

Operating Budget

For Fiscal Year 2010

*Submitted to the Governor's Office of Budget, Planning and Policy
and the Legislative Budget Board, by the*



Texas Department of Insurance

Mike Geeslin

Mike Geeslin, Commissioner of Insurance
December 1, 2009

This Page Intentionally Left Blank

**Texas Department of Insurance
Operating Budget for Fiscal Year 2010**

TABLE OF CONTENTS

CERTIFICATE OF DUAL SUBMISSION.....	I.A.
SUMMARY OF BUDGET BY STRATEGY.....	II.A.
SUMMARY OF BUDGET BY MOF.....	II.B.
SUMMARY OF BUDGET BY OOE.....	II.C.
SUMMARY OF OBJECTIVE OUTCOMES.....	II.D.
STRATEGY LEVEL DETAIL.....	III.A.
SUPPORTING SCHEDULES.....	IV.
CAPITAL BUDGET PROJECT BY SCHEDULE.....	IV.A.
FEDERAL FUNDS SUPPORTING SCHEDULE.....	IV.B.
FEDERAL FUNDS TRACKING SCHEDULE.....	IV.C.
ESTIMATED REVENUE COLLECTIONS SUPPORTING SCHEDULE.....	IV.D.

This Page Intentionally Left Blank



CERTIFICATE

Agency Name: Texas Department of Insurance

This is to certify that the information contained in the agency operating budget filed with the Legislative Budget Board (LBB) and the Governor's Office of Budget, Planning and Policy (GOBPP) is accurate to the best of my knowledge and that the electronic submission to the LBB via the Automated Budget and Evaluation System of Texas (ABEST) and the bound paper copies are identical.

Additionally, should it become likely at any time that unexpended balances will accrue for any account, the LBB and the GOBPP will be notified in writing in accordance with Article IX, Section 7.01 (2010-11 GAA).

Chief Executive Office or Presiding Judge

Mike Geeslin
Signature

Mike Geeslin
Printed Name

Commissioner of Insurance
Title

December 1, 2009
Date

Chief Financial Officer

Jacqueline Canady
Signature

Jacqueline Canady
Printed Name

Chief Financial Officer
Title

December 1, 2009
Date

This Page Intentionally Left Blank

SUMMARY OF BUDGET BY STRATEGY

This Page Intentionally Left Blank

II.A. SUMMARY OF BUDGET BY STRATEGY
81st Regular Session, Fiscal Year 2010 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

DATE : 11/30/2009

TIME : 10:49:53AM

Agency code: 454 Agency name: Department of Insurance

Goal/Objective/STRATEGY	EXP 2008	EXP 2009	BUD 2010
1 Encourage Fair Competition in the Insurance Industry			
1 Reduce Impediments to Competition and Improve Insurance Availability			
1 CONSUMER ED. AND MKT. ANALYSES	\$2,943,062	\$3,144,966	\$3,265,119
2 RATES, FORMS AND LICENSES	\$10,311,104	\$10,892,070	\$28,465,921
3 PROMOTE UNDERSERVED COVERAGE	\$173,588	\$173,861	\$207,900
2 Reduce Insurance and Insurance-related Statute and Rule Violations			
1 RESOLVE COMPLAINTS	\$2,752,500	\$3,109,069	\$2,898,205
2 INVESTIGATION AND ENFORCEMENT	\$2,803,312	\$3,034,945	\$3,012,626
3 INSURER FRAUD	\$942,125	\$1,364,632	\$1,409,113
4 TEXASONLINE	\$419,455	\$385,415	\$380,000
TOTAL, GOAL 1	\$20,345,146	\$22,104,958	\$39,638,884
2 Encourage the Financial Health of the Insurance Industry			
1 Regulate Insurance Industry Solvency			
1 INSURERS FINANCIAL CONDITION	\$11,349,072	\$11,924,108	\$12,182,108
TOTAL, GOAL 2	\$11,349,072	\$11,924,108	\$12,182,108
3 Decrease Insurance Industry Loss Costs			
1 Assure Loss Control Services, Windstorm Inspections, C/P Fraud Info			
1 LOSS CONTROL PROGRAMS	\$2,499,920	\$3,151,460	\$2,701,212
2 PROVIDER AND CONSUMER FRAUD	\$1,315,715	\$1,329,018	\$1,327,366
3 WORKERS' COMPENSATION FRAUD	\$218,769	\$246,113	\$275,079
TOTAL, GOAL 3	\$4,034,404	\$4,726,591	\$4,303,657
4 Reduce Loss of Life and Property Due to Fire			
1 Protect the Public against Loss of Life & Property Resulting from Fire			
1 FIRE PROTECTION	\$3,989,695	\$4,049,404	\$4,824,593
TOTAL, GOAL 4	\$3,989,695	\$4,049,404	\$4,824,593

II.A. SUMMARY OF BUDGET BY STRATEGY
81st Regular Session, Fiscal Year 2010 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

DATE : 11/30/2009

TIME : 10:49:53AM

Agency code: 454 Agency name: Department of Insurance

Goal/Objective/STRATEGY	EXP 2008	EXP 2009	BUD 2010
5 To Promote Safe and Healthy Workplaces			
1 <i>Promote Safe/Healthy Workplaces through Incentives and Education</i>			
1 HEALTH AND SAFETY SERVICES	\$3,239,288	\$3,435,053	\$4,016,571
2 <i>Encourage Safe and Timely Return to Work</i>			
1 RETURN-TO-WORK EDUCATION	\$196,315	\$204,209	\$155,155
TOTAL, GOAL 5	\$3,435,603	\$3,639,262	\$4,171,726
6 Ensure the Appropriate Delivery of Workers' Compensation Benefits			
1 <i>Ensure the Appropriate Payment of Health Care</i>			
1 MEDICAL COST CONTAINMENT	\$1,752,446	\$1,761,799	\$3,109,151
2 <i>Promote Compliance & Address Noncompliance w/ Workers' Comp Rules/Laws</i>			
1 MONITORING AND ENFORCEMENT	\$2,973,292	\$2,806,339	\$2,969,937
3 <i>Inform System Participants and Provide Service through Technology</i>			
1 DEVELOP AND IMPLEMENT PROCESSES	\$6,131,937	\$5,822,601	\$5,631,311
4 <i>Certify & Regulate Private Employers that Qualify to Self-insure</i>			
1 CERTIFY SELF-INSURANCE	\$636,784	\$640,605	\$686,284
5 <i>Minimize and Resolve Disputes</i>			
1 DISPUTE RESOLUTION	\$14,450,237	\$14,475,777	\$14,558,339
6 <i>Manage the Subsequent Injury Fund</i>			
1 SUBSEQUENT INJURY FUND ADMIN	\$4,805,892	\$3,689,143	\$4,502,897
TOTAL, GOAL 6	\$30,750,588	\$29,196,264	\$31,457,919
7 Indirect Administration			
1 <i>Indirect Administration</i>			
1 CENTRAL ADMINISTRATION	\$7,677,085	\$9,151,616	\$10,166,962
2 INFORMATION RESOURCES	\$9,242,119	\$10,456,484	\$11,627,444
3 OTHER SUPPORT SERVICES	\$3,223,807	\$3,601,445	\$3,379,757
TOTAL, GOAL 7	\$20,143,011	\$23,209,545	\$25,174,163

II.A. SUMMARY OF BUDGET BY STRATEGY
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 11/30/2009
 TIME : 10:49:53AM

Agency code: 454 Agency name: Department of Insurance

Goal/Objective/STRATEGY	EXP 2008	EXP 2009	BUD 2010
8 Long Term Care			
1 <i>Long Term Care</i>			
1 LONG TERM CARE	\$37,649	\$130,281	\$137,443
TOTAL, GOAL 8	\$37,649	\$130,281	\$137,443
9 Three-Share Premium Assistance Programs			
1 <i>Three-Share Premium Assistance Programs</i>			
1 THREE-SHARE ASSISTANCE PROGRAMS	\$297,614	\$441,310	\$1,950,376
TOTAL, GOAL 9	\$297,614	\$441,310	\$1,950,376

II.A. SUMMARY OF BUDGET BY STRATEGY
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 11/30/2009

TIME : 10:49:53AM

Agency code: 454 Agency name: Department of Insurance

<i>Goal/Objective/STRATEGY</i>	EXP 2008	EXP 2009	BUD 2010
General Revenue Funds:			
1 General Revenue Fund	\$184,267	\$162,751	\$230,926
8042 Insurance Maint Tax Fees	\$32,963,353	\$35,867,487	\$36,187,932
	\$33,147,620	\$36,030,238	\$36,418,858
General Revenue Dedicated Funds:			
36 Dept Ins Operating Acct	\$53,770,370	\$56,731,226	\$59,592,506
5101 Subsequent Injury Fund	\$4,736,057	\$3,612,487	\$4,420,140
	\$58,506,427	\$60,343,713	\$64,012,646
Federal Funds:			
555 Federal Funds	\$1,794,247	\$1,999,133	\$2,834,911
	\$1,794,247	\$1,999,133	\$2,834,911
Other Funds:			
329 Healthy TX Sm Emp Prem Stabil. Fund	\$0	\$0	\$17,404,000
666 Appropriated Receipts	\$841,719	\$948,639	\$3,070,454
777 Interagency Contracts	\$92,769	\$100,000	\$100,000
	\$934,488	\$1,048,639	\$20,574,454
TOTAL, METHOD OF FINANCING	\$94,382,782	\$99,421,723	\$123,840,869
FULL TIME EQUIVALENT POSITIONS	1,492.1	1,527.8	1,578.8

SUMMARY OF BUDGET BY MOF

II.B. SUMMARY OF BUDGET BY METHOD OF FINANCE
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/30/2009**
 TIME: **10:33:52AM**

Agency code: **454** Agency name: **Department of Insurance**

METHOD OF FINANCING	Exp 2008	Exp 2009	Bud 2010
<u>GENERAL REVENUE</u>			
<u>1</u> General Revenue Fund			
<i>REGULAR APPROPRIATIONS</i>			
Regular Appropriations - Hobby	\$6,520	\$6,520	\$6,520
Regular Appropriations - Metro GR in lieu of EFF Art IX, Sec 6.26	\$224,406	\$224,406	\$224,406
<i>RIDER APPROPRIATION</i>			
Art IX, Sec 9.05, Texas Online Occupational Licenses (08-09 GAA) Hobby	\$0	\$0	\$0
<i>LAPSED APPROPRIATIONS</i>			
Lapsed Appropriations - Hobby	\$(466)	\$(2,530)	\$0
Lapsed Appropriations - Metro	\$(46,193)	\$(65,645)	\$0
TOTAL, General Revenue Fund	\$184,267	\$162,751	\$230,926
<u>8042</u> General Revenue - Insurance Companies Maintenance Tax and Insurance Department Fees			
<i>REGULAR APPROPRIATIONS</i>			
Regular Appropriations - Hobby	\$34,060,239	\$34,060,239	\$36,091,932
Regular Appropriations - Hobby Three Share	\$300,000	\$450,000	\$0
<i>TRANSFERS</i>			
Art IX-84 (May 2007), Sec 19.44 Cont Appn SB 1731 Hlth Care - Hobby	\$1,456,731	\$549,150	\$0
Art IX-76 (May 2007), Sec 19.10 Cont Appn HB 716 Mtg Fraud - Hobby	\$168,162	\$152,013	\$0
Art IX, Sec 17.77 Cont Appn SB 78 Healthy Texas - Hobby (2010-11 GAA)	\$0	\$0	\$96,000
Art IX-89 (May 2007), Sec 19.62 (a), Salary Increase 08 - Hobby	\$502,175	\$502,175	\$0

II.B. SUMMARY OF BUDGET BY METHOD OF FINANCE
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/30/2009**
 TIME: **10:33:52AM**

Agency code: **454** Agency name: **Department of Insurance**

METHOD OF FINANCING	Exp 2008	Exp 2009	Bud 2010
Art IX-89 (May 2007), Sec 19.62 (a), Salary Increase 09 - Hobby	\$0	\$593,669	\$0
HB 15 Sec 30 (n)(5) Hardware - Hobby	\$217,369	\$0	\$0
HB 15 Sec 30 (h)(1-2) Data Ctr Consolidation - Hobby	\$(413,125)	\$(413,125)	\$0
HB 15 Sec 30 (h)(1-2) Data Ctr Consolidation - Metro	\$(43,180)	\$(43,180)	\$0
81st Leg Session HB 4586 Sec 89 Retention Payment - Hobby	\$0	\$437,007	\$0
<i>LAPSED APPROPRIATIONS</i>			
Lapsed Appropriations - Hobby	\$(3,028,194)	\$(677,285)	\$0
Art IX, Sec 14.03 (J) UB Cap. Bud. 08-09 GAA - Hobby	\$(256,824)	\$256,824	\$0
TOTAL, General Revenue - Insurance Companies Maintenance Tax and Insurance Department Fees	\$32,963,353	\$35,867,487	\$36,187,932
TOTAL, ALL GENERAL REVENUE	\$33,147,620	\$36,030,238	\$36,418,858

GENERAL REVENUE FUND - DEDICATED

36 GR Dedicated - Texas Department of Insurance Operating Fund Account No. 036

REGULAR APPROPRIATIONS

Regular Appropriations - Hobby	\$17,316,011	\$17,316,011	\$19,972,625
Regular Appropriations - Metro	\$39,668,503	\$38,215,245	\$39,522,728
Regular Appropriations - Hobby Long Term Care	\$150,000	\$150,000	\$0
Regular Appropriations - Hobby Cross Border	\$150,000	\$150,000	\$0

TRANSFERS

Art IX-101 (May 2007), Sec 19.94 Cont Appn HB 2935 Cigarettes - Hobby	\$98,390	\$380,557	\$0
Art IX, Sec 17.75 Cont Appn HB 4341 Disct Hlthcare-Hobby (2010-11 GAA)	\$0	\$0	\$97,153

II.B. SUMMARY OF BUDGET BY METHOD OF FINANCE
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/30/2009**
 TIME: **10:33:52AM**

Agency code: **454** Agency name: **Department of Insurance**

METHOD OF FINANCING	Exp 2008	Exp 2009	Bud 2010
HB 15 Sec 30 (n)(5) Hardware - Hobby	\$28,631	\$0	\$0
HB 15 Sec 30 (n)(5) Hardware - Metro	\$246,000	\$0	\$0
HB 15 Sec 30 (h)(1-2) Data Ctr Consolidation - Metro	\$(576,512)	\$(576,511)	\$0
Art IX, Sec 9.05, Texas Online Occupational Licenses (08-09 GAA) Hobby	\$39,921	\$7,945	\$0
Art IX-89 (May 2007) Sec 19.62 (a), Salary Increase 08 - Hobby	\$254,456	\$254,456	\$0
Art IX-89 (May 2007), Sec 19.62 (a), Salary Increase 08 - Metro	\$561,664	\$561,664	\$0
Art IX-89 (May 2007), Sec 19.62 (a), Salary Increase 09 - Hobby	\$0	\$300,813	\$0
Art IX-89 (May 2007), Sec 19.62 (a), Salary Increase 09 - Metro	\$0	\$601,052	\$0
81st Leg Session HB 4586 Sec 89 Retention Payment - Hobby	\$0	\$221,433	\$0
81st Leg Session HB 4586 Sec 89 Retention Payment - Metro	\$0	\$532,480	\$0
Hobby - Cross Border Vetoed	\$(150,000)	\$(150,000)	\$0
<i>LAPSED APPROPRIATIONS</i>			
Lapsed Appropriations - Hobby	\$(792,319)	\$(360,524)	\$0
Lapsed Appropriations - Metro	\$(1,692,753)	\$(2,405,017)	\$0
<i>UNEXPENDED BALANCES AUTHORITY</i>			
Rider 14, Appropriation of Unexpended Balances 08-09 GAA - Metro	\$(1,401,488)	\$1,401,488	\$0
Article IX, Sec 14.03 (J) UB Cap. Bud. 08-09 GAA	\$(130,134)	\$130,134	\$0
TOTAL, GR Dedicated - Texas Department of Insurance Operating Fund Account No. 036	\$53,770,370	\$56,731,226	\$59,592,506

5101 GR Dedicated - Subsequent Injury Account No. 5101

REGULAR APPROPRIATIONS

II.B. SUMMARY OF BUDGET BY METHOD OF FINANCE
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/30/2009**
 TIME: **10:33:52AM**

Agency code: **454** Agency name: **Department of Insurance**

METHOD OF FINANCING	Exp 2008	Exp 2009	Bud 2010
Regular Appropriations - Metro	\$3,670,140	\$3,670,140	\$4,420,140
<i>RIDER APPROPRIATION</i>			
Rider 15, Subsequent Injury Fund - Adjustment to estimated liabilities	\$1,500,000	\$0	\$0
<i>LAPSED APPROPRIATIONS</i>			
Lapsed Appropriations - Metro	\$(434,083)	\$(57,653)	\$0
TOTAL, GR Dedicated - Subsequent Injury Account No. 5101	\$4,736,057	\$3,612,487	\$4,420,140
TOTAL, ALL GENERAL REVENUE FUND - DEDICATED	\$58,506,427	\$60,343,713	\$64,012,646
<u>FEDERAL FUNDS</u>			
555 Federal Funds			
<i>REGULAR APPROPRIATIONS</i>			
Regular Appropriations - Metro	\$2,254,623	\$2,254,623	\$2,254,623
<i>TRANSFERS</i>			
Art IX Sec 8.02 Fed. Funds 10-11 GAA Metro	\$0	\$90,750	\$13,788
Art IX Sec 8.02 Fed. Funds 10-11 GAA Hobby - Fire Safety & Prev.	\$0	\$0	\$566,500
<i>LAPSED APPROPRIATIONS</i>			
Lapsed Appropriations - Metro	\$(460,376)	\$(346,240)	\$0
TOTAL, Federal Funds	\$1,794,247	\$1,999,133	\$2,834,911
TOTAL, ALL FEDERAL FUNDS	\$1,794,247	\$1,999,133	\$2,834,911

OTHER FUNDS

II.B. SUMMARY OF BUDGET BY METHOD OF FINANCE
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/30/2009**
 TIME: **10:33:52AM**

Agency code: **454** Agency name: **Department of Insurance**

METHOD OF FINANCING	Exp 2008	Exp 2009	Bud 2010
329 Healthy Texas Small Employer Premium Stabilization Fund			
<i>RIDER APPROPRIATION</i>			
Art IX, Sec 17.77 Cont Appn SB 78 Healthy Texas - Hobby (2010-11 GAA)	\$0	\$0	\$17,404,000
TOTAL, Healthy Texas Small Employer Premium Stabilization Fund	\$0	\$0	\$17,404,000
666 Appropriated Receipts			
<i>REGULAR APPROPRIATIONS</i>			
Regular Appropriations - Metro	\$1,271,269	\$1,271,269	\$470,686
Regular Appropriations - Hobby	\$218,431	\$218,431	\$218,430
<i>RIDER APPROPRIATION</i>			
Art VIII-30, Rider 3, 08-09 GAA - Hobby	\$0	\$257,528	\$0
<i>TRANSFERS</i>			
Art IX, Sec 8.03, Reimbursements and Payments 08-09 GAA - Hobby	\$12,303	\$14,553	\$0
Art IX, Sec 8.08, Seminars & Conferences 08-09 GAA - Hobby	\$57,765	\$29,235	\$0
Art IX Sec 17.08 Appropriation of Fines 10-11 GAA (3 Share)- Hobby	\$0	\$0	\$1,500,000
<i>LAPSED APPROPRIATIONS</i>			
Lapsed Appropriations - Hobby	\$(101,600)	\$(327,061)	\$0
Lapsed Appropriations - Metro	\$(772,296)	\$(797,046)	\$0
<i>UNEXPENDED BALANCES AUTHORITY</i>			
Art IX, Sec 8.01, Acceptance of Gift of Money 06-07 GAA - Metro	\$1,318,915	\$0	\$0
Art IX, Sec 8.01, Acceptance of Gift of Money 08-09 GAA - Metro	\$(1,163,068)	\$1,163,068	\$0
Art IX, Sec 8.01, Acceptance of Gift of Money 10-11 GAA - Metro	\$0	\$(877,388)	\$877,388

II.B. SUMMARY OF BUDGET BY METHOD OF FINANCE
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/30/2009**
 TIME: **10:33:52AM**

Agency code: **454** Agency name: **Department of Insurance**

METHOD OF FINANCING		Exp 2008	Exp 2009	Bud 2010
	Art IX, Sec 8.08, Seminars & Conferences 08-09 GAA - Hobby	\$0	\$(3,752)	\$3,752
	Art IX, Sec 8.08, Seminars & Conferences 08-09 GAA - Metro	\$0	\$(198)	\$198
TOTAL,	Appropriated Receipts	\$841,719	\$948,639	\$3,070,454
<u>777</u>	Interagency Contracts			
	<i>REGULAR APPROPRIATIONS</i>			
	Regular Appropriations - Hobby	\$57,600	\$57,600	\$57,600
	<i>RIDER APPROPRIATION</i>			
	Art IX, Sec 14.01 (d) Appropriation Transfers (08-09 GAA) - Hobby	\$35,169	\$42,400	\$0
	Art IX, Sec 14.01 (d) Appropriation Transfers (10-11 GAA) - Hobby	\$0	\$0	\$42,400
TOTAL,	Interagency Contracts	\$92,769	\$100,000	\$100,000
TOTAL, ALL	OTHER FUNDS	\$934,488	\$1,048,639	\$20,574,454
GRAND TOTAL		\$94,382,782	\$99,421,723	\$123,840,869

II.B. SUMMARY OF BUDGET BY METHOD OF FINANCE
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/30/2009**
 TIME: **10:33:52AM**

Agency code: **454** Agency name: **Department of Insurance**

METHOD OF FINANCING	Exp 2008	Exp 2009	Bud 2010
FULL-TIME-EQUIVALENT POSITIONS			
REGULAR APPROPRIATIONS			
Art. VIII-27, 10-11 GAA, Regular Appropriations, Hobby	903.2	903.2	913.2
Art. VIII-27 10-11 GAA, Regular Appropriations - Metro	801.2	801.2	779.8
Art VIII 08-09 GAA Regular Appropriations, Hobby Cross Border	2.0	2.0	0.0
Art VIII 08-09 GAA Regular Appropriations, Hobby Long Term Care	3.0	3.0	0.0
Art VIII 08-09 GAA Regular Appropriations, Hobby Three Share	1.0	1.0	0.0
TRANSFERS			
Data Ctr Consolidation, HB 15, Sec 30 (h) (1-2) TDI Hobby	(6.9)	(6.9)	0.0
Data Ctr Consolidation, HB 15, Sec 30 (h) (1-2) TDI Metro	(16.0)	(16.0)	0.0
Art IX, Sec 19.94, HB 2935 Cigarettes-Hobby	2.0	9.0	0.0
Art IX, Sec 19.44, SB 1731, Health Care-Hobby	1.0	1.0	0.0
Art IX, Sec 19.10, HB 716 Mortgage Fraud-Hobby	3.0	3.0	0.0
Art IX, Sec 17.75 Cont Appn HB 4341 Disct Hlthcare-Hobby (2010-11 GAA)	0.0	0.0	2.0
Art IX, Sec 17.77 Cont Appn SB 78 Healthy Texas-Hobby (2010-11 GAA)	0.0	0.0	0.5
Hobby Cross Border - Bill Vetoeed	(2.0)	(2.0)	0.0
UNAUTHORIZED NUMBER OVER (BELOW) CAP			
Unauthorized Number Over (Below) Cap - Hobby	(92.6)	(74.9)	(71.3)
Unauthorized Number Over (Below) Cap - Metro	(106.8)	(95.8)	(45.4)

II.B. SUMMARY OF BUDGET BY METHOD OF FINANCE
81st Regular Session, Fiscal Year 2010 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/30/2009**
TIME: **10:33:52AM**

Agency code: **454**

Agency name: **Department of Insurance**

METHOD OF FINANCING	Exp 2008	Exp 2009	Bud 2010
TOTAL, ADJUSTED FTES	1,492.1	1,527.8	1,578.8
NUMBER OF 100% FEDERALLY FUNDED FTEs	0.0	0.0	0.0

This Page Intentionally Left Blank

SUMMARY OF BUDGET BY OOE

This Page Intentionally Left Blank

II.C. SUMMARY OF BUDGET BY OBJECT OF EXPENSE
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/30/2009**
 TIME: **10:51:11AM**

Agency code: **454**

Agency name: **Department of Insurance**

OBJECT OF EXPENSE	EXP 2008	EXP 2009	BUD 2010
1001 SALARIES AND WAGES	\$67,635,691	\$72,704,967	\$75,726,330
1002 OTHER PERSONNEL COSTS	\$3,288,491	\$3,280,654	\$2,362,060
2001 PROFESSIONAL FEES AND SERVICES	\$3,829,796	\$4,828,862	\$6,407,547
2002 FUELS AND LUBRICANTS	\$165,127	\$106,803	\$117,460
2003 CONSUMABLE SUPPLIES	\$519,049	\$610,938	\$596,939
2004 UTILITIES	\$873,034	\$1,059,560	\$910,368
2005 TRAVEL	\$2,019,580	\$2,310,925	\$2,255,502
2006 RENT - BUILDING	\$3,129,135	\$3,225,582	\$3,571,701
2007 RENT - MACHINE AND OTHER	\$351,378	\$644,405	\$740,360
2009 OTHER OPERATING EXPENSE	\$10,973,807	\$9,903,751	\$28,746,392
3001 CLIENT SERVICES	\$1,810	\$2,368	\$0
4000 GRANTS	\$265,000	\$395,000	\$1,895,000
5000 CAPITAL EXPENDITURES	\$1,330,884	\$347,908	\$511,210
Agency Total	\$94,382,782	\$99,421,723	\$123,840,869

This Page Intentionally Left Blank

SUMMARY OF OBJECTIVE OUTCOMES

This Page Intentionally Left Blank

II.D. SUMMARY OF OBJECTIVE OUTCOMES
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 11/30/2009
 Time: 10:34:31AM

Agency code: 454 Agency name: Department of Insurance

Goal/ Objective / OUTCOME	Exp 2008	Exp 2009	Bud2010
1 Encourage Fair Competition in the Insurance Industry			
1 <i>Reduce Impediments to Competition and Improve Insurance Availability</i>			
1 Percent of Company Licenses Completed within 60 Days	98.00 %	92.00 %	98.00 %
KEY 2 Percent of Agent License Filings Completed within 15 Days	97.00 %	95.00 %	96.00 %
KEY 3 Percent of Statutory Rate and Form Filings Completed within 90 Days	91.00 %	89.00 %	87.00 %
KEY 4 Number of Autos in Underserved Markets with Auto Liability Coverage	76.00 %	79.00 %	78.00 %
KEY 5 % of Personal Auto & Res Prop Rate & Form Filings within 60 Days	96.00 %	94.00 %	95.00 %
2 <i>Reduce Insurance and Insurance-related Statute and Rule Violations</i>			
1 Percent of Insurer Fraud Referrals to Appropriate Authorities	63.00 %	54.00 %	55.00 %
KEY 2 Percent of Licensees Who Renew Online	69.00 %	73.00 %	78.00 %
3 Percent of Enforcement Cases Concluded within 365 Days	0.00	39.00	46.00
2 Encourage the Financial Health of the Insurance Industry			
1 <i>Regulate Insurance Industry Solvency</i>			
KEY 1 Percent of Statutorily Mandated Examinations Complete within 18 Months	87.00 %	92.48 %	88.00 %
2 Percent of Identified Companies Reviewed	100.00 %	99.00 %	97.00 %
KEY 3 Special Deputy Receiver Asset Recovery Expenses As % of Collections	12.81 %	5.27 %	15.00 %
KEY 4 Average # of Days from "At Risk" Identification to Solvency Action	29.00	31.00	21.00
KEY 5 Percent of Companies Rehabilitated after TDI Intervention	11.00 %	44.00 %	18.00 %
3 Decrease Insurance Industry Loss Costs			
1 <i>Assure Loss Control Services, Windstorm Inspections, C/P Fraud Info</i>			
1 Percent of Insurers Providing Adequate Loss Control Programs	100.00 %	100.00 %	97.00 %
KEY 2 % Commercial Property Inspections That Meet Filed Rating Schedule Reqs	86.00 %	91.00 %	85.00 %
3 Percent of Windstorm Inspections That Result in an "Approved" Status	36.00	31.00	35.00
4 % of Consumer and Provider Fraud Referral Resulting in Legal Action	80.00 %	81.00 %	60.00 %
5 % of WC Consumer/Provider Fraud Referral Resulting in Legal Action	76.00	79.00	55.00
4 Reduce Loss of Life and Property Due to Fire			
1 <i>Protect the Public against Loss of Life & Property Resulting from Fire</i>			
KEY 1 % of SFMO Criminal Referrals Resulting in Legal Action	67.00 %	77.00 %	60.00 %
KEY 2 Percent of Registrations, Licenses, and Permits Issued	100.00 %	100.00 %	99.00 %
5 To Promote Safe and Healthy Workplaces			
1 <i>Promote Safe/Healthy Workplaces through Incentives and Education</i>			

II.D. SUMMARY OF OBJECTIVE OUTCOMES
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 11/30/2009
 Time: 10:34:31AM

Agency code: 454 Agency name: Department of Insurance

Goal/ Objective / OUTCOME	Exp 2008	Exp 2009	Bud2010
1 % Change in Injury Rate for Employers after Inspection	0.00	0.00	-20.00
2 <i>Encourage Safe and Timely Return to Work</i>			
KEY 1 Percent of TIBs Recipients Returning to Work Within 90 Days of Injury	52.71	54.00	54.00
6 Ensure the Appropriate Delivery of Workers' Compensation Benefits			
1 <i>Ensure the Appropriate Payment of Health Care</i>			
KEY 1 Percentage of Medical Bills Processed Timely	98.00 %	98.00 %	95.00 %
2 <i>Promote Compliance & Address Noncompliance w/ Workers' Comp Rules/Laws</i>			
1 Dollar Amount Assesed for Workers' Compensation Violations	0.00	1,046,512.00	1,000,000.00
KEY 2 \$ Amount returned to System Participants through Complaint Resolution	1,188,774.00	1,046,512.00	1,100,000.00
3 Average Days from Benefit Accrue Date to Initial Payment	11.84	11.61	9.75
4 Percent of Violation Notices Issued within 60 Days	0.00	100.00	85.00
4 <i>Certify & Regulate Private Employers that Qualify to Self-insure</i>			
1 % Market Share of Self-insurance to Total WC Insurance Market	14.02	14.00	15.00
5 <i>Minimize and Resolve Disputes</i>			
1 Percentage of Indemnity Disputes Resolved in Dispute Resolution	97.58	98.00	97.00
KEY 2 Percentage of Medical Fee Disputes Resolved by Dispute Resolution	80.47 %	86.00 %	90.00 %
6 <i>Manage the Subsequent Injury Fund</i>			
KEY 1 Total Payments Made out of the SIF for Benefits & Reimbursements	3,283,131.00	3,239,981.00	3,960,000.00
2 Percent of Fatal Claims Reviewed for Payment of Death Benefits	0.00	100.00	98.00

STRATEGY LEVEL DETAIL

This Page Intentionally Left Blank

III.A. STRATEGY LEVEL DETAIL
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/30/2009
 TIME: 10:50:29AM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Encourage Fair Competition in the Insurance Industry Statewide Goal/Benchmark: 7 1
 OBJECTIVE: 1 Reduce Impediments to Competition and Improve Insurance Availability Service Categories:
 STRATEGY: 1 Analyze Market Data and Provide Information Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2008	EXP 2009	BUD 2010
Output Measures:				
KEY 1	Number of Inquiries Answered	649,365.00	674,473.00	650,000.00
KEY 2	Number of Rate Guides Distributed	1,236,458.00	1,320,453.00	1,200,000.00
3	Number of Consumer Information Publications Distributed	4,081,066.00	6,227,193.00	3,800,000.00
4	Number of Consumer Information Presentations Made	876.00	934.00	650.00
5	Number of TDI Calls to Insurance Industry for Data	20.00	21.00	20.00
Explanatory/Input Measures:				
1	Aggregate Overhead Cost As a Percent of Premiums Paid by Consumers	19.00	20.00	21.00
2	# of Insured Automobiles as a Percentage of Total Registered Vehicles	84.00	86.00	79.00
Objects of Expense:				
1001	SALARIES AND WAGES	\$2,221,452	\$2,330,720	\$2,320,280
1002	OTHER PERSONNEL COSTS	\$95,306	\$99,353	\$81,500
2001	PROFESSIONAL FEES AND SERVICES	\$191,747	\$115,175	\$320,147
2002	FUELS AND LUBRICANTS	\$0	\$0	\$0
2003	CONSUMABLE SUPPLIES	\$16,006	\$17,176	\$11,441
2004	UTILITIES	\$9,684	\$18,607	\$10,953
2005	TRAVEL	\$44,784	\$167,032	\$32,775
2006	RENT - BUILDING	\$4,477	\$4,598	\$8,163
2007	RENT - MACHINE AND OTHER	\$6,814	\$9,570	\$20,063
2009	OTHER OPERATING EXPENSE	\$351,729	\$378,960	\$455,111
5000	CAPITAL EXPENDITURES	\$1,063	\$3,775	\$4,686
TOTAL, OBJECT OF EXPENSE		\$2,943,062	\$3,144,966	\$3,265,119
Method of Financing:				
8042	Insurance Maint Tax Fees	\$1,870,044	\$2,018,314	\$2,053,043

III.A. STRATEGY LEVEL DETAIL
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/30/2009
 TIME: 10:50:29AM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Encourage Fair Competition in the Insurance Industry
 OBJECTIVE: 1 Reduce Impediments to Competition and Improve Insurance Availability
 STRATEGY: 1 Analyze Market Data and Provide Information

Statewide Goal/Benchmark: 7 1
 Service Categories:
 Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2008	EXP 2009	BUD 2010
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$1,870,044	\$2,018,314	\$2,053,043
Method of Financing:				
	36 Dept Ins Operating Acct	\$921,871	\$998,873	\$1,037,819
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$921,871	\$998,873	\$1,037,819
Method of Financing:				
	555 Federal Funds			
	93.256.000 Planning Hlth Care Access-Uninsured	\$0	\$0	\$0
CFDA Subtotal, Fund	555	\$0	\$0	\$0
SUBTOTAL, MOF (FEDERAL FUNDS)		\$0	\$0	\$0
Method of Financing:				
	666 Appropriated Receipts	\$67,655	\$37,779	\$84,087
	777 Interagency Contracts	\$83,492	\$90,000	\$90,170
SUBTOTAL, MOF (OTHER FUNDS)		\$151,147	\$127,779	\$174,257
TOTAL, METHOD OF FINANCE :		\$2,943,062	\$3,144,966	\$3,265,119
FULL TIME EQUIVALENT POSITIONS:		45.5	44.8	45.1

III.A. STRATEGY LEVEL DETAIL
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/30/2009
 TIME: 10:50:29AM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Encourage Fair Competition in the Insurance Industry
 OBJECTIVE: 1 Reduce Impediments to Competition and Improve Insurance Availability
 STRATEGY: 2 Process Rates, Forms and Licenses

Statewide Goal/Benchmark: 7 1
 Service Categories:
 Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2008	EXP 2009	BUD 2010
Output Measures:				
1	Number of Life/Health Insurance Filings Completed	26,327.00	24,893.00	29,800.00
2	Number of Health Maintenance Organization (HMO) Form Filings Completed	1,423.00	1,438.00	1,500.00
3	Number of Property and Casualty Rate and Form Filings Completed	16,324.00	16,048.00	13,500.00
Explanatory/Input Measures:				
1	Total Number of Licensed Agents	328,679.00	347,665.00	372,000.00
2	Number of Regulated Insurance Companies and Other Carriers	0.00	1,960.00	1,955.00
Objects of Expense:				
1001	SALARIES AND WAGES	\$9,326,588	\$9,912,323	\$10,083,263
1002	OTHER PERSONNEL COSTS	\$470,334	\$441,875	\$369,500
2001	PROFESSIONAL FEES AND SERVICES	\$7,787	\$65,010	\$47,743
2003	CONSUMABLE SUPPLIES	\$50,526	\$56,696	\$66,489
2004	UTILITIES	\$4,989	\$6,105	\$2,676
2005	TRAVEL	\$32,378	\$24,355	\$41,860
2006	RENT - BUILDING	\$898	\$0	\$0
2007	RENT - MACHINE AND OTHER	\$39,919	\$37,707	\$68,181
2009	OTHER OPERATING EXPENSE	\$371,760	\$326,965	\$17,765,699
5000	CAPITAL EXPENDITURES	\$5,925	\$21,034	\$20,510
TOTAL, OBJECT OF EXPENSE		\$10,311,104	\$10,892,070	\$28,465,921
Method of Financing:				
8042	Insurance Maint Tax Fees	\$6,820,655	\$7,229,067	\$7,144,714
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$6,820,655	\$7,229,067	\$7,144,714
Method of Financing:				
36	Dept Ins Operating Acct	\$3,456,059	\$3,663,003	\$3,917,087

III.A. STRATEGY LEVEL DETAIL
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/30/2009
 TIME: 10:50:29AM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Encourage Fair Competition in the Insurance Industry
 OBJECTIVE: 1 Reduce Impediments to Competition and Improve Insurance Availability
 STRATEGY: 2 Process Rates, Forms and Licenses

Statewide Goal/Benchmark: 7 1
 Service Categories:
 Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2008	EXP 2009	BUD 2010
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$3,456,059	\$3,663,003	\$3,917,087
Method of Financing:				
	329 Healthy TX Sm Emp Prem Stabil. Fund	\$0	\$0	\$17,404,000
	666 Appropriated Receipts	\$34,390	\$0	\$120
SUBTOTAL, MOF (OTHER FUNDS)		\$34,390	\$0	\$17,404,120
TOTAL, METHOD OF FINANCE :		\$10,311,104	\$10,892,070	\$28,465,921
FULL TIME EQUIVALENT POSITIONS:		197.4	203.5	205.7

III.A. STRATEGY LEVEL DETAIL
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/30/2009
 TIME: 10:50:29AM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Encourage Fair Competition in the Insurance Industry

OBJECTIVE: 1 Reduce Impediments to Competition and Improve Insurance Availability

STRATEGY: 3 Create Incentives and Requirements for Coverage in Underserved Markets

Statewide Goal/Benchmark: 7 1

Service Categories:

Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2008	EXP 2009	BUD 2010
Objects of Expense:				
1001	SALARIES AND WAGES	\$156,260	\$160,052	\$190,276
1002	OTHER PERSONNEL COSTS	\$11,887	\$8,340	\$10,440
2001	PROFESSIONAL FEES AND SERVICES	\$125	\$0	\$0
2003	CONSUMABLE SUPPLIES	\$650	\$692	\$992
2004	UTILITIES	\$329	\$410	\$46
2005	TRAVEL	\$36	\$0	\$412
2007	RENT - MACHINE AND OTHER	\$615	\$864	\$931
2009	OTHER OPERATING EXPENSE	\$3,587	\$3,152	\$4,441
5000	CAPITAL EXPENDITURES	\$99	\$351	\$362
TOTAL, OBJECT OF EXPENSE		\$173,588	\$173,861	\$207,900
Method of Financing:				
8042	Insurance Maint Tax Fees	\$115,210	\$115,392	\$134,231
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$115,210	\$115,392	\$134,231
Method of Financing:				
36	Dept Ins Operating Acct	\$58,378	\$58,469	\$73,669
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$58,378	\$58,469	\$73,669
TOTAL, METHOD OF FINANCE :		\$173,588	\$173,861	\$207,900
FULL TIME EQUIVALENT POSITIONS:		2.9	2.8	3.2

III.A. STRATEGY LEVEL DETAIL
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/30/2009
 TIME: 10:50:29AM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Encourage Fair Competition in the Insurance Industry Statewide Goal/Benchmark: 7 1
 OBJECTIVE: 2 Reduce Insurance and Insurance-related Statute and Rule Violations Service Categories:
 STRATEGY: 1 Respond Promptly and Act on Complaints Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2008	EXP 2009	BUD 2010
------	-------------	----------	----------	----------

Output Measures:

KEY 1	Number of Complaints Resolved	23,064.00	28,392.00	23,350.00
2	Number of Insurance Advertising Filings Reviewed	6,172.00	6,166.00	6,100.00
3	Dollar Amount Returned to Consumers through Complaint Resolution	31,972,637.00	74,645,429.00	30,000,000.00
4	Number of Complaints Against Health Maintenance Organizations Resolved	905.00	668.00	1,000.00

Efficiency Measures:

KEY 1	Average Response Time (in Days) to Complaints	27.00	47.00	29.00
2	Average Time (in Days) for HMO Complaint Resolution	5.00	4.00	10.00

Objects of Expense:

1001	SALARIES AND WAGES	\$2,436,282	\$2,648,958	\$2,609,706
1002	OTHER PERSONNEL COSTS	\$125,894	\$122,917	\$97,700
2001	PROFESSIONAL FEES AND SERVICES	\$2,612	\$12,704	\$23,736
2003	CONSUMABLE SUPPLIES	\$16,098	\$20,558	\$11,910
2004	UTILITIES	\$4,598	\$14,358	\$5,494
2005	TRAVEL	\$38,965	\$153,694	\$18,439
2006	RENT - BUILDING	\$1,248	\$139	\$2,250
2007	RENT - MACHINE AND OTHER	\$8,054	\$11,279	\$18,548
2009	OTHER OPERATING EXPENSE	\$116,783	\$117,483	\$105,161
5000	CAPITAL EXPENDITURES	\$1,966	\$6,979	\$5,261
TOTAL, OBJECT OF EXPENSE		\$2,752,500	\$3,109,069	\$2,898,205

Method of Financing:

8042	Insurance Maint Tax Fees	\$1,826,834	\$2,063,489	\$1,871,228
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$1,826,834	\$2,063,489	\$1,871,228

Method of Financing:

III.A. STRATEGY LEVEL DETAIL
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/30/2009
 TIME: 10:50:29AM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Encourage Fair Competition in the Insurance Industry
 OBJECTIVE: 2 Reduce Insurance and Insurance-related Statute and Rule Violations
 STRATEGY: 1 Respond Promptly and Act on Complaints

Statewide Goal/Benchmark: 7 1
 Service Categories:
 Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2008	EXP 2009	BUD 2010
	36 Dept Ins Operating Acct	\$925,666	\$1,045,580	\$1,026,977
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$925,666	\$1,045,580	\$1,026,977
Method of Financing:				
	329 Healthy TX Sm Emp Prem Stabil. Fund	\$0	\$0	\$0
SUBTOTAL, MOF (OTHER FUNDS)		\$0	\$0	\$0
TOTAL, METHOD OF FINANCE :		\$2,752,500	\$3,109,069	\$2,898,205
FULL TIME EQUIVALENT POSITIONS:		56.2	55.2	57.5

III.A. STRATEGY LEVEL DETAIL
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/30/2009
 TIME: 10:50:29AM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Encourage Fair Competition in the Insurance Industry
 OBJECTIVE: 2 Reduce Insurance and Insurance-related Statute and Rule Violations
 STRATEGY: 2 Investigate Trade Practices and Enforcement as Needed

Statewide Goal/Benchmark: 7 1
 Service Categories:
 Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2008	EXP 2009	BUD 2010
Output Measures:				
1	Number of Enforcement Cases Concluded with Action	0.00	556.00	550.00
2	Dollar Amount of Penalties Assessed for Statute and Rule Violations	9,304,076.00	7,421,927.00	8,450,000.00
3	Dollar Amount of Restitution Assessed for Statute and Rule Violations	43,804,827.00	20,093,771.00	20,500,000.00
4	Number of Quality Assurance Examinations Conducted	16.00	23.00	20.00
Efficiency Measures:				
1	Average Cost Per Quality Assurance Examination	3,288.00	1,579.00	4,800.00
Explanatory/Input Measures:				
1	Percent of Contested Cases Finalized within 180 Days	59.00	69.00	60.00
Objects of Expense:				
1001	SALARIES AND WAGES	\$2,490,482	\$2,628,294	\$2,700,928
1002	OTHER PERSONNEL COSTS	\$93,638	\$92,596	\$72,360
2001	PROFESSIONAL FEES AND SERVICES	\$94,476	\$176,728	\$86,000
2003	CONSUMABLE SUPPLIES	\$14,966	\$14,784	\$20,074
2004	UTILITIES	\$738	\$112	\$0
2005	TRAVEL	\$3,603	\$3,643	\$17,082
2006	RENT - BUILDING	\$113	\$56	\$0
2007	RENT - MACHINE AND OTHER	\$8,911	\$12,505	\$16,212
2009	OTHER OPERATING EXPENSE	\$94,689	\$100,206	\$94,611
5000	CAPITAL EXPENDITURES	\$1,696	\$6,021	\$5,359
TOTAL, OBJECT OF EXPENSE		\$2,803,312	\$3,034,945	\$3,012,626
Method of Financing:				
8042	Insurance Maint Tax Fees	\$1,860,558	\$2,014,293	\$1,922,492
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$1,860,558	\$2,014,293	\$1,922,492

III.A. STRATEGY LEVEL DETAIL
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/30/2009
 TIME: 10:50:29AM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Encourage Fair Competition in the Insurance Industry
 OBJECTIVE: 2 Reduce Insurance and Insurance-related Statute and Rule Violations
 STRATEGY: 2 Investigate Trade Practices and Enforcement as Needed

Statewide Goal/Benchmark: 7 1
 Service Categories:
 Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2008	EXP 2009	BUD 2010
Method of Financing:				
	36 Dept Ins Operating Acct	\$942,754	\$1,020,652	\$1,090,134
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$942,754	\$1,020,652	\$1,090,134
TOTAL, METHOD OF FINANCE :		\$2,803,312	\$3,034,945	\$3,012,626
FULL TIME EQUIVALENT POSITIONS:		48.7	48.6	50.6

III.A. STRATEGY LEVEL DETAIL
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/30/2009
 TIME: 10:50:29AM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Encourage Fair Competition in the Insurance Industry
 OBJECTIVE: 2 Reduce Insurance and Insurance-related Statute and Rule Violations
 STRATEGY: 3 Investigate Potential Insurer Fraud and Initiate Legal Action

Statewide Goal/Benchmark: 7 1
 Service Categories:
 Service: 34 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2008	EXP 2009	BUD 2010
Output Measures:				
KEY 1	Number of Referrals of Alleged Insurer Fraud to Approp Authorities	56.00	71.00	70.00
Efficiency Measures:				
1	Average Number of Days Per Insurer Fraud Enforcement Case Referred	308.00	291.00	300.00
Explanatory/Input Measures:				
1	Estimated Dollar Amount (in Millions) of Insurer Fraud Referred	3.22	9.33	7.00
2	Number of Reports of Insurer Fraud Received	824.00	1,278.00	884.00
Objects of Expense:				
1001	SALARIES AND WAGES	\$801,748	\$1,189,553	\$1,229,051
1002	OTHER PERSONNEL COSTS	\$8,404	\$26,199	\$11,960
2001	PROFESSIONAL FEES AND SERVICES	\$4,764	\$16,481	\$20,523
2003	CONSUMABLE SUPPLIES	\$7,185	\$7,680	\$5,896
2004	UTILITIES	\$7,262	\$10,805	\$7,412
2005	TRAVEL	\$48,127	\$55,737	\$63,704
2006	RENT - BUILDING	\$1,264	\$732	\$579
2007	RENT - MACHINE AND OTHER	\$1,868	\$2,545	\$8,128
2009	OTHER OPERATING EXPENSE	\$60,924	\$47,846	\$59,260
5000	CAPITAL EXPENDITURES	\$579	\$7,054	\$2,600
TOTAL, OBJECT OF EXPENSE		\$942,125	\$1,364,632	\$1,409,113
Method of Financing:				
8042	Insurance Maint Tax Fees	\$658,220	\$956,734	\$957,594
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$658,220	\$956,734	\$957,594

Method of Financing:

III.A. STRATEGY LEVEL DETAIL
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/30/2009
 TIME: 10:50:29AM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Encourage Fair Competition in the Insurance Industry
 OBJECTIVE: 2 Reduce Insurance and Insurance-related Statute and Rule Violations
 STRATEGY: 3 Investigate Potential Insurer Fraud and Initiate Legal Action

Statewide Goal/Benchmark: 7 1
 Service Categories:
 Service: 34 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2008	EXP 2009	BUD 2010
36	Dept Ins Operating Acct	\$279,266	\$402,898	\$441,932
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$279,266	\$402,898	\$441,932
Method of Financing:				
666	Appropriated Receipts	\$0	\$0	\$4,672
777	Interagency Contracts	\$4,639	\$5,000	\$4,915
SUBTOTAL, MOF (OTHER FUNDS)		\$4,639	\$5,000	\$9,587
TOTAL, METHOD OF FINANCE :		\$942,125	\$1,364,632	\$1,409,113
FULL TIME EQUIVALENT POSITIONS:		16.7	23.6	23.9

III.A. STRATEGY LEVEL DETAIL
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/30/2009
 TIME: 10:50:29AM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Encourage Fair Competition in the Insurance Industry
 OBJECTIVE: 2 Reduce Insurance and Insurance-related Statute and Rule Violations
 STRATEGY: 4 TexasOnline. Estimated and Nontransferable

Statewide Goal/Benchmark: 7 8

Service Categories:

Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2008	EXP 2009	BUD 2010
Objects of Expense:				
	2009 OTHER OPERATING EXPENSE	\$419,455	\$385,415	\$380,000
TOTAL, OBJECT OF EXPENSE		\$419,455	\$385,415	\$380,000
Method of Financing:				
	1 General Revenue Fund	\$6,054	\$3,990	\$6,520
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$6,054	\$3,990	\$6,520
Method of Financing:				
	36 Dept Ins Operating Acct	\$413,401	\$381,425	\$373,480
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$413,401	\$381,425	\$373,480
TOTAL, METHOD OF FINANCE :		\$419,455	\$385,415	\$380,000
FULL TIME EQUIVALENT POSITIONS:		0.0	0.0	0.0

III.A. STRATEGY LEVEL DETAIL
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/30/2009
 TIME: 10:50:29AM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 2 Encourage the Financial Health of the Insurance Industry

OBJECTIVE: 1 Regulate Insurance Industry Solvency

STRATEGY: 1 Analyze the Financial Condition of Insurers and Take Solvency Action

Statewide Goal/Benchmark: 7 1

Service Categories:

Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2008	EXP 2009	BUD 2010
Output Measures:				
KEY 1	Number of Entities Receiving TDI Solvency-related Intervention	10.00	9.00	10.00
	2 Dollar Amount (in Millions) of Net Asset Recoveries Collected	30.65	100.26	25.00
KEY 3	Number of On-site Examinations Conducted	153.00	153.00	145.00
	4 Number of Reviews of Annual and Interim Financial Statements Conducted	1,881.00	1,855.00	1,750.00
Efficiency Measures:				
KEY 1	Average State Cost Per Examination	31,768.00	34,245.00	32,016.00
Explanatory/Input Measures:				
KEY 1	Dollar Amount (in Millions) of Insurance Company Insolvencies	0.95	614.42	50.00
KEY 2	Number of Estates Placed in Receivership	4.00	13.00	6.00
	3 % Companies Subject to Statutorily Mandated Examinations during the FY	30.00 %	31.00 %	25.00 %
	4 % of Insurers Meeting Capital and Surplus Requirements	99.00	99.00	97.00
Objects of Expense:				
1001	SALARIES AND WAGES	\$9,499,432	\$9,973,895	\$10,299,960
1002	OTHER PERSONNEL COSTS	\$405,102	\$367,641	\$235,720
2001	PROFESSIONAL FEES AND SERVICES	\$7,889	\$2,173	\$1,669
2003	CONSUMABLE SUPPLIES	\$51,663	\$57,124	\$41,707
2004	UTILITIES	\$33,782	\$31,997	\$52,487
2005	TRAVEL	\$818,632	\$880,393	\$932,706
2006	RENT - BUILDING	\$53,224	\$52,194	\$52,194
2007	RENT - MACHINE AND OTHER	\$28,520	\$42,647	\$69,444
2009	OTHER OPERATING EXPENSE	\$445,076	\$495,625	\$473,073
5000	CAPITAL EXPENDITURES	\$5,752	\$20,419	\$23,148
TOTAL, OBJECT OF EXPENSE		\$11,349,072	\$11,924,108	\$12,182,108

III.A. STRATEGY LEVEL DETAIL
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/30/2009
 TIME: 10:50:29AM

Agency code: **454** Agency name: **Department of Insurance**
 GOAL: 2 Encourage the Financial Health of the Insurance Industry
 OBJECTIVE: 1 Regulate Insurance Industry Solvency
 STRATEGY: 1 Analyze the Financial Condition of Insurers and Take Solvency Action

Statewide Goal/Benchmark: 7 1
 Service Categories:
 Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2008	EXP 2009	BUD 2010
Method of Financing:				
8042	Insurance Maint Tax Fees	\$7,492,021	\$7,831,068	\$7,784,681
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$7,492,021	\$7,831,068	\$7,784,681
Method of Financing:				
36	Dept Ins Operating Acct	\$3,796,244	\$3,968,040	\$4,272,427
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$3,796,244	\$3,968,040	\$4,272,427
Method of Financing:				
666	Appropriated Receipts	\$60,807	\$125,000	\$125,000
SUBTOTAL, MOF (OTHER FUNDS)		\$60,807	\$125,000	\$125,000
TOTAL, METHOD OF FINANCE :		\$11,349,072	\$11,924,108	\$12,182,108
FULL TIME EQUIVALENT POSITIONS:		173.8	176.4	172.8

III.A. STRATEGY LEVEL DETAIL
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/30/2009
 TIME: 10:50:29AM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 3 Decrease Insurance Industry Loss Costs Statewide Goal/Benchmark: 7 1
 OBJECTIVE: 1 Assure Loss Control Services, Windstorm Inspections, C/P Fraud Info Service Categories:
 STRATEGY: 1 Inspect Loss Control Programs and Assure Code and Schedule Compliance Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2008	EXP 2009	BUD 2010
Output Measures:				
KEY 1	Number of Windstorm Inspections Completed	11,038.00	14,981.00	11,500.00
KEY 2	Number of Inspections of Insurer Loss Control Programs Completed	201.00	205.00	200.00
KEY 3	Number of Commercial Property Oversight Inspections Completed	1,175.00	1,199.00	1,160.00
Efficiency Measures:				
KEY 1	Average Cost Per Windstorm Inspection	63.00	69.00	50.00
Objects of Expense:				
1001	SALARIES AND WAGES	\$2,028,546	\$2,573,366	\$2,226,270
1002	OTHER PERSONNEL COSTS	\$94,260	\$99,452	\$81,160
2001	PROFESSIONAL FEES AND SERVICES	\$1,164	\$0	\$0
2003	CONSUMABLE SUPPLIES	\$14,354	\$13,512	\$12,651
2004	UTILITIES	\$38,264	\$45,583	\$47,012
2005	TRAVEL	\$172,558	\$220,301	\$150,596
2006	RENT - BUILDING	\$73,982	\$82,357	\$83,968
2007	RENT - MACHINE AND OTHER	\$9,638	\$22,005	\$17,052
2009	OTHER OPERATING EXPENSE	\$66,235	\$91,621	\$77,960
5000	CAPITAL EXPENDITURES	\$919	\$3,263	\$4,543
TOTAL, OBJECT OF EXPENSE		\$2,499,920	\$3,151,460	\$2,701,212
Method of Financing:				
8042	Insurance Maint Tax Fees	\$1,659,197	\$2,091,624	\$1,744,040
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$1,659,197	\$2,091,624	\$1,744,040
Method of Financing:				
36	Dept Ins Operating Acct	\$840,723	\$1,059,836	\$957,172

III.A. STRATEGY LEVEL DETAIL
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/30/2009
 TIME: 10:50:29AM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 3 Decrease Insurance Industry Loss Costs
 OBJECTIVE: 1 Assure Loss Control Services, Windstorm Inspections, C/P Fraud Info
 STRATEGY: 1 Inspect Loss Control Programs and Assure Code and Schedule Compliance

Statewide Goal/Benchmark: 7 1
 Service Categories:
 Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2008	EXP 2009	BUD 2010
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$840,723	\$1,059,836	\$957,172
Method of Financing:				
	666 Appropriated Receipts	\$0	\$0	\$0
SUBTOTAL, MOF (OTHER FUNDS)		\$0	\$0	\$0
TOTAL, METHOD OF FINANCE :		\$2,499,920	\$3,151,460	\$2,701,212
FULL TIME EQUIVALENT POSITIONS:		45.8	51.0	47.1

III.A. STRATEGY LEVEL DETAIL
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/30/2009
 TIME: 10:50:29AM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 3 Decrease Insurance Industry Loss Costs Statewide Goal/Benchmark: 7 1
 OBJECTIVE: 1 Assure Loss Control Services, Windstorm Inspections, C/P Fraud Info Service Categories:
 STRATEGY: 2 Investigate Provider/Consumer Fraud & Refer Violations for Prosecution Service: 34 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2008	EXP 2009	BUD 2010
Output Measures:				
KEY 1	Number of Referrals of Consumer & Provider Fraud for Enforcement	106.00	107.00	110.00
Efficiency Measures:				
1	Avg # of Days Per Consumer or Provider Fraud Enforcement Case Referred	228.00	250.00	265.00
Explanatory/Input Measures:				
1	Number of Reports of Possible Consumer and Provider Fraud Received	7,018.00	7,863.00	7,803.00
2	Estimated Dollar Amount (in Millions) of Consumer and Provider Fraud	1.43	8.56	1.75
Objects of Expense:				
1001	SALARIES AND WAGES	\$1,123,184	\$1,116,841	\$1,098,329
1002	OTHER PERSONNEL COSTS	\$38,886	\$43,994	\$34,240
2001	PROFESSIONAL FEES AND SERVICES	\$5,434	\$15,599	\$17,769
2003	CONSUMABLE SUPPLIES	\$7,207	\$7,029	\$5,355
2004	UTILITIES	\$7,989	\$10,229	\$6,562
2005	TRAVEL	\$54,394	\$48,596	\$50,281
2006	RENT - BUILDING	\$1,951	\$4,433	\$579
2007	RENT - MACHINE AND OTHER	\$4,324	\$4,965	\$7,230
2009	OTHER OPERATING EXPENSE	\$71,592	\$69,657	\$104,769
5000	CAPITAL EXPENDITURES	\$754	\$7,675	\$2,252
TOTAL, OBJECT OF EXPENSE		\$1,315,715	\$1,329,018	\$1,327,366
Method of Financing:				
8042	Insurance Maint Tax Fees	\$854,648	\$861,838	\$849,555
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$854,648	\$861,838	\$849,555
Method of Financing:				

III.A. STRATEGY LEVEL DETAIL
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/30/2009
 TIME: 10:50:29AM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 3 Decrease Insurance Industry Loss Costs
 OBJECTIVE: 1 Assure Loss Control Services, Windstorm Inspections, C/P Fraud Info
 STRATEGY: 2 Investigate Provider/Consumer Fraud & Refer Violations for Prosecution

Statewide Goal/Benchmark: 7 1
 Service Categories:
 Service: 34 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2008	EXP 2009	BUD 2010
36	Dept Ins Operating Acct	\$433,054	\$436,697	\$466,257
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$433,054	\$436,697	\$466,257
Method of Financing:				
666	Appropriated Receipts	\$23,375	\$25,483	\$6,639
777	Interagency Contracts	\$4,638	\$5,000	\$4,915
SUBTOTAL, MOF (OTHER FUNDS)		\$28,013	\$30,483	\$11,554
TOTAL, METHOD OF FINANCE :		\$1,315,715	\$1,329,018	\$1,327,366
FULL TIME EQUIVALENT POSITIONS:		22.2	21.0	20.9

III.A. STRATEGY LEVEL DETAIL
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/30/2009
 TIME: 10:50:29AM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 3 Decrease Insurance Industry Loss Costs Statewide Goal/Benchmark: 7 1
 OBJECTIVE: 1 Assure Loss Control Services, Windstorm Inspections, C/P Fraud Info Service Categories:
 STRATEGY: 3 Investigate Workers' Compensation Insurance Fraud Service: 34 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2008	EXP 2009	BUD 2010
Output Measures:				
1	Number of Referrals of Workers' Comp Fraud for Enforcement	33.00	28.00	40.00
Efficiency Measures:				
1	Average # of Days Per Workers' Comp Fraud Enforcement Case Referred	162.00	241.00	200.00
Explanatory/Input Measures:				
1	Number of Reports of Possible Workers' Comp Insurance Fraud Received	2,097.00	1,880.00	1,561.00
2	Estimated Dollar Amount (in Millions) of Workers' Comp Insurance Fraud	0.24	0.19	0.20
Objects of Expense:				
1001	SALARIES AND WAGES	\$180,250	\$208,924	\$231,231
1002	OTHER PERSONNEL COSTS	\$3,110	\$1,860	\$4,920
2001	PROFESSIONAL FEES AND SERVICES	\$0	\$1,878	\$569
2003	CONSUMABLE SUPPLIES	\$1,200	\$1,650	\$1,168
2004	UTILITIES	\$1,124	\$2,217	\$2,165
2005	TRAVEL	\$10,864	\$8,450	\$10,000
2006	RENT - BUILDING	\$16,569	\$17,299	\$15,868
2007	RENT - MACHINE AND OTHER	\$0	\$0	\$4,221
2009	OTHER OPERATING EXPENSE	\$5,485	\$3,467	\$4,396
5000	CAPITAL EXPENDITURES	\$167	\$368	\$541
TOTAL, OBJECT OF EXPENSE		\$218,769	\$246,113	\$275,079
Method of Financing:				
36	Dept Ins Operating Acct	\$218,769	\$246,113	\$275,079
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$218,769	\$246,113	\$275,079

III.A. STRATEGY LEVEL DETAIL
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/30/2009
 TIME: 10:50:29AM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 3 Decrease Insurance Industry Loss Costs
 OBJECTIVE: 1 Assure Loss Control Services, Windstorm Inspections, C/P Fraud Info
 STRATEGY: 3 Investigate Workers' Compensation Insurance Fraud

Statewide Goal/Benchmark: 7 1
 Service Categories:
 Service: 34 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2008	EXP 2009	BUD 2010
TOTAL, METHOD OF FINANCE :		\$218,769	\$246,113	\$275,079
FULL TIME EQUIVALENT POSITIONS:		4.1	4.6	5.0

III.A. STRATEGY LEVEL DETAIL
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/30/2009
 TIME: 10:50:29AM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 4 Reduce Loss of Life and Property Due to Fire Statewide Goal/Benchmark: 7 1
 OBJECTIVE: 1 Protect the Public against Loss of Life & Property Resulting from Fire Service Categories:
 STRATEGY: 1 Provide Fire Prevention through Education & Enforcement of Regulations Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2008	EXP 2009	BUD 2010
Output Measures:				
	1 # of Individuals Attending SFMO Fire Prevention/Safety Presentations	24,154.00	19,204.00	22,500.00
KEY	2 Number of Fire Investigations Completed	510.00	513.00	507.00
	3 Number of Samples Analyzed in the Arson Lab	3,093.00	2,776.00	2,300.00
	4 Number of SFMO Criminal Referrals for Prosecution	111.00	124.00	110.00
KEY	5 # Alarm, Extinguisher, Sprinkler & Works Cos & Personnel Licensed	12,965.00	12,527.00	11,700.00
	6 Number of Licensing Investigations or Inspections Conducted	1,122.00	1,085.00	1,100.00
	7 Number of Buildings Inspected or Reinspected for Fire Safety Hazards	5,230.00	4,659.00	4,650.00
	8 # of Communities Accepting a SFMO Fire Prevention Program	29.00	42.00	40.00
Efficiency Measures:				
	1 Average Cost Per Fire Safety Inspection	134.00	153.00	145.00
	2 Average Time to Complete Fire Investigations	108.00	131.00	150.00
Objects of Expense:				
	1001 SALARIES AND WAGES	\$3,023,399	\$3,148,236	\$3,256,643
	1002 OTHER PERSONNEL COSTS	\$146,154	\$154,231	\$117,780
	2001 PROFESSIONAL FEES AND SERVICES	\$4,956	\$9,842	\$51,500
	2002 FUELS AND LUBRICANTS	\$147,917	\$91,197	\$101,500
	2003 CONSUMABLE SUPPLIES	\$35,580	\$44,430	\$43,036
	2004 UTILITIES	\$51,584	\$55,273	\$72,765
	2005 TRAVEL	\$159,630	\$136,503	\$169,959
	2006 RENT - BUILDING	\$8,193	\$7,862	\$7,692
	2007 RENT - MACHINE AND OTHER	\$4,844	\$5,556	\$23,200
	2009 OTHER OPERATING EXPENSE	\$208,278	\$205,027	\$775,639
	5000 CAPITAL EXPENDITURES	\$199,160	\$191,247	\$204,879
	TOTAL, OBJECT OF EXPENSE	\$3,989,695	\$4,049,404	\$4,824,593

III.A. STRATEGY LEVEL DETAIL
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/30/2009
 TIME: 10:50:29AM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 4 Reduce Loss of Life and Property Due to Fire
 OBJECTIVE: 1 Protect the Public against Loss of Life & Property Resulting from Fire
 STRATEGY: 1 Provide Fire Prevention through Education & Enforcement of Regulations

Statewide Goal/Benchmark: 7 1
 Service Categories:
 Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2008	EXP 2009	BUD 2010
Method of Financing:				
8042	Insurance Maint Tax Fees	\$2,619,664	\$2,657,804	\$2,693,170
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$2,619,664	\$2,657,804	\$2,693,170
Method of Financing:				
36	Dept Ins Operating Acct	\$1,369,359	\$1,390,928	\$1,564,923
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$1,369,359	\$1,390,928	\$1,564,923
Method of Financing:				
555	Federal Funds			
97.044.000	Assistance to Firefighters Grant	\$0	\$0	\$566,500
CFDA Subtotal, Fund	555	\$0	\$0	\$566,500
SUBTOTAL, MOF (FEDERAL FUNDS)		\$0	\$0	\$566,500
Method of Financing:				
666	Appropriated Receipts	\$672	\$672	\$0
SUBTOTAL, MOF (OTHER FUNDS)		\$672	\$672	\$0
TOTAL, METHOD OF FINANCE :		\$3,989,695	\$4,049,404	\$4,824,593
FULL TIME EQUIVALENT POSITIONS:		68.2	68.1	70.5

III.A. STRATEGY LEVEL DETAIL
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/30/2009
 TIME: 10:50:29AM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 5 To Promote Safe and Healthy Workplaces
 OBJECTIVE: 1 Promote Safe/Healthy Workplaces through Incentives and Education
 STRATEGY: 1 Provide Health and Safety Services in Texas Workplaces

Statewide Goal/Benchmark: 7 6
 Service Categories:
 Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2008	EXP 2009	BUD 2010
Output Measures:				
KEY 1	Number of Consultations and Inspections Provided to Employers	2,813.00	3,073.00	3,058.00
2	Number of Texas Employers Receiving Safety Educational Products/Svcs	8,109.00	10,940.00	10,000.00
3	Number of Texas Employees Receiving Safety Educational Products/Svcs	116,788.00	126,933.00	154,000.00
4	Number of Division of Workers' Compensation Presentations Made	0.00	256.00	400.00
Efficiency Measures:				
1	Average Cost Per Consultation and Inspection	835.00	715.00	750.00
Explanatory/Input Measures:				
1	Incidence of Injuries and Illness per 100 Full-time Employees	3.70	3.40	3.90
Objects of Expense:				
1001	SALARIES AND WAGES	\$2,308,038	\$2,448,088	\$2,968,487
1002	OTHER PERSONNEL COSTS	\$138,888	\$107,126	\$79,280
2001	PROFESSIONAL FEES AND SERVICES	\$14,614	\$7,710	\$0
2003	CONSUMABLE SUPPLIES	\$9,957	\$16,033	\$21,699
2004	UTILITIES	\$20,552	\$20,236	\$28,243
2005	TRAVEL	\$163,293	\$191,329	\$269,018
2006	RENT - BUILDING	\$383,673	\$401,821	\$379,352
2007	RENT - MACHINE AND OTHER	\$430	\$2,357	\$71,794
2009	OTHER OPERATING EXPENSE	\$197,504	\$230,088	\$191,915
5000	CAPITAL EXPENDITURES	\$2,339	\$10,265	\$6,783
TOTAL, OBJECT OF EXPENSE		\$3,239,288	\$3,435,053	\$4,016,571
Method of Financing:				
1	General Revenue Fund	\$178,213	\$158,761	\$0
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$178,213	\$158,761	\$0

III.A. STRATEGY LEVEL DETAIL
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/30/2009
 TIME: 10:50:29AM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 5 To Promote Safe and Healthy Workplaces
 OBJECTIVE: 1 Promote Safe/Healthy Workplaces through Incentives and Education
 STRATEGY: 1 Provide Health and Safety Services in Texas Workplaces

Statewide Goal/Benchmark: 7 6
 Service Categories:
 Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2008	EXP 2009	BUD 2010
Method of Financing:				
	36 Dept Ins Operating Acct	\$1,159,226	\$1,186,814	\$1,662,277
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$1,159,226	\$1,186,814	\$1,662,277
Method of Financing:				
	555 Federal Funds			
	17.005.001 OSHA BUREAU OF LABOR STATISTICS	\$174,437	\$187,439	\$200,332
	17.504.001 OSHA Consultation Agreements	\$1,544,805	\$1,736,287	\$1,983,618
	17.504.002 OSHA Consultation Agreements	\$75,005	\$75,407	\$84,461
CFDA Subtotal, Fund	555	\$1,794,247	\$1,999,133	\$2,268,411
SUBTOTAL, MOF (FEDERAL FUNDS)		\$1,794,247	\$1,999,133	\$2,268,411
Method of Financing:				
	666 Appropriated Receipts	\$107,602	\$90,345	\$85,883
SUBTOTAL, MOF (OTHER FUNDS)		\$107,602	\$90,345	\$85,883
TOTAL, METHOD OF FINANCE :		\$3,239,288	\$3,435,053	\$4,016,571
FULL TIME EQUIVALENT POSITIONS:		62.1	64.0	72.6

III.A. STRATEGY LEVEL DETAIL
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/30/2009
 TIME: 10:50:29AM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 5 To Promote Safe and Healthy Workplaces Statewide Goal/Benchmark: 7 6
 OBJECTIVE: 2 Encourage Safe and Timely Return to Work Service Categories:
 STRATEGY: 1 Provide Education on Disability Management and Return-to-work Programs Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2008	EXP 2009	BUD 2010
Output Measures:				
KEY 1	Number of Persons Receiving Return-to-work Training	8,802.00	10,928.00	11,000.00
2	# of Workers' Compensation Income Benefit Recipients Referred to DARS	10,923.00	26,960.00	20,000.00
Efficiency Measures:				
1	Average Number of Participants per Return-to-Work Seminar	117.00	147.00	70.00
Objects of Expense:				
1001	SALARIES AND WAGES	\$151,844	\$158,920	\$89,750
1002	OTHER PERSONNEL COSTS	\$8,340	\$9,700	\$2,640
2001	PROFESSIONAL FEES AND SERVICES	\$0	\$783	\$0
2003	CONSUMABLE SUPPLIES	\$275	\$793	\$726
2004	UTILITIES	\$1,109	\$1,522	\$1,034
2005	TRAVEL	\$9,923	\$10,302	\$32,700
2006	RENT - BUILDING	\$16,569	\$17,145	\$11,336
2007	RENT - MACHINE AND OTHER	\$0	\$44	\$3,015
2009	OTHER OPERATING EXPENSE	\$6,268	\$4,610	\$13,740
3001	CLIENT SERVICES	\$1,810	\$0	\$0
5000	CAPITAL EXPENDITURES	\$177	\$390	\$214
TOTAL, OBJECT OF EXPENSE		\$196,315	\$204,209	\$155,155
Method of Financing:				
36	Dept Ins Operating Acct	\$194,505	\$204,209	\$155,155
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$194,505	\$204,209	\$155,155
Method of Financing:				
666	Appropriated Receipts	\$1,810	\$0	\$0

III.A. STRATEGY LEVEL DETAIL
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/30/2009
 TIME: 10:50:29AM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 5 To Promote Safe and Healthy Workplaces

Statewide Goal/Benchmark: 7 6

OBJECTIVE: 2 Encourage Safe and Timely Return to Work

Service Categories:

STRATEGY: 1 Provide Education on Disability Management and Return-to-work Programs

Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2008	EXP 2009	BUD 2010
SUBTOTAL, MOF (OTHER FUNDS)		\$1,810	\$0	\$0
TOTAL, METHOD OF FINANCE :		\$196,315	\$204,209	\$155,155
FULL TIME EQUIVALENT POSITIONS:		2.4	3.0	2.0

III.A. STRATEGY LEVEL DETAIL
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/30/2009
 TIME: 10:50:29AM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 6 Ensure the Appropriate Delivery of Workers' Compensation Benefits
 OBJECTIVE: 1 Ensure the Appropriate Payment of Health Care
 STRATEGY: 1 Ensure Appropriate Utilization of Medical Services

Statewide Goal/Benchmark: 7 6
 Service Categories:
 Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2008	EXP 2009	BUD 2010
Output Measures:				
KEY 1	Number of Quality of Care Reviews Completed	82.00	111.00	82.00
2	# System Participants Who Receive Medical Benefits Training	4,072.00	2,407.00	4,725.00
Efficiency Measures:				
KEY 1	Avg Days per Quality of Care HC Provider/Insurance Carrier/IRO Review	111.00	121.00	180.00
Objects of Expense:				
1001	SALARIES AND WAGES	\$1,116,391	\$1,158,288	\$1,897,084
1002	OTHER PERSONNEL COSTS	\$58,977	\$33,860	\$19,880
2001	PROFESSIONAL FEES AND SERVICES	\$329,016	\$340,333	\$1,000,013
2003	CONSUMABLE SUPPLIES	\$16,172	\$4,756	\$8,128
2004	UTILITIES	\$7,880	\$7,255	\$6,441
2005	TRAVEL	\$50,482	\$34,286	\$30,106
2006	RENT - BUILDING	\$102,321	\$104,873	\$75,165
2007	RENT - MACHINE AND OTHER	\$88	\$81	\$20,000
2009	OTHER OPERATING EXPENSE	\$69,897	\$75,373	\$48,616
5000	CAPITAL EXPENDITURES	\$1,222	\$2,694	\$3,718
TOTAL, OBJECT OF EXPENSE		\$1,752,446	\$1,761,799	\$3,109,151
Method of Financing:				
36	Dept Ins Operating Acct	\$1,596,599	\$1,476,119	\$2,231,763
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$1,596,599	\$1,476,119	\$2,231,763
Method of Financing:				
666	Appropriated Receipts	\$155,847	\$285,680	\$877,388
SUBTOTAL, MOF (OTHER FUNDS)		\$155,847	\$285,680	\$877,388

III.A. STRATEGY LEVEL DETAIL
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/30/2009
 TIME: 10:50:29AM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 6 Ensure the Appropriate Delivery of Workers' Compensation Benefits
 OBJECTIVE: 1 Ensure the Appropriate Payment of Health Care
 STRATEGY: 1 Ensure Appropriate Utilization of Medical Services

Statewide Goal/Benchmark: 7 6

Service Categories:

Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2008	EXP 2009	BUD 2010
TOTAL, METHOD OF FINANCE :		\$1,752,446	\$1,761,799	\$3,109,151
FULL TIME EQUIVALENT POSITIONS:		19.8	18.4	29.9

III.A. STRATEGY LEVEL DETAIL
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/30/2009
 TIME: 10:50:29AM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 6 Ensure the Appropriate Delivery of Workers' Compensation Benefits Statewide Goal/Benchmark: 7 6
 OBJECTIVE: 2 Promote Compliance & Address Noncompliance w/ Workers' Comp Rules/Laws Service Categories:
 STRATEGY: 1 Monitor Stakeholder Activity and Take Enforcement Action Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2008	EXP 2009	BUD 2010
Output Measures:				
KEY 1	# of Complaints Completed Involving Workers' Comp System Participants	3,027.00	3,595.00	2,756.00
2	Number of Performance Reviews Completed	42.00	77.00	72.00
3	# of Workers' Compensation Enforcement Cases Concluded with Action	0.00	66.00	276.00
Efficiency Measures:				
KEY 1	Avg Days to Complete a Complaint Involving Workers' Comp Participants	121.00	130.00	120.00
2	Average Number of Days to Complete a Performance Review	124.17	122.00	180.00
Explanatory/Input Measures:				
1	Total Number of Administrative Remedies Issued for Violations	1,002.00	1,329.00	657.00
2	% of First Income Benefit Payment Mode Timely	82.41	81.00	93.00
Objects of Expense:				
1001	SALARIES AND WAGES	\$2,558,363	\$2,382,136	\$2,481,652
1002	OTHER PERSONNEL COSTS	\$150,481	\$137,888	\$89,080
2001	PROFESSIONAL FEES AND SERVICES	\$0	\$9,079	\$4,875
2003	CONSUMABLE SUPPLIES	\$5,817	\$5,690	\$25,342
2004	UTILITIES	\$12,038	\$11,417	\$11,398
2005	TRAVEL	\$3,571	\$4,591	\$22,535
2006	RENT - BUILDING	\$179,494	\$183,614	\$203,967
2007	RENT - MACHINE AND OTHER	\$0	\$0	\$54,372
2009	OTHER OPERATING EXPENSE	\$61,681	\$67,853	\$70,723
5000	CAPITAL EXPENDITURES	\$1,847	\$4,071	\$5,993
TOTAL, OBJECT OF EXPENSE		\$2,973,292	\$2,806,339	\$2,969,937
Method of Financing:				
36	Dept Ins Operating Acct	\$2,969,517	\$2,715,153	\$2,865,937

III.A. STRATEGY LEVEL DETAIL
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/30/2009
 TIME: 10:50:29AM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 6 Ensure the Appropriate Delivery of Workers' Compensation Benefits
 OBJECTIVE: 2 Promote Compliance & Address Noncompliance w/ Workers' Comp Rules/Laws
 STRATEGY: 1 Monitor Stakeholder Activity and Take Enforcement Action

Statewide Goal/Benchmark: 7 6
 Service Categories:
 Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2008	EXP 2009	BUD 2010
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$2,969,517	\$2,715,153	\$2,865,937
Method of Financing:				
	666 Appropriated Receipts	\$3,775	\$91,186	\$104,000
SUBTOTAL, MOF (OTHER FUNDS)		\$3,775	\$91,186	\$104,000
TOTAL, METHOD OF FINANCE :		\$2,973,292	\$2,806,339	\$2,969,937
FULL TIME EQUIVALENT POSITIONS:		58.9	51.6	53.4

III.A. STRATEGY LEVEL DETAIL
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/30/2009
 TIME: 10:50:29AM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 6 Ensure the Appropriate Delivery of Workers' Compensation Benefits
 OBJECTIVE: 3 Inform System Participants and Provide Service through Technology
 STRATEGY: 1 Develop and Implement Processes

Statewide Goal/Benchmark: 7 6

Service Categories:

Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2008	EXP 2009	BUD 2010
Output Measures:				
1	Number of Documents Received Electronically by the DWC	714,299.00	682,593.00	1,239,239.00
2	Number of Reportable Injury Records Created	107,887.00	97,799.00	117,500.00
3	Number of Injury Records in Which Indemnity Benefits are Initiated	70,555.00	65,798.00	65,800.00
4	# Workers' Comp Educational Publications Provided in Electronic Format	3,545,049.00	3,012,561.00	3,500,000.00
Efficiency Measures:				
1	Average Number of Days to Create Reportable Injury Records	1.37	0.32	2.00
Objects of Expense:				
1001	SALARIES AND WAGES	\$4,101,497	\$4,170,150	\$4,327,595
1002	OTHER PERSONNEL COSTS	\$350,753	\$251,172	\$184,040
2001	PROFESSIONAL FEES AND SERVICES	\$89,459	\$266,460	\$0
2002	FUELS AND LUBRICANTS	\$4	\$0	\$0
2003	CONSUMABLE SUPPLIES	\$70,241	\$86,577	\$75,207
2004	UTILITIES	\$43,773	\$47,899	\$38,087
2005	TRAVEL	\$37,776	\$34,389	\$28,860
2006	RENT - BUILDING	\$669,385	\$686,520	\$584,134
2007	RENT - MACHINE AND OTHER	\$2,711	\$9,894	\$75,338
2009	OTHER OPERATING EXPENSE	\$296,666	\$260,272	\$307,922
5000	CAPITAL EXPENDITURES	\$469,672	\$9,268	\$10,128
TOTAL, OBJECT OF EXPENSE		\$6,131,937	\$5,822,601	\$5,631,311
Method of Financing:				
36	Dept Ins Operating Acct	\$5,920,286	\$5,611,945	\$5,422,311
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$5,920,286	\$5,611,945	\$5,422,311

Method of Financing:

III.A. STRATEGY LEVEL DETAIL
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/30/2009
 TIME: 10:50:29AM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 6 Ensure the Appropriate Delivery of Workers' Compensation Benefits
 OBJECTIVE: 3 Inform System Participants and Provide Service through Technology
 STRATEGY: 1 Develop and Implement Processes

Statewide Goal/Benchmark: 7 6

Service Categories:

Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2008	EXP 2009	BUD 2010
666	Appropriated Receipts	\$211,651	\$210,656	\$209,000
SUBTOTAL, MOF (OTHER FUNDS)		\$211,651	\$210,656	\$209,000
TOTAL, METHOD OF FINANCE :		\$6,131,937	\$5,822,601	\$5,631,311
FULL TIME EQUIVALENT POSITIONS:		141.3	136.4	144.0

III.A. STRATEGY LEVEL DETAIL
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/30/2009
 TIME: 10:50:29AM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 6 Ensure the Appropriate Delivery of Workers' Compensation Benefits
 OBJECTIVE: 4 Certify & Regulate Private Employers that Qualify to Self-insure
 STRATEGY: 1 Certify and Regulate Private Employers that Qualify to Self-Insure

Statewide Goal/Benchmark: 7 6
 Service Categories:
 Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2008	EXP 2009	BUD 2010
Output Measures:				
1	Number of Active Self-Insured Employers	211.00	222.00	218.00
Efficiency Measures:				
1	Average Cost per Certified Self-Insured Employer	18,312.00	19,062.00	17,041.00
Objects of Expense:				
1001	SALARIES AND WAGES	\$509,497	\$512,465	\$551,362
1002	OTHER PERSONNEL COSTS	\$22,200	\$27,020	\$17,500
2001	PROFESSIONAL FEES AND SERVICES	\$0	\$1,347	\$0
2003	CONSUMABLE SUPPLIES	\$1,073	\$1,449	\$6,848
2004	UTILITIES	\$2,771	\$2,543	\$2,585
2005	TRAVEL	\$11,178	\$10,087	\$10,785
2006	RENT - BUILDING	\$41,422	\$42,372	\$40,414
2007	RENT - MACHINE AND OTHER	\$0	\$0	\$10,854
2009	OTHER OPERATING EXPENSE	\$48,173	\$42,286	\$44,671
5000	CAPITAL EXPENDITURES	\$470	\$1,036	\$1,265
TOTAL, OBJECT OF EXPENSE		\$636,784	\$640,605	\$686,284
Method of Financing:				
36	Dept Ins Operating Acct	\$636,784	\$640,605	\$686,284
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$636,784	\$640,605	\$686,284
TOTAL, METHOD OF FINANCE :		\$636,784	\$640,605	\$686,284
FULL TIME EQUIVALENT POSITIONS:		11.0	10.6	11.0

III.A. STRATEGY LEVEL DETAIL
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/30/2009
 TIME: 10:50:29AM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 6 Ensure the Appropriate Delivery of Workers' Compensation Benefits
 OBJECTIVE: 5 Minimize and Resolve Disputes
 STRATEGY: 1 Minimize and Resolve Indemnity and Medical Disputes

Statewide Goal/Benchmark: 7 6

Service Categories:

Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2008	EXP 2009	BUD 2010
Output Measures:				
1	Number of Indemnity Disputes Concluded in Benefit Review Conference	9,838.00	9,254.00	8,528.00
2	Number of Indemnity Disputes Concluded in Contested Case Hearings	4,603.00	4,511.00	4,118.00
3	Number of Medical Fee Disputes Resolved Prior to a Decision	4,316.00	4,857.00	1,600.00
4	Number of Medical Fee Dispute Decisions Issued	5,478.00	1,762.00	7,584.00
Efficiency Measures:				
KEY 1	Average Number of Days from Request for BRC to Conclusion of BRC	67.62	66.00	67.00
KEY 2	Average # Days from Request for Contested Hearing to Conclusion	84.61	88.00	84.00
3	Average Days from Receipt of Med Fee Dispute to Date Decision Issued	414.47	381.00	300.00
4	Average Days to Resolve Indemnity Disputes through Dispute Resolution	111.74	112.00	115.00
Explanatory/Input Measures:				
1	Number of Indemnity Disputes Received by Division	26,457.00	25,406.00	22,500.00
2	Number of Medical Fee Disputes Received by the Division	6,106.00	12,272.00	5,800.00
Objects of Expense:				
1001	SALARIES AND WAGES	\$11,141,987	\$11,614,847	\$12,031,963
1002	OTHER PERSONNEL COSTS	\$544,319	\$582,434	\$409,880
2001	PROFESSIONAL FEES AND SERVICES	\$74,151	\$186,893	\$100,000
2002	FUELS AND LUBRICANTS	\$12	\$0	\$0
2003	CONSUMABLE SUPPLIES	\$103,299	\$141,507	\$101,036
2004	UTILITIES	\$72,894	\$90,112	\$66,240
2005	TRAVEL	\$270,620	\$250,269	\$240,894
2006	RENT - BUILDING	\$1,055,834	\$1,080,353	\$1,051,313
2007	RENT - MACHINE AND OTHER	\$7,764	\$24,451	\$61,942
2009	OTHER OPERATING EXPENSE	\$542,332	\$482,577	\$464,468
5000	CAPITAL EXPENDITURES	\$637,025	\$22,334	\$30,603

III.A. STRATEGY LEVEL DETAIL
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/30/2009
 TIME: 10:50:29AM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 6 Ensure the Appropriate Delivery of Workers' Compensation Benefits
 OBJECTIVE: 5 Minimize and Resolve Disputes
 STRATEGY: 1 Minimize and Resolve Indemnity and Medical Disputes

Statewide Goal/Benchmark: 7 6
 Service Categories:
 Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2008	EXP 2009	BUD 2010
TOTAL, OBJECT OF EXPENSE		\$14,450,237	\$14,475,777	\$14,558,339
Method of Financing:				
	36 Dept Ins Operating Acct	\$14,276,102	\$14,393,939	\$14,486,339
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$14,276,102	\$14,393,939	\$14,486,339
Method of Financing:				
	666 Appropriated Receipts	\$174,135	\$81,838	\$72,000
SUBTOTAL, MOF (OTHER FUNDS)		\$174,135	\$81,838	\$72,000
TOTAL, METHOD OF FINANCE :		\$14,450,237	\$14,475,777	\$14,558,339
FULL TIME EQUIVALENT POSITIONS:		272.8	277.9	287.3

III.A. STRATEGY LEVEL DETAIL
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/30/2009
 TIME: 10:50:29AM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 6 Ensure the Appropriate Delivery of Workers' Compensation Benefits
 OBJECTIVE: 6 Manage the Subsequent Injury Fund
 STRATEGY: 1 Subsequent Injury Fund Administration

Statewide Goal/Benchmark: 7 6

Service Categories:

Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2008	EXP 2009	BUD 2010
Output Measures:				
KEY 1	Number of Injured Workers Receiving LIB Payments through the SIF	35.00	37.00	39.00
Efficiency Measures:				
1	Avg Days from SIF Receipt of Reimbursement Request to Payment	61.32	82.00	62.00
Explanatory/Input Measures:				
1	# of Requests for Reimbursement for Overpayment of Benefits Processed	86.00	74.00	110.00
2	# Requests Filed for Reimbursement of Multiple Employ. Benefits Paid	101.00	97.00	303.00
Objects of Expense:				
1001	SALARIES AND WAGES	\$57,918	\$64,425	\$52,665
1002	OTHER PERSONNEL COSTS	\$2,960	\$2,350	\$1,200
2001	PROFESSIONAL FEES AND SERVICES	\$0	\$866	\$17,500
2003	CONSUMABLE SUPPLIES	\$256	\$308	\$562
2004	UTILITIES	\$369	\$339	\$345
2005	TRAVEL	\$84	\$131	\$510
2006	RENT - BUILDING	\$5,523	\$5,649	\$6,040
2007	RENT - MACHINE AND OTHER	\$0	\$0	\$1,709
2009	OTHER OPERATING EXPENSE	\$4,738,730	\$3,614,961	\$4,422,212
5000	CAPITAL EXPENDITURES	\$52	\$114	\$154
TOTAL, OBJECT OF EXPENSE		\$4,805,892	\$3,689,143	\$4,502,897
Method of Financing:				
36	Dept Ins Operating Acct	\$69,835	\$76,656	\$82,757
5101	Subsequent Injury Fund	\$4,736,057	\$3,612,487	\$4,420,140
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$4,805,892	\$3,689,143	\$4,502,897

III.A. STRATEGY LEVEL DETAIL
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/30/2009
 TIME: 10:50:29AM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 6 Ensure the Appropriate Delivery of Workers' Compensation Benefits
 OBJECTIVE: 6 Manage the Subsequent Injury Fund
 STRATEGY: 1 Subsequent Injury Fund Administration

Statewide Goal/Benchmark: 7 6

Service Categories:

Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2008	EXP 2009	BUD 2010
TOTAL, METHOD OF FINANCE :		\$4,805,892	\$3,689,143	\$4,502,897
FULL TIME EQUIVALENT POSITIONS:		1.6	1.6	1.3

III.A. STRATEGY LEVEL DETAIL
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/30/2009
 TIME: 10:50:29AM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 7 Indirect Administration
 OBJECTIVE: 1 Indirect Administration
 STRATEGY: 1 Central Administration

Statewide Goal/Benchmark: 7 0

Service Categories:

Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2008	EXP 2009	BUD 2010
Objects of Expense:				
1001	SALARIES AND WAGES	\$6,596,315	\$7,857,681	\$8,483,483
1002	OTHER PERSONNEL COSTS	\$248,898	\$384,837	\$220,980
2001	PROFESSIONAL FEES AND SERVICES	\$182,477	\$180,955	\$210,717
2003	CONSUMABLE SUPPLIES	\$30,714	\$38,268	\$49,342
2004	UTILITIES	\$15,632	\$21,756	\$16,607
2005	TRAVEL	\$45,832	\$37,510	\$95,762
2006	RENT - BUILDING	\$175,281	\$187,860	\$502,069
2007	RENT - MACHINE AND OTHER	\$16,345	\$21,456	\$91,712
2009	OTHER OPERATING EXPENSE	\$365,591	\$418,925	\$496,290
3001	CLIENT SERVICES	\$0	\$2,368	\$0
TOTAL, OBJECT OF EXPENSE		\$7,677,085	\$9,151,616	\$10,166,962
Method of Financing:				
1	General Revenue Fund	\$0	\$0	\$224,406
8042	Insurance Maint Tax Fees	\$3,052,162	\$3,212,147	\$3,257,648
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$3,052,162	\$3,212,147	\$3,482,054
Method of Financing:				
36	Dept Ins Operating Acct	\$4,624,923	\$5,939,469	\$6,683,243
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$4,624,923	\$5,939,469	\$6,683,243
Method of Financing:				
666	Appropriated Receipts	\$0	\$0	\$1,665
SUBTOTAL, MOF (OTHER FUNDS)		\$0	\$0	\$1,665

III.A. STRATEGY LEVEL DETAIL
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/30/2009
 TIME: 10:50:29AM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 7 Indirect Administration

Statewide Goal/Benchmark: 7 0

OBJECTIVE: 1 Indirect Administration

Service Categories:

STRATEGY: 1 Central Administration

Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2008	EXP 2009	BUD 2010
TOTAL, METHOD OF FINANCE :		\$7,677,085	\$9,151,616	\$10,166,962
FULL TIME EQUIVALENT POSITIONS:		117.7	133.2	142.0

III.A. STRATEGY LEVEL DETAIL
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/30/2009
 TIME: 10:50:29AM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 7 Indirect Administration
 OBJECTIVE: 1 Indirect Administration
 STRATEGY: 2 Information Resources

Statewide Goal/Benchmark: 7 0

Service Categories:

Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2008	EXP 2009	BUD 2010
Objects of Expense:				
1001	SALARIES AND WAGES	\$4,287,574	\$4,693,081	\$4,832,269
1002	OTHER PERSONNEL COSTS	\$181,057	\$199,871	\$148,740
2001	PROFESSIONAL FEES AND SERVICES	\$2,792,824	\$3,415,147	\$4,503,286
2003	CONSUMABLE SUPPLIES	\$23,544	\$24,440	\$39,317
2004	UTILITIES	\$504,723	\$617,140	\$497,940
2005	TRAVEL	\$22,595	\$24,931	\$24,010
2006	RENT - BUILDING	\$212,632	\$217,512	\$314,646
2007	RENT - MACHINE AND OTHER	\$1,999	\$2,777	\$48,271
2009	OTHER OPERATING EXPENSE	\$1,215,171	\$1,232,035	\$1,040,754
5000	CAPITAL EXPENDITURES	\$0	\$29,550	\$178,211
TOTAL, OBJECT OF EXPENSE		\$9,242,119	\$10,456,484	\$11,627,444
Method of Financing:				
8042	Insurance Maint Tax Fees	\$2,669,482	\$3,154,869	\$4,154,098
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$2,669,482	\$3,154,869	\$4,154,098
Method of Financing:				
36	Dept Ins Operating Acct	\$6,572,637	\$7,301,615	\$7,473,346
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$6,572,637	\$7,301,615	\$7,473,346
TOTAL, METHOD OF FINANCE :		\$9,242,119	\$10,456,484	\$11,627,444
FULL TIME EQUIVALENT POSITIONS:		80.4	84.7	86.0

III.A. STRATEGY LEVEL DETAIL
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/30/2009
 TIME: 10:50:29AM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 7 Indirect Administration
 OBJECTIVE: 1 Indirect Administration
 STRATEGY: 3 Other Support Services

Statewide Goal/Benchmark: 7 0

Service Categories:

Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2008	EXP 2009	BUD 2010
Objects of Expense:				
1001	SALARIES AND WAGES	\$1,451,334	\$1,582,273	\$1,577,401
1002	OTHER PERSONNEL COSTS	\$88,323	\$83,097	\$70,200
2001	PROFESSIONAL FEES AND SERVICES	\$26,301	\$3,699	\$1,500
2002	FUELS AND LUBRICANTS	\$17,194	\$15,606	\$15,960
2003	CONSUMABLE SUPPLIES	\$41,306	\$48,753	\$47,392
2004	UTILITIES	\$30,950	\$43,645	\$33,876
2005	TRAVEL	\$20,255	\$14,126	\$12,508
2006	RENT - BUILDING	\$125,082	\$128,193	\$231,972
2007	RENT - MACHINE AND OTHER	\$208,534	\$433,702	\$47,113
2009	OTHER OPERATING EXPENSE	\$1,214,528	\$1,248,351	\$1,341,835
TOTAL, OBJECT OF EXPENSE		\$3,223,807	\$3,601,445	\$3,379,757
Method of Financing:				
8042	Insurance Maint Tax Fees	\$1,167,044	\$1,219,538	\$1,171,062
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$1,167,044	\$1,219,538	\$1,171,062
Method of Financing:				
36	Dept Ins Operating Acct	\$2,056,763	\$2,381,907	\$2,208,695
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$2,056,763	\$2,381,907	\$2,208,695
TOTAL, METHOD OF FINANCE :		\$3,223,807	\$3,601,445	\$3,379,757
FULL TIME EQUIVALENT POSITIONS:		40.9	43.0	43.0

III.A. STRATEGY LEVEL DETAIL
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/30/2009
 TIME: 10:50:29AM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 8 Long Term Care

Statewide Goal/Benchmark: 7 0

OBJECTIVE: 1 Long Term Care

Service Categories:

STRATEGY: 1 Long Term Care Insurance Partnerships

Service: NA Income: NA Age: NA

CODE	DESCRIPTION	EXP 2008	EXP 2009	BUD 2010
Objects of Expense:				
1001	SALARIES AND WAGES	\$35,289	\$125,919	\$135,059
1002	OTHER PERSONNEL COSTS	\$320	\$2,841	\$1,180
2003	CONSUMABLE SUPPLIES	\$720	\$775	\$421
2007	RENT - MACHINE AND OTHER	\$0	\$0	\$698
2009	OTHER OPERATING EXPENSE	\$1,320	\$746	\$85
TOTAL, OBJECT OF EXPENSE		\$37,649	\$130,281	\$137,443
Method of Financing:				
36	Dept Ins Operating Acct	\$37,649	\$130,281	\$137,443
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$37,649	\$130,281	\$137,443
TOTAL, METHOD OF FINANCE :		\$37,649	\$130,281	\$137,443
FULL TIME EQUIVALENT POSITIONS:		0.9	2.8	3.0

III.A. STRATEGY LEVEL DETAIL
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/30/2009
 TIME: 10:50:29AM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 9 Three-Share Premium Assistance Programs
 OBJECTIVE: 1 Three-Share Premium Assistance Programs
 STRATEGY: 1 Three-Share Premium Assistance Programs

Statewide Goal/Benchmark: 7 0

Service Categories:

Service: NA Income: NA Age: NA

CODE	DESCRIPTION	EXP 2008	EXP 2009	BUD 2010
Objects of Expense:				
1001	SALARIES AND WAGES	\$32,021	\$45,532	\$51,623
1002	OTHER PERSONNEL COSTS	\$0	\$0	\$180
2003	CONSUMABLE SUPPLIES	\$240	\$258	\$200
2005	TRAVEL	\$0	\$270	\$0
2007	RENT - MACHINE AND OTHER	\$0	\$0	\$332
2009	OTHER OPERATING EXPENSE	\$353	\$250	\$3,041
4000	GRANTS	\$265,000	\$395,000	\$1,895,000
TOTAL, OBJECT OF EXPENSE		\$297,614	\$441,310	\$1,950,376
Method of Financing:				
8042	Insurance Maint Tax Fees	\$297,614	\$441,310	\$450,376
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$297,614	\$441,310	\$450,376
Method of Financing:				
666	Appropriated Receipts	\$0	\$0	\$1,500,000
SUBTOTAL, MOF (OTHER FUNDS)		\$0	\$0	\$1,500,000
TOTAL, METHOD OF FINANCE :		\$297,614	\$441,310	\$1,950,376
FULL TIME EQUIVALENT POSITIONS:		0.8	1.0	1.0

III.A. STRATEGY LEVEL DETAIL
81st Regular Session, Fiscal Year 2010 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/30/2009
TIME: 10:50:29AM

SUMMARY TOTALS:

OBJECTS OF EXPENSE:	\$94,382,782	\$99,421,723	\$123,840,869
METHODS OF FINANCE :	\$94,382,782	\$99,421,723	\$123,840,869
FULL TIME EQUIVALENT POSITIONS:	1,492.1	1,527.8	1,578.8

SUPPORTING SCHEDULES

This Page Intentionally Left Blank

CAPITAL BUDGET PROJECT SCHEDULE

This Page Intentionally Left Blank

IV.A. CAPITAL BUDGET PROJECT SCHEDULE
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/30/2009
 TIME : 8:43:19AM

Agency code: 454

Agency name: Department of Insurance

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE

EXP 2008

EXP 2009

BUD 2010

5005 Acquisition of Information Resource Technologies

1/1 Data Center Consolidation

OBJECTS OF EXPENSE

Capital

2001 PROFESSIONAL FEES AND SERVICES		\$2,770,088	\$3,412,287	\$4,497,296
Capital Subtotal OOE, Project	1	\$2,770,088	\$3,412,287	\$4,497,296
Subtotal OOE, Project	1	\$2,770,088	\$3,412,287	\$4,497,296

TYPE OF FINANCING

Capital

CA 36 Dept Ins Operating Acct		\$2,432,354	\$2,790,559	\$2,871,234
CA 8042 Insurance Maint Tax Fees		\$337,734	\$621,728	\$1,626,062
Capital Subtotal TOF, Project	1	\$2,770,088	\$3,412,287	\$4,497,296
Subtotal TOF, Project	1	\$2,770,088	\$3,412,287	\$4,497,296

*2/2 Purchase of Information Resource Technologies -
 Scheduled Replacement of Items*

OBJECTS OF EXPENSE

Capital

1001 SALARIES AND WAGES		\$0	\$0	\$0
1002 OTHER PERSONNEL COSTS		\$0	\$0	\$0
2001 PROFESSIONAL FEES AND SERVICES		\$26,099	\$59,060	\$0
2009 OTHER OPERATING EXPENSE		\$756,352	\$563,226	\$545,605
5000 CAPITAL EXPENDITURES		\$41,216	\$118,591	\$134,999
Capital Subtotal OOE, Project	2	\$823,667	\$740,877	\$680,604
Subtotal OOE, Project	2	\$823,667	\$740,877	\$680,604

TYPE OF FINANCING

Capital

IV.A. CAPITAL BUDGET PROJECT SCHEDULE
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/30/2009**
 TIME : **8:43:19AM**

Agency code: **454**

Agency name: **Department of Insurance**

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE		EXP 2008	EXP 2009	BUD 2010
CA 36	Dept Ins Operating Acct	\$587,799	\$501,629	\$434,522
CA 8042	Insurance Maint Tax Fees	\$235,868	\$239,248	\$246,082
Capital Subtotal TOF, Project 2		\$823,667	\$740,877	\$680,604
Subtotal TOF, Project 2		\$823,667	\$740,877	\$680,604

3/3 Replace DWC Obsolete Infrastructure Technology

OBJECTS OF EXPENSE

Capital

2009	OTHER OPERATING EXPENSE	\$290,887	\$230,535	\$60,000
5000	CAPITAL EXPENDITURES	\$0	\$29,550	\$178,211
Capital Subtotal OOE, Project 3		\$290,887	\$260,085	\$238,211
Subtotal OOE, Project 3		\$290,887	\$260,085	\$238,211

TYPE OF FINANCING

Capital

CA 36	Dept Ins Operating Acct	\$290,887	\$260,085	\$238,211
Capital Subtotal TOF, Project 3		\$290,887	\$260,085	\$238,211
Subtotal TOF, Project 3		\$290,887	\$260,085	\$238,211

Capital Subtotal, Category	5005	\$3,884,642	\$4,413,249	\$5,416,111
Informational Subtotal, Category	5005			
Total, Category	5005	\$3,884,642	\$4,413,249	\$5,416,111

5006 Transportation Items

4/4 Purchase of Transportation Items

OBJECTS OF EXPENSE

Capital

5000	CAPITAL EXPENDITURES	\$197,305	\$184,663	\$198,000
------	----------------------	-----------	-----------	-----------

IV.A. CAPITAL BUDGET PROJECT SCHEDULE
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/30/2009
 TIME : 8:43:19AM

Agency code: 454

Agency name: Department of Insurance

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE		EXP 2008	EXP 2009	BUD 2010
Capital Subtotal OOE, Project	4	\$197,305	\$184,663	\$198,000
Subtotal OOE, Project	4	\$197,305	\$184,663	\$198,000
TYPE OF FINANCING				
<u>Capital</u>				
CA 36 Dept Ins Operating Acct		\$66,354	\$62,102	\$66,587
CA 8042 Insurance Maint Tax Fees		\$130,951	\$122,561	\$131,413
Capital Subtotal TOF, Project	4	\$197,305	\$184,663	\$198,000
Subtotal TOF, Project	4	\$197,305	\$184,663	\$198,000
Capital Subtotal, Category	5006	\$197,305	\$184,663	\$198,000
Informational Subtotal,	5006			
Category				
Total, Category	5006	\$197,305	\$184,663	\$198,000
AGENCY TOTAL -CAPITAL		\$4,081,947	\$4,597,912	\$5,614,111
AGENCY TOTAL -INFORMATIONAL				
AGENCY TOTAL		\$4,081,947	\$4,597,912	\$5,614,111
METHOD OF FINANCING:				
<u>Capital</u>				
36 Dept Ins Operating Acct		\$3,377,394	\$3,614,375	\$3,610,554
8042 Insurance Maint Tax Fees		\$704,553	\$983,537	\$2,003,557
Total, Method of Financing-Capital		\$4,081,947	\$4,597,912	\$5,614,111
Total, Method of Financing		\$4,081,947	\$4,597,912	\$5,614,111

IV.A. CAPITAL BUDGET PROJECT SCHEDULE
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/30/2009**
 TIME : **8:43:19AM**

Agency code: **454**

Agency name: **Department of Insurance**

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE

EXP 2008

EXP 2009

BUD 2010

TYPE OF FINANCING:

Capital

CA CURRENT APPROPRIATIONS

\$4,081,947

\$4,597,912

\$5,614,111

Total, Type of Financing-Capital

\$4,081,947

\$4,597,912

\$5,614,111

Total, Type of Financing

\$4,081,947

\$4,597,912

\$5,614,111

CAPITAL BUDGET ALLOCATION TO STRATEGIES
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/30/2009**
 TIME: **8:39:40AM**

Agency code: **454** Agency name: **Department of Insurance**

Category Code/Name

Project Sequence/Project Id/Name

	Goal/Obj/Str	Strategy Name	EXP 2008	EXP 2009	BUD 2010
5005 Acquisition of Information Resource Technologies					
<i>1/1 Data Center Consolidation</i>					
Capital	7-1-2	INFORMATION RESOURCES	2,770,088	3,412,287	\$4,497,296
		TOTAL, PROJECT	<u>\$2,770,088</u>	<u>\$3,412,287</u>	<u>\$4,497,296</u>
<i>2/2 IR Planned Procurements</i>					
Capital	1-1-3	PROMOTE UNDERSERVED COVERAGE	1,706	1,730	1,826
Capital	1-1-1	CONSUMER ED. AND MKT. ANALYSES	18,338	18,601	23,626
Capital	1-1-2	RATES, FORMS AND LICENSES	102,172	103,638	103,399
Capital	1-2-3	INSURER FRAUD	9,986	10,130	13,107
Capital	1-2-1	RESOLVE COMPLAINTS	33,904	34,389	26,523
Capital	1-2-2	INVESTIGATION AND ENFORCEMENT	29,248	29,667	27,019
Capital	2-1-1	INSURERS FINANCIAL CONDITION	99,187	100,609	116,701
Capital	3-1-1	LOSS CONTROL PROGRAMS	15,850	16,077	22,903
Capital	3-1-2	PROVIDER AND CONSUMER FRAUD	13,007	13,194	11,354
Capital	3-1-3	WORKERS' COMPENSATION FRAUD	3,793	3,081	2,726
Capital	4-1-1	FIRE PROTECTION	31,985	32,443	34,680
Capital	6-1-1	MEDICAL COST CONTAINMENT	27,769	22,557	18,746
Capital	6-2-1	MONITORING AND ENFORCEMENT	41,958	34,084	30,216
Capital	6-3-1	DEVELOP AND IMPLEMENT PROCESSES	95,530	77,601	51,059
Capital	6-4-1	CERTIFY SELF-INSURANCE	10,677	8,674	6,378

CAPITAL BUDGET ALLOCATION TO STRATEGIES
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/30/2009**
 TIME: **8:39:40AM**

Agency code: **454** Agency name: **Department of Insurance**

Category Code/Name

Project Sequence/Project Id/Name

	Goal/Obj/Str	Strategy Name	EXP 2008	EXP 2009	BUD 2010
Capital	6-5-1	DISPUTE RESOLUTION	230,209	187,004	\$154,285
Capital	6-6-1	SUBSEQUENT INJURY FUND ADMIN	1,171	952	778
Capital	5-1-1	HEALTH AND SAFETY SERVICES	53,150	43,175	34,200
Capital	5-2-1	RETURN-TO-WORK EDUCATION	4,027	3,271	1,078
TOTAL, PROJECT			\$823,667	\$740,877	\$680,604
<hr/>					
<i>3/3</i>	<i>Replace DWC Obsolete Infrastructure</i>				
Capital	7-1-2	INFORMATION RESOURCES	290,887	260,085	238,211
TOTAL, PROJECT			\$290,887	\$260,085	\$238,211
<hr/>					
5006 Transportation Items					
<i>4/4</i>	<i>SFMO Vehicle Replacement</i>				
Capital	4-1-1	FIRE PROTECTION	197,305	184,663	198,000
TOTAL, PROJECT			\$197,305	\$184,663	\$198,000
<hr/>					
TOTAL CAPITAL, ALL PROJECTS			\$4,081,947	\$4,597,912	\$5,614,111
TOTAL INFORMATIONAL, ALL PROJECTS					
<hr/>					
TOTAL, ALL PROJECTS			\$4,081,947	\$4,597,912	\$5,614,111
<hr/>					

FEDERAL FUNDS SUPPORTING SCHEDULE

This Page Intentionally Left Blank

IV.B. FEDERAL FUNDS SUPPORTING SCHEDULE
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/19/2009
 TIME: 8:16:56AM

Agency code: 454 Agency name Department of Insurance

CFDA NUMBER/ STRATEGY	EXP 2008	EXP 2009	BUD 2010
17.005.001 OSHA BUREAU OF LABOR STATISTICS			
5 - 1 - 1 HEALTH AND SAFETY SERVICES	174,437	187,439	200,332
TOTAL, ALL STRATEGIES	\$174,437	\$187,439	\$200,332
ADDL FED FNDS FOR EMPL BENEFITS	0	0	0
TOTAL, FEDERAL FUNDS	\$174,437	\$187,439	\$200,332
ADDL GR FOR EMPL BENEFITS	\$0	\$0	\$0
17.504.001 OSHA Consultation Agreements			
5 - 1 - 1 HEALTH AND SAFETY SERVICES	1,544,805	1,736,287	1,983,618
TOTAL, ALL STRATEGIES	\$1,544,805	\$1,736,287	\$1,983,618
ADDL FED FNDS FOR EMPL BENEFITS	0	0	0
TOTAL, FEDERAL FUNDS	\$1,544,805	\$1,736,287	\$1,983,618
ADDL GR FOR EMPL BENEFITS	\$0	\$0	\$0
17.504.002 OSHA Consultation Agreements			
5 - 1 - 1 HEALTH AND SAFETY SERVICES	75,005	75,407	84,461
TOTAL, ALL STRATEGIES	\$75,005	\$75,407	\$84,461
ADDL FED FNDS FOR EMPL BENEFITS	0	0	0
TOTAL, FEDERAL FUNDS	\$75,005	\$75,407	\$84,461
ADDL GR FOR EMPL BENEFITS	\$0	\$0	\$0
93.256.000 Planning Hlth Care Access-Uninsured			
1 - 1 - 1 CONSUMER ED. AND MKT. ANALYSES	0	0	0

IV.B. FEDERAL FUNDS SUPPORTING SCHEDULE
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/19/2009**
 TIME: **8:16:56AM**

Agency code: **454** Agency name Department of Insurance

CFDA NUMBER/ STRATEGY	EXP 2008	EXP 2009	BUD 2010
TOTAL, ALL STRATEGIES	\$0	\$0	\$0
ADDL FED FNDS FOR EMPL BENEFITS	0	0	0
TOTAL, FEDERAL FUNDS	\$0	\$0	\$0
ADDL GR FOR EMPL BENEFITS	\$0	\$0	\$0
97.044.000 Assistance to Firefighters Grant			
4 - 1 - 1 FIRE PROTECTION	0	0	566,500
TOTAL, ALL STRATEGIES	\$0	\$0	\$566,500
ADDL FED FNDS FOR EMPL BENEFITS	0	0	0
TOTAL, FEDERAL FUNDS	\$0	\$0	\$566,500
ADDL GR FOR EMPL BENEFITS	\$0	\$0	\$0

IV.B. FEDERAL FUNDS SUPPORTING SCHEDULE
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/19/2009**
 TIME: **8:16:56AM**

Agency code: **454** Agency name Department of Insurance

CFDA NUMBER/ STRATEGY		EXP 2008	EXP 2009	BUD 2010
 <u>SUMMARY LISTING OF FEDERAL PROGRAM AMOUNTS</u>				
17.005.001	OSHA BUREAU OF LABOR STATISTICS	174,437	187,439	200,332
17.504.001	OSHA Consultation Agreements	1,544,805	1,736,287	1,983,618
17.504.002	OSHA Consultation Agreements	75,005	75,407	84,461
93.256.000	Planning Hlth Care Access-Uninsured	0	0	0
97.044.000	Assistance to Firefighters Grant	0	0	566,500
TOTAL, ALL STRATEGIES		\$1,794,247	\$1,999,133	\$2,834,911
TOTAL , ADDL FED FUNDS FOR EMPL BENEFITS		0	0	0
TOTAL, FEDERAL FUNDS		\$1,794,247	\$1,999,133	\$2,834,911
TOTAL, ADDL GR FOR EMPL BENEFITS		\$0	\$0	\$0

This Page Intentionally Left Blank

FEDERAL FUNDS TRACKING SCHEDULE

This Page Intentionally Left Blank

IV.C. FEDERAL FUNDS TRACKING SCHEDULE
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/19/2009
 TIME : 8:17:22AM

Agency code: 454

Agency name: Department of Insurance

Federal FY		Expended SFY 2007	Expended SFY 2008	Expended SFY 2009	Budgeted SFY 2010	Estimated SFY 2011	Estimated SFY 2012	Total	Difference from Award
CFDA 17.005.001 OSHA BUREAU OF LABOR STATISTICS									
2004	\$203,545	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$203,545
2005	\$194,140	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$194,140
2006	\$260,500	\$17,865	\$0	\$0	\$0	\$0	\$0	\$17,865	\$242,635
2007	\$266,300	\$206,389	\$16,380	\$0	\$0	\$0	\$0	\$222,769	\$43,531
2008	\$272,000	\$0	\$204,640	\$20,600	\$0	\$0	\$0	\$225,240	\$46,760
2009	\$283,100	\$0	\$0	\$218,750	\$19,011	\$0	\$0	\$237,761	\$45,339
2010	\$289,600	\$0	\$0	\$0	\$265,467	\$24,133	\$0	\$289,600	\$0
2011	\$289,600	\$0	\$0	\$0	\$0	\$265,467	\$24,133	\$289,600	\$0
Total	\$2,058,785	\$224,254	\$221,020	\$239,350	\$284,478	\$289,600	\$24,133	\$1,282,835	\$775,950

Empl. Benefit Payment		\$48,768	\$46,584	\$51,910	\$52,300	\$52,770	\$52,770	\$305,102	
------------------------------	--	----------	----------	----------	----------	----------	----------	-----------	--

CFDA 17.504.001 OSHA Consultation Agreements									
2004	\$1,911,711	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$1,911,711
2005	\$1,955,412	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$1,955,412
2006	\$2,574,000	\$168,217	\$0	\$0	\$0	\$0	\$0	\$168,217	\$2,405,783
2007	\$2,574,000	\$1,876,400	\$143,391	\$0	\$0	\$0	\$0	\$2,019,791	\$554,209
2008	\$2,529,000	\$0	\$1,758,028	\$174,220	\$0	\$0	\$0	\$1,932,248	\$596,752
2009	\$2,628,000	\$0	\$0	\$1,943,733	\$240,991	\$0	\$0	\$2,184,724	\$443,276

IV.C. FEDERAL FUNDS TRACKING SCHEDULE
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/19/2009
 TIME : 8:17:22AM

Agency code: 454 Agency name: **Department of Insurance**

Federal FY		Expended SFY 2007	Expended SFY 2008	Expended SFY 2009	Budgeted SFY 2010	Estimated SFY 2011	Estimated SFY 2012	Total	Difference from Award
2010	\$2,628,000	\$0	\$0	\$0	\$2,409,000	\$219,000	\$0	\$2,628,000	\$0
2011	\$2,628,000	\$0	\$0	\$0	\$0	\$2,409,000	\$219,000	\$2,628,000	\$0
Total	\$19,428,123	\$2,044,617	\$1,901,419	\$2,117,953	\$2,649,991	\$2,628,000	\$219,000	\$11,560,980	\$7,867,143

Empl. Benefit Payment		\$382,015	\$356,582	\$381,666	\$421,892	\$423,067	\$423,067	\$2,388,289	
------------------------------	--	-----------	-----------	-----------	-----------	-----------	-----------	-------------	--

CFDA 17.504.002 OSHA Consultation Agreements

2004	\$92,718	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$92,718
2005	\$83,532	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$83,532
2006	\$100,671	\$9,413	\$0	\$0	\$0	\$0	\$0	\$9,413	\$91,258
2007	\$100,671	\$82,027	\$10,968	\$0	\$0	\$0	\$0	\$92,995	\$7,676
2008	\$100,671	\$0	\$82,562	\$6,854	\$0	\$0	\$0	\$89,416	\$11,255
2009	\$113,620	\$0	\$0	\$90,063	\$7,895	\$0	\$0	\$97,958	\$15,662
2010	\$113,620	\$0	\$0	\$0	\$104,152	\$9,468	\$0	\$113,620	\$0
2011	\$113,620	\$0	\$0	\$0	\$0	\$104,152	\$9,468	\$113,620	\$0
Total	\$819,123	\$91,440	\$93,530	\$96,917	\$112,047	\$113,620	\$9,468	\$517,022	\$302,101

Empl. Benefit Payment		\$23,620	\$18,525	\$21,510	\$22,457	\$22,728	\$22,728	\$131,568	
------------------------------	--	----------	----------	----------	----------	----------	----------	-----------	--

CFDA 93.256.000 Planning Hlth Care Access-Uninsured

IV.C. FEDERAL FUNDS TRACKING SCHEDULE
 81st Regular Session, Fiscal Year 2010 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/19/2009
 TIME : 8:17:22AM

Agency code: 454

Agency name: Department of Insurance

Federal FY		Expended SFY 2007	Expended SFY 2008	Expended SFY 2009	Budgeted SFY 2010	Estimated SFY 2011	Estimated SFY 2012	Total	Difference from Award
2004	\$158,988	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$158,988
2005	\$344,643	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$344,643
2006	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2007	\$0	\$148,660	\$0	\$0	\$0	\$0	\$0	\$148,660	\$-148,660
2008	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2009	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2010	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2011	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Total	\$503,631	\$148,660	\$0	\$0	\$0	\$0	\$0	\$148,660	\$354,971

Empl. Benefit Payment		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
------------------------------	--	-----	-----	-----	-----	-----	-----	-----	-----

CFDA 97.044.000 Assistance to Firefighters Grant

2010	\$566,500	\$0	\$0	\$0	\$566,500	\$0	\$0	\$566,500	\$0
2011	\$566,500	\$0	\$0	\$0	\$0	\$566,500	\$0	\$566,500	\$0
Total	\$1,133,000	\$0	\$0	\$0	\$566,500	\$566,500	\$0	\$1,133,000	\$0

Empl. Benefit Payment		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
------------------------------	--	-----	-----	-----	-----	-----	-----	-----	-----

This Page Intentionally Left Blank

ESTIMATED REVENUE COLLETIONS SUPPORTING SCHEDULE

This Page Intentionally Left Blank

IV.D. ESTIMATED REVENUE COLLECTIONS SUPPORTING SCHEDULE

DATE: 11/19/2009

81st Regular Session, Fiscal Year 2010 Operating Budget

TIME: 8:16:04AM

Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: 454

Agency name: Department of Insurance

FUND/ACCOUNT	Exp 2008	Exp 2009	Bud 2010
1 General Revenue Fund			
Beginning Balance (Unencumbered):	\$0	\$0	\$0
Estimated Revenue:			
3103 Limited Sales & Use Tax-State	520	586	700
3105 Discounts for Sales Tax-State	3	4	3
3175 Professional Fees	137,867	127,653	129,375
3206 Insurance Companies Fees	103,664	78,654	90,800
3210 Insurance Agents Licenses	206,150	190,231	212,120
3215 Insurance Dept Fees - Misc	150	4,428	100
3221 Unauthorized Insurance Penalty	4,300	0	0
3222 Ins Penalty Lieu of Suspension	7,551,560	4,147,894	5,350,000
3557 Health Care Facilities Fees	57,402	29,904	46,000
3714 Judgments	14,616	5,443	5,000
3727 Fees - Administrative Services	213,486	205,714	222,000
3775 Returned Check Fees	2,890	1,950	3,000
3795 Other Misc Government Revenue	1,398	119,857	1,000
3839 Sale of Motor Vehicle/Boat/Aircraft	35,058	10,665	22,000
3852 Interest on Local Deposits-St Agy	0	177	75
Subtotal: Estimated Revenue	<u>8,329,064</u>	<u>4,923,160</u>	<u>6,082,173</u>
Total Available	<u>\$8,329,064</u>	<u>\$4,923,160</u>	<u>\$6,082,173</u>
DEDUCTIONS:			
Expended/Budgeted/Requested	(6,054)	(3,990)	(6,520)
Other -Balances swept by Agency 902	(8,323,010)	(4,919,170)	(6,075,653)
Total, Deductions	<u>\$(8,329,064)</u>	<u>\$(4,923,160)</u>	<u>\$(6,082,173)</u>
Ending Fund/Account Balance	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>

REVENUE ASSUMPTIONS:

Assumes fee rates remain the same

IV.D. ESTIMATED REVENUE COLLECTIONS SUPPORTING SCHEDULE

DATE: 11/19/2009

81st Regular Session, Fiscal Year 2010 Operating Budget

TIME: 8:16:04AM

Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **454**

Agency name: **Department of Insurance**

FUND/ACCOUNT

Exp 2008

Exp 2009

Bud 2010

CONTACT PERSON:

Joe Meyer

IV.D. ESTIMATED REVENUE COLLECTIONS SUPPORTING SCHEDULE

DATE: 11/19/2009

81st Regular Session, Fiscal Year 2010 Operating Budget

TIME: 8:16:04AM

Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: 454

Agency name: Department of Insurance

FUND/ACCOUNT	Exp 2008	Exp 2009	Bud 2010
36 Dept Ins Operating Acct			
Beginning Balance (Unencumbered):	\$59,176,847	\$59,793,942	\$62,590,231
Estimated Revenue:			
3149 Amusement Ride Inspection	73,123	108,580	84,000
3175 Professional Fees	2,328,066	2,272,220	2,329,200
3206 Insurance Companies Fees	269,100	321,001	309,600
3210 Insurance Agents Licenses	14,638,438	15,681,821	16,282,998
3211 Tx Work Comp Self - Ins App Fees	3,000	0	1,000
3212 Tx Work Comp Self - Ins Reg Fees	1,059,799	441,287	1,301,770
3213 Catastrophe Prop Ins Pool Fees	10,596	6,335	83,000
3214 Insur Maint Tax/Fee Coll-Comptrollr	43,790,866	51,490,558	55,995,675
3215 Insurance Dept Fees - Misc	1,265,248	1,151,959	1,196,631
3216 Insurance Dept Exam/Audit Fees	13,273,840	12,530,784	13,689,654
3219 Workers Comp Comm-Ins Co Maint Tax	1,310,361	679,673	1,928,783
3220 W/C Res & Oversight CNCL/Maint Tax	0	3,175	11,000
3727 Fees - Administrative Services	0	354,750	25,000
3733 Workers Compensation Penalties	1,084,728	474,590	500,000
3777 Default Fund - Warrant Voided	7,251	16,892	6,000
3795 Other Misc Government Revenue	599	1,451	0
3799 Local Acct Balances into Treas	1,000,000	0	0
3973 Other-Within Fund/Account, Btw Agys	0	229,000	0
Subtotal: Estimated Revenue	80,115,015	85,764,076	93,744,311
Total Available	\$139,291,862	\$145,558,018	\$156,334,542

DEDUCTIONS:

Expended/Budgeted/Requested	(52,872,366)	(54,207,177)	(59,495,353)
HB4341 Art IX Sec 17.75 Discount Health	0	0	(97,153)
Art. IX Sec 9.05, Tx Online Occ Licenses (2008-09 GAA)	(39,921)	(7,945)	0
Art. IX, Sec. 19.94, ContAppn HB 2935 (2008-09 GAA) CIG	(41,963)	(44,206)	0
Art. IX, Section 19.62(a), Salary Increase (2008-09 GAA)	(816,120)	(1,717,985)	0
81st Leg. R.S., HB4586, sec 89 Ret bonus	0	(753,913)	0
Transfer-Employee Benefits (OASI, ERS, Insurance)	(11,350,629)	(11,814,575)	(12,555,719)
Benefit Replacement Pay	(410,104)	(376,239)	(357,250)

IV.D. ESTIMATED REVENUE COLLECTIONS SUPPORTING SCHEDULE

DATE: 11/19/2009

81st Regular Session, Fiscal Year 2010 Operating Budget

TIME: 8:16:04AM

Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **454**

Agency name: **Department of Insurance**

FUND/ACCOUNT	Exp 2008	Exp 2009	Bud 2010
Art IX , 13.10/15.04 - Statewide Allocated Costs	(1,217,488)	(1,320,687)	(1,320,651)
Workers Compensation Reimb. (GAA)	(11,410)	(21,858)	(50,568)
Art. I - 15 & I-36 Texas Facilities Commision GAA)	(1,030,083)	(1,030,083)	(1,030,083)
Art. VIII-25 & 23- Office of Injured Employee Counsel	(8,699,961)	(8,697,996)	(9,742,242)
Art VIII-98 & 88 Lease Payments (GAA)	(2,951,493)	(2,900,359)	(1,031,819)
Transfer to Comptroller (TIC 201.052)	(56,382)	(74,764)	(79,177)
Total, Deductions	\$(79,497,920)	\$(82,967,787)	\$(85,760,015)
Ending Fund/Account Balance	\$59,793,942	\$62,590,231	\$70,574,527

REVENUE ASSUMPTIONS:

Revenue estimates for all funds are based assumes there will not be changes to the current fee rate structure. Estimates for 3210 Agents License fees assume a slight increase in activity. The Commissioner of Insurance annually sets assessment rates for object codes 3214 and 3216 (examination overhead assessment). The assessment rates set take into consideration appropriations, other revenue sources and fund balance.

CONTACT PERSON:

Joe Meyer _____

IV.D. ESTIMATED REVENUE COLLECTIONS SUPPORTING SCHEDULE

DATE: 11/19/2009

81st Regular Session, Fiscal Year 2010 Operating Budget

TIME: 8:16:04AM

Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **454**

Agency name: **Department of Insurance**

FUND/ACCOUNT	Exp 2008	Exp 2009	Bud 2010
666 Appropriated Receipts			
Beginning Balance (Unencumbered):	\$1,317,030	\$1,271,676	\$1,358,077
Estimated Revenue:			
3206 Insurance Companies Fees	60,801	382,528	125,000
3222 Ins Penalty Lieu of Suspension	0	0	1,500,000
3719 Fees/Copies or Filing of Records	292,476	236,661	290,224
3722 Conf, Semin, & Train Regis Fees	163,924	109,162	92,277
3733 Workers Compensation Penalties	100,000	100,000	0
3752 Sale of Publications/Advertising	11,449	11,789	9,768
3773 Insurance and Damages	1,400	2,200	0
3802 Reimbursements-Third Party	2,160,132	2,483,971	2,463,046
Subtotal: Estimated Revenue	<u>2,790,182</u>	<u>3,326,311</u>	<u>4,480,315</u>
Total Available	<u>\$4,107,212</u>	<u>\$4,597,987</u>	<u>\$5,838,392</u>
DEDUCTIONS:			
Expended/Budgeted/Requested	(615,804)	(622,923)	(689,117)
Art. IX, Section 8.03, Reimbursement	(12,303)	(14,553)	0
Art. IX, Section 8.03, Reimbursement; Article VIII, p-31(32), Rider 5	(1,993,817)	(2,291,271)	(2,291,000)
Art. IX, Sec 8.08 Seminars/Conferences	(57,765)	(25,483)	(3,950)
Art. IX, Section 17.08 Three Share - Penalty	0	0	(1,500,000)
LABOR Code Sec. 402.062, GAA 2006-07 Art IX Sec 8.01 (TMIC Grant)	(155,847)	(285,680)	(877,388)
Total, Deductions	<u>\$(2,835,536)</u>	<u>\$(3,239,910)</u>	<u>\$(5,361,455)</u>
Ending Fund/Account Balance	<u>\$1,271,676</u>	<u>\$1,358,077</u>	<u>\$476,937</u>

REVENUE ASSUMPTIONS:

CONTACT PERSON:

Joe Meyer

IV.D. ESTIMATED REVENUE COLLECTIONS SUPPORTING SCHEDULE

DATE: 11/19/2009

81st Regular Session, Fiscal Year 2010 Operating Budget

TIME: 8:16:04AM

Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **454**

Agency name: **Department of Insurance**

FUND/ACCOUNT	Exp 2008	Exp 2009	Bud 2010
<u>777</u> Interagency Contracts			
Beginning Balance (Unencumbered):	\$0	\$0	\$0
Estimated Revenue:			
3971 ICF/MR Collections	92,769	100,000	100,000
Subtotal: Estimated Revenue	92,769	100,000	100,000
Total Available	\$92,769	\$100,000	\$100,000
 DEDUCTIONS:			
Expended/Budgeted/Requested	(57,600)	(57,600)	(57,600)
Art IX Sec 14.019(d) IAC	(35,169)	(42,400)	(42,400)
Total, Deductions	\$(92,769)	\$(100,000)	\$(100,000)
 Ending Fund/Account Balance	\$0	\$0	\$0

REVENUE ASSUMPTIONS:

CONTACT PERSON:

Joe Meyer

IV.D. ESTIMATED REVENUE COLLECTIONS SUPPORTING SCHEDULE

DATE: 11/19/2009

81st Regular Session, Fiscal Year 2010 Operating Budget

TIME: 8:16:04AM

Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: 454

Agency name: Department of Insurance

FUND/ACCOUNT	Exp 2008	Exp 2009	Bud 2010
888 Earned Federal Funds			
Beginning Balance (Unencumbered):	\$0	\$0	\$0
Estimated Revenue:			
3702 Fed Receipts-Earned Federal Funds	573,726	184,868	261,861
Subtotal: Estimated Revenue	<u>573,726</u>	<u>184,868</u>	<u>261,861</u>
Total Available	<u>\$573,726</u>	<u>\$184,868</u>	<u>\$261,861</u>
DEDUCTIONS:			
Expended/Budgeted/Requested	(178,213)	(158,761)	(224,406)
Transfer-Employee Benefits (OASI, ERS, Insurance)	(37,983)	(26,107)	(37,455)
Benefit Replacement Pay	(736)	0	0
Other (balances swept by agy 902)	(356,794)	0	0
Total, Deductions	<u>\$(573,726)</u>	<u>\$(184,868)</u>	<u>\$(261,861)</u>
Ending Fund/Account Balance	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>

REVENUE ASSUMPTIONS:

CONTACT PERSON:

Joe Meyer

IV.D. ESTIMATED REVENUE COLLECTIONS SUPPORTING SCHEDULE

DATE: 11/19/2009

81st Regular Session, Fiscal Year 2010 Operating Budget

TIME: 8:16:04AM

Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: 454

Agency name: Department of Insurance

FUND/ACCOUNT	Exp 2008	Exp 2009	Bud 2010
5101 Subsequent Injury Fund			
Beginning Balance (Unencumbered):	\$54,446,721	\$55,110,473	\$59,746,108
Estimated Revenue:			
3777 Default Fund - Warrant Voided	0	10,870	0
3869 Workers'CompDeathBenefits to State	5,399,809	8,237,251	5,760,000
Subtotal: Estimated Revenue	<u>5,399,809</u>	<u>8,248,121</u>	<u>5,760,000</u>
Total Available	<u>\$59,846,530</u>	<u>\$63,358,594</u>	<u>\$65,506,108</u>
DEDUCTIONS:			
Expended/Budgeted/Requested	(4,736,057)	(3,612,487)	(4,420,140)
Total, Deductions	<u>\$(4,736,057)</u>	<u>\$(3,612,487)</u>	<u>\$(4,420,140)</u>
Ending Fund/Account Balance	<u>\$55,110,473</u>	<u>\$59,746,107</u>	<u>\$61,085,968</u>

REVENUE ASSUMPTIONS:

Projection based on a 2006 actuary analysis. Projected revenue increases are based on the actual revenue in the current year (2008) and extrapolated. This projection yields successive annual increases of 3% over the prior year.

CONTACT PERSON:

Joe Meyer _____

IV.D. ESTIMATED REVENUE COLLECTIONS SUPPORTING SCHEDULE

DATE: 11/19/2009

81st Regular Session, Fiscal Year 2010 Operating Budget

TIME: 8:16:04AM

Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: 454

Agency name: Department of Insurance

FUND/ACCOUNT	Exp 2008	Exp 2009	Bud 2010
8042 Insurance Maint Tax Fees			
Beginning Balance (Unencumbered):	\$33,344,824	\$30,038,666	\$17,915,793
Estimated Revenue:			
3203 Insurance Comp Maintenance Tax	61,476,075	56,452,243	71,985,688
3215 Insurance Dept Fees - Misc	231,485	235,248	235,000
Subtotal: Estimated Revenue	<u>61,707,560</u>	<u>56,687,491</u>	<u>72,220,688</u>
Total Available	<u>\$95,052,384</u>	<u>\$86,726,157</u>	<u>\$90,136,481</u>
DEDUCTIONS:			
Expended/Budgeted/Requested	(32,303,398)	(34,126,032)	(36,091,932)
Art. IX Sec. 19.44, ContAppn SB1731 (2008-09 GAA)	(50,700)	(47,004)	0
Art. IX, Sec 19.10, ContAppn HB 716 (2008-09 GAA)	(107,080)	(161,600)	0
SB78 Art. IX Sec17.77 Healthy TX (2010-11 GAA)	0	0	(96,000)
Art. IX, Section 19.62(a), Salary Increase (2008-09 GAA)	(502,175)	(1,095,844)	0
81st Leg. R.S., HB4586, Sec 89 Retention Bonus	0	(437,007)	0
Transfer-Employee Benefits (OASI, ERS, Insurance)	(8,814,757)	(9,253,328)	(9,721,081)
Benefit Replacement Pay	(261,361)	(244,888)	(232,295)
Art IX, 11.18/13.10 - Statewide Allocated Costs	(684,191)	(754,266)	(754,266)
Unemployment Reimb. (GAA)	(4,460)	(34,770)	0
Art. 1 - 4, 1-4 - Attorney General (GAA)	(3,828,104)	(3,765,191)	(4,035,393)
Art. 1 - 14 Cancer Prev Inst (GAA)	(2,608,082)	(3,061,671)	0
Art. II - 41 & 45, Dept of Health Servs (GAA)	(5,948,523)	(6,373,897)	(6,474,209)
Art. III - 213 & III - 217 - Texas Forest Service (GAA)	(3,674,647)	(3,145,139)	(7,531,875)
Art. IV - 31 & 31 Comptroller Judiciary (GAA)	(1,051,833)	(976,059)	(1,235,568)
Art. V - 32 & 29 Commission on Fire Protection (GAA)	(3,469,667)	(3,245,756)	(3,767,954)
Art. VII p. 18 & 17 Crash Records Info (TxDot)(GAA)	(750,000)	(749,908)	(750,000)
Transfer to Comptroller (TIC Art. 201.052)	(954,740)	(1,338,005)	(1,418,250)
Total, Deductions	<u>\$(65,013,718)</u>	<u>\$(68,810,365)</u>	<u>\$(72,108,823)</u>
Ending Fund/Account Balance	<u>\$30,038,666</u>	<u>\$17,915,792</u>	<u>\$18,027,658</u>

REVENUE ASSUMPTIONS:

IV.D. ESTIMATED REVENUE COLLECTIONS SUPPORTING SCHEDULE

DATE: 11/19/2009

81st Regular Session, Fiscal Year 2010 Operating Budget

TIME: 8:16:04AM

Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **454**

Agency name: **Department of Insurance**

FUND/ACCOUNT

Exp 2008

Exp 2009

Bud 2010

Revenues estimates for object 3203 (maintenance taxes) for FY 2011 assumes FY 2010 funding levels for agencies 241, 302, 303, 411, 454, 576, 601 and as appropriate matching benefits.

Revenue estimates for object 3203 take into consideration estimated fund balances, and combined revenues and deductions in fund 8042 and account 0036 exclusive of DWC.

Maintenance tax rates are set annually by the Commissioner. The revenue estimate or deductions do not include the \$3.05 million deducted by the Comptroller as required by chapter 252 of the Texas Insurance Code.

CONTACT PERSON:

Joe Meyer