226	\$30,430
2005	TRAVEL	\$221,694	\$215,211	\$231,948
2006	RENT - BUILDING	\$318,140	\$378,861	\$348,510
2007	RENT - MACHINE AND OTHER	\$53,689	\$68,667	\$47,686
2009	OTHER OPERATING EXPENSE	\$553,383	\$281,246	\$154,986
3001	CLIENT SERVICES	\$1,134	\$2,598	\$0
5000	CAPITAL EXPENDITURES	\$21,824	\$7,950	\$0
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$4,019,345</b>	<b>\$3,730,574</b>	<b>\$3,865,991</b>

**Method of Financing:**

**III.A. STRATEGY LEVEL DETAIL**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011  
 TIME: 4:15:58PM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 4 Effectively Regulate the Texas Workers' Compensation System  
 OBJECTIVE: 2 Ensure Workers' Comp System Participants are Educated and Informed  
 STRATEGY: 1 Provide Educational Services/WPS Consultations to System Participants

Statewide Goal/Benchmark: 7 5  
 Service Categories:  
 Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2010	EXP 2011	BUD 2012
	36 Dept Ins Operating Acct	\$1,488,441	\$1,460,683	\$1,456,541
	<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)</b>	<b>\$1,488,441</b>	<b>\$1,460,683</b>	<b>\$1,456,541</b>
<b>Method of Financing:</b>				
	555 Federal Funds			
	17.005.001 OSHA BUREAU OF LABOR STATISTICS	\$177,980	\$168,521	\$198,819
	17.504.001 OSHA Consultation Agreements	\$2,233,829	\$1,965,469	\$1,983,441
	17.504.002 OSHA Consultation Agreements	\$69,410	\$61,000	\$84,461
	CFDA Subtotal, Fund 555	\$2,481,219	\$2,194,990	\$2,266,721
	<b>SUBTOTAL, MOF (FEDERAL FUNDS)</b>	<b>\$2,481,219</b>	<b>\$2,194,990</b>	<b>\$2,266,721</b>
<b>Method of Financing:</b>				
	666 Appropriated Receipts	\$49,685	\$74,901	\$142,729
	<b>SUBTOTAL, MOF (OTHER FUNDS)</b>	<b>\$49,685</b>	<b>\$74,901</b>	<b>\$142,729</b>
	<b>TOTAL, METHOD OF FINANCE :</b>	<b>\$4,019,345</b>	<b>\$3,730,574</b>	<b>\$3,865,991</b>
	<b>FULL TIME EQUIVALENT POSITIONS :</b>	<b>66.1</b>	<b>64.9</b>	<b>70.9</b>

**III.A. STRATEGY LEVEL DETAIL**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011  
 TIME: 4:15:58PM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 4 Effectively Regulate the Texas Workers' Compensation System Statewide Goal/Benchmark: 7 5  
 OBJECTIVE: 2 Ensure Workers' Comp System Participants are Educated and Informed Service Categories:  
 STRATEGY: 2 Provide Customer Assistance & Records Admin for System Participants Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2010	EXP 2011	BUD 2012
<b>Output Measures:</b>				
1	Number of Injury Records in Which Indemnity Benefits are Initiated	62,998.00	63,049.00	65,800.00
<b>Explanatory/Input Measures:</b>				
1	Number of Documents Received Electronically by the DWC	5,357,757.00	5,288,510.00	4,500,000.00
<b>Objects of Expense:</b>				
1001	SALARIES AND WAGES	\$4,086,637	\$3,855,105	\$3,889,565
1002	OTHER PERSONNEL COSTS	\$251,449	\$302,651	\$189,600
2001	PROFESSIONAL FEES AND SERVICES	\$156,845	\$72,975	\$0
2002	FUELS AND LUBRICANTS	\$3	\$13	\$0
2003	CONSUMABLE SUPPLIES	\$59,170	\$63,329	\$69,628
2004	UTILITIES	\$28,920	\$46,676	\$39,411
2005	TRAVEL	\$33,293	\$39,624	\$28,860
2006	RENT - BUILDING	\$556,140	\$554,817	\$852,344
2007	RENT - MACHINE AND OTHER	\$78,199	\$75,879	\$80,776
2009	OTHER OPERATING EXPENSE	\$174,299	\$232,650	\$225,189
5000	CAPITAL EXPENDITURES	\$8,900	\$0	\$0
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$5,433,855</b>	<b>\$5,243,719</b>	<b>\$5,375,373</b>
<b>Method of Financing:</b>				
36	Dept Ins Operating Acct	\$5,247,497	\$5,078,904	\$5,166,373
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)</b>		<b>\$5,247,497</b>	<b>\$5,078,904</b>	<b>\$5,166,373</b>
<b>Method of Financing:</b>				
666	Appropriated Receipts	\$186,358	\$164,815	\$209,000
<b>SUBTOTAL, MOF (OTHER FUNDS)</b>		<b>\$186,358</b>	<b>\$164,815</b>	<b>\$209,000</b>

**III.A. STRATEGY LEVEL DETAIL**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011  
 TIME: 4:15:58PM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 4 Effectively Regulate the Texas Workers' Compensation System  
 OBJECTIVE: 2 Ensure Workers' Comp System Participants are Educated and Informed  
 STRATEGY: 2 Provide Customer Assistance & Records Admin for System Participants

Statewide Goal/Benchmark: 7 5  
 Service Categories:  
 Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2010	EXP 2011	BUD 2012
<b>TOTAL, METHOD OF FINANCE :</b>		<b>\$5,433,855</b>	<b>\$5,243,719</b>	<b>\$5,375,373</b>
<b>FULL TIME EQUIVALENT POSITIONS :</b>		<b>132.8</b>	<b>122.5</b>	<b>125.0</b>

**III.A. STRATEGY LEVEL DETAIL**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011  
 TIME: 4:15:58PM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 5 Indirect Administration  
 OBJECTIVE: 1 Indirect Administration  
 STRATEGY: 1 Central Administration

Statewide Goal/Benchmark: 7 0  
 Service Categories:  
 Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2010	EXP 2011	BUD 2012
<b>Objects of Expense:</b>				
1001	SALARIES AND WAGES	\$8,357,189	\$8,412,919	\$8,259,401
1002	OTHER PERSONNEL COSTS	\$359,352	\$370,567	\$220,741
2001	PROFESSIONAL FEES AND SERVICES	\$91,940	\$236,606	\$279,003
2003	CONSUMABLE SUPPLIES	\$21,057	\$38,567	\$36,923
2004	UTILITIES	\$32,749	\$51,778	\$22,679
2005	TRAVEL	\$56,498	\$47,399	\$102,975
2006	RENT - BUILDING	\$459,182	\$442,982	\$315,151
2007	RENT - MACHINE AND OTHER	\$92,076	\$89,449	\$61,554
2009	OTHER OPERATING EXPENSE	\$310,300	\$415,036	\$541,621
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$9,780,343</b>	<b>\$10,105,303</b>	<b>\$9,840,048</b>
<b>Method of Financing:</b>				
1	General Revenue Fund	\$224,406	\$372,142	\$224,406
8042	Insurance Maint Tax Fees	\$3,054,640	\$3,166,434	\$3,586,008
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$3,279,046</b>	<b>\$3,538,576</b>	<b>\$3,810,414</b>
<b>Method of Financing:</b>				
36	Dept Ins Operating Acct	\$6,501,297	\$6,566,727	\$6,029,634
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)</b>		<b>\$6,501,297</b>	<b>\$6,566,727</b>	<b>\$6,029,634</b>
<b>Method of Financing:</b>				
666	Appropriated Receipts	\$0	\$0	\$0
<b>SUBTOTAL, MOF (OTHER FUNDS)</b>		<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

**III.A. STRATEGY LEVEL DETAIL**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011  
 TIME: 4:15:58PM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 5 Indirect Administration  
 OBJECTIVE: 1 Indirect Administration  
 STRATEGY: 1 Central Administration

Statewide Goal/Benchmark: 7 0  
 Service Categories:  
 Service: 09 Income: A.2 Age: B.3

<b>CODE</b>	<b>DESCRIPTION</b>	<b>EXP 2010</b>	<b>EXP 2011</b>	<b>BUD 2012</b>
<b>TOTAL, METHOD OF FINANCE :</b>		<b>\$9,780,343</b>	<b>\$10,105,303</b>	<b>\$9,840,048</b>
<b>FULL TIME EQUIVALENT POSITIONS :</b>		<b>142.1</b>	<b>139.2</b>	<b>137.9</b>

**III.A. STRATEGY LEVEL DETAIL**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011  
 TIME: 4:15:58PM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 5 Indirect Administration  
 OBJECTIVE: 1 Indirect Administration  
 STRATEGY: 2 Information Resources

Statewide Goal/Benchmark: 7 0  
 Service Categories:  
 Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2010	EXP 2011	BUD 2012
<b>Objects of Expense:</b>				
1001	SALARIES AND WAGES	\$4,712,698	\$4,671,479	\$4,969,701
1002	OTHER PERSONNEL COSTS	\$231,217	\$221,275	\$148,560
2001	PROFESSIONAL FEES AND SERVICES	\$3,322,677	\$3,317,498	\$3,324,700
2003	CONSUMABLE SUPPLIES	\$18,082	\$20,815	\$37,539
2004	UTILITIES	\$606,400	\$695,829	\$632,027
2005	TRAVEL	\$1,185	\$24,243	\$24,010
2006	RENT - BUILDING	\$287,470	\$287,093	\$85,874
2007	RENT - MACHINE AND OTHER	\$49,991	\$48,854	\$37,487
2009	OTHER OPERATING EXPENSE	\$1,185,724	\$1,086,252	\$970,883
5000	CAPITAL EXPENDITURES	\$0	\$0	\$0
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$10,415,444</b>	<b>\$10,373,338</b>	<b>\$10,230,781</b>
<b>Method of Financing:</b>				
8042	Insurance Maint Tax Fees	\$3,670,181	\$3,549,958	\$3,652,962
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$3,670,181</b>	<b>\$3,549,958</b>	<b>\$3,652,962</b>
<b>Method of Financing:</b>				
36	Dept Ins Operating Acct	\$6,745,263	\$6,823,380	\$6,577,819
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)</b>		<b>\$6,745,263</b>	<b>\$6,823,380</b>	<b>\$6,577,819</b>
<b>TOTAL, METHOD OF FINANCE :</b>		<b>\$10,415,444</b>	<b>\$10,373,338</b>	<b>\$10,230,781</b>
<b>FULL TIME EQUIVALENT POSITIONS :</b>		<b>84.3</b>	<b>82.7</b>	<b>88.0</b>

**III.A. STRATEGY LEVEL DETAIL**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011  
 TIME: 4:15:58PM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 5 Indirect Administration  
 OBJECTIVE: 1 Indirect Administration  
 STRATEGY: 3 Other Support Services

Statewide Goal/Benchmark: 7 0

Service Categories:

Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2010	EXP 2011	BUD 2012
<b>Objects of Expense:</b>				
1001	SALARIES AND WAGES	\$1,512,898	\$1,464,341	\$1,547,121
1002	OTHER PERSONNEL COSTS	\$86,572	\$104,065	\$64,320
2001	PROFESSIONAL FEES AND SERVICES	\$0	\$20,819	\$1,500
2002	FUELS AND LUBRICANTS	\$12,310	\$18,298	\$15,960
2003	CONSUMABLE SUPPLIES	\$56,789	\$32,318	\$45,540
2004	UTILITIES	\$31,281	\$42,487	\$34,197
2005	TRAVEL	\$3,716	\$12,120	\$11,477
2006	RENT - BUILDING	\$189,258	\$194,532	\$122,506
2007	RENT - MACHINE AND OTHER	\$37,844	\$40,584	\$26,491
2009	OTHER OPERATING EXPENSE	\$1,134,535	\$1,439,781	\$1,317,309
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$3,065,203</b>	<b>\$3,369,345</b>	<b>\$3,186,421</b>
<b>Method of Financing:</b>				
1	General Revenue Fund	\$0	\$45,225	\$0
8042	Insurance Maint Tax Fees	\$1,049,188	\$1,154,095	\$1,178,352
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$1,049,188</b>	<b>\$1,199,320</b>	<b>\$1,178,352</b>
<b>Method of Financing:</b>				
36	Dept Ins Operating Acct	\$2,016,015	\$2,170,025	\$2,008,069
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)</b>		<b>\$2,016,015</b>	<b>\$2,170,025</b>	<b>\$2,008,069</b>
<b>TOTAL, METHOD OF FINANCE :</b>		<b>\$3,065,203</b>	<b>\$3,369,345</b>	<b>\$3,186,421</b>
<b>FULL TIME EQUIVALENT POSITIONS :</b>		<b>41.9</b>	<b>40.3</b>	<b>42.0</b>

**III.A. STRATEGY LEVEL DETAIL**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011  
 TIME: 4:15:58PM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 6 Regulatory Response

Statewide Goal/Benchmark: 7 0

OBJECTIVE: 1 Regulatory Response

Service Categories:

STRATEGY: 1 Contingency Regulatory Response

Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2010	EXP 2011	BUD 2012
<b>Objects of Expense:</b>				
1001	SALARIES AND WAGES	\$0	\$0	\$2,000,000
2009	OTHER OPERATING EXPENSE	\$0	\$0	\$200,000
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$0</b>	<b>\$0</b>	<b>\$2,200,000</b>
<b>Method of Financing:</b>				
8042	Insurance Maint Tax Fees	\$0	\$0	\$1,430,000
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$0</b>	<b>\$0</b>	<b>\$1,430,000</b>
<b>Method of Financing:</b>				
36	Dept Ins Operating Acct	\$0	\$0	\$770,000
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)</b>		<b>\$0</b>	<b>\$0</b>	<b>\$770,000</b>
<b>TOTAL, METHOD OF FINANCE :</b>		<b>\$0</b>	<b>\$0</b>	<b>\$2,200,000</b>
<b>FULL TIME EQUIVALENT POSITIONS :</b>		<b>0.0</b>	<b>0.0</b>	<b>40.0</b>

**III.A. STRATEGY LEVEL DETAIL**  
82nd Regular Session, Fiscal Year 2012 Operating Budget  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011  
TIME: 4:15:58PM

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**SUMMARY TOTALS :**

<b>OBJECTS OF EXPENSE:</b>	<b>\$100,323,701</b>	<b>\$105,077,738</b>	<b>\$152,936,537</b>
<b>METHODS OF FINANCE :</b>	<b>\$100,323,701</b>	<b>\$105,077,738</b>	<b>\$152,936,537</b>
<b>FULL TIME EQUIVALENT POSITIONS :</b>	<b>1,503.3</b>	<b>1,471.4</b>	<b>1,592.4</b>

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# **CAPITAL BUDGET PROJECT SCHEDULE**

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Agency code: 454

Agency name: Department of Insurance

Category Code / Category Name

*Project Sequence/Project Id/ Name*

OOE / TOF / MOF CODE

EXP 2010

EXP 2011

BUD 2012

**5005 Acquisition of Information Resource Technologies**

*1/1 SUPPORT DATA CENTER SERVICE*

*CONTRACT*

**OBJECTS OF EXPENSE**

Capital

2001 PROFESSIONAL FEES AND SERVICES

\$3,322,677

\$3,233,540

\$3,318,710

Capital Subtotal OOE, Project 1

\$3,322,677

\$3,233,540

\$3,318,710

Subtotal OOE, Project 1

**\$3,322,677**

**\$3,233,540**

**\$3,318,710**

**TYPE OF FINANCING**

Capital

CA 36 Dept Ins Operating Acct

\$2,103,613

\$2,075,476

\$2,118,784

CA 8042 Insurance Maint Tax Fees

\$1,219,064

\$1,158,064

\$1,199,926

Capital Subtotal TOF, Project 1

\$3,322,677

\$3,233,540

\$3,318,710

Subtotal TOF, Project 1

**\$3,322,677**

**\$3,233,540**

**\$3,318,710**

*2/2 TEXASSURE VEHICLE INSURANCE*

*VERIFICATION(1250)*

**OBJECTS OF EXPENSE**

Capital

2009 OTHER OPERATING EXPENSE

\$0

\$0

\$4,225,766

Capital Subtotal OOE, Project 2

\$0

\$0

\$4,225,766

Subtotal OOE, Project 2

**\$0**

**\$0**

**\$4,225,766**

**TYPE OF FINANCING**

Capital

CA 6 State Highway Fund

\$0

\$0

\$4,225,766

Capital Subtotal TOF, Project 2

\$0

\$0

\$4,225,766

Subtotal TOF, Project 2

**\$0**

**\$0**

**\$4,225,766**

**IV.A. CAPITAL BUDGET PROJECT SCHEDULE**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/29/2011**  
 TIME: **4:18:28PM**

Agency code: **454**

Agency name: **Department of Insurance**

**Category Code / Category Name**

*Project Sequence/Project Id/ Name*

**OOE / TOF / MOF CODE**

**EXP 2010**

**EXP 2011**

**BUD 2012**

*3/3 OBS INFRA TECHNOLOGY (4702 DWC)*

**OBJECTS OF EXPENSE**

Capital

2004 UTILITIES		\$0	\$77,000	\$0
2009 OTHER OPERATING EXPENSE		\$297,624	\$187,237	\$0
Capital Subtotal OOE, Project	3	\$297,624	\$264,237	\$0
Subtotal OOE, Project	3	<b>\$297,624</b>	<b>\$264,237</b>	<b>\$0</b>

**TYPE OF FINANCING**

Capital

CA 36 Dept Ins Operating Acct		\$297,624	\$264,237	\$0
CA 8042 Insurance Maint Tax Fees		\$0	\$0	\$0
Capital Subtotal TOF, Project	3	\$297,624	\$264,237	\$0
Subtotal TOF, Project	3	<b>\$297,624</b>	<b>\$264,237</b>	<b>\$0</b>

*5/5 IT REPLACEMENT (1272, 1297, 1298)*

**OBJECTS OF EXPENSE**

Capital

2001 PROFESSIONAL FEES AND SERVICES		\$139,861	\$245,338	\$0
2004 UTILITIES		\$987	\$34,010	\$0
2009 OTHER OPERATING EXPENSE		\$574,307	\$390,562	\$0
5000 CAPITAL EXPENDITURES		\$52,200	\$220,880	\$0
Capital Subtotal OOE, Project	5	\$767,355	\$890,790	\$0
Subtotal OOE, Project	5	<b>\$767,355</b>	<b>\$890,790</b>	<b>\$0</b>

**TYPE OF FINANCING**

Capital

CA 36 Dept Ins Operating Acct		\$485,306	\$438,525	\$0
CA 555 Federal Funds		\$0	\$220,880	\$0

IV.A. CAPITAL BUDGET PROJECT SCHEDULE  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011  
 TIME: 4:18:28PM

Agency code: **454**

Agency name: **Department of Insurance**

**Category Code / Category Name**

<i>Project Sequence/Project Id/ Name</i>	<b>EXP 2010</b>	<b>EXP 2011</b>	<b>BUD 2012</b>
<b>OOE / TOF / MOF CODE</b>			
CA 8042 Insurance Maint Tax Fees	\$282,049	\$231,385	\$0
Capital Subtotal TOF, Project 5	\$767,355	\$890,790	\$0
Subtotal TOF, Project 5	<b>\$767,355</b>	<b>\$890,790</b>	<b>\$0</b>
Capital Subtotal, Category 5005	\$4,387,656	\$4,388,567	\$7,544,476
Informational Subtotal, Category 5005			
<b>Total, Category 5005</b>	<b>\$4,387,656</b>	<b>\$4,388,567</b>	<b>\$7,544,476</b>

**5006 Transportation Items**

*4/4 SFMO VEHICLE REPLACEMENT (1299)*

**OBJECTS OF EXPENSE**

Capital

5000 CAPITAL EXPENDITURES	\$185,088	\$216,284	\$0
Capital Subtotal OOE, Project 4	\$185,088	\$216,284	\$0
Subtotal OOE, Project 4	<b>\$185,088</b>	<b>\$216,284</b>	<b>\$0</b>

**TYPE OF FINANCING**

Capital

CA 36 Dept Ins Operating Acct	\$65,586	\$76,640	\$0
CA 8042 Insurance Maint Tax Fees	\$119,502	\$139,644	\$0
Capital Subtotal TOF, Project 4	\$185,088	\$216,284	\$0
Subtotal TOF, Project 4	<b>\$185,088</b>	<b>\$216,284</b>	<b>\$0</b>
Capital Subtotal, Category 5006	\$185,088	\$216,284	\$0
Informational Subtotal, Category 5006			
<b>Total, Category 5006</b>	<b>\$185,088</b>	<b>\$216,284</b>	<b>\$0</b>

<b>AGENCY TOTAL -CAPITAL</b>	<b>\$4,572,744</b>	<b>\$4,604,851</b>	<b>\$7,544,476</b>
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**IV.A. CAPITAL BUDGET PROJECT SCHEDULE**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/29/2011**  
 TIME: **4:18:28PM**

Agency code: **454**

Agency name: **Department of Insurance**

**Category Code / Category Name**

*Project Sequence/Project Id/ Name*

**OOE / TOF / MOF CODE**

**EXP 2010**

**EXP 2011**

**BUD 2012**

**AGENCY TOTAL -INFORMATIONAL**

**AGENCY TOTAL**

**\$4,572,744**

**\$4,604,851**

**\$7,544,476**

**METHOD OF FINANCING:**

Capital

6 State Highway Fund

\$0

\$0

\$4,225,766

36 Dept Ins Operating Acct

\$2,952,129

\$2,854,878

\$2,118,784

555 Federal Funds

\$0

\$220,880

\$0

8042 Insurance Maint Tax Fees

\$1,620,615

\$1,529,093

\$1,199,926

Total, Method of Financing-Capital

\$4,572,744

\$4,604,851

\$7,544,476

**Total, Method of Financing**

**\$4,572,744**

**\$4,604,851**

**\$7,544,476**

**TYPE OF FINANCING:**

Capital

CA CURRENT APPROPRIATIONS

\$4,572,744

\$4,604,851

\$7,544,476

Total, Type of Financing-Capital

\$4,572,744

\$4,604,851

\$7,544,476

**Total,Type of Financing**

**\$4,572,744**

**\$4,604,851**

**\$7,544,476**

**CAPITAL BUDGET ALLOCATION TO STRATEGIES**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/29/2011**  
 TIME: **4:18:46PM**

Agency code: **454** Agency name: **Department of Insurance**

**Category Code/Name**

*Project Sequence/Project Id/Name*

	<b>Goal/Obj/Str</b>	<b>Strategy Name</b>	<b>EXP 2010</b>	<b>EXP 2011</b>	<b>BUD 2012</b>
<b>5005 Acquisition of Information Resource Technologies</b>					
	<i>1/1</i>	<i>DATA CENTER CONSOL (349, 3149)</i>			
Capital	5-1-2	INFORMATION RESOURCES	3,322,677	3,233,540	\$3,318,710
		TOTAL, PROJECT	\$3,322,677	\$3,233,540	\$3,318,710
	<i>2/2</i>	<i>TEXASSURE VEHICLE INS VERIF (1250)</i>			
Capital	1-1-1	CONSUMER EDUCATION AND OUTREACH	0	0	4,225,766
		TOTAL, PROJECT	\$0	\$0	\$4,225,766
	<i>3/3</i>	<i>DWC OBS INFRA TECHNOLOGY (4702)</i>			
Capital	5-1-2	INFORMATION RESOURCES	297,624	264,237	0
		TOTAL, PROJECT	\$297,624	\$264,237	\$0
	<i>5/5</i>	<i>IT REPLACEMENT (1272, 1297, 1298)</i>			
Capital	1-1-1	CONSUMER EDUCATION AND OUTREACH	27,084	22,216	0
Capital	1-2-3	INSURER FRAUD	15,029	12,326	0
Capital	1-2-1	RESOLVE COMPLAINTS	30,411	24,940	0
Capital	1-2-2	INVESTIGATION AND ENFORCEMENT	30,972	25,405	0
Capital	1-3-1	PROCESS RATES, FORMS & LICENSES	118,503	97,214	0
Capital	1-3-2	PROMOTE UNDERSERVED COVERAGE	2,099	1,720	0

**CAPITAL BUDGET ALLOCATION TO STRATEGIES**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/29/2011**  
 TIME: **4:18:52PM**

Agency code: **454** Agency name: **Department of Insurance**

**Category Code/Name**

*Project Sequence/Project Id/Name*

	<b>Goal/Obj/Str</b>	<b>Strategy Name</b>	<b>EXP 2010</b>	<b>EXP 2011</b>	<b>BUD 2012</b>
Capital	1-3-4	CERTIFY SELF-INSURANCE	6,841	6,634	\$0
Capital	2-1-1	INSURERS FINANCIAL CONDITION	133,725	109,684	0
Capital	2-2-1	LOSS CONTROL PROGRAMS	26,252	21,535	0
Capital	2-2-2	PROVIDER AND CONSUMER FRAUD	13,019	10,678	0
Capital	2-2-3	WORKERS' COMPENSATION FRAUD	2,923	2,837	0
Capital	3-1-1	FIRE PROTECTION	39,749	253,536	0
Capital	4-1-1	OVERSIGHT AND ENFORCEMENT	61,908	50,936	0
Capital	4-1-2	DISPUTE RESOLUTION	165,431	160,499	0
Capital	4-1-3	SUBSEQUENT INJURY FUND ADMIN	835	814	0
Capital	4-2-1	HEALTH AND SAFETY SERVICES	37,826	36,702	0
Capital	4-2-2	CUSTOMER SERVICE & RECORDS ADMIN	54,748	53,114	0
TOTAL, PROJECT			<b>\$767,355</b>	<b>\$890,790</b>	<b>\$0</b>

**5006 Transportation Items**

*4/4 SFMO VEHICLE REPLACEMENT (1299)*

Capital	3-1-1	FIRE PROTECTION	185,088	216,284	0
TOTAL, PROJECT			<b>\$185,088</b>	<b>\$216,284</b>	<b>\$0</b>
<b>TOTAL CAPITAL, ALL PROJECTS</b>			<b>\$4,572,744</b>	<b>\$4,604,851</b>	<b>\$7,544,476</b>
<b>TOTAL INFORMATIONAL, ALL PROJECTS</b>					
<b>TOTAL, ALL PROJECTS</b>			<b>\$4,572,744</b>	<b>\$4,604,851</b>	<b>\$7,544,476</b>

## **FEDERAL FUNDS SUPPORTING SCHEDULE**

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**IV.B. FEDERAL FUNDS SUPPORTING SCHEDULE**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/29/2011**  
 TIME: **4:19:42PM**

Agency code: **454** Agency name: Department of Insurance

CFDA NUMBER/ STRATEGY	EXP 2010	EXP 2011	BUD 2012
<b>16.742.000</b> Coverdell Forensic Sciences Grant			
3 - 1 - 1 FIRE PROTECTION	0	220,880	0
<b>TOTAL, ALL STRATEGIES</b>	<b>\$0</b>	<b>\$220,880</b>	<b>\$0</b>
<b>ADDL FED FNDS FOR EMPL BENEFITS</b>	0	0	0
<b>TOTAL, FEDERAL FUNDS</b>	<b>\$0</b>	<b>\$220,880</b>	<b>\$0</b>
<b>ADDL GR FOR EMPL BENEFITS</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>17.005.001</b> OSHA BUREAU OF LABOR STATISTICS			
4 - 2 - 1 HEALTH AND SAFETY SERVICES	177,980	168,521	198,819
<b>TOTAL, ALL STRATEGIES</b>	<b>\$177,980</b>	<b>\$168,521</b>	<b>\$198,819</b>
<b>ADDL FED FNDS FOR EMPL BENEFITS</b>	0	0	0
<b>TOTAL, FEDERAL FUNDS</b>	<b>\$177,980</b>	<b>\$168,521</b>	<b>\$198,819</b>
<b>ADDL GR FOR EMPL BENEFITS</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>17.504.001</b> OSHA Consultation Agreements			
4 - 2 - 1 HEALTH AND SAFETY SERVICES	2,233,829	1,965,469	1,983,441
<b>TOTAL, ALL STRATEGIES</b>	<b>\$2,233,829</b>	<b>\$1,965,469</b>	<b>\$1,983,441</b>
<b>ADDL FED FNDS FOR EMPL BENEFITS</b>	0	0	0
<b>TOTAL, FEDERAL FUNDS</b>	<b>\$2,233,829</b>	<b>\$1,965,469</b>	<b>\$1,983,441</b>
<b>ADDL GR FOR EMPL BENEFITS</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>17.504.002</b> OSHA Consultation Agreements			
4 - 2 - 1 HEALTH AND SAFETY SERVICES	69,410	61,000	84,461

**IV.B. FEDERAL FUNDS SUPPORTING SCHEDULE**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/29/2011**  
 TIME: **4:20:10PM**

Agency code: **454** Agency name: Department of Insurance

CFDA NUMBER/ STRATEGY	EXP 2010	EXP 2011	BUD 2012
<b>TOTAL, ALL STRATEGIES</b>	<b>\$69,410</b>	<b>\$61,000</b>	<b>\$84,461</b>
<b>ADDL FED FNDS FOR EMPL BENEFITS</b>	0	0	0
<b>TOTAL, FEDERAL FUNDS</b>	<b>\$69,410</b>	<b>\$61,000</b>	<b>\$84,461</b>
<b>ADDL GR FOR EMPL BENEFITS</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>93.511.000</b> ACA-Grnts St Hlth Ins Premium Revw			
1 - 3 - 1 PROCESS RATES, FORMS & LICENSES	0	434,186	358,214
<b>TOTAL, ALL STRATEGIES</b>	<b>\$0</b>	<b>\$434,186</b>	<b>\$358,214</b>
<b>ADDL FED FNDS FOR EMPL BENEFITS</b>	0	0	0
<b>TOTAL, FEDERAL FUNDS</b>	<b>\$0</b>	<b>\$434,186</b>	<b>\$358,214</b>
<b>ADDL GR FOR EMPL BENEFITS</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>93.519.000</b> ACA - Consumer Assist Prog Grants			
1 - 2 - 1 RESOLVE COMPLAINTS	0	459,131	296,168
1 - 2 - 3 INSURER FRAUD	0	77,298	49,362
1 - 3 - 1 PROCESS RATES, FORMS & LICENSES	0	913,603	592,338
2 - 2 - 2 PROVIDER AND CONSUMER FRAUD	0	76,693	49,362
<b>TOTAL, ALL STRATEGIES</b>	<b>\$0</b>	<b>\$1,526,725</b>	<b>\$987,230</b>
<b>ADDL FED FNDS FOR EMPL BENEFITS</b>	0	0	0
<b>TOTAL, FEDERAL FUNDS</b>	<b>\$0</b>	<b>\$1,526,725</b>	<b>\$987,230</b>
<b>ADDL GR FOR EMPL BENEFITS</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>93.525.000</b> ACA-St Plann'g&Estbl Grnts Exchns			
1 - 1 - 1 CONSUMER EDUCATION AND OUTREACH	0	96,425	0

**IV.B. FEDERAL FUNDS SUPPORTING SCHEDULE**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/29/2011**  
 TIME: **4:20:10PM**

Agency code: **454** Agency name: Department of Insurance

CFDA NUMBER/ STRATEGY	EXP 2010	EXP 2011	BUD 2012
<b>TOTAL, ALL STRATEGIES</b>	<b>\$0</b>	<b>\$96,425</b>	<b>\$0</b>
<b>ADDL FED FNDS FOR EMPL BENEFITS</b>	0	0	0
<b>TOTAL, FEDERAL FUNDS</b>	<b>\$0</b>	<b>\$96,425</b>	<b>\$0</b>
<b>ADDL GR FOR EMPL BENEFITS</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>97.044.000</b> Assistance to Firefighters Grant			
3 - 1 - 1 FIRE PROTECTION	410,760	22,500	285,048
<b>TOTAL, ALL STRATEGIES</b>	<b>\$410,760</b>	<b>\$22,500</b>	<b>\$285,048</b>
<b>ADDL FED FNDS FOR EMPL BENEFITS</b>	0	0	0
<b>TOTAL, FEDERAL FUNDS</b>	<b>\$410,760</b>	<b>\$22,500</b>	<b>\$285,048</b>
<b>ADDL GR FOR EMPL BENEFITS</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

**IV.B. FEDERAL FUNDS SUPPORTING SCHEDULE**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/29/2011**  
 TIME: **4:20:10PM**

Agency code: **454** Agency name: Department of Insurance

CFDA NUMBER/ STRATEGY	EXP 2010	EXP 2011	BUD 2012
<b><u>SUMMARY LISTING OF FEDERAL PROGRAM AMOUNTS</u></b>			
16.742.000 Coverdell Forensic Sciences Grant	0	220,880	0
17.005.001 OSHA BUREAU OF LABOR STATISTICS	177,980	168,521	198,819
17.504.001 OSHA Consultation Agreements	2,233,829	1,965,469	1,983,441
17.504.002 OSHA Consultation Agreements	69,410	61,000	84,461
93.511.000 ACA-Grnts St Hlth Ins Premium Revw	0	434,186	358,214
93.519.000 ACA - Consumer Assist Prog Grants	0	1,526,725	987,230
93.525.000 ACA-St Plann'g&Estbl Grnts Exchns	0	96,425	0
97.044.000 Assistance to Firefighters Grant	410,760	22,500	285,048
<b>TOTAL, ALL STRATEGIES</b>	<b>\$2,891,979</b>	<b>\$4,495,706</b>	<b>\$3,897,213</b>
<b>TOTAL, ADDL FED FUNDS FOR EMPL BENEFITS</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>TOTAL, FEDERAL FUNDS</b>	<b>\$2,891,979</b>	<b>\$4,495,706</b>	<b>\$3,897,213</b>
<b>TOTAL, ADDL GR FOR EMPL BENEFITS</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

# **FEDERAL FUNDS TRACKING SCHEDULE**

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**IV.C. FEDERAL FUNDS TRACKING SCHEDULE**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011  
 TIME : 4:20:30PM

Agency code: 454

Agency name: Department of Insurance

<b>Federal FY</b>	<b>Expended SFY 2009</b>	<b>Expended SFY 2010</b>	<b>Expended SFY 2011</b>	<b>Budgeted SFY 2012</b>	<b>Estimated SFY 2013</b>	<b>Estimated SFY 2014</b>	<b>Total</b>	<b>Difference from Award</b>
<b>CFDA 16.742.000 Coverdell Forensic Sciences Grant</b>								
<b>2011</b>	\$281,562	\$0	\$0	\$220,880	\$0	\$0	\$220,880	\$60,682
<b>Total</b>	<b>\$281,562</b>	<b>\$0</b>	<b>\$0</b>	<b>\$220,880</b>	<b>\$0</b>	<b>\$0</b>	<b>\$220,880</b>	<b>\$60,682</b>
<hr/>								
<b>Empl. Benefit Payment</b>	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

**IV.C. FEDERAL FUNDS TRACKING SCHEDULE**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011  
 TIME : 4:20:36PM

Agency code: 454

Agency name: Department of Insurance

<b>Federal FY</b>		<b>Expended SFY 2009</b>	<b>Expended SFY 2010</b>	<b>Expended SFY 2011</b>	<b>Budgeted SFY 2012</b>	<b>Estimated SFY 2013</b>	<b>Estimated SFY 2014</b>	<b>Total</b>	<b>Difference from Award</b>
<b>CFDA 17.005.001 OSHA BUREAU OF LABOR STATISTICS</b>									
<b>2009</b>	\$283,100	\$221,414	\$61,686	\$0	\$0	\$0	\$0	\$283,100	\$0
<b>2010</b>	\$289,600	\$0	\$235,705	\$35,101	\$0	\$0	\$0	\$270,806	\$18,794
<b>2011</b>	\$291,600	\$0	\$0	\$226,062	\$27,872	\$0	\$0	\$253,934	\$37,666
<b>2012</b>	\$275,000	\$0	\$0	\$0	\$252,083	\$22,917	\$0	\$275,000	\$0
<b>2013</b>	\$275,000	\$0	\$0	\$0	\$0	\$252,083	\$22,917	\$275,000	\$0
<b>Total</b>	<b>\$1,414,300</b>	<b>\$221,414</b>	<b>\$297,391</b>	<b>\$261,163</b>	<b>\$279,955</b>	<b>\$275,000</b>	<b>\$22,917</b>	<b>\$1,357,840</b>	<b>\$56,460</b>

<b>Empl. Benefit Payment</b>		\$51,910	\$50,009	\$48,926	\$48,926	\$48,926	\$48,926	\$297,623	
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**IV.C. FEDERAL FUNDS TRACKING SCHEDULE**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011  
 TIME : 4:20:36PM

Agency code: 454

Agency name: Department of Insurance

Federal FY	Expended SFY 2009	Expended SFY 2010	Expended SFY 2011	Budgeted SFY 2012	Estimated SFY 2013	Estimated SFY 2014	Total	Difference from Award
<b>CFDA 17.504.001 OSHA Consultation Agreements</b>								
2009	\$2,628,000	\$2,051,776	\$576,224	\$0	\$0	\$0	\$2,628,000	\$0
2010	\$2,628,000	\$0	\$2,278,438	\$349,562	\$0	\$0	\$2,628,000	\$0
2011	\$2,628,000	\$0	\$0	\$2,245,489	\$382,511	\$0	\$2,628,000	\$0
2012	\$2,628,000	\$0	\$0	\$2,409,000	\$219,000	\$0	\$2,628,000	\$0
2013	\$2,628,000	\$0	\$0	\$0	\$2,409,000	\$219,000	\$2,628,000	\$0
<b>Total</b>	<b>\$13,140,000</b>	<b>\$2,051,776</b>	<b>\$2,854,662</b>	<b>\$2,791,511</b>	<b>\$2,628,000</b>	<b>\$219,000</b>	<b>\$13,140,000</b>	<b>\$0</b>

<b>Empl. Benefit Payment</b>	\$381,666	\$418,150	\$431,983	\$431,983	\$431,983	\$431,983	\$2,527,748	
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**IV.C. FEDERAL FUNDS TRACKING SCHEDULE**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011  
 TIME : 4:20:36PM

Agency code: 454

Agency name: Department of Insurance

<b>Federal FY</b>	<b>Expended SFY 2009</b>	<b>Expended SFY 2010</b>	<b>Expended SFY 2011</b>	<b>Budgeted SFY 2012</b>	<b>Estimated SFY 2013</b>	<b>Estimated SFY 2014</b>	<b>Total</b>	<b>Difference from Award</b>
<b>CFDA 17.504.002 OSHA Consultation Agreements</b>								
<b>2009</b>	\$113,620	\$94,588	\$19,032	\$0	\$0	\$0	\$113,620	\$0
<b>2010</b>	\$113,620	\$0	\$84,477	\$29,143	\$0	\$0	\$113,620	\$0
<b>2011</b>	\$113,620	\$0	\$0	\$76,062	\$17,333	\$0	\$93,395	\$20,225
<b>2012</b>	\$113,620	\$0	\$0	\$104,152	\$9,468	\$0	\$113,620	\$0
<b>2013</b>	\$113,620	\$0	\$0	\$0	\$104,152	\$9,468	\$113,620	\$0
<b>Total</b>	<b>\$568,100</b>	<b>\$94,588</b>	<b>\$103,509</b>	<b>\$105,205</b>	<b>\$113,620</b>	<b>\$9,468</b>	<b>\$547,875</b>	<b>\$20,225</b>
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<b>Empl. Benefit Payment</b>	\$21,510	\$21,366	\$18,543	\$22,728	\$22,728	\$22,728	\$129,603	

**IV.C. FEDERAL FUNDS TRACKING SCHEDULE**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011  
 TIME : 4:20:36PM

Agency code: 454

Agency name: Department of Insurance

<b>Federal FY</b>	<b>Expended SFY 2009</b>	<b>Expended SFY 2010</b>	<b>Expended SFY 2011</b>	<b>Budgeted SFY 2012</b>	<b>Estimated SFY 2013</b>	<b>Estimated SFY 2014</b>	<b>Total</b>	<b>Difference from Award</b>	
<b>CFDA 93.511.000 ACA-Grnts St Hlth Ins Premium Revw</b>									
<b>2011</b>	\$1,000,000	\$0	\$0	\$501,507	\$498,493	\$0	\$0	\$1,000,000	\$0
<b>Total</b>	<b>\$1,000,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$501,507</b>	<b>\$498,493</b>	<b>\$0</b>	<b>\$0</b>	<b>\$1,000,000</b>	<b>\$0</b>
<b>Empl. Benefit Payment</b>									
	\$0	\$0	\$29,781	\$77,219	\$0	\$0	\$107,000		

**IV.C. FEDERAL FUNDS TRACKING SCHEDULE**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011  
 TIME : 4:20:36PM

Agency code: 454

Agency name: Department of Insurance

Federal FY	Expended SFY 2009	Expended SFY 2010	Expended SFY 2011	Budgeted SFY 2012	Estimated SFY 2013	Estimated SFY 2014	Total	Difference from Award
<b>CFDA 93.519.000 ACA - Consumer Assist Prog Grants</b>								
2011	\$2,792,180	\$0	\$1,668,933	\$1,123,247	\$0	\$0	\$2,792,180	\$0
<b>Total</b>	<b>\$2,792,180</b>	<b>\$0</b>	<b>\$1,668,933</b>	<b>\$1,123,247</b>	<b>\$0</b>	<b>\$0</b>	<b>\$2,792,180</b>	<b>\$0</b>
<hr/>								
<b>Empl. Benefit Payment</b>	\$0	\$0	\$72,384	\$76,895	\$0	\$0	\$149,279	

**IV.C. FEDERAL FUNDS TRACKING SCHEDULE**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011  
 TIME : 4:20:36PM

Agency code: 454

Agency name: Department of Insurance

Federal FY		Expended SFY 2009	Expended SFY 2010	Expended SFY 2011	Budgeted SFY 2012	Estimated SFY 2013	Estimated SFY 2014	Total	Difference from Award
<b>CFDA 93.525.000 ACA-St Plann'g&amp;Estbl Grnts Exchns</b>									
2011	\$1,000,000	\$0	\$0	\$96,425	\$0	\$0	\$0	\$96,425	\$903,575
<b>Total</b>	<b>\$1,000,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$96,425</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$96,425</b>	<b>\$903,575</b>
<hr/>									
<b>Empl. Benefit Payment</b>		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

**IV.C. FEDERAL FUNDS TRACKING SCHEDULE**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/29/2011  
 TIME : 4:20:36PM

Agency code: 454

Agency name: Department of Insurance

<b>Federal FY</b>		<b>Expended SFY 2009</b>	<b>Expended SFY 2010</b>	<b>Expended SFY 2011</b>	<b>Budgeted SFY 2012</b>	<b>Estimated SFY 2013</b>	<b>Estimated SFY 2014</b>	<b>Total</b>	<b>Difference from Award</b>
<b>CFDA 97.044.000 Assistance to Firefighters Grant</b>									
<b>2010</b>	\$566,500	\$0	\$410,760	\$22,500	\$0	\$0	\$0	\$433,260	\$133,240
<b>2012</b>	\$285,048	\$0	\$0	\$0	\$285,048	\$0	\$0	\$285,048	\$0
<b>Total</b>	<b>\$851,548</b>	<b>\$0</b>	<b>\$410,760</b>	<b>\$22,500</b>	<b>\$285,048</b>	<b>\$0</b>	<b>\$0</b>	<b>\$718,308</b>	<b>\$133,240</b>
<hr/>									
<b>Empl. Benefit Payment</b>		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

**ESTIMATED REVENUE COLLETIONS SUPPORTING SCHEDULE**

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**IV.D. ESTIMATED REVENUE COLLECTIONS SUPPORTING SCHEDULE**

**DATE: 11/29/2011**

82nd Regular Session, Fiscal Year 2012 Operating Budget  
Automated Budget and Evaluation System of Texas (ABEST)

**TIME: 4:20:54PM**

Agency Code: **454**

Agency name: **Department of Insurance**

FUND/ACCOUNT	Exp 2010	Exp 2011	Bud 2012
<b><u>1</u> General Revenue Fund</b>			
Beginning Balance (Unencumbered):	\$0	\$0	\$0
Estimated Revenue:			
3103 Limited Sales & Use Tax-State	843	521	500
3105 Discounts for Sales Tax-State	5	3	5
3175 Professional Fees	112,913	94,648	116,000
3206 Insurance Companies Fees	104,869	108,690	109,900
3210 Insurance Agents Licenses	292,589	308,153	235,982
3215 Insurance Dept Fees - Misc	(1,906)	12,778	0
3221 Unauthorized Insurance Penalty	0	0	0
3222 Ins Penalty Lieu of Suspension	6,059,731	3,315,274	3,322,625
3557 Health Care Facilities Fees	39,334	40,482	29,000
3714 Judgments	13,460	7,075	5,000
3726 Fed Receipts-Indir Cost Recovery	0	0	0
3727 Fees - Administrative Services	181,222	302,220	206,000
3733 Workers Compensation Penalties	0	0	800,000
3750 Sale of Furniture & Equipment	0	0	0
3773 Insurance and Damages	0	0	0
3775 Returned Check Fees	1,615	1,120	1,200
3777 Default Fund - Warrant Voided	0	0	0
3779 Repayment of Imprest Advances	0	0	0
3780 Repayment of Travel Advances	0	0	0
3781 Prepmt of Petty Cash Advance	0	0	0
3795 Other Misc Government Revenue	215	9,738	400
3839 Sale of Motor Vehicle/Boat/Aircraft	31,765	55,980	12,000
3852 Interest on Local Deposits-St Agy	217	222	200
Subtotal: Estimated Revenue	<u>6,836,872</u>	<u>4,256,904</u>	<u>4,838,812</u>
<b>Total Available</b>	<b><u>\$6,836,872</u></b>	<b><u>\$4,256,904</u></b>	<b><u>\$4,838,812</u></b>
<b>DEDUCTIONS:</b>			
Expended/Budgeted/Requested	(6,440)	(6,520)	(6,520)
Article IX Sec 8.04 Surplus Prop	0	(10,109)	0
Other(balances swept by Agy 902)	(6,830,432)	(4,240,275)	(4,832,292)

**IV.D. ESTIMATED REVENUE COLLECTIONS SUPPORTING SCHEDULE**

**DATE: 11/29/2011**

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**TIME: 4:21:02PM**

Agency Code: **454**

Agency name: **Department of Insurance**

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<b>FUND/ACCOUNT</b>	<b>Exp 2010</b>	<b>Exp 2011</b>	<b>Bud 2012</b>
<b>Total, Deductions</b>	<b>\$(6,836,872)</b>	<b>\$(4,256,904)</b>	<b>\$(4,838,812)</b>
<b>Ending Fund/Account Balance</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

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**REVENUE ASSUMPTIONS:**

The Department assumes fee rates will remain the same.

**CONTACT PERSON:**

Joe Meyer

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**IV.D. ESTIMATED REVENUE COLLECTIONS SUPPORTING SCHEDULE**

DATE: 11/29/2011

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Automated Budget and Evaluation System of Texas (ABEST)

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Agency Code: **454**

Agency name: **Department of Insurance**

FUND/ACCOUNT	Exp 2010	Exp 2011	Bud 2012
<b>36 Dept Ins Operating Acct</b>			
Beginning Balance (Unencumbered):	\$49,474,590	\$67,543,142	\$73,591,733
Estimated Revenue:			
3149 Amusement Ride Inspection	167,100	217,871	210,000
3175 Professional Fees	2,666,066	2,446,311	2,645,745
3206 Insurance Companies Fees	332,586	412,014	322,700
3210 Insurance Agents Licenses	15,954,928	15,727,143	15,950,000
3211 Tx Work Comp Self - Ins App Fees	1,000	2,000	0
3212 Tx Work Comp Self - Ins Reg Fees	1,429,099	762,256	1,000,000
3213 Catastrophe Prop Ins Pool Fees	8,320	6,545	7,000
3214 Insur Maint Tax/Fee Coll-Comptrollr	64,215,945	54,425,501	49,201,073
3215 Insurance Dept Fees - Misc	1,068,528	1,023,611	969,781
3216 Insurance Dept Exam/Audit Fees	14,137,930	13,522,989	27,063,236
3219 Workers Comp Comm-Ins Co Maint Tax	1,990,790	1,558,886	1,676,015
3220 W/C Res & Oversight CNCL/Maint Tax	15,902	9,973	10,000
3727 Fees - Administrative Services	225,750	67,920	250,000
3733 Workers Compensation Penalties	1,517,030	2,038,411	0
3750 Sale of Furniture & Equipment	0	0	0
3765 Supplies/Equipment/Services	0	0	0
3773 Insurance and Damages	0	0	0
3777 Default Fund - Warrant Voided	14,916	23,779	7,500
3780 Repayment of Travel Advances	0	0	0
3795 Other Misc Government Revenue	53	42,646	0
3973 Other-Within Fund/Account, Btw Agys	202,716	0	0
Subtotal: Estimated Revenue	<u>103,948,659</u>	<u>92,287,856</u>	<u>99,313,050</u>
<b>Total Available</b>	<b><u>\$153,423,249</u></b>	<b><u>\$159,830,998</u></b>	<b><u>\$172,904,783</u></b>
<b>DEDUCTIONS:</b>			
Expended/Budgeted/Requested	(55,553,996)	(56,029,661)	(59,229,420)
Art IX Sec 17.75 HB4341 Disct Hlth	(97,153)	(43,062)	0
Art IX Sec 18.44 Cont Appn HB1772 C	0	0	(59,916)
Art IX Sec 18.50 Cont Appn HB2277 L	0	0	(43,678)
Art IX Sec 9.05 Tx Online	(80,201)	0	0

**IV.D. ESTIMATED REVENUE COLLECTIONS SUPPORTING SCHEDULE**

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Agency name: **Department of Insurance**

<b>FUND/ACCOUNT</b>	<b>Exp 2010</b>	<b>Exp 2011</b>	<b>Bud 2012</b>
82nd SS1, SB2, Sec 12 Hlthcare	0	0	(254,112)
82nd Leg Art 1-31 Rider 17 Reimburs	0	0	(10,000,000)
Transfer Emp Benefits (oasi, ers, )	(17,055,742)	(17,474,784)	(14,946,840)
Benefit Replacement Pay	(454,015)	(410,201)	(396,984)
Art IX 13.10/15.04 State Alloc Cost	(982,009)	(1,237,309)	(1,402,102)
Worker's Comp Reimb. (GAA)	(25,639)	(31,310)	(31,310)
Art 1-36 Tx Facilities Comm	(1,030,083)	(1,670,114)	(1,030,083)
Art VIII-23&15 OIEC	(9,515,588)	(9,298,379)	(9,770,042)
Art VIII-98&80 Lease Pmts	(1,031,819)	0	(503,597)
Transfer to Comptroller(TIC 201.052	(53,861)	(44,445)	(42,487)
	0	0	0
<b>Total, Deductions</b>	<b>\$(85,880,106)</b>	<b>\$(86,239,265)</b>	<b>\$(97,710,571)</b>
<b>Ending Fund/Account Balance</b>	<b>\$67,543,143</b>	<b>\$73,591,733</b>	<b>\$75,194,212</b>

**REVENUE ASSUMPTIONS:**

The Department assumes there will not be changes to the current fee rate structure. The Department assumes a slight increase in revenues for 3210 Agents License Fees. The Commission annually sets assessment rates for object codes 3214 and 3216 (examination overhead assessment). In setting the assessment rates, the Department takes into consideration appropriations, sources and fund balance.

**CONTACT PERSON:**

Joe Meyer

**IV.D. ESTIMATED REVENUE COLLECTIONS SUPPORTING SCHEDULE**

DATE: 11/29/2011

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Agency Code: **454**

Agency name: **Department of Insurance**

FUND/ACCOUNT	Exp 2010	Exp 2011	Bud 2012
<b>666 Appropriated Receipts</b>			
Beginning Balance (Unencumbered):	\$891,165	\$673,065	\$308,534
Estimated Revenue:			
3206 Insurance Companies Fees	77,725	27,064	125,000
3210 Insurance Agents Licenses	0	0	0
3222 Ins Penalty Lieu of Suspension	1,500,000	266,500	0
3719 Fees/Copies or Filing of Records	225,588	246,759	237,724
3722 Conf, Semin, & Train Regis Fees	155,166	104,524	96,394
3733 Workers Compensation Penalties	0	0	0
3740 Grants/Donations	0	0	0
3750 Sale of Furniture & Equipment	0	0	0
3752 Sale of Publications/Advertising	13,379	9,396	33,899
3754 Other Surplus/Salvage Property	0	0	0
3773 Insurance and Damages	220	942	0
3802 Reimbursements-Third Party	2,604,053	2,523,484	2,719,406
3805 Subrogation Recoveries	0	0	0
3879 Credit Card and Related Fees	0	0	0
Subtotal: Estimated Revenue	<u>4,576,131</u>	<u>3,178,669</u>	<u>3,212,423</u>
<b>Total Available</b>	<b><u>\$5,467,296</u></b>	<b><u>\$3,851,734</u></b>	<b><u>\$3,520,957</u></b>
<b>DEDUCTIONS:</b>			
Expended/Budgeted/Requested	(409,651)	(390,910)	(746,160)
Art IX Sec 8.08 Seminars & Conf	(47,950)	(28,119)	(9,991)
Art IX Sec 8.03 Reim. & Pays	0	0	0
Art IX Sec 8.03 Rider 5 Title Exam	(2,121,067)	(2,055,713)	(2,099,855)
Art IX Sec 17.08 Appn of Fines	(1,500,000)	(266,500)	0
Transfer Emp Benefits (oasi, ers, )	(426,734)	(421,139)	(422,000)
Benefit Replacement Pay	(12,035)	(11,674)	(11,674)
Art IX Sec 8.01 TMIC Grant	(276,795)	(369,143)	(231,277)
<b>Total, Deductions</b>	<b><u>\$(4,794,232)</u></b>	<b><u>\$(3,543,198)</u></b>	<b><u>\$(3,520,957)</u></b>

**IV.D. ESTIMATED REVENUE COLLECTIONS SUPPORTING SCHEDULE**

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<b>FUND/ACCOUNT</b>	<b>Exp 2010</b>	<b>Exp 2011</b>	<b>Bud 2012</b>
<b>Ending Fund/Account Balance</b>	<b>\$673,064</b>	<b>\$308,536</b>	<b>\$0</b>

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**REVENUE ASSUMPTIONS:**

Assumes revenues will be collected to sustain current appropriated receipt funding levels. The Department assumes that revenue reimbursements from Liquidation and Title Allocated acc estimated disbursements including matching and BRP benefits.

**CONTACT PERSON:**

Joe Meyer

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**IV.D. ESTIMATED REVENUE COLLECTIONS SUPPORTING SCHEDULE**

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Agency name: **Department of Insurance**

<b>FUND/ACCOUNT</b>	<b>Exp 2010</b>	<b>Exp 2011</b>	<b>Bud 2012</b>
<b>777 Interagency Contracts</b>			
Beginning Balance (Unencumbered):	\$0	\$1,332,033	\$0
Estimated Revenue:			
3971 Federal Pass-Through Rev/Exp Codes	1,852,679	1,263,921	7,451,584
Subtotal: Estimated Revenue	<u>1,852,679</u>	<u>1,263,921</u>	<u>7,451,584</u>
<b>Total Available</b>	<b><u>\$1,852,679</u></b>	<b><u>\$2,595,954</u></b>	<b><u>\$7,451,584</u></b>
<b>DEDUCTIONS:</b>			
Expended/Budgeted/Requested	(57,600)	(57,600)	(130,000)
Expended/Budgeted/Requested DSHS	0	0	0
Art IX Sec 14.01 Appr Trfs- DADS	(50,360)	(72,691)	0
Art IX 14.01 Appr Trfs - DSHS	(395,618)	(2,033,926)	(7,296,120)
Transfer Emp Benefits (oasi, ers, )	(15,528)	(55,245)	(23,441)
Benefit Replacement Pay	(1,540)	(2,023)	(2,023)
<b>Total, Deductions</b>	<b><u>\$(520,646)</u></b>	<b><u>\$(2,221,485)</u></b>	<b><u>\$(7,451,584)</u></b>
<b>Ending Fund/Account Balance</b>	<b><u>\$1,332,033</u></b>	<b><u>\$374,469</u></b>	<b><u>\$0</u></b>

**REVENUE ASSUMPTIONS:**

**CONTACT PERSON:**

Joe Meyer

**IV.D. ESTIMATED REVENUE COLLECTIONS SUPPORTING SCHEDULE**

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Agency name: **Department of Insurance**

FUND/ACCOUNT	Exp 2010	Exp 2011	Bud 2012
<b>888 Earned Federal Funds</b>			
Beginning Balance (Unencumbered):	\$0	\$0	\$0
Estimated Revenue:			
3702 Fed Receipts-Earned Federal Funds	250,811	451,869	278,321
3971 Federal Pass-Through Rev/Exp Codes	19,460	58,727	0
Subtotal: Estimated Revenue	<u>270,271</u>	<u>510,596</u>	<u>278,321</u>
<b>Total Available</b>	<b><u>\$270,271</u></b>	<b><u>\$510,596</u></b>	<b><u>\$278,321</u></b>
<b>DEDUCTIONS:</b>			
Expended/Budgeted/Requested	(224,406)	(224,406)	(224,406)
Art IX Sec 6.22 Earned Federal	0	(194,421)	0
Transfer Emp Benefits (oasi, ers, )	(33,400)	0	(33,468)
Benefit Replacement Pay	(1,025)	(1,459)	(1,025)
Swept by Comptroller	(11,440)	(90,310)	(19,422)
<b>Total, Deductions</b>	<b><u>\$(270,271)</u></b>	<b><u>\$(510,596)</u></b>	<b><u>\$(278,321)</u></b>
<b>Ending Fund/Account Balance</b>	<b><u>\$0</u></b>	<b><u>\$0</u></b>	<b><u>\$0</u></b>

**REVENUE ASSUMPTIONS:**

**CONTACT PERSON:**

Joe Meyer

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**IV.D. ESTIMATED REVENUE COLLECTIONS SUPPORTING SCHEDULE**

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Agency Code: **454**

Agency name: **Department of Insurance**

<b>FUND/ACCOUNT</b>	<b>Exp 2010</b>	<b>Exp 2011</b>	<b>Bud 2012</b>
<b>5101 Subsequent Injury Fund</b>			
Beginning Balance (Unencumbered):	\$59,680,915	\$60,087,352	\$62,234,536
Estimated Revenue:			
3777 Default Fund - Warrant Voided	62,702	0	0
3869 Workers'CompDeathBenefits to State	4,763,875	7,506,013	4,763,000
Subtotal: Estimated Revenue	4,826,577	7,506,013	4,763,000
<b>Total Available</b>	<b>\$64,507,492</b>	<b>\$67,593,365</b>	<b>\$66,997,536</b>
<b>DEDUCTIONS:</b>			
Expended/Budgeted/Requested	(4,420,140)	(4,420,126)	(4,420,140)
Art VIII Rider 14 SIF	0	(938,703)	0
<b>Total, Deductions</b>	<b>\$(4,420,140)</b>	<b>\$(5,358,829)</b>	<b>\$(4,420,140)</b>
<b>Ending Fund/Account Balance</b>	<b>\$60,087,352</b>	<b>\$62,234,536</b>	<b>\$62,577,396</b>

**REVENUE ASSUMPTIONS:**

The Department assumes the projected revenue for 2012 will be at the 2010 collection level.

**CONTACT PERSON:**

Joe Meyer

**IV.D. ESTIMATED REVENUE COLLECTIONS SUPPORTING SCHEDULE**

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<b>FUND/ACCOUNT</b>	<b>Exp 2010</b>	<b>Exp 2011</b>	<b>Bud 2012</b>
<b>5138 Fire Prevention And Public Safety</b>			
Beginning Balance (Unencumbered):	\$0	\$0	\$207,002
Estimated Revenue:			
3727 Fees - Administrative Services	0	207,002	0
Subtotal: Estimated Revenue	<u>0</u>	<u>207,002</u>	<u>0</u>
<b>Total Available</b>	<b><u>\$0</u></b>	<b><u>\$207,002</u></b>	<b><u>\$207,002</u></b>
<b>DEDUCTIONS:</b>			
Expended/Budgeted/Requested	0	0	(100,000)
<b>Total, Deductions</b>	<b><u>\$0</u></b>	<b><u>\$0</u></b>	<b><u>\$(100,000)</u></b>
<b>Ending Fund/Account Balance</b>	<b><u>\$0</u></b>	<b><u>\$207,002</u></b>	<b><u>\$107,002</u></b>

**REVENUE ASSUMPTIONS:**

**CONTACT PERSON:**

Joe Meyer

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**IV.D. ESTIMATED REVENUE COLLECTIONS SUPPORTING SCHEDULE**

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Agency Code: **454**

Agency name: **Department of Insurance**

FUND/ACCOUNT	Exp 2010	Exp 2011	Bud 2012
<b>8042 Insurance Maint Tax Fees</b>			
Beginning Balance (Unencumbered):	\$29,720,524	\$30,554,392	\$36,889,533
Estimated Revenue:			
3203 Insurance Comp Maintenance Tax	66,875,093	68,836,621	76,587,256
3215 Insurance Dept Fees - Misc	208,184	240,528	225,000
Subtotal: Estimated Revenue	67,083,277	69,077,149	76,812,256
<b>Total Available</b>	<b>\$96,803,801</b>	<b>\$99,631,541</b>	<b>\$113,701,789</b>
<b>DEDUCTIONS:</b>			
Expended/Budgeted/Requested	(34,188,515)	(34,262,844)	(37,081,707)
82nd SS1, SB2, Sec 12 Collabratives	0	0	(169,408)
82nd Leg SS1, SB Sec 20 TWIA	0	0	(131,370)
Art IX Sec 18.44 Cont Appn HB1772 C	0	0	(109,195)
Art IX Sec 18.50 Cont Appn HB2277 L	0	0	(160,154)
Art IX Sec 18.52 HB 2408 Title	0	0	(535,708)
Art IX Sec 17.75 HB4341 Disct Hlth	0	(44,875)	0
Art IX Sec 17.77 SB78 Healthy Tx	(96,000)	(75,000)	0
Transfer Emp Benefits (oasi, ers, )	(5,236,129)	(5,504,480)	(2,156,101)
Benefit Replacement Pay	(122,479)	(111,436)	(109,778)
Art IX 11.18/13.10 State Alloc Cost	(623,311)	(758,742)	(900,680)
Unemployment Reimbursement	(19,767)	(12,756)	(20,000)
Worker's Comp Reimb. (GAA)	0	0	0
81st Leg SB1011 Trsf to fund 5064	(1,000,000)	(1,000,000)	0
Art 1-4, I-4 Attorney General	(4,207,658)	(3,746,883)	(4,172,745)
Art II-41&47 Dept of Health Srvs	(6,046,482)	(4,782,634)	(7,616,765)
Art III-213&227 Tx Forest Srv	(7,844,403)	(7,176,335)	(15,763,396)
Art IV-31&32 Comptroller Judiciary	(1,192,490)	(955,055)	(1,089,794)
Art V-27&29 Comm on Fire Protect	(3,798,904)	(2,518,699)	0
Art VII -20, Crash Records Info	(750,000)	(750,000)	(750,000)
Transfer to Comptroller(TIC 201.052	(1,123,271)	(1,042,268)	(996,327)
<b>Total, Deductions</b>	<b>\$(66,249,409)</b>	<b>\$(62,742,007)</b>	<b>\$(71,763,128)</b>
<b>Ending Fund/Account Balance</b>	<b>\$30,554,392</b>	<b>\$36,889,534</b>	<b>\$41,938,661</b>

**IV.D. ESTIMATED REVENUE COLLECTIONS SUPPORTING SCHEDULE**

**DATE: 11/29/2011**

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Agency Code: **454**

Agency name: **Department of Insurance**

**FUND/ACCOUNT**

**Exp 2010**

**Exp 2011**

**Bud 2012**

**REVENUE ASSUMPTIONS:**

The Department assumes the appropriated funding level for agencies 241, 302, 303, 454, 576, and 601 plus fringe benefits for object 3203 (maintenance taxes) for FY 2012. The Department also assumes other funding requirements such as reimbursement of statewide allocated costs and costs associated with the Comptroller tax function. The Department takes into consideration estimated combined revenues and deductions in fund 8042 and account 0036 exclusive of DWC. The Commissioner sets maintenance tax rates annually. The Department did not include the \$3.05 per \$100 of premium for the comptroller as required by chapter 252 of the Texas Insurance Code.

**CONTACT PERSON:**

Joe Meyer

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## **BUDGETARY IMPACTS RELATED TO HEALTH CARE REFORM SCHEDULE**

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**IV.F. BUDGETARY IMPACTS RELATED TO FEDERAL HEALTHCARE REFORM SCHEDULE, 2012 OPERATING BUDGET**

Agency/IHE code: **454**  
 Agency /IHE name: **Texas Department of Insurance**

**Item Name: Consumer Assistance Program Grants**

**Includes Funding for the Following Strategy or Strategies:**

Strategy	Description
01-02-01	Respond and act on complaints
01-02-03	Investigate insurer fraud and refer violations for prosecution
01-03-01	Process rates, forms & licenses promptly
02-02-02	Investigate provider/consumer fraud and refer violations for prosecution

OBJECTS OF EXPENSE:	DESCRIPTION	FY 2010 Expended	FY 2011 Expended	FY 2012 Budgeted
1001	SALARIES AND WAGES		\$349,121	\$299,499
2001	PROFESSIONAL FEES AND SERVICES		\$996,436	
2003	CONSUMABLE SUPPLIES		\$1,691	
2004	UTILITIES		\$18,573	
2005	TRAVEL		\$17,087	
2006	RENT - BUILDING		\$14,680	
2009	OTHER OPERATING EXPENSE		\$129,138	\$687,731
	<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$0</b>	<b>\$1,526,725</b>	<b>\$987,230</b>

METHOD OF FINANCING:	DESCRIPTION	FY 2010 Expended	FY 2011 Expended	FY 2012 Budgeted
<b>GENERAL REVENUE FUNDS</b>				
<b>GR DEDICATED ACCOUNTS</b>				
<b>FEDERAL FUNDS</b>				
<u>Account #</u>	<u>CFDA #</u>			
555	93.519		\$1,526,725	\$987,230
	Affordable Care Act - Consumer Assistance Program Grants			

**IV.F. BUDGETARY IMPACTS RELATED TO FEDERAL HEALTHCARE REFORM SCHEDULE, 2012 OPERATING BUDGET**

Agency/IHE code: **454**  
 Agency /IHE name: **Texas Department of Insurance**

**Item Name: Consumer Assistance Program Grants**

<b>TOTAL, METHOD OF FINANCING</b>	<b>\$0</b>	<b>\$1,526,725</b>	<b>\$987,230</b>
<b>FULL-TIME-EQUIVALENT POSITIONS (FTE):</b>	0.0	6.6	4.5

**LEGAL AUTHORITY/STATUTORY REFERENCE FOR ITEM:**  
 Section 1002 of the Patient Protection and Affordable Care Act (March 23, 2010) added section 2793 of the Public Health Services Act, which provides for federal grants to states to establish, expand, or provide support for the establishment of independent offices of health insurance consumer assistance programs (CAPs).

**DESCRIPTION/KEY ASSUMPTIONS:**  
 The U.S. Department of Health and Human Services (HHS) awarded the Texas Department of Insurance a CAP grant for \$2,792,180. The grant period is October 15, 2010, through April 14, 2012. Key activities of the Texas CAP, named the Texas Consumer Health Assistance Program (CHAP), include: helping consumers with health insurance questions, applications, enrollment, complaints, grievances, and appeals; educating consumers about their health insurance options, including the Pre-Existing Condition Insurance Plan and programs available under federal and Texas state law, via a consumer help line, outreach events, and a statewide educational campaign; reporting data about consumers' health insurance issues to HHS.

**CONCERNS AND COMMENTS:**  
 The CHAP staff includes a director, researcher, four insurance specialists, two outreach specialists, and an administrative support staff member. Since January 2011, they have answered more than 5,500 consumer calls via a toll-free help line (855-839-2427 or 855-TEX-CHAP) and completed more than 150 outreach events throughout Texas. CHAP has assisted more than 3,400 consumers with health plan enrollment, insurance complaints, and appeals. From July through September 2011, TDI conducted a statewide educational campaign to inform consumers about CHAP. The campaign included print, Internet, radio, and cable TV ads and text messaging; TDI will purchase additional ads in December 2011 through early 2012. When CHAP ends on April 14, 2012, consumers will continue to receive assistance and information via the TDI Consumer Help Line.



**IV.F. BUDGETARY IMPACTS RELATED TO FEDERAL HEALTHCARE REFORM SCHEDULE, 2012 OPERATING BUDGET**

Agency/IHE code: 454  
Agency /IHE name: Texas Department of Insurance

Item Name: Grants to States for Health Insurance Premium Rate Review

**LEGAL AUTHORITY/STATUTORY REFERENCE FOR ITEM:**  
Section 2794 of the Affordable Care Act

**DESCRIPTION/KEY ASSUMPTIONS:**  
The Department received a \$1 million Premium Review grant from the U.S. Department of Health and Human Services (HHS). The grant period began August 9, 2010 and ended September 30, 2011; the Department applied for and was granted a one year extension to September 30, 2012.  
Through the grant, the Department hired 6 temporary, full-time employees, contributed \$68,683 toward SERFF electronic filing enhancements, and purchased Milliman Health Care Cost Guidelines software. The Department has reviewed 223 rate filings through the grant.  
Federal law does not require states to review rates, but for states that do not review rate increases, the federal government will review the increases for unreasonableness. Texas chose to expand its rate review process to encourage market stability over the alternative of the federal government reviewing rates under the "unreasonable" threshold established by HHS.  
The only change is the addition of temporary staff.

**CONCERNS AND COMMENTS:**  
TDI is working closely with Texas leadership to consider the range of options and implications for the state, while monitoring litigation that challenges the constitutionality of the federal health reform law. In addition, many points of uncertainty will not be clarified until final regulations are issued.

**IV.F. BUDGETARY IMPACTS RELATED TO FEDERAL HEALTHCARE REFORM SCHEDULE, 2012 OPERATING BUDGET**

Agency/IHE code: **454**  
 Agency /IHE name: **Texas Department of Insurance**

**Item Name: State Planning and Establishment Grants for the Exchange**

**Includes Funding for the Following Strategy or Strategies:**

Strategy	Description
01-01-01	Educate consumers and the industry by providing outreach and information

OBJECTS OF EXPENSE:	DESCRIPTION	FY 2010 Expended	FY 2011 Expended	FY 2012 Budgeted
2001	PROFESSIONAL FEES AND SERVICES		\$80,000	
2005	TRAVEL		\$5,355	
2006	RENT - BUILDING		\$700	
2007	RENT - MACHINE AND OTHER		\$1,390	
2009	OTHER OPERATING		\$8,981	
	<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$0</b>	<b>\$96,425</b>	<b>\$0</b>

METHOD OF FINANCING:	DESCRIPTION	FY 2010 Expended	FY 2011 Expended	FY 2012 Budgeted
<b>GENERAL REVENUE FUNDS</b>				
<b>GR DEDICATED ACCOUNTS</b>				
<b>FEDERAL FUNDS</b>				
<u>Account #</u>	<u>CFDA #</u>			
555	93.525		\$96,425	\$0
State Planning and Establishment Grants for the Affordable Care Act's Exchange				
<b>TOTAL, METHOD OF FINANCING</b>		<b>\$0</b>	<b>\$96,425</b>	<b>\$0</b>

**IV.F. BUDGETARY IMPACTS RELATED TO FEDERAL HEALTHCARE REFORM SCHEDULE, 2012 OPERATING BUDGET**

Agency/IHE code: 454  
 Agency /IHE name: Texas Department of Insurance

**Item Name:** State Planning and Establishment Grants for the Exchange

<b>FULL-TIME-EQUIVALENT POSITIONS (FTE):</b>	0.0	0.0	0.0
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**LEGAL AUTHORITY/STATUTORY REFERENCE FOR ITEM:**  
 Section 1131(b) and Section 1321 of the Affordable Care Act

**DESCRIPTION/KEY ASSUMPTIONS:**  
 The Department received \$1 million Exchange Planning grant from the U.S. Department of Health and Human Services (HHS). Through the grant, HHSC and TDI were jointly responsible for Exchange planning in Texas. The planning activities were intended to be preliminary, exploratory, and focused on informing Texas leadership as they consider whether Texas should establish an Exchange.  
 To date both agencies have expended grant dollars on a symposium, intended to elicit stakeholder input; HHSC and TDI staff travel to two Exchange conferences conducted by HHS; Microsoft Project software, and training on the software to manage the project; and actuarial consultant services.

**CONCERNS AND COMMENTS:**  
 TDI is working closely with Texas leadership to consider the range of options and implications for the state, while monitoring litigation that challenges the constitutionality of the federal health reform law. In addition, many points of uncertainty will not be clarified until final regulations are issued.