

Operating Budget

For Fiscal Year 2014

*Submitted to the Governor's Office of Budget, Planning and Policy
and the Legislative Budget Board, by the*



Texas Department of Insurance

Julia Rathgeber

Julia Rathgeber, Commissioner of Insurance

December 1, 2013

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CERTIFICATE

Agency Name: Texas Department of Insurance

This is to certify that the information contained in the agency operating budget filed with the Legislative Budget Board (LBB) and the Governor's Office of Budget, Planning and Policy (GOBPP) is accurate to the best of my knowledge and that the electronic submission to the LBB via the Automated Budget and Evaluation System of Texas (ABEST) and the PDF file submitted via the LBB Document Submission application are identical.

Additionally, should it become likely at any time that unexpended balances will accrue for any account, the LBB and the GOBPP will be notified in writing in accordance with Article IX, Section 7.01 (2014-15 GAA).

Chief Executive Office or Presiding Judge

Julia Rathgeber
Signature

Julia Rathgeber
Printed Name

Commissioner of Insurance
Title

December 1, 2013
Date

Chief Financial Officer

Nancy Clark
Signature

Nancy Clark
Printed Name

Chief Financial Officer
Title

December 1, 2013
Date

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**Texas Department of Insurance
Operating Budget for Fiscal Year 2014**

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SUMMARY OF BUDGET BY STRATEGY

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II.A. SUMMARY OF BUDGET BY STRATEGY
83rd Regular Session, Fiscal Year 2014 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

DATE : 11/22/2013
TIME : 2:36:17PM

Agency code: 454 Agency name: Department of Insurance

Goal/Objective/STRATEGY	EXP 2012	EXP 2013	BUD 2014
1 Promote Consumer Access to Affordable Insur Products W/in a Fair Mrkt			
1 Provide Insurance Consumers with Meaningful Information			
1 CONSUMER EDUCATION AND OUTREACH	\$5,756,246	\$8,958,172	\$12,972,362
2 Reduce Unfair & Illegal Insurer Practices			
1 RESOLVE COMPLAINTS	\$3,044,599	\$2,384,536	\$2,536,808
2 INVESTIGATION AND ENFORCEMENT	\$2,608,159	\$2,304,534	\$2,713,543
3 INSURER FRAUD	\$1,513,601	\$1,697,506	\$1,748,514
4 PROVIDER AND CONSUMER FRAUD	\$1,348,578	\$1,555,650	\$1,560,953
5 WORKERS COMPENSATION FRAUD	\$181,851	\$269,257	\$360,953
3 Reduce Impediments to Competition and Improve Insurance Availability			
1 PROCESS RATES, FORMS & LICENSES	\$10,886,268	\$9,644,691	\$11,073,726
2 PROMOTE UNDERSERVED COVERAGE	\$158,372	\$159,523	\$185,037
3 TEXAS.GOV	\$473,651	\$459,963	\$380,000
4 CERTIFY SELF-INSURANCE	\$572,408	\$588,598	\$696,648
4 Administer Innovative Insurance Initiatives			
1 LONG-TERM CARE	\$164,750	\$167,223	\$173,858
2 THREE-SHARE PROGRAMS	\$414,334	\$435,608	\$1,943,964
3 HEALTHY TEXAS	\$10,855,694	\$19,702,684	\$16,101,585
5 Assure Loss Control Services & Windstorm Inspections			
1 LOSS CONTROL PROGRAMS	\$2,518,767	\$2,409,251	\$2,702,593
TOTAL, GOAL 1	\$40,497,278	\$50,737,196	\$55,150,544
2 Promote Financial Strength of Ins. Industry			
1 Regulate Insurance Industry Solvency			
1 INSURERS FINANCIAL CONDITION	\$11,594,682	\$6,102,014	\$7,315,306
TOTAL, GOAL 2	\$11,594,682	\$6,102,014	\$7,315,306

II.A. SUMMARY OF BUDGET BY STRATEGY
83rd Regular Session, Fiscal Year 2014 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

DATE : 11/22/2013
TIME : 2:36:24PM

Agency code: 454 Agency name: Department of Insurance

Goal/Objective/STRATEGY	EXP 2012	EXP 2013	BUD 2014
3 Reduce Loss of Life & Property Due to Fire			
1 <i>Protect the Public from Loss of Life and Property due to fire</i>			
1 FIRE MARSHALL	\$4,264,814	\$4,843,911	\$4,767,827
TOTAL, GOAL 3	\$4,264,814	\$4,843,911	\$4,767,827
4 Effectively Regulate the Texas Workers' Compensation System			
1 <i>Ensure Appropriate Delivery of Workers' Compensation Benefits</i>			
1 OVERSIGHT AND ENFORCEMENT	\$5,053,257	\$5,357,449	\$5,825,810
2 DISPUTE RESOLUTION	\$13,387,048	\$13,346,562	\$16,031,702
3 SUBSEQUENT INJURY FUND ADMIN	\$5,241,025	\$5,801,475	\$4,470,214
2 <i>Ensure Workers' Comp System Participants are Educated and Informed</i>			
1 HEALTH AND SAFETY SERVICES	\$3,501,821	\$3,580,251	\$3,893,071
2 CUSTOMER SERVICE & RECORDS ADMIN	\$5,046,231	\$5,686,460	\$5,542,585
TOTAL, GOAL 4	\$32,229,382	\$33,772,197	\$35,763,382
5 Indirect Administration			
1 <i>Indirect Administration</i>			
1 CENTRAL ADMINISTRATION	\$8,964,243	\$8,975,284	\$9,850,409
2 INFORMATION RESOURCES	\$9,427,659	\$10,942,507	\$12,864,609
3 OTHER SUPPORT SERVICES	\$3,007,679	\$3,173,019	\$3,474,815
TOTAL, GOAL 5	\$21,399,581	\$23,090,810	\$26,189,833
6 Regulatory Response			
1 <i>Regulatory Response</i>			
1 CONTINGENCY REGULATORY RESPONSE	\$0	\$0	\$2,200,000
TOTAL, GOAL 6	\$0	\$0	\$2,200,000

II.A. SUMMARY OF BUDGET BY STRATEGY
83rd Regular Session, Fiscal Year 2014 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

DATE : 11/22/2013
TIME : 2:36:24PM

Agency code: 454 Agency name: Department of Insurance

Goal/Objective/STRATEGY	EXP 2012	EXP 2013	BUD 2014
General Revenue Funds:			
1 General Revenue Fund	\$344,909	\$380,457	\$230,926
8042 Insurance Maint Tax Fees	\$33,237,714	\$35,095,766	\$40,410,072
	\$33,582,623	\$35,476,223	\$40,640,998
General Revenue Dedicated Funds:			
36 Dept Ins Operating Acct	\$53,060,533	\$48,518,511	\$55,959,941
5101 Subsequent Injury Fund	\$5,182,882	\$5,749,194	\$4,420,140
5138 Fire Prevention And Public Safety	\$88,524	\$65,830	\$0
	\$58,331,939	\$54,333,535	\$60,380,081
Federal Funds:			
369 Fed Recovery & Reinvestment Fund	\$79,504	\$0	\$0
555 Federal Funds	\$3,716,733	\$2,257,711	\$6,617,056
	\$3,796,237	\$2,257,711	\$6,617,056
Other Funds:			
6 State Highway Fund	\$2,718,783	\$5,734,582	\$4,995,930
329 Healthy TX Sm Emp Prem Stabil. Fund	\$2,922,163	\$14,880,272	\$16,026,585
444 Interagency Contracts - CJG	\$35,795	\$0	\$0
666 Appropriated Receipts	\$597,062	\$922,559	\$2,531,242
777 Interagency Contracts	\$8,001,135	\$4,941,246	\$195,000
	\$14,274,938	\$26,478,659	\$23,748,757
TOTAL, METHOD OF FINANCING	\$109,985,737	\$118,546,128	\$131,386,892
FULL TIME EQUIVALENT POSITIONS	1,417.2	1,304.8	1,426.4

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SUMMARY OF BUDGET BY MOF

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II.B. SUMMARY OF BUDGET BY METHOD OF FINANCE
 83rd Regular Session, Fiscal Year 2014 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/22/2013**
 TIME: **2:33:31PM**

Agency code: **454** Agency name: **Department of Insurance**

METHOD OF FINANCING	Exp 2012	Exp 2013	Bud 2014
<u>GENERAL REVENUE</u>			
<u>1</u> General Revenue Fund			
<i>REGULAR APPROPRIATIONS</i>			
Regular Appropriations from MOF Table (2012-13 GAA)	\$230,926	\$230,926	\$0
Regular Appropriations from MOF Table (2014-15 GAA)	\$0	\$0	\$230,926
Art IX, Sec 6.22, Earned Federal Funds (2012-13 GAA)	\$106,386	\$130,161	\$0
<i>RIDER APPROPRIATION</i>			
Art IX, Sec 8.04, Surplus Property (2012-13 GAA)	\$7,448	\$20,526	\$0
Art IX, Sec 9.05, Texas Online Occupational Licenses (2012-13 GAA)	\$149	\$0	\$0
<i>LAPSED APPROPRIATIONS</i>			
Lapsed Appropriations - INS	\$0	\$(1,156)	\$0
TOTAL, General Revenue Fund	\$344,909	\$380,457	\$230,926
<u>8042</u> General Revenue - Insurance Companies Maintenance Tax and Insurance Department Fees			
<i>REGULAR APPROPRIATIONS</i>			
Regular Appropriations from MOF Table (2012-13 GAA)	\$37,218,618	\$37,218,618	\$0
Regular Appropriations from MOF Table (2014-15 GAA)	\$0	\$0	\$40,103,379
<i>RIDER APPROPRIATION</i>			
Art IX, Sec 17.01(a), Data Center-Reductions for Cost of Living Adjustments (2012-13 GAA)	\$(122,123)	\$(151,294)	\$0
Art IX, Sec 17.01(b), Data Center-Reductions for Administrative Rate Charge (2012-13 GAA)	\$(14,788)	\$(14,695)	\$0
Art IX, Sec 17.08(b), Data Center Increases (2014-15 GAA)	\$0	\$0	\$(22,190)

II.B. SUMMARY OF BUDGET BY METHOD OF FINANCE
 83rd Regular Session, Fiscal Year 2014 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/22/2013**
 TIME: **2:34:44PM**

Agency code: **454** Agency name: **Department of Insurance**

METHOD OF FINANCING	Exp 2012	Exp 2013	Bud 2014
Art IX, Sec 18.44 Cont Appn HB 1772 Certain Benefits- INS (2012-13 GAA)	\$109,195	\$100,081	\$0
Art IX, Sec 18.50 Cont Appn HB 2277 Life Settlements-INS (2012-13 GAA)	\$160,154	\$186,181	\$0
Art IX, Sec 18.52 Cont Appn HB 2408 Title-INS (2012-13 GAA)	\$535,708	\$561,063	\$0
SB 2, 82nd Leg, SS 1, Section 12 Healthcare Collaboratives- INS	\$169,408	\$461,901	\$0
SB 2, 82nd Leg, SS 1, Section 20 TWIA- INS	\$131,370	\$121,767	\$0
<i>TRANSFERS</i>			
Art IX, Sec 17.06 Salary Increase for General State Employees (2014-15 GAA)	\$0	\$0	\$328,883
<i>LAPSED APPROPRIATIONS</i>			
Lapsed Appropriations - INS	\$(1,535,331)	\$(3,942,353)	\$0
Lapsed Appropriations-INS (2012-13 GAA) Regulatory Response Rider 23	\$(1,430,000)	\$(1,430,000)	\$0
<i>UNEXPENDED BALANCES AUTHORITY</i>			
Art VIII- Rider 13, pg 21, (2012-13 GAA), UB Authority - INS	\$(1,925,385)	\$1,925,385	\$0
Art IX Sec 18.15, Payments to DIR (2012-2013 GAA) - INS	\$(59,112)	\$59,112	\$0
TOTAL, General Revenue - Insurance Companies Maintenance Tax and Insurance Department Fees	\$33,237,714	\$35,095,766	\$40,410,072
TOTAL, ALL GENERAL REVENUE	\$33,582,623	\$35,476,223	\$40,640,998

GENERAL REVENUE FUND - DEDICATED

36 GR Dedicated - Texas Department of Insurance Operating Fund Account No. 036

REGULAR APPROPRIATIONS

Regular Appropriations from MOF Table (2012-13 GAA)

	\$59,471,172	\$57,371,171	\$0
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II.B. SUMMARY OF BUDGET BY METHOD OF FINANCE
83rd Regular Session, Fiscal Year 2014 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/22/2013**
TIME: **2:34:44PM**

Agency code: **454** Agency name: **Department of Insurance**

METHOD OF FINANCING	Exp 2012	Exp 2013	Bud 2014
Regular Appropriations from MOF Table (2014-15 GAA)	\$0	\$0	\$55,517,930
<i>RIDER APPROPRIATION</i>			
Art IX, Sec 17.01(b), Data Center-Reductions for Administrative Rate Charge (2012-13 GAA)	\$(26,111)	\$(25,950)	\$0
Art IX, Sec 17.01(a), Data Center-Reductions for Cost of Living Adjustments (2012-13 GAA)	\$(215,641)	\$(267,148)	\$0
Art IX, Sec 17.08(b), Data Center Increases (2014-15 GAA)	\$0	\$0	\$(32,371)
Art IX, Sec 9.05, Texas Online Occupational Licenses (2012-13 GAA)	\$93,502	\$81,119	\$0
Art IX, Sec 18.44 Cont Appn HB 1772 Certain Benefits-INS (2012-13 GAA)	\$59,916	\$54,914	\$0
Art IX, Sec 18.50 Cont Appn HB 2277 Life Settlements-INS (2012-13 GAA)	\$43,678	\$0	\$0
SB 2, 82nd Leg, SS 1, Section 12 Healthcare Collaboratives- INS	\$254,112	\$692,851	\$0
Art IX, Sec 18.78 Cont Appn SB 1291 Self Directed-INS (2012-13 GAA)	\$0	\$(7,421,489)	\$0
<i>TRANSFERS</i>			
Art IX, Sec 17.06 Salary Increase for General State Employees (2014-15 GAA)	\$0	\$0	\$474,382
<i>LAPSED APPROPRIATIONS</i>			
Lapsed Appropriations INS	\$(696,009)	\$(1,826,442)	\$0
Lapsed Appropriations INS (2012-13 GAA) Regulatory Response Rider 23	\$(770,000)	\$(770,000)	\$0
Lapsed Appropriations DWC	\$(398,756)	\$(2,370,305)	\$0
Lapsed Appropriations DWC (2012-13 GAA) SOAH Medical Fee Dispute Rider 25	\$0	\$(1,755,540)	\$0
<i>UNEXPENDED BALANCES AUTHORITY</i>			
Art VIII- Rider 13 (page 8-21), (2012-13 GAA), UB Authority - INS	\$(925,916)	\$925,916	\$0
Art VIII- Rider 13 (page 8-21), (2012-13 GAA), UB Authority - DWC	\$(1,713,234)	\$1,713,234	\$0

II.B. SUMMARY OF BUDGET BY METHOD OF FINANCE
 83rd Regular Session, Fiscal Year 2014 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/22/2013**
 TIME: **2:34:44PM**

Agency code: **454** Agency name: **Department of Insurance**

METHOD OF FINANCING	Exp 2012	Exp 2013	Bud 2014
Art VIII- Rider 25 (page 8-23), GAA (2012-13 GAA), SOAH Medical Fee Dispute Rider	\$(1,956,566)	\$1,956,566	\$0
Art IX Sec 18.15, Payments to DIR (2012-2013 GAA) - INS	\$(32,442)	\$32,442	\$0
Art IX Sec 18.15, Payments to DIR (2012-2013 GAA) - DWC	\$(127,172)	\$127,172	\$0
TOTAL, GR Dedicated - Texas Department of Insurance Operating Fund Account No. 036	\$53,060,533	\$48,518,511	\$55,959,941
5101 GR Dedicated - Subsequent Injury Account No. 5101			
<i>REGULAR APPROPRIATIONS</i>			
Regular Appropriations from MOF Table (2012-13 GAA)	\$4,420,140	\$4,420,140	\$0
Regular Appropriations from MOF Table (2014-15 GAA)	\$0	\$0	\$4,420,140
<i>RIDER APPROPRIATION</i>			
Art VIII, Rider 14 (page 8-21), (2012-13 GAA), Additional Subsequent Injury Funds	\$891,013	\$1,330,026	\$0
<i>LAPSED APPROPRIATIONS</i>			
Lapsed Appropriations-DWC Rider Appropriation	\$(128,271)	\$(865)	\$0
Lapsed Appropriations-DWC Base	\$0	\$(107)	\$0
TOTAL, GR Dedicated - Subsequent Injury Account No. 5101	\$5,182,882	\$5,749,194	\$4,420,140
5138 GR Dedicated - Fire Prevention and Public Safety Account No. 5138			
<i>REGULAR APPROPRIATIONS</i>			
Regular Appropriations from MOF Table (2012-13 GAA)	\$100,000	\$100,000	\$0
<i>LAPSED APPROPRIATIONS</i>			
Lapsed Appropriations-INS	\$(6,476)	\$(39,170)	\$0
<i>UNEXPENDED BALANCES AUTHORITY</i>			

II.B. SUMMARY OF BUDGET BY METHOD OF FINANCE
83rd Regular Session, Fiscal Year 2014 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/22/2013**
TIME: **2:34:44PM**

Agency code: **454** Agency name: **Department of Insurance**

METHOD OF FINANCING	Exp 2012	Exp 2013	Bud 2014
TOTAL, Federal Funds	\$3,716,733	\$2,257,711	\$6,617,056
TOTAL, ALL FEDERAL FUNDS	\$3,796,237	\$2,257,711	\$6,617,056

OTHER FUNDS

6 State Highway Fund No. 006			
<i>REGULAR APPROPRIATIONS</i>			
Regular Appropriations from MOF Table (2012-13 GAA)	\$4,225,766	\$4,228,766	\$0
Regular Appropriations from MOF Table (2014-15 GAA)	\$0	\$0	\$4,995,930
<i>LAPSED APPROPRIATIONS</i>			
Lapsed Appropriations-INS	\$0	\$(1,167)	\$0
<i>UNEXPENDED BALANCES AUTHORITY</i>			
Art IX, Sec 14.05, UB Authority within the Same Biennium (2012-13 GAA)	\$(1,506,983)	\$1,506,983	\$0
TOTAL, State Highway Fund No. 006	\$2,718,783	\$5,734,582	\$4,995,930
329 Healthy Texas Small Employer Premium Stabilization Fund			
<i>REGULAR APPROPRIATIONS</i>			
Regular Appropriations from MOF Table (2014-15 GAA)	\$0	\$0	\$13,000,000
<i>UNEXPENDED BALANCES AUTHORITY</i>			
Art VIII Rider 22, (2012-13 GAA) pg 22, UB Authority - INS	\$(30,906,857)	\$30,906,857	\$0
Art VIII Rider 18, (2014-15 GAA) pg 23, UB Authority - INS	\$0	\$(16,026,585)	\$3,026,585
Art VIII Rider 22, (2012-13 GAA) pg 22, UB Authority - INS	\$33,829,020	\$0	\$0

II.B. SUMMARY OF BUDGET BY METHOD OF FINANCE
 83rd Regular Session, Fiscal Year 2014 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/22/2013**
 TIME: **2:34:44PM**

Agency code: **454** Agency name: **Department of Insurance**

METHOD OF FINANCING	Exp 2012	Exp 2013	Bud 2014
TOTAL, Healthy Texas Small Employer Premium Stabilization Fund	\$2,922,163	\$14,880,272	\$16,026,585
444 Interagency Contracts - Criminal Justice Grants			
<i>TRANSFERS</i>			
Equipment Purchase and Arson Canine Replacement	\$35,795	\$0	\$0
TOTAL, Interagency Contracts - Criminal Justice Grants	\$35,795	\$0	\$0
666 Appropriated Receipts			
<i>REGULAR APPROPRIATIONS</i>			
Regular Appropriations from MOF Table (2012-13 GAA)	\$689,116	\$689,116	\$0
Regular Appropriations from MOF Table (2014-15 GAA)	\$0	\$0	\$675,030
<i>RIDER APPROPRIATION</i>			
Art IX, Sec 8.03, Reimbursements and Payments (2012-13 GAA)	\$16,522	\$75,213	\$0
Art IX, Sec 8.08, Seminars & Conferences (2012-13 GAA)-INS	\$34,000	\$140,553	\$0
Art IX, Sec 8.08, Seminars & Conferences (2012-13 GAA)-DWC	\$2,349	\$142,031	\$0
Art VIII, Rider 3, (2012-13 GAA), pg 20, Rehabilitation of Insurance Companies-INS	\$0	\$558,060	\$0
Art VIII, Rider 14, (2014-15 GAA), pg 22, Three Share Fines and Penalties-INS	\$0	\$0	\$1,500,000
<i>LAPSED APPROPRIATIONS</i>			
Lapsed Appropriations-INS	\$(124,214)	\$(616,870)	\$0
Lapsed Appropriations-DWC	\$(177,186)	\$(149,508)	\$0
<i>UNEXPENDED BALANCES AUTHORITY</i>			
Art IX, Sec 8.08, Seminars & Conferences (2012-13 GAA)-INS	\$10,480	\$0	\$0

II.B. SUMMARY OF BUDGET BY METHOD OF FINANCE
83rd Regular Session, Fiscal Year 2014 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/22/2013**
TIME: **2:34:44PM**

Agency code: **454** Agency name: **Department of Insurance**

METHOD OF FINANCING	Exp 2012	Exp 2013	Bud 2014
Art IX, Sec 8.08, Seminars & Conferences (2012-13 GAA)-INS	\$(18,138)	\$18,138	\$0
Art IX, Sec 8.08, Seminars & Conferences (2014-15 GAA)-INS	\$0	\$(42,735)	\$42,735
Art IX, Sec 8.08, Seminars & Conferences (2012-13 GAA)-DWC	\$56,763	\$0	\$0
Art IX, Sec 8.08, Seminars & Conferences (2012-13 GAA)-DWC	\$(67,780)	\$67,780	\$0
Art IX, Sec 8.08, Seminars & Conferences (2014-15 GAA)-DWC	\$0	\$(143,952)	\$143,952
Art IX, Sec 8.01, Acceptance of Gift of Money (2012-13 GAA)-DWC	\$529,408	\$0	\$0
Art IX, Sec 8.01, Acceptance of Gift of Money (2012-13 GAA)-DWC	\$(354,258)	\$354,258	\$0
Art IX, Sec 8.01, Acceptance of Gift of Money (2014-15 GAA)-DWC	\$0	\$(169,525)	\$169,525
TOTAL, Appropriated Receipts	\$597,062	\$922,559	\$2,531,242
777 Interagency Contracts			
<i>REGULAR APPROPRIATIONS</i>			
Regular Appropriations from MOF Table (2012-13 GAA)	\$5,030,000	\$5,030,000	\$0
Regular Appropriations from MOF Table (2014-15 GAA)	\$0	\$0	\$130,000
<i>RIDER APPROPRIATION</i>			
Art IX, Sec 14.01 (f) Appropriation Transfers (2012-13 GAA)-INS	\$13,449	\$8,701	\$0
Art IX, Sec 14.01 (f) Appropriation Transfers (2012-13 GAA)-INS	\$7,857,686	\$4,802,545	\$0
Art IX, Sec 14.01 (f) Appropriation Transfers (2014-15 GAA)-INS	\$0	\$0	\$65,000
<i>LAPSED APPROPRIATIONS</i>			
Cut in Federal Funding for HHSC Grant - (Healthy Texas)	\$(4,900,000)	\$(4,900,000)	\$0
TOTAL, Interagency Contracts	\$8,001,135	\$4,941,246	\$195,000

II.B. SUMMARY OF BUDGET BY METHOD OF FINANCE
 83rd Regular Session, Fiscal Year 2014 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/22/2013**
 TIME: **2:34:44PM**

Agency code: **454**

Agency name: **Department of Insurance**

METHOD OF FINANCING	Exp 2012	Exp 2013	Bud 2014
TOTAL, ALL OTHER FUNDS	\$14,274,938	\$26,478,659	\$23,748,757
GRAND TOTAL	\$109,985,737	\$118,546,128	\$131,386,892

II.B. SUMMARY OF BUDGET BY METHOD OF FINANCE
 83rd Regular Session, Fiscal Year 2014 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/22/2013**
 TIME: **2:34:44PM**

Agency code: **454**

Agency name: **Department of Insurance**

METHOD OF FINANCING	Exp 2012	Exp 2013	Bud 2014
<u>FULL-TIME-EQUIVALENT POSITIONS</u>			
REGULAR APPROPRIATIONS			
Regular Appropriations from MOF Table - DWC	723.5	723.5	723.5
Regular Appropriations from MOF Table - INS	916.0	916.0	862.7
Regular Appropriations- INS - Regulatory Response Rider	40.0	40.0	40.0
Article IX Sec 18.78 Cont Appn SB 1291 Self Directed (2012-13 GAA)- INS	0.0	(85.8)	0.0
RIDER APPROPRIATION			
Art IX, Sec 18.44 Cont Appn HB 1772 Certain Benefits-INS (2012-13 GAA)	3.0	3.0	0.0
Art IX, Sec 18.50 Cont Appn HB 2277 Life Settlements-INS (2012-13 GAA)	3.0	3.0	0.0
Art IX, Sec 18.52 Cont Appn HB 2408 Title-INS (2012-13 GAA)	8.5	8.5	0.0
82nd Leg, SS 1, SB 2, Section 12 Healthcare Collaboratives-INS (2012-13 GAA)	8.0	16.0	0.0
82nd Leg, SS 1, SB 2, Section 20 TWIA-INS (2012-13 GAA)	2.0	2.0	0.0
UNAUTHORIZED NUMBER OVER (BELOW) CAP			
Unauthorized Number Over (Below) Cap - INS	(186.2)	(206.8)	(125.8)
Unauthorized Number Over (Below) Cap - DWC	(100.6)	(114.6)	(74.0)
TOTAL, ADJUSTED FTES	1,417.2	1,304.8	1,426.4

II.B. SUMMARY OF BUDGET BY METHOD OF FINANCE
83rd Regular Session, Fiscal Year 2014 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/22/2013**
TIME: **2:34:44PM**

Agency code: **454**

Agency name: **Department of Insurance**

METHOD OF FINANCING	Exp 2012	Exp 2013	Bud 2014
NUMBER OF 100% FEDERALLY FUNDED FTEs	9.9	0.0	0.0

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SUMMARY OF BUDGET BY OOE

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II.C. SUMMARY OF BUDGET BY OBJECT OF EXPENSE
83rd Regular Session, Fiscal Year 2014 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/22/2013**
TIME: **2:35:43PM**

Agency code: **454**

Agency name: **Department of Insurance**

OBJECT OF EXPENSE	EXP 2012	EXP 2013	BUD 2014
1001 SALARIES AND WAGES	\$69,503,437	\$63,351,714	\$73,268,067
1002 OTHER PERSONNEL COSTS	\$3,004,669	\$3,143,498	\$2,189,020
2001 PROFESSIONAL FEES AND SERVICES	\$8,330,349	\$11,634,221	\$16,474,807
2002 FUELS AND LUBRICANTS	\$146,078	\$183,261	\$117,460
2003 CONSUMABLE SUPPLIES	\$396,073	\$412,065	\$549,148
2004 UTILITIES	\$921,493	\$982,137	\$1,787,665
2005 TRAVEL	\$1,875,288	\$1,570,655	\$1,471,013
2006 RENT - BUILDING	\$3,207,866	\$3,321,222	\$3,371,890
2007 RENT - MACHINE AND OTHER	\$724,740	\$703,753	\$654,772
2009 OTHER OPERATING EXPENSE	\$21,436,286	\$32,330,760	\$29,591,581
3001 CLIENT SERVICES	\$3,930	\$0	\$0
4000 GRANTS	\$358,658	\$386,381	\$1,886,381
5000 CAPITAL EXPENDITURES	\$76,870	\$526,461	\$25,088
Agency Total	\$109,985,737	\$118,546,128	\$131,386,892

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SUMMARY OF OBJECTIVE OUTCOMES

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II.D. SUMMARY OF BUDGET OBJECTIVE OUTCOMES
 83rd Regular Session, Fiscal Year 2014 Operating Budget
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 11/22/2013
 Time: 2:36:45PM

Agency code: 454 Agency name: Department of Insurance

Goal/ Objective / OUTCOME	Exp 2012	Exp 2013	Bud2014
1 Promote Consumer Access to Affordable Insur Products W/in a Fair Mrkt			
1 Provide Insurance Consumers with Meaningful Information			
KEY 1 % of Calls Answered by the TDI Consumer Help Line Call Center	93.00 %	93.00 %	90.00 %
2 Reduce Unfair & Illegal Insurer Practices			
1 Percent of Enforcement Cases Concluded within 365 Days	68.00	92.00	60.00
2 Percent of Insurer Fraud Referrals Resulting in Legal Action	66.00	31.00	65.00
3 Percent of WC Insurance Fraud Referral W/Legal Action	16.00 %	58.00 %	55.00 %
3 Reduce Impediments to Competition and Improve Insurance Availability			
1 Percent of Company, TPA and PF License Apps Completed within 60 Days	85.00 %	98.00 %	95.00 %
KEY 2 Percent of Agent License Filings Completed within 15 Days	96.00 %	94.00 %	96.00 %
KEY 3 Percent of Statutory Rate and Form Filings Completed within 90 Days	91.00 %	91.00 %	87.00 %
KEY 4 Percent Personal Auto/Res Property Form Filings Completed in 60 Days	87.00 %	89.00 %	94.00 %
KEY 5 % of Autos in Underserved Mrkt w/Personal or Comm. Liability Insurance	81.00 %	80.00 %	75.00 %
KEY 6 Percent of Licensees Who Renew Online	83.00 %	82.00 %	82.00 %
4 Administer Innovative Insurance Initiatives			
1 % of Small Employers Participating in Innovative Insurance Initiatives	6.00 %	6.00 %	5.00 %
5 Assure Loss Control Services & Windstorm Inspections			
1 Percent of Insurers Providing Adequate Loss Control Programs	99.00 %	97.00 %	99.00 %
2 % of Windstorm Inspections That Result in an "Approved" Status Code	39.00 %	41.00 %	35.00 %
2 Promote Financial Strength of Ins. Industry			
1 Regulate Insurance Industry Solvency			
1 Percent of Identified Companies Reviewed	96.00 %	99.00 %	97.00 %
KEY 2 Percent of Special Deputy Receiver Collections Expended on Asset Admin	2.77 %	4.59 %	15.00 %
KEY 3 Average Days from Company "At Risk" Identification to Solvency Action	11.00	9.00	30.00
KEY 4 % of Companies Rehabilitated after TDI Solvency-Related Intervention	35.00 %	0.00 %	18.00 %
3 Reduce Loss of Life & Property Due to Fire			
1 Protect the Public from Loss of Life and Property due to fire			
1 % of SFMO Criminal Referrals Resulting in Enforcement/Legal Action	70.00 %	78.00 %	60.00 %
KEY 2 % of Fire Sfty Registrations, Licenses, Permits Issued w/in 20 Days	100.00 %	100.00 %	99.00 %
4 Effectively Regulate the Texas Workers' Compensation System			
1 Ensure Appropriate Delivery of Workers' Compensation Benefits			

II.D. SUMMARY OF BUDGET OBJECTIVE OUTCOMES
 83rd Regular Session, Fiscal Year 2014 Operating Budget
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 11/22/2013
 Time: 2:38:43PM

Agency code: 454

Agency name: Department of Insurance

Goal/ Objective / OUTCOME	Exp 2012	Exp 2013	Bud2014
1 % of WC Enforcement Cases Concluded within 365 Days	92.00 %	95.00 %	65.00 %
KEY 2 Percent of Medical Bills Processed Timely	99.00 %	98.00 %	95.00 %
KEY 3 % of Med Fee Disputes Resolved or Upheld Upon Appeal	100.00 %	100.00 %	90.00 %
KEY 4 Payments from the Subsequent Injury Fund	5,358,407.00	5,749,194.00	6,900,000.00
2 <i>Ensure Workers' Comp System Participants are Educated and Informed</i>			
KEY 1 Percent of TIBs Recipients Returning to Work Within 90 Days of Injury	56.00 %	57.00 %	54.00 %

STRATEGY LEVEL DETAIL

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III.A. STRATEGY LEVEL DETAIL
 83rd Regular Session, Fiscal Year 2014 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/22/2013
 TIME: 2:39:10PM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Promote Consumer Access to Affordable Insur Products W/in a Fair Mrkt
 OBJECTIVE: 1 Provide Insurance Consumers with Meaningful Information
 STRATEGY: 1 Educate Consumers and Industry by Providing Outreach and Information

Statewide Goal/Benchmark: 7 1
 Service Categories:
 Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2012	EXP 2013	BUD 2014
Output Measures:				
KEY 1	Number of Inquiries Answered	1,020,151.00	591,251.00	650,000.00
KEY 2	Number of Consumer Information Publications Distributed	9,574,387.00	8,497,047.00	5,500,000.00
3	Number of Consumer Information Presentations Made	740.00	740.00	650.00
4	Number of TDI Calls to Insurance Industry for Data	22.00	21.00	23.00
Explanatory/Input Measures:				
1	Percent of Premiums Expended on Insurer Overhead Costs	19.00 %	19.00 %	21.00 %
2	% of Vehicles W/ Personal or Commercial Automobile Liability Insurance	86.00 %	85.00 %	80.00 %
Objects of Expense:				
1001	SALARIES AND WAGES	\$2,231,643	\$2,386,336	\$2,750,429
1002	OTHER PERSONNEL COSTS	\$123,323	\$148,490	\$107,540
2001	PROFESSIONAL FEES AND SERVICES	\$2,464,772	\$5,403,720	\$9,310,350
2003	CONSUMABLE SUPPLIES	\$8,930	\$9,789	\$13,037
2004	UTILITIES	\$14,330	\$6,304	\$1,026
2005	TRAVEL	\$30,724	\$64,100	\$61,791
2006	RENT - BUILDING	\$4,275	\$30,361	\$5,913
2007	RENT - MACHINE AND OTHER	\$16,421	\$15,704	\$12,510
2009	OTHER OPERATING EXPENSE	\$861,828	\$893,368	\$709,766
TOTAL, OBJECT OF EXPENSE		\$5,756,246	\$8,958,172	\$12,972,362
Method of Financing:				
8042	Insurance Maint Tax Fees	\$2,012,156	\$2,323,956	\$2,536,926
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$2,012,156	\$2,323,956	\$2,536,926
Method of Financing:				
36	Dept Ins Operating Acct	\$831,169	\$636,878	\$762,710

III.A. STRATEGY LEVEL DETAIL
 83rd Regular Session, Fiscal Year 2014 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/22/2013
 TIME: 2:39:19PM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Promote Consumer Access to Affordable Insur Products W/in a Fair Mrkt
 OBJECTIVE: 1 Provide Insurance Consumers with Meaningful Information
 STRATEGY: 1 Educate Consumers and Industry by Providing Outreach and Information

Statewide Goal/Benchmark: 7 1
 Service Categories:
 Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2012	EXP 2013	BUD 2014
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$831,169	\$636,878	\$762,710
Method of Financing:				
555 Federal Funds				
	93.511.000 ACA-Grnts St Hlth Ins Premium Revw	\$0	\$0	\$4,326,798
CFDA Subtotal, Fund	555	\$0	\$0	\$4,326,798
SUBTOTAL, MOF (FEDERAL FUNDS)		\$0	\$0	\$4,326,798
Method of Financing:				
	6 State Highway Fund	\$2,718,783	\$5,734,582	\$4,995,930
	666 Appropriated Receipts	\$64,187	\$136,876	\$174,282
	777 Interagency Contracts	\$129,951	\$125,880	\$175,716
SUBTOTAL, MOF (OTHER FUNDS)		\$2,912,921	\$5,997,338	\$5,345,928
TOTAL, METHOD OF FINANCE :		\$5,756,246	\$8,958,172	\$12,972,362
FULL TIME EQUIVALENT POSITIONS :		42.7	43.9	48.3

III.A. STRATEGY LEVEL DETAIL
 83rd Regular Session, Fiscal Year 2014 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/22/2013
 TIME: 2:39:19PM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Promote Consumer Access to Affordable Insur Products W/in a Fair Mrkt
 OBJECTIVE: 2 Reduce Unfair & Illegal Insurer Practices
 STRATEGY: 1 Respond Promptly and Act on Complaints

Statewide Goal/Benchmark: 7 1
 Service Categories:
 Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2012	EXP 2013	BUD 2014
Output Measures:				
KEY 1	Number of Complaints Resolved	17,606.00	20,163.00	21,500.00
2	Dollar Amount Returned to Consumers through Complaint Resolution	29,509,291.00	24,727,420.00	30,000,000.00
3	Number of Complaints Against Health Maintenance Organizations Resolved	760.00	871.00	750.00
Efficiency Measures:				
KEY 1	Average Response Time (in Days) to Complaints	30.00	51.00	29.00
2	Avg Response Time (in Days) for HMO Complaint Resolution	9.00	23.00	10.00
Objects of Expense:				
1001	SALARIES AND WAGES	\$2,547,267	\$2,087,987	\$2,271,071
1002	OTHER PERSONNEL COSTS	\$158,713	\$112,088	\$86,040
2001	PROFESSIONAL FEES AND SERVICES	\$4,852	\$37,766	\$2,709
2003	CONSUMABLE SUPPLIES	\$13,956	\$12,284	\$13,689
2004	UTILITIES	\$5,032	\$1,887	\$2,675
2005	TRAVEL	\$15,389	\$33,406	\$15,024
2006	RENT - BUILDING	\$2,064	\$0	\$0
2007	RENT - MACHINE AND OTHER	\$19,582	\$20,182	\$13,860
2009	OTHER OPERATING EXPENSE	\$277,744	\$78,936	\$131,740
TOTAL, OBJECT OF EXPENSE		\$3,044,599	\$2,384,536	\$2,536,808
Method of Financing:				
8042	Insurance Maint Tax Fees	\$1,774,771	\$1,789,022	\$1,843,227
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$1,774,771	\$1,789,022	\$1,843,227
Method of Financing:				
36	Dept Ins Operating Acct	\$974,042	\$595,514	\$693,581

III.A. STRATEGY LEVEL DETAIL
 83rd Regular Session, Fiscal Year 2014 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/22/2013
 TIME: 2:39:19PM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Promote Consumer Access to Affordable Insur Products W/in a Fair Mrkt
 OBJECTIVE: 2 Reduce Unfair & Illegal Insurer Practices
 STRATEGY: 1 Respond Promptly and Act on Complaints

Statewide Goal/Benchmark: 7 1
 Service Categories:
 Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2012	EXP 2013	BUD 2014
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$974,042	\$595,514	\$693,581
Method of Financing:				
555 Federal Funds				
	93.519.000 ACA - Consumer Assist Prog Grants	\$295,786	\$0	\$0
CFDA Subtotal, Fund	555	\$295,786	\$0	\$0
SUBTOTAL, MOF (FEDERAL FUNDS)		\$295,786	\$0	\$0
TOTAL, METHOD OF FINANCE :		\$3,044,599	\$2,384,536	\$2,536,808
FULL TIME EQUIVALENT POSITIONS :		56.2	45.1	48.4

III.A. STRATEGY LEVEL DETAIL
 83rd Regular Session, Fiscal Year 2014 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/22/2013
 TIME: 2:39:19PM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Promote Consumer Access to Affordable Insur Products W/in a Fair Mrkt
 OBJECTIVE: 2 Reduce Unfair & Illegal Insurer Practices
 STRATEGY: 2 Investigate Trade Practices and Bring Enforcement Actions as Needed

Statewide Goal/Benchmark: 7 1
 Service Categories:
 Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2012	EXP 2013	BUD 2014
Output Measures:				
1	Number of Enforcement Cases Concluded with Action	1,239.00	1,490.00	450.00
2	Dollar Amount of Penalties Assessed for Statute and Rule Violations	6,119,120.00	9,832,837.00	3,500,000.00
3	Dollar Amount of Restitution Assessed for Statute and Rule Violations	33,987,963.00	1,844,172.00	10,500,000.00
4	Number of Quality Assurance Examinations Conducted	53.00	46.00	35.00
Efficiency Measures:				
1	Average Cost Per QA Examination Conducted	1,362.00	1,682.00	3,000.00
Objects of Expense:				
1001	SALARIES AND WAGES	\$2,347,314	\$2,098,504	\$2,308,085
1002	OTHER PERSONNEL COSTS	\$106,298	\$80,682	\$61,560
2001	PROFESSIONAL FEES AND SERVICES	\$2,836	\$11,881	\$136,375
2003	CONSUMABLE SUPPLIES	\$10,113	\$12,803	\$18,611
2004	UTILITIES	\$2,177	\$986	\$1,057
2005	TRAVEL	\$483	\$1,826	\$21,415
2007	RENT - MACHINE AND OTHER	\$17,127	\$17,059	\$10,650
2009	OTHER OPERATING EXPENSE	\$121,811	\$80,793	\$155,790
TOTAL, OBJECT OF EXPENSE		\$2,608,159	\$2,304,534	\$2,713,543
Method of Financing:				
8042	Insurance Maint Tax Fees	\$1,683,958	\$1,729,000	\$1,971,642
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$1,683,958	\$1,729,000	\$1,971,642
Method of Financing:				
36	Dept Ins Operating Acct	\$924,201	\$575,534	\$741,901
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$924,201	\$575,534	\$741,901

III.A. STRATEGY LEVEL DETAIL
 83rd Regular Session, Fiscal Year 2014 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/22/2013
 TIME: 2:39:19PM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Promote Consumer Access to Affordable Insur Products W/in a Fair Mrkt
 OBJECTIVE: 2 Reduce Unfair & Illegal Insurer Practices
 STRATEGY: 2 Investigate Trade Practices and Bring Enforcement Actions as Needed

Statewide Goal/Benchmark: 7 1
 Service Categories:
 Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2012	EXP 2013	BUD 2014
TOTAL, METHOD OF FINANCE :		\$2,608,159	\$2,304,534	\$2,713,543
FULL TIME EQUIVALENT POSITIONS :		43.2	36.4	38.5

III.A. STRATEGY LEVEL DETAIL
 83rd Regular Session, Fiscal Year 2014 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/22/2013
 TIME: 2:39:19PM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Promote Consumer Access to Affordable Insur Products W/in a Fair Mrkt
 OBJECTIVE: 2 Reduce Unfair & Illegal Insurer Practices
 STRATEGY: 3 Investigate Insurer Fraud and Refer Violations for Prosecution

Statewide Goal/Benchmark: 7 1
 Service Categories:
 Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2012	EXP 2013	BUD 2014
Output Measures:				
KEY 1	# of Alleged Insurer Fraud Referrals to State and Federal Prosecutors	72.00	98.00	70.00
Efficiency Measures:				
1	Average Number of Days Per Insurer Fraud Case Referred	507.00	464.00	300.00
Explanatory/Input Measures:				
1	Number of Reports of Insurer Fraud Received	692.00	688.00	902.00
Objects of Expense:				
1001	SALARIES AND WAGES	\$1,317,225	\$1,464,984	\$1,553,722
1002	OTHER PERSONNEL COSTS	\$39,496	\$40,578	\$27,780
2001	PROFESSIONAL FEES AND SERVICES	\$8,394	\$51,208	\$7,353
2003	CONSUMABLE SUPPLIES	\$6,638	\$7,567	\$10,233
2004	UTILITIES	\$6,912	\$12,075	\$14,025
2005	TRAVEL	\$49,505	\$50,626	\$52,065
2006	RENT - BUILDING	\$582	\$564	\$1,829
2007	RENT - MACHINE AND OTHER	\$8,318	\$8,591	\$7,085
2009	OTHER OPERATING EXPENSE	\$76,531	\$58,722	\$74,422
5000	CAPITAL EXPENDITURES	\$0	\$2,591	\$0
TOTAL, OBJECT OF EXPENSE		\$1,513,601	\$1,697,506	\$1,748,514
Method of Financing:				
8042	Insurance Maint Tax Fees	\$939,360	\$1,267,457	\$1,263,452
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$939,360	\$1,267,457	\$1,263,452
Method of Financing:				
36	Dept Ins Operating Acct	\$515,546	\$421,900	\$475,420

III.A. STRATEGY LEVEL DETAIL
 83rd Regular Session, Fiscal Year 2014 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/22/2013
 TIME: 2:39:19PM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Promote Consumer Access to Affordable Insur Products W/in a Fair Mrkt
 OBJECTIVE: 2 Reduce Unfair & Illegal Insurer Practices
 STRATEGY: 3 Investigate Insurer Fraud and Refer Violations for Prosecution

Statewide Goal/Benchmark: 7 1
 Service Categories:
 Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2012	EXP 2013	BUD 2014
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$515,546	\$421,900	\$475,420
Method of Financing:				
555 Federal Funds				
	93.519.000 ACA - Consumer Assist Prog Grants	\$49,298	\$0	\$0
CFDA Subtotal, Fund	555	\$49,298	\$0	\$0
SUBTOTAL, MOF (FEDERAL FUNDS)		\$49,298	\$0	\$0
Method of Financing:				
666 Appropriated Receipts				
	777 Interagency Contracts	\$2,648	\$1,731	\$0
SUBTOTAL, MOF (OTHER FUNDS)		\$9,397	\$8,149	\$9,642
TOTAL, METHOD OF FINANCE :		\$1,513,601	\$1,697,506	\$1,748,514
FULL TIME EQUIVALENT POSITIONS :		25.0	26.5	27.6

III.A. STRATEGY LEVEL DETAIL
 83rd Regular Session, Fiscal Year 2014 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/22/2013
 TIME: 2:39:19PM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Promote Consumer Access to Affordable Insur Products W/in a Fair Mrkt
 OBJECTIVE: 2 Reduce Unfair & Illegal Insurer Practices
 STRATEGY: 4 Investigate Provider/Consumer Fraud & Refer Violations for Prosecution

Statewide Goal/Benchmark: 7 0
 Service Categories:
 Service: 17 Income: NA Age: NA

CODE	DESCRIPTION	EXP 2012	EXP 2013	BUD 2014
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Output Measures:

1	Dollar Amt of Restitution for Consumer/Provider Fraud Cases Referred	0.94	1.19	1.00
KEY 2	Number of Referrals of Alleged Consumer & Provider Fraud	112.00	112.00	110.00

Efficiency Measures:

1	Avg Number of Days Per Consumer or Provider Fraud Case Referred	307.00	246.00	265.00
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Explanatory/Input Measures:

1	Number of Reports of Consumer and Provider Fraud Received	9,823.00	9,784.00	7,959.00
2	Estimated \$ Amount (in Millions) of Consumer & Provider Fraud Referred	26.09	3.00	1.75

Objects of Expense:

1001	SALARIES AND WAGES	\$1,131,046	\$1,248,455	\$1,358,863
1002	OTHER PERSONNEL COSTS	\$38,400	\$38,385	\$22,760
2001	PROFESSIONAL FEES AND SERVICES	\$8,394	\$55,076	\$7,353
2003	CONSUMABLE SUPPLIES	\$7,368	\$8,971	\$9,661
2004	UTILITIES	\$6,905	\$12,075	\$14,025
2005	TRAVEL	\$49,503	\$50,624	\$52,065
2006	RENT - BUILDING	\$3,722	\$70,195	\$1,829
2007	RENT - MACHINE AND OTHER	\$8,526	\$7,969	\$6,332
2009	OTHER OPERATING EXPENSE	\$94,714	\$61,309	\$88,065
5000	CAPITAL EXPENDITURES	\$0	\$2,591	\$0
TOTAL, OBJECT OF EXPENSE		\$1,348,578	\$1,555,650	\$1,560,953

Method of Financing:

8042	Insurance Maint Tax Fees	\$815,806	\$1,111,792	\$1,113,435
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$815,806	\$1,111,792	\$1,113,435

III.A. STRATEGY LEVEL DETAIL
 83rd Regular Session, Fiscal Year 2014 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/22/2013
 TIME: 2:39:19PM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Promote Consumer Access to Affordable Insur Products W/in a Fair Mrkt
 OBJECTIVE: 2 Reduce Unfair & Illegal Insurer Practices
 STRATEGY: 4 Investigate Provider/Consumer Fraud & Refer Violations for Prosecution

Statewide Goal/Benchmark: 7 0
 Service Categories:
 Service: 17 Income: NA Age: NA

CODE	DESCRIPTION	EXP 2012	EXP 2013	BUD 2014
Method of Financing:				
	36 Dept Ins Operating Acct	\$447,736	\$370,084	\$418,970
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$447,736	\$370,084	\$418,970
Method of Financing:				
	555 Federal Funds			
	93.519.000 ACA - Consumer Assist Prog Grants	\$49,297	\$0	\$0
CFDA Subtotal, Fund	555	\$49,297	\$0	\$0
SUBTOTAL, MOF (FEDERAL FUNDS)		\$49,297	\$0	\$0
Method of Financing:				
	666 Appropriated Receipts	\$28,990	\$67,371	\$18,906
	777 Interagency Contracts	\$6,749	\$6,403	\$9,642
SUBTOTAL, MOF (OTHER FUNDS)		\$35,739	\$73,774	\$28,548
TOTAL, METHOD OF FINANCE :		\$1,348,578	\$1,555,650	\$1,560,953
FULL TIME EQUIVALENT POSITIONS :		20.6	21.7	23.3

III.A. STRATEGY LEVEL DETAIL
 83rd Regular Session, Fiscal Year 2014 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/22/2013
 TIME: 2:39:19PM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Promote Consumer Access to Affordable Insur Products W/in a Fair Mrkt
 OBJECTIVE: 2 Reduce Unfair & Illegal Insurer Practices
 STRATEGY: 5 Investigate Workers' Comp Fraud & Refer Violations for Prosecution

Statewide Goal/Benchmark: 7 0

Service Categories:

Service: 17 Income: NA Age: NA

CODE	DESCRIPTION	EXP 2012	EXP 2013	BUD 2014
Output Measures:				
1	# of Alleged WC Ins Fraud Referrals to State or Federal Prosecutors	31.00	12.00	40.00
2	Dollar Amount of Restitution for WC Fraud Cases Referred	0.53	0.04	0.20
Efficiency Measures:				
1	Average Number of Days Per WC Insurance Fraud Case Referred	358.00	253.00	200.00
Explanatory/Input Measures:				
1	Number of Reports of WC Insurance Fraud Received	2,221.00	1,972.00	1,592.00
Objects of Expense:				
1001	SALARIES AND WAGES	\$159,087	\$217,411	\$304,807
1002	OTHER PERSONNEL COSTS	\$1,860	\$4,240	\$3,640
2001	PROFESSIONAL FEES AND SERVICES	\$0	\$11,750	\$452
2003	CONSUMABLE SUPPLIES	\$564	\$605	\$950
2004	UTILITIES	\$1,906	\$4,611	\$3,876
2005	TRAVEL	\$1,836	\$1,513	\$8,115
2006	RENT - BUILDING	\$11,698	\$20,542	\$23,927
2007	RENT - MACHINE AND OTHER	\$2,753	\$2,624	\$3,369
2009	OTHER OPERATING EXPENSE	\$2,147	\$5,961	\$11,817
TOTAL, OBJECT OF EXPENSE		\$181,851	\$269,257	\$360,953
Method of Financing:				
36	Dept Ins Operating Acct	\$181,851	\$269,257	\$360,953
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$181,851	\$269,257	\$360,953
TOTAL, METHOD OF FINANCE :		\$181,851	\$269,257	\$360,953
FULL TIME EQUIVALENT POSITIONS :		3.4	4.3	6.0

III.A. STRATEGY LEVEL DETAIL
 83rd Regular Session, Fiscal Year 2014 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/22/2013
 TIME: 2:39:19PM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Promote Consumer Access to Affordable Insur Products W/in a Fair Mrkt
 OBJECTIVE: 3 Reduce Impediments to Competition and Improve Insurance Availability
 STRATEGY: 1 Process Rates, Forms & Licenses Promptly

Statewide Goal/Benchmark: 7 1
 Service Categories:
 Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2012	EXP 2013	BUD 2014
Output Measures:				
1	Number of Life/Health Filings Completed	21,446.00	22,064.00	21,785.00
2	Number of HMO Form Filings Completed	1,412.00	1,717.00	1,500.00
3	Number of Property and Casualty Rate and Form Filings Completed	17,840.00	18,551.00	16,000.00
Explanatory/Input Measures:				
1	Total of Number Licensed Agents	368,457.00	405,237.00	394,000.00
2	Number of Regulated Companies and Other Carriers	1,918.00	1,916.00	1,950.00
Objects of Expense:				
1001	SALARIES AND WAGES	\$9,497,083	\$8,670,370	\$10,031,126
1002	OTHER PERSONNEL COSTS	\$410,154	\$418,853	\$298,600
2001	PROFESSIONAL FEES AND SERVICES	\$96,760	\$159,181	\$47,743
2003	CONSUMABLE SUPPLIES	\$47,400	\$42,406	\$61,057
2004	UTILITIES	\$16,038	\$5,780	\$8,946
2005	TRAVEL	\$41,777	\$22,573	\$45,521
2006	RENT - BUILDING	\$4,128	\$0	\$0
2007	RENT - MACHINE AND OTHER	\$72,006	\$70,402	\$53,248
2009	OTHER OPERATING EXPENSE	\$700,922	\$255,126	\$527,485
TOTAL, OBJECT OF EXPENSE		\$10,886,268	\$9,644,691	\$11,073,726
Method of Financing:				
8042	Insurance Maint Tax Fees	\$6,430,742	\$7,159,976	\$8,046,093
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$6,430,742	\$7,159,976	\$8,046,093
Method of Financing:				
36	Dept Ins Operating Acct	\$3,529,364	\$2,383,350	\$3,027,633

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DATE: 11/22/2013
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Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Promote Consumer Access to Affordable Insur Products W/in a Fair Mrkt
 OBJECTIVE: 3 Reduce Impediments to Competition and Improve Insurance Availability
 STRATEGY: 1 Process Rates, Forms & Licenses Promptly

Statewide Goal/Benchmark: 7 1
 Service Categories:
 Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2012	EXP 2013	BUD 2014
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$3,529,364	\$2,383,350	\$3,027,633
Method of Financing:				
555 Federal Funds				
	93.511.000 ACA-Grnts St Hlth Ins Premium Revw	\$334,591	\$101,365	\$0
	93.519.000 ACA - Consumer Assist Prog Grants	\$591,571	\$0	\$0
CFDA Subtotal, Fund	555	\$926,162	\$101,365	\$0
SUBTOTAL, MOF (FEDERAL FUNDS)		\$926,162	\$101,365	\$0
TOTAL, METHOD OF FINANCE :		\$10,886,268	\$9,644,691	\$11,073,726
FULL TIME EQUIVALENT POSITIONS :		192.5	170.4	185.3

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DATE: 11/22/2013
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Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Promote Consumer Access to Affordable Insur Products W/in a Fair Mrkt
 OBJECTIVE: 3 Reduce Impediments to Competition and Improve Insurance Availability
 STRATEGY: 2 Promote Coverage in Underserved Markets

Statewide Goal/Benchmark: 7 1
 Service Categories:
 Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2012	EXP 2013	BUD 2014
Objects of Expense:				
1001	SALARIES AND WAGES	\$147,589	\$155,530	\$180,082
1002	OTHER PERSONNEL COSTS	\$6,060	\$0	\$0
2001	PROFESSIONAL FEES AND SERVICES	\$0	\$277	\$0
2003	CONSUMABLE SUPPLIES	\$516	\$519	\$38
2004	UTILITIES	\$174	\$156	\$559
2005	TRAVEL	\$0	\$205	\$1,069
2007	RENT - MACHINE AND OTHER	\$982	\$913	\$0
2009	OTHER OPERATING EXPENSE	\$3,051	\$1,923	\$3,289
TOTAL, OBJECT OF EXPENSE		\$158,372	\$159,523	\$185,037
Method of Financing:				
8042	Insurance Maint Tax Fees	\$102,253	\$119,684	\$134,447
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$102,253	\$119,684	\$134,447
Method of Financing:				
36	Dept Ins Operating Acct	\$56,119	\$39,839	\$50,590
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$56,119	\$39,839	\$50,590
TOTAL, METHOD OF FINANCE :		\$158,372	\$159,523	\$185,037
FULL TIME EQUIVALENT POSITIONS :		2.4	2.3	2.6

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Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Promote Consumer Access to Affordable Insur Products W/in a Fair Mrkt
 OBJECTIVE: 3 Reduce Impediments to Competition and Improve Insurance Availability
 STRATEGY: 3 Texas.gov. Estimated and Nontransferable

Statewide Goal/Benchmark: 7 7
 Service Categories:
 Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2012	EXP 2013	BUD 2014
Objects of Expense:				
	2009 OTHER OPERATING EXPENSE	\$473,651	\$459,963	\$380,000
	TOTAL, OBJECT OF EXPENSE	\$473,651	\$459,963	\$380,000
Method of Financing:				
	1 General Revenue Fund	\$6,669	\$5,364	\$6,520
	SUBTOTAL, MOF (GENERAL REVENUE FUNDS)	\$6,669	\$5,364	\$6,520
Method of Financing:				
	36 Dept Ins Operating Acct	\$466,982	\$454,599	\$373,480
	SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)	\$466,982	\$454,599	\$373,480
	TOTAL, METHOD OF FINANCE :	\$473,651	\$459,963	\$380,000
	FULL TIME EQUIVALENT POSITIONS :	0.0	0.0	0.0

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Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Promote Consumer Access to Affordable Insur Products W/in a Fair Mrkt
 OBJECTIVE: 3 Reduce Impediments to Competition and Improve Insurance Availability
 STRATEGY: 4 Regulate Private Employers that Qualify to Self-Ins w/in the WC System

Statewide Goal/Benchmark: 7 5
 Service Categories:
 Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2012	EXP 2013	BUD 2014
Efficiency Measures:				
1	Average Cost Per Certified Self-Insured Certificate Holder	18,309.00	19,374.00	19,000.00
Explanatory/Input Measures:				
1	% Market Share of Self-insurance to Total WC Insurance Market	7.00 %	6.93 %	9.00 %
Objects of Expense:				
1001	SALARIES AND WAGES	\$492,663	\$470,946	\$553,310
1002	OTHER PERSONNEL COSTS	\$17,120	\$28,555	\$16,060
2001	PROFESSIONAL FEES AND SERVICES	\$0	\$0	\$1,750
2003	CONSUMABLE SUPPLIES	\$1,302	\$1,402	\$3,581
2004	UTILITIES	\$2,062	\$4,217	\$4,824
2005	TRAVEL	\$7,752	\$7,921	\$11,085
2006	RENT - BUILDING	\$23,397	\$45,162	\$43,920
2007	RENT - MACHINE AND OTHER	\$5,506	\$5,737	\$6,215
2009	OTHER OPERATING EXPENSE	\$22,606	\$24,658	\$55,903
TOTAL, OBJECT OF EXPENSE		\$572,408	\$588,598	\$696,648
Method of Financing:				
36	Dept Ins Operating Acct	\$572,408	\$588,598	\$696,648
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$572,408	\$588,598	\$696,648
TOTAL, METHOD OF FINANCE :		\$572,408	\$588,598	\$696,648
FULL TIME EQUIVALENT POSITIONS :		9.9	9.6	11.0

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DATE: 11/22/2013
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Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Promote Consumer Access to Affordable Insur Products W/in a Fair Mrkt
 OBJECTIVE: 4 Administer Innovative Insurance Initiatives
 STRATEGY: 1 Support the State's Long-term Care Partnership Initiatives

Statewide Goal/Benchmark: 7 0
 Service Categories:
 Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2012	EXP 2013	BUD 2014
Objects of Expense:				
1001	SALARIES AND WAGES	\$159,081	\$160,483	\$167,562
1002	OTHER PERSONNEL COSTS	\$2,140	\$2,600	\$3,320
2001	PROFESSIONAL FEES AND SERVICES	\$0	\$322	\$0
2003	CONSUMABLE SUPPLIES	\$391	\$599	\$0
2007	RENT - MACHINE AND OTHER	\$737	\$1,061	\$0
2009	OTHER OPERATING EXPENSE	\$2,401	\$2,158	\$2,976
TOTAL, OBJECT OF EXPENSE		\$164,750	\$167,223	\$173,858
Method of Financing:				
8042	Insurance Maint Tax Fees	\$164,750	\$167,223	\$173,858
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$164,750	\$167,223	\$173,858
TOTAL, METHOD OF FINANCE :		\$164,750	\$167,223	\$173,858
FULL TIME EQUIVALENT POSITIONS :		3.0	3.0	3.0

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Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Promote Consumer Access to Affordable Insur Products W/in a Fair Mrkt
 OBJECTIVE: 4 Administer Innovative Insurance Initiatives
 STRATEGY: 2 Administer Three-Share Grant Program

Statewide Goal/Benchmark: 7 0
 Service Categories:
 Service: 17 Income: NA Age: NA

CODE	DESCRIPTION	EXP 2012	EXP 2013	BUD 2014
Objects of Expense:				
1001	SALARIES AND WAGES	\$53,772	\$47,112	\$55,612
1002	OTHER PERSONNEL COSTS	\$260	\$220	\$460
2001	PROFESSIONAL FEES AND SERVICES	\$0	\$179	\$0
2003	CONSUMABLE SUPPLIES	\$188	\$366	\$0
2007	RENT - MACHINE AND OTHER	\$338	\$648	\$0
2009	OTHER OPERATING EXPENSE	\$1,118	\$702	\$1,511
4000	GRANTS	\$358,658	\$386,381	\$1,886,381
TOTAL, OBJECT OF EXPENSE		\$414,334	\$435,608	\$1,943,964
Method of Financing:				
36	Dept Ins Operating Acct	\$414,334	\$435,608	\$443,964
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$414,334	\$435,608	\$443,964
Method of Financing:				
666	Appropriated Receipts	\$0	\$0	\$1,500,000
SUBTOTAL, MOF (OTHER FUNDS)		\$0	\$0	\$1,500,000
TOTAL, METHOD OF FINANCE :		\$414,334	\$435,608	\$1,943,964
FULL TIME EQUIVALENT POSITIONS :		1.4	1.0	1.0

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Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Promote Consumer Access to Affordable Insur Products W/in a Fair Mrkt
 OBJECTIVE: 4 Administer Innovative Insurance Initiatives
 STRATEGY: 3 Promote the Healthy Texas program

Statewide Goal/Benchmark: 7 0
 Service Categories:
 Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2012	EXP 2013	BUD 2014
Objects of Expense:				
1001	SALARIES AND WAGES	\$221,667	\$83,478	\$0
1002	OTHER PERSONNEL COSTS	\$14,038	\$520	\$0
2001	PROFESSIONAL FEES AND SERVICES	\$1,416,261	\$325,588	\$75,000
2005	TRAVEL	\$2,997	\$0	\$0
2006	RENT - BUILDING	\$375	\$0	\$0
2007	RENT - MACHINE AND OTHER	\$0	\$1,193	\$0
2009	OTHER OPERATING EXPENSE	\$9,200,356	\$19,291,905	\$16,026,585
TOTAL, OBJECT OF EXPENSE		\$10,855,694	\$19,702,684	\$16,101,585
Method of Financing:				
8042	Insurance Maint Tax Fees	\$75,845	\$19,867	\$75,000
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$75,845	\$19,867	\$75,000
Method of Financing:				
329	Healthy TX Sm Emp Prem Stabil. Fund	\$2,922,163	\$14,880,272	\$16,026,585
777	Interagency Contracts	\$7,857,686	\$4,802,545	\$0
SUBTOTAL, MOF (OTHER FUNDS)		\$10,779,849	\$19,682,817	\$16,026,585
TOTAL, METHOD OF FINANCE :		\$10,855,694	\$19,702,684	\$16,101,585
FULL TIME EQUIVALENT POSITIONS :		3.4	1.1	0.0

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Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Promote Consumer Access to Affordable Insur Products W/in a Fair Mrkt
 OBJECTIVE: 5 Assure Loss Control Services & Windstorm Inspections
 STRATEGY: 1 Inspect Loss Control Programs & Assure Code & Schedule Compliance

Statewide Goal/Benchmark: 7 0

Service Categories:

Service: 17 Income: NA Age: NA

CODE	DESCRIPTION	EXP 2012	EXP 2013	BUD 2014
Output Measures:				
KEY 1	Number of Windstorm Inspections Completed	7,308.00	9,409.00	7,500.00
KEY 2	Number of Inspections of Insurer Loss Control Programs Completed	201.00	205.00	200.00
Efficiency Measures:				
KEY 1	Average Cost Per Windstorm Inspection	94.00	84.00	83.00
Objects of Expense:				
1001	SALARIES AND WAGES	\$2,106,503	\$2,006,255	\$2,255,666
1002	OTHER PERSONNEL COSTS	\$89,956	\$87,278	\$68,200
2001	PROFESSIONAL FEES AND SERVICES	\$83	\$10,319	\$0
2003	CONSUMABLE SUPPLIES	\$9,740	\$9,734	\$12,207
2004	UTILITIES	\$20,194	\$12,333	\$16,570
2005	TRAVEL	\$130,165	\$140,843	\$152,244
2006	RENT - BUILDING	\$77,746	\$82,082	\$81,831
2007	RENT - MACHINE AND OTHER	\$18,017	\$16,264	\$11,712
2009	OTHER OPERATING EXPENSE	\$66,363	\$44,143	\$104,163
TOTAL, OBJECT OF EXPENSE		\$2,518,767	\$2,409,251	\$2,702,593
Method of Financing:				
8042	Insurance Maint Tax Fees	\$1,626,242	\$1,807,565	\$1,963,685
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$1,626,242	\$1,807,565	\$1,963,685
Method of Financing:				
36	Dept Ins Operating Acct	\$892,525	\$601,686	\$738,908
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$892,525	\$601,686	\$738,908

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DATE: 11/22/2013
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Agency code: **454** Agency name: **Department of Insurance**

GOAL: 1 Promote Consumer Access to Affordable Insur Products W/in a Fair Mrkt
 OBJECTIVE: 5 Assure Loss Control Services & Windstorm Inspections
 STRATEGY: 1 Inspect Loss Control Programs & Assure Code & Schedule Compliance

Statewide Goal/Benchmark: 7 0

Service Categories:

Service: 17 Income: NA Age: NA

CODE	DESCRIPTION	EXP 2012	EXP 2013	BUD 2014
TOTAL, METHOD OF FINANCE :		\$2,518,767	\$2,409,251	\$2,702,593
FULL TIME EQUIVALENT POSITIONS :		44.2	40.8	45.6

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DATE: 11/22/2013
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Agency code: **454** Agency name: **Department of Insurance**

GOAL: 2 Promote Financial Strength of Ins. Industry Statewide Goal/Benchmark: 7 1
OBJECTIVE: 1 Regulate Insurance Industry Solvency Service Categories:
STRATEGY: 1 Analyze the Financial Condition of Insurers and Take Solvency Action Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2012	EXP 2013	BUD 2014
Output Measures:				
1	Number of Reviews of Annual and Interim Financial Statements Completed	827.00	768.00	750.00
Explanatory/Input Measures:				
KEY 1	Dollar Amount (in Millions) of Insurance Company Insolvencies	0.00	17.83	50.00
2	Number of Entities Receiving TDI Solvency-related Intervention	8.00	4.00	5.00
Objects of Expense:				
1001	SALARIES AND WAGES	\$9,947,446	\$5,239,444	\$6,222,693
1002	OTHER PERSONNEL COSTS	\$309,399	\$178,485	\$152,580
2001	PROFESSIONAL FEES AND SERVICES	\$9,349	\$69,301	\$2,670
2003	CONSUMABLE SUPPLIES	\$33,927	\$35,334	\$44,979
2004	UTILITIES	\$16,636	\$9,342	\$12,444
2005	TRAVEL	\$677,728	\$95,602	\$219,066
2006	RENT - BUILDING	\$53,305	\$65,663	\$78,020
2007	RENT - MACHINE AND OTHER	\$73,326	\$61,549	\$49,972
2009	OTHER OPERATING EXPENSE	\$473,566	\$347,294	\$532,882
TOTAL, OBJECT OF EXPENSE		\$11,594,682	\$6,102,014	\$7,315,306
Method of Financing:				
8042	Insurance Maint Tax Fees	\$7,459,470	\$4,484,315	\$5,224,427
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$7,459,470	\$4,484,315	\$5,224,427
Method of Financing:				
36	Dept Ins Operating Acct	\$4,093,957	\$1,492,699	\$1,965,879
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$4,093,957	\$1,492,699	\$1,965,879
Method of Financing:				

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Agency code: **454** Agency name: **Department of Insurance**

GOAL: 2 Promote Financial Strength of Ins. Industry

Statewide Goal/Benchmark: 7 1

OBJECTIVE: 1 Regulate Insurance Industry Solvency

Service Categories:

STRATEGY: 1 Analyze the Financial Condition of Insurers and Take Solvency Action

Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2012	EXP 2013	BUD 2014
666	Appropriated Receipts	\$41,255	\$125,000	\$125,000
SUBTOTAL, MOF (OTHER FUNDS)		\$41,255	\$125,000	\$125,000
TOTAL, METHOD OF FINANCE :		\$11,594,682	\$6,102,014	\$7,315,306
FULL TIME EQUIVALENT POSITIONS :		161.9	113.3	99.1

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Agency code: **454** Agency name: **Department of Insurance**

GOAL: 3 Reduce Loss of Life & Property Due to Fire Statewide Goal/Benchmark: 7 1
 OBJECTIVE: 1 Protect the Public from Loss of Life and Property due to fire Service Categories:
 STRATEGY: 1 Provide Fire Protection through Education, Enforcement and Engineering Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2012	EXP 2013	BUD 2014
Output Measures:				
	1 # of Individuals Attending SFMO Fire Prevention/Safety Presentations	1,954.00	5,404.00	11,250.00
KEY	2 Number of Fire Investigations Completed	483.00	364.00	507.00
	3 Number of Samples Analyzed in the Arson Lab	2,566.00	2,965.00	2,300.00
	4 Number of SFMO Criminal Referrals to Prosecution	51.00	41.00	110.00
KEY	5 Number of SFMO Registrations, Licenses, & Permits Issued	13,378.00	13,874.00	12,500.00
	6 Number of Licensing Investigations or Inspections Conducted	702.00	640.00	550.00
	7 Number of Buildings Inspected or Reinspected for Fire Safety Hazards	5,275.00	5,471.00	4,650.00
	8 # of Communities Accepting a SFMO Fire Prevention Program	105.00	23.00	40.00
Efficiency Measures:				
	1 Average Cost Per Fire Safety Inspection	150.00	155.00	145.00
	2 AverageTime to Complete Fire Investigations	194.00	117.00	150.00
Objects of Expense:				
	1001 SALARIES AND WAGES	\$3,039,978	\$3,371,883	\$3,841,473
	1002 OTHER PERSONNEL COSTS	\$143,073	\$186,611	\$109,720
	2001 PROFESSIONAL FEES AND SERVICES	\$114,361	\$90,827	\$3,500
	2002 FUELS AND LUBRICANTS	\$131,188	\$166,697	\$101,500
	2003 CONSUMABLE SUPPLIES	\$32,784	\$39,606	\$43,302
	2004 UTILITIES	\$85,850	\$45,647	\$45,420
	2005 TRAVEL	\$129,075	\$272,515	\$169,959
	2006 RENT - BUILDING	\$0	\$314	\$7,693
	2007 RENT - MACHINE AND OTHER	\$24,033	\$26,429	\$18,830
	2009 OTHER OPERATING EXPENSE	\$496,962	\$343,888	\$426,430
	5000 CAPITAL EXPENDITURES	\$67,510	\$299,494	\$0
	TOTAL, OBJECT OF EXPENSE	\$4,264,814	\$4,843,911	\$4,767,827

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Agency code: **454** Agency name: **Department of Insurance**

GOAL: 3 Reduce Loss of Life & Property Due to Fire Statewide Goal/Benchmark: 7 1
 OBJECTIVE: 1 Protect the Public from Loss of Life and Property due to fire Service Categories:
 STRATEGY: 1 Provide Fire Protection through Education, Enforcement and Engineering Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2012	EXP 2013	BUD 2014
Method of Financing:				
1	General Revenue Fund	\$7,448	\$20,526	\$0
8042	Insurance Maint Tax Fees	\$2,438,001	\$3,554,539	\$3,381,020
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$2,445,449	\$3,575,065	\$3,381,020
Method of Financing:				
36	Dept Ins Operating Acct	\$1,338,040	\$1,183,204	\$1,272,231
5138	Fire Prevention And Public Safety	\$88,524	\$65,830	\$0
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$1,426,564	\$1,249,034	\$1,272,231
Method of Financing:				
369	Fed Recovery & Reinvestment Fund			
16.803.000	Byrne Justice Grants - Stimulus	\$79,504	\$0	\$0
CFDA Subtotal, Fund	369	\$79,504	\$0	\$0
555	Federal Funds			
16.738.000	Justice Assistance Grant	\$0	\$0	\$99,999
97.044.000	Assistance to Firefighters Grant	\$277,502	\$0	\$0
CFDA Subtotal, Fund	555	\$277,502	\$0	\$99,999
SUBTOTAL, MOF (FEDERAL FUNDS)		\$357,006	\$0	\$99,999
Method of Financing:				
444	Interagency Contracts - CJG	\$35,795	\$0	\$0
666	Appropriated Receipts	\$0	\$19,812	\$14,577
SUBTOTAL, MOF (OTHER FUNDS)		\$35,795	\$19,812	\$14,577

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Agency code: **454** Agency name: **Department of Insurance**

GOAL: 4 Effectively Regulate the Texas Workers' Compensation System Statewide Goal/Benchmark: 7 5
 OBJECTIVE: 1 Ensure Appropriate Delivery of Workers' Compensation Benefits Service Categories:
 STRATEGY: 1 Oversee Activities of System Participants and Take Enforcement Action Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2012	EXP 2013	BUD 2014
Output Measures:				
1	# of Workers' Compensation Enforcement Cases Concluded with Action	236.00	240.00	220.00
2	\$ of Penalties Assessed for Violations for WC Statues and Rules	1,113,062.00	990,370.00	750,000.00
3	\$ Returned to WC System Participants through Complaint Resolution	502,663.00	528,718.00	400,000.00
KEY 4	Number of Quality of Care Reviews Completed	107.00	334.00	97.00
KEY 5	# of Complaints Closed Involving WC System Participants	6,356.00	4,120.00	6,308.00
6	Number of Performance Reviews Completed	65.00	65.00	65.00
Efficiency Measures:				
KEY 1	AVG # Days Per Q of C Reviews of Provider Carriers/URAS/IROS Completed	143.00	82.00	180.00
KEY 2	AVG # of Days to Close a Complaint Involving WC System	70.00	68.00	120.00
3	Average Number of Days to Complete a Performance Review	125.00	127.00	180.00
Objects of Expense:				
1001	SALARIES AND WAGES	\$4,063,295	\$4,057,854	\$4,391,257
1002	OTHER PERSONNEL COSTS	\$138,539	\$191,964	\$137,600
2001	PROFESSIONAL FEES AND SERVICES	\$458,278	\$537,933	\$517,460
2003	CONSUMABLE SUPPLIES	\$9,886	\$10,243	\$16,283
2004	UTILITIES	\$20,337	\$33,028	\$36,144
2005	TRAVEL	\$23,053	\$17,764	\$69,149
2006	RENT - BUILDING	\$202,486	\$350,659	\$327,107
2007	RENT - MACHINE AND OTHER	\$47,535	\$45,051	\$46,235
2009	OTHER OPERATING EXPENSE	\$89,848	\$112,953	\$284,575
TOTAL, OBJECT OF EXPENSE		\$5,053,257	\$5,357,449	\$5,825,810
Method of Financing:				
36	Dept Ins Operating Acct	\$4,850,751	\$5,121,611	\$5,552,285

III.A. STRATEGY LEVEL DETAIL
 83rd Regular Session, Fiscal Year 2014 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/22/2013
 TIME: 2:39:19PM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 4 Effectively Regulate the Texas Workers' Compensation System Statewide Goal/Benchmark: 7 5
 OBJECTIVE: 1 Ensure Appropriate Delivery of Workers' Compensation Benefits Service Categories:
 STRATEGY: 1 Oversee Activities of System Participants and Take Enforcement Action Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2012	EXP 2013	BUD 2014
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$4,850,751	\$5,121,611	\$5,552,285
Method of Financing:				
	666 Appropriated Receipts	\$202,506	\$235,838	\$273,525
SUBTOTAL, MOF (OTHER FUNDS)		\$202,506	\$235,838	\$273,525
TOTAL, METHOD OF FINANCE :		\$5,053,257	\$5,357,449	\$5,825,810
FULL TIME EQUIVALENT POSITIONS :		78.3	75.3	77.8

III.A. STRATEGY LEVEL DETAIL
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 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/22/2013
 TIME: 2:39:19PM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 4 Effectively Regulate the Texas Workers' Compensation System Statewide Goal/Benchmark: 7 5
 OBJECTIVE: 1 Ensure Appropriate Delivery of Workers' Compensation Benefits Service Categories:
 STRATEGY: 2 Resolve Indemnity, Medical Fee and Medical Necessity Disputes Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2012	EXP 2013	BUD 2014
Output Measures:				
1	Number of Benefit Review Conferences Held	14,342.00	15,755.00	15,900.00
2	Number of Contested Case Hearings Held	5,924.00	7,438.00	7,500.00
3	Number of Appellate Decisions Issued	2,366.00	3,009.00	3,000.00
Efficiency Measures:				
KEY 1	Average Number of Days to Resolve a Medical Fee Dispute	1,448.00	1,092.00	300.00
KEY 2	Avg # of Days to Resolve Indemnity Disputes Via Resolution Proceedings	113.00	133.00	135.00
Explanatory/Input Measures:				
1	Number of Initial Benefit Review Conferences Set	12,474.00	12,403.00	14,200.00
2	Number of Medical Fee Disputes Received	3,676.00	3,457.00	5,800.00
Objects of Expense:				
1001	SALARIES AND WAGES	\$10,231,177	\$10,206,938	\$10,930,274
1002	OTHER PERSONNEL COSTS	\$443,825	\$605,712	\$418,260
2001	PROFESSIONAL FEES AND SERVICES	\$214,108	\$318,809	\$2,156,295
2003	CONSUMABLE SUPPLIES	\$89,308	\$96,606	\$122,514
2004	UTILITIES	\$64,499	\$28,594	\$51,229
2005	TRAVEL	\$384,241	\$444,357	\$248,088
2006	RENT - BUILDING	\$1,337,231	\$946,107	\$1,156,113
2007	RENT - MACHINE AND OTHER	\$165,853	\$161,776	\$169,739
2009	OTHER OPERATING EXPENSE	\$456,806	\$537,663	\$779,190
TOTAL, OBJECT OF EXPENSE		\$13,387,048	\$13,346,562	\$16,031,702
Method of Financing:				
36	Dept Ins Operating Acct	\$13,356,649	\$13,263,051	\$15,862,560
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$13,356,649	\$13,263,051	\$15,862,560

III.A. STRATEGY LEVEL DETAIL
 83rd Regular Session, Fiscal Year 2014 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/22/2013
 TIME: 2:39:19PM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 4 Effectively Regulate the Texas Workers' Compensation System
 OBJECTIVE: 1 Ensure Appropriate Delivery of Workers' Compensation Benefits
 STRATEGY: 2 Resolve Indemnity, Medical Fee and Medical Necessity Disputes

Statewide Goal/Benchmark: 7 5
 Service Categories:
 Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2012	EXP 2013	BUD 2014
Method of Financing:				
	666 Appropriated Receipts	\$30,399	\$83,511	\$169,142
SUBTOTAL, MOF (OTHER FUNDS)		\$30,399	\$83,511	\$169,142
TOTAL, METHOD OF FINANCE :		\$13,387,048	\$13,346,562	\$16,031,702
FULL TIME EQUIVALENT POSITIONS :		235.8	232.5	245.4

III.A. STRATEGY LEVEL DETAIL
 83rd Regular Session, Fiscal Year 2014 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/22/2013
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Agency code: **454** Agency name: **Department of Insurance**

GOAL: 4 Effectively Regulate the Texas Workers' Compensation System
 OBJECTIVE: 1 Ensure Appropriate Delivery of Workers' Compensation Benefits
 STRATEGY: 3 Administer Subsequent Injury Fund

Statewide Goal/Benchmark: 7 5
 Service Categories:
 Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2012	EXP 2013	BUD 2014
Output Measures:				
KEY 1	# of Injured Workers Receiving Lifetime Income Benefits through SIF	33.00	34.00	43.00
Efficiency Measures:				
1	Avg Days from Receipt of SIF Request for Reimbursement to Payment	44.00	28.00	62.00
Objects of Expense:				
1001	SALARIES AND WAGES	\$37,580	\$38,229	\$42,733
1002	OTHER PERSONNEL COSTS	\$1,440	\$1,660	\$1,820
2001	PROFESSIONAL FEES AND SERVICES	\$9,250	\$59	\$0
2003	CONSUMABLE SUPPLIES	\$151	\$162	\$0
2004	UTILITIES	\$275	\$498	\$468
2005	TRAVEL	\$295	\$0	\$0
2006	RENT - BUILDING	\$3,120	\$5,332	\$4,261
2007	RENT - MACHINE AND OTHER	\$734	\$700	\$0
2009	OTHER OPERATING EXPENSE	\$5,188,180	\$5,754,835	\$4,420,932
TOTAL, OBJECT OF EXPENSE		\$5,241,025	\$5,801,475	\$4,470,214
Method of Financing:				
36	Dept Ins Operating Acct	\$58,143	\$52,281	\$50,074
5101	Subsequent Injury Fund	\$5,182,882	\$5,749,194	\$4,420,140
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$5,241,025	\$5,801,475	\$4,470,214
TOTAL, METHOD OF FINANCE :		\$5,241,025	\$5,801,475	\$4,470,214
FULL TIME EQUIVALENT POSITIONS :		1.1	1.1	1.1

III.A. STRATEGY LEVEL DETAIL
 83rd Regular Session, Fiscal Year 2014 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/22/2013
 TIME: 2:39:19PM

Agency code: **454** Agency name: **Department of Insurance**

GOAL: 4 Effectively Regulate the Texas Workers' Compensation System Statewide Goal/Benchmark: 7 5
 OBJECTIVE: 2 Ensure Workers' Comp System Participants are Educated and Informed Service Categories:
 STRATEGY: 1 Provide Educational Services&WPS Consultations to System Participants Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2012	EXP 2013	BUD 2014
Output Measures:				
KEY 1	Number of Consultations and Inspections Provided to Employers	3,287.00	2,953.00	3,000.00
2	Number of Divisions of Workers' Compensation Presentations Made	332.00	315.00	250.00
3	# RTW, Provider, WPS Educational Products & Services	5,454,396.00	4,637,605.00	3,500,000.00
4	Number of WC Income Benefit Recipients Referred to DARS	26,380.00	22,214.00	20,000.00
Efficiency Measures:				
1	Average Cost Per Consultation and Inspection	874.00	984.00	850.00
Explanatory/Input Measures:				
1	Incidence of Injuries/Illness per 100 FTEs in the Private Sector	2.70	2.70	3.50
KEY 2	Number of Workplace Safety Hazards Identified	6,307.00	7,537.00	7,500.00
Objects of Expense:				
1001	SALARIES AND WAGES	\$2,629,031	\$2,595,387	\$3,003,332
1002	OTHER PERSONNEL COSTS	\$104,266	\$126,037	\$85,940
2001	PROFESSIONAL FEES AND SERVICES	\$11,637	\$46,625	\$0
2003	CONSUMABLE SUPPLIES	\$13,834	\$12,636	\$12,932
2004	UTILITIES	\$19,431	\$22,589	\$17,460
2005	TRAVEL	\$232,582	\$225,580	\$150,370
2006	RENT - BUILDING	\$293,387	\$297,303	\$296,465
2007	RENT - MACHINE AND OTHER	\$40,752	\$38,682	\$39,421
2009	OTHER OPERATING EXPENSE	\$152,971	\$168,862	\$287,151
3001	CLIENT SERVICES	\$3,930	\$0	\$0
5000	CAPITAL EXPENDITURES	\$0	\$46,550	\$0
TOTAL, OBJECT OF EXPENSE		\$3,501,821	\$3,580,251	\$3,893,071

Method of Financing:

III.A. STRATEGY LEVEL DETAIL
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 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/22/2013
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Agency code: **454** Agency name: **Department of Insurance**

GOAL: 4 Effectively Regulate the Texas Workers' Compensation System Statewide Goal/Benchmark: 7 5
 OBJECTIVE: 2 Ensure Workers' Comp System Participants are Educated and Informed Service Categories:
 STRATEGY: 1 Provide Educational Services&WPS Consultations to System Participants Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2012	EXP 2013	BUD 2014
	36 Dept Ins Operating Acct	\$1,306,114	\$1,323,023	\$1,656,002
	SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)	\$1,306,114	\$1,323,023	\$1,656,002
Method of Financing:				
	555 Federal Funds			
	17.005.001 OSHA BUREAU OF LABOR STATISTICS	\$171,392	\$184,876	\$181,069
	17.504.001 OSHA Consultation Agreements	\$1,885,337	\$1,936,991	\$2,009,190
	17.504.002 OSHA Consultation Agreements	\$61,959	\$34,479	\$0
	CFDA Subtotal, Fund 555	\$2,118,688	\$2,156,346	\$2,190,259
	SUBTOTAL, MOF (FEDERAL FUNDS)	\$2,118,688	\$2,156,346	\$2,190,259
Method of Financing:				
	666 Appropriated Receipts	\$77,019	\$100,882	\$46,810
	SUBTOTAL, MOF (OTHER FUNDS)	\$77,019	\$100,882	\$46,810
	TOTAL, METHOD OF FINANCE :	\$3,501,821	\$3,580,251	\$3,893,071
	FULL TIME EQUIVALENT POSITIONS :	65.1	63.6	70.6

III.A. STRATEGY LEVEL DETAIL
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 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/22/2013
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Agency code: **454** Agency name: **Department of Insurance**

GOAL: 4 Effectively Regulate the Texas Workers' Compensation System Statewide Goal/Benchmark: 7 5
 OBJECTIVE: 2 Ensure Workers' Comp System Participants are Educated and Informed Service Categories:
 STRATEGY: 2 Provide Customer Assistance & Records Admin for System Participants Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2012	EXP 2013	BUD 2014
Output Measures:				
1	Number of Injury Records in Which Indemnity Benefits are Initiated	57,784.00	60,532.00	65,800.00
Explanatory/Input Measures:				
1	Number of Documents Received Electronically by the DWC	5,267,423.00	7,610,819.00	4,500,000.00
Objects of Expense:				
1001	SALARIES AND WAGES	\$3,613,082	\$3,773,427	\$4,019,152
1002	OTHER PERSONNEL COSTS	\$169,973	\$262,690	\$173,860
2001	PROFESSIONAL FEES AND SERVICES	\$22,432	\$332,163	\$0
2003	CONSUMABLE SUPPLIES	\$49,407	\$52,018	\$61,186
2004	UTILITIES	\$35,113	\$54,069	\$38,910
2005	TRAVEL	\$34,085	\$53,843	\$28,188
2006	RENT - BUILDING	\$858,305	\$858,533	\$803,621
2007	RENT - MACHINE AND OTHER	\$79,219	\$74,314	\$82,873
2009	OTHER OPERATING EXPENSE	\$184,615	\$225,403	\$334,795
TOTAL, OBJECT OF EXPENSE		\$5,046,231	\$5,686,460	\$5,542,585
Method of Financing:				
36	Dept Ins Operating Acct	\$4,896,173	\$5,534,922	\$5,333,585
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$4,896,173	\$5,534,922	\$5,333,585
Method of Financing:				
666	Appropriated Receipts	\$150,058	\$151,538	\$209,000
SUBTOTAL, MOF (OTHER FUNDS)		\$150,058	\$151,538	\$209,000

III.A. STRATEGY LEVEL DETAIL
 83rd Regular Session, Fiscal Year 2014 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/22/2013
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Agency code: **454** Agency name: **Department of Insurance**

GOAL: 4 Effectively Regulate the Texas Workers' Compensation System
 OBJECTIVE: 2 Ensure Workers' Comp System Participants are Educated and Informed
 STRATEGY: 2 Provide Customer Assistance & Records Admin for System Participants

Statewide Goal/Benchmark: 7 5
 Service Categories:
 Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2012	EXP 2013	BUD 2014
TOTAL, METHOD OF FINANCE :		\$5,046,231	\$5,686,460	\$5,542,585
FULL TIME EQUIVALENT POSITIONS :		114.1	113.4	122.0

III.A. STRATEGY LEVEL DETAIL
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 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/22/2013
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Agency code: **454** Agency name: **Department of Insurance**

GOAL: 5 Indirect Administration
 OBJECTIVE: 1 Indirect Administration
 STRATEGY: 1 Central Administration

Statewide Goal/Benchmark: 7 0
 Service Categories:
 Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2012	EXP 2013	BUD 2014
Objects of Expense:				
1001	SALARIES AND WAGES	\$7,551,744	\$7,218,819	\$8,278,457
1002	OTHER PERSONNEL COSTS	\$372,468	\$335,178	\$207,820
2001	PROFESSIONAL FEES AND SERVICES	\$319,687	\$316,082	\$201,303
2003	CONSUMABLE SUPPLIES	\$23,123	\$27,291	\$35,128
2004	UTILITIES	\$26,399	\$32,389	\$31,175
2005	TRAVEL	\$55,345	\$51,515	\$128,072
2006	RENT - BUILDING	\$151,261	\$261,734	\$230,045
2007	RENT - MACHINE AND OTHER	\$59,093	\$58,220	\$51,773
2009	OTHER OPERATING EXPENSE	\$405,123	\$674,056	\$686,636
TOTAL, OBJECT OF EXPENSE		\$8,964,243	\$8,975,284	\$9,850,409
Method of Financing:				
1	General Revenue Fund	\$260,792	\$354,567	\$224,406
8042	Insurance Maint Tax Fees	\$3,275,322	\$3,696,706	\$4,037,000
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$3,536,114	\$4,051,273	\$4,261,406
Method of Financing:				
36	Dept Ins Operating Acct	\$5,428,129	\$4,924,011	\$5,589,003
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$5,428,129	\$4,924,011	\$5,589,003
TOTAL, METHOD OF FINANCE :		\$8,964,243	\$8,975,284	\$9,850,409
FULL TIME EQUIVALENT POSITIONS :		126.5	119.1	127.8

III.A. STRATEGY LEVEL DETAIL
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 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/22/2013
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Agency code: **454** Agency name: **Department of Insurance**

GOAL: 5 Indirect Administration
 OBJECTIVE: 1 Indirect Administration
 STRATEGY: 2 Information Resources

Statewide Goal/Benchmark: 7 0

Service Categories:

Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2012	EXP 2013	BUD 2014
Objects of Expense:				
1001	SALARIES AND WAGES	\$4,549,484	\$4,223,859	\$5,052,594
1002	OTHER PERSONNEL COSTS	\$232,012	\$204,949	\$146,880
2001	PROFESSIONAL FEES AND SERVICES	\$3,152,239	\$3,850,727	\$3,792,994
2003	CONSUMABLE SUPPLIES	\$13,738	\$14,347	\$35,933
2004	UTILITIES	\$551,064	\$661,197	\$1,453,495
2005	TRAVEL	\$4,623	\$17,426	\$24,010
2006	RENT - BUILDING	\$81,732	\$151,951	\$147,657
2007	RENT - MACHINE AND OTHER	\$37,742	\$36,635	\$33,776
2009	OTHER OPERATING EXPENSE	\$795,665	\$1,630,638	\$2,152,182
5000	CAPITAL EXPENDITURES	\$9,360	\$150,778	\$25,088
TOTAL, OBJECT OF EXPENSE		\$9,427,659	\$10,942,507	\$12,864,609
Method of Financing:				
8042	Insurance Maint Tax Fees	\$3,360,716	\$4,502,292	\$5,760,043
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$3,360,716	\$4,502,292	\$5,760,043
Method of Financing:				
36	Dept Ins Operating Acct	\$6,066,943	\$6,440,215	\$7,104,566
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$6,066,943	\$6,440,215	\$7,104,566
TOTAL, METHOD OF FINANCE :		\$9,427,659	\$10,942,507	\$12,864,609
FULL TIME EQUIVALENT POSITIONS :		80.7	74.4	86.0

III.A. STRATEGY LEVEL DETAIL
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DATE: 11/22/2013
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Agency code: **454** Agency name: **Department of Insurance**

GOAL: 5 Indirect Administration
 OBJECTIVE: 1 Indirect Administration
 STRATEGY: 3 Other Support Services

Statewide Goal/Benchmark: 7 0
 Service Categories:
 Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2012	EXP 2013	BUD 2014
Objects of Expense:				
1001	SALARIES AND WAGES	\$1,428,680	\$1,528,023	\$1,695,767
1002	OTHER PERSONNEL COSTS	\$81,856	\$87,723	\$58,580
2001	PROFESSIONAL FEES AND SERVICES	\$16,656	\$4,428	\$11,500
2002	FUELS AND LUBRICANTS	\$14,890	\$16,564	\$15,960
2003	CONSUMABLE SUPPLIES	\$22,809	\$16,777	\$33,827
2004	UTILITIES	\$26,159	\$34,360	\$33,337
2005	TRAVEL	\$4,130	\$18,416	\$13,717
2006	RENT - BUILDING	\$99,052	\$134,720	\$161,659
2007	RENT - MACHINE AND OTHER	\$26,140	\$32,050	\$37,172
2009	OTHER OPERATING EXPENSE	\$1,287,307	\$1,275,501	\$1,413,296
5000	CAPITAL EXPENDITURES	\$0	\$24,457	\$0
TOTAL, OBJECT OF EXPENSE		\$3,007,679	\$3,173,019	\$3,474,815
Method of Financing:				
1	General Revenue Fund	\$70,000	\$0	\$0
8042	Insurance Maint Tax Fees	\$1,078,322	\$1,362,372	\$1,455,817
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$1,148,322	\$1,362,372	\$1,455,817
Method of Financing:				
36	Dept Ins Operating Acct	\$1,859,357	\$1,810,647	\$2,018,998
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$1,859,357	\$1,810,647	\$2,018,998
TOTAL, METHOD OF FINANCE :		\$3,007,679	\$3,173,019	\$3,474,815
FULL TIME EQUIVALENT POSITIONS :		39.7	41.0	44.0

III.A. STRATEGY LEVEL DETAIL
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DATE: 11/22/2013
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Agency code: **454** Agency name: **Department of Insurance**

GOAL: 6 Regulatory Response

Statewide Goal/Benchmark: 7 0

OBJECTIVE: 1 Regulatory Response

Service Categories:

STRATEGY: 1 Contingency Regulatory Response

Service: 17 Income: NA Age: NA

CODE	DESCRIPTION	EXP 2012	EXP 2013	BUD 2014
Objects of Expense:				
1001	SALARIES AND WAGES	\$0	\$0	\$2,000,000
2001	PROFESSIONAL FEES AND SERVICES	\$0	\$0	\$200,000
TOTAL, OBJECT OF EXPENSE		\$0	\$0	\$2,200,000
Method of Financing:				
8042	Insurance Maint Tax Fees	\$0	\$0	\$1,430,000
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$0	\$0	\$1,430,000
Method of Financing:				
36	Dept Ins Operating Acct	\$0	\$0	\$770,000
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$0	\$0	\$770,000
TOTAL, METHOD OF FINANCE :		\$0	\$0	\$2,200,000
FULL TIME EQUIVALENT POSITIONS :		0.0	0.0	40.0

III.A. STRATEGY LEVEL DETAIL
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Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/22/2013
TIME: 2:39:19PM

SUMMARY TOTALS :

OBJECTS OF EXPENSE:	\$109,985,737	\$118,546,128	\$131,386,892
METHODS OF FINANCE :	\$109,985,737	\$118,546,128	\$131,386,892
FULL TIME EQUIVALENT POSITIONS :	1,417.2	1,304.8	1,426.4

CAPITAL BUDGET PROJECT SCHEDULE

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IV.A. CAPITAL BUDGET PROJECT SCHEDULE
 83rd Regular Session, Fiscal Year 2014 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/22/2013
 TIME: 2:39:59PM

Agency code: 454

Agency name: Department of Insurance

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE

EXP 2012

EXP 2013

BUD 2014

5005 Acquisition of Information Resource Technologies

2/2 TexasSure Vehicle Insurance Verification

OBJECTS OF EXPENSE

Capital

2001 PROFESSIONAL FEES AND SERVICES		\$2,239,179	\$5,239,988	\$4,995,930
2004 UTILITIES		\$5,121	\$4,717	\$0
2009 OTHER OPERATING EXPENSE		\$474,483	\$489,876	\$0
Capital Subtotal OOE, Project	2	\$2,718,783	\$5,734,581	\$4,995,930
Subtotal OOE, Project	2	\$2,718,783	\$5,734,581	\$4,995,930

TYPE OF FINANCING

Capital

CA 6 State Highway Fund		\$2,718,783	\$5,734,581	\$4,995,930
Capital Subtotal TOF, Project	2	\$2,718,783	\$5,734,581	\$4,995,930
Subtotal TOF, Project	2	\$2,718,783	\$5,734,581	\$4,995,930

*3/3 OBSOLESCENCE PLAN HARDWARE AND
 SOFTWARE REPLACEMENT AND NETWORK
 SECURITY*

OBJECTS OF EXPENSE

Capital

2009 OTHER OPERATING EXPENSE		\$0	\$0	\$1,221,375
Capital Subtotal OOE, Project	3	\$0	\$0	\$1,221,375
Subtotal OOE, Project	3	\$0	\$0	\$1,221,375

TYPE OF FINANCING

Capital

CA 36 Dept Ins Operating Acct		\$0	\$0	\$781,425
CA 8042 Insurance Maint Tax Fees		\$0	\$0	\$439,950

IV.A. CAPITAL BUDGET PROJECT SCHEDULE
 83rd Regular Session, Fiscal Year 2014 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/22/2013**
 TIME: **2:40:06PM**

Agency code: **454**

Agency name: **Department of Insurance**

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE

EXP 2012

EXP 2013

BUD 2014

Capital Subtotal TOF, Project 3

\$0

\$0

\$1,221,375

Subtotal TOF, Project 3

\$0

\$0

\$1,221,375

*4/4 CAPITAL COMPLEX TELEPHONE SYSTEM
 REPLACEMENT*

OBJECTS OF EXPENSE

Capital

2004 UTILITIES

\$0

\$0

\$689,784

2009 OTHER OPERATING EXPENSE

\$0

\$0

\$700,879

5000 CAPITAL EXPENDITURES

\$0

\$0

\$25,088

Capital Subtotal OOE, Project 4

\$0

\$0

\$1,415,751

Subtotal OOE, Project 4

\$0

\$0

\$1,415,751

TYPE OF FINANCING

Capital

CA 36 Dept Ins Operating Acct

\$0

\$0

\$435,046

CA 8042 Insurance Maint Tax Fees

\$0

\$0

\$980,705

Capital Subtotal TOF, Project 4

\$0

\$0

\$1,415,751

Subtotal TOF, Project 4

\$0

\$0

\$1,415,751

Capital Subtotal, Category 5005

\$2,718,783

\$5,734,581

\$7,633,056

Informational Subtotal, 5005

Category
Total, Category 5005

\$2,718,783

\$5,734,581

\$7,633,056

7000 Data Center Consolidation

1/1 Data Center Consolidation

OBJECTS OF EXPENSE

Capital

2001 PROFESSIONAL FEES AND SERVICES

\$3,152,239

\$3,519,565

\$3,787,004

IV.A. CAPITAL BUDGET PROJECT SCHEDULE
 83rd Regular Session, Fiscal Year 2014 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/22/2013**
 TIME: **2:40:06PM**

Agency code: **454**

Agency name: **Department of Insurance**

Category Code / Category Name

<i>Project Sequence/Project Id/ Name</i>		EXP 2012	EXP 2013	BUD 2014
OOE / TOF / MOF CODE				
Capital Subtotal OOE, Project	1	\$3,152,239	\$3,519,565	\$3,787,004
Subtotal OOE, Project	1	\$3,152,239	\$3,519,565	\$3,787,004
TYPE OF FINANCING				
<u>Capital</u>				
CA 36 Dept Ins Operating Acct		\$1,116,996	\$878,976	\$2,318,974
CA 8042 Insurance Maint Tax Fees		\$2,035,243	\$2,640,589	\$1,468,030
Capital Subtotal TOF, Project	1	\$3,152,239	\$3,519,565	\$3,787,004
Subtotal TOF, Project	1	\$3,152,239	\$3,519,565	\$3,787,004
Capital Subtotal, Category	7000	\$3,152,239	\$3,519,565	\$3,787,004
Informational Subtotal,	7000			
Category				
Total, Category	7000	\$3,152,239	\$3,519,565	\$3,787,004

8000 Centralized Accounting and Payroll/Personnel System(CAPPS)

*5/5 CENTERALIZED ACCOUNTING AND
 PAYROLL/PERSONNEL SYSTEM (CAPPS)*

OBJECTS OF EXPENSE

Capital

2001 PROFESSIONAL FEES AND SERVICES		\$70,613	\$70,613	\$70,613
Capital Subtotal OOE, Project	5	\$70,613	\$70,613	\$70,613
Subtotal OOE, Project	5	\$70,613	\$70,613	\$70,613

TYPE OF FINANCING

Capital

CA 36 Dept Ins Operating Acct		\$25,022	\$17,635	\$35,451
CA 8042 Insurance Maint Tax Fees		\$45,591	\$52,978	\$35,162
Capital Subtotal TOF, Project	5	\$70,613	\$70,613	\$70,613

IV.A. CAPITAL BUDGET PROJECT SCHEDULE
83rd Regular Session, Fiscal Year 2014 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/22/2013
TIME: 2:40:06PM

Agency code: 454

Agency name: Department of Insurance

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE

EXP 2012

EXP 2013

BUD 2014

Subtotal TOF, Project 5

\$70,613

\$70,613

\$70,613

Capital Subtotal, Category 8000

\$70,613

\$70,613

\$70,613

Informational Subtotal, 8000

**Category
Total, Category 8000**

\$70,613

\$70,613

\$70,613

AGENCY TOTAL -CAPITAL

\$5,941,635

\$9,324,759

\$11,490,673

AGENCY TOTAL -INFORMATIONAL

AGENCY TOTAL

\$5,941,635

\$9,324,759

\$11,490,673

METHOD OF FINANCING:

Capital

6 State Highway Fund

\$2,718,783

\$5,734,581

\$4,995,930

36 Dept Ins Operating Acct

\$1,142,018

\$896,611

\$3,570,896

8042 Insurance Maint Tax Fees

\$2,080,834

\$2,693,567

\$2,923,847

Total, Method of Financing-Capital

\$5,941,635

\$9,324,759

\$11,490,673

Total, Method of Financing

\$5,941,635

\$9,324,759

\$11,490,673

TYPE OF FINANCING:

Capital

CA CURRENT APPROPRIATIONS

\$5,941,635

\$9,324,759

\$11,490,673

Total, Type of Financing-Capital

\$5,941,635

\$9,324,759

\$11,490,673

Total,Type of Financing

\$5,941,635

\$9,324,759

\$11,490,673

CAPITAL BUDGET ALLOCATION TO STRATEGIES
 83rd Regular Session, Fiscal Year 2014 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/22/2013**
 TIME: **2:40:40PM**

Agency code: **454** Agency name: **Department of Insurance**

Category Code/Name

Project Sequence/Project Id/Name

	Goal/Obj/Str	Strategy Name	EXP 2012	EXP 2013	BUD 2014
5005 Acquisition of Information Resource Technologies					
	<i>2/2</i>	<i>TEXASSURE VEHICLE INS VERIF (1250)</i>			
Capital	1-1-1	CONSUMER EDUCATION AND OUTREACH	2,718,783	5,734,581	\$4,995,930
		TOTAL, PROJECT	\$2,718,783	\$5,734,581	\$4,995,930
	<i>3/3</i>	<i>OBS HARDWARE AND SOFTWARE REPLACE</i>			
Capital	5-1-1	CENTRAL ADMINISTRATION	0	0	116,172
Capital	5-1-2	INFORMATION RESOURCES	0	0	72,496
Capital	5-1-3	OTHER SUPPORT SERVICES	0	0	35,639
Capital	1-1-1	CONSUMER EDUCATION AND OUTREACH	0	0	39,971
Capital	1-2-3	INSURER FRAUD	0	0	20,434
Capital	1-2-1	RESOLVE COMPLAINTS	0	0	50,842
Capital	1-2-2	INVESTIGATION AND ENFORCEMENT	0	0	41,278
Capital	1-2-4	PROVIDER AND CONSUMER FRAUD	0	0	18,064
Capital	1-2-5	WORKERS COMPENSATION FRAUD	0	0	4,384
Capital	1-3-1	PROCESS RATES, FORMS & LICENSES	0	0	173,043
Capital	1-3-4	CERTIFY SELF-INSURANCE	0	0	9,645
Capital	1-5-1	LOSS CONTROL PROGRAMS	0	0	37,437
Capital	2-1-1	INSURERS FINANCIAL CONDITION	0	0	79,205
Capital	3-1-1	FIRE MARSHALL	0	0	58,035
Capital	4-1-1	OVERSIGHT AND ENFORCEMENT	0	0	74,532

CAPITAL BUDGET ALLOCATION TO STRATEGIES
 83rd Regular Session, Fiscal Year 2014 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/22/2013**
 TIME: **2:40:47PM**

Agency code: **454** Agency name: **Department of Insurance**

Category Code/Name

Project Sequence/Project Id/Name

	Goal/Obj/Str	Strategy Name	EXP 2012	EXP 2013	BUD 2014
Capital	4-1-2	DISPUTE RESOLUTION	0	0	\$219,300
Capital	4-2-1	HEALTH AND SAFETY SERVICES	0	0	61,292
Capital	4-2-2	CUSTOMER SERVICE & RECORDS ADMIN	0	0	109,606
		TOTAL, PROJECT	\$0	\$0	\$1,221,375
<hr/>					
	<i>4/4</i>	<i>CAPITAL COMPLEX TELEPHONE REPLACE</i>			
Capital	5-1-2	INFORMATION RESOURCES	0	0	1,415,751
		TOTAL, PROJECT	\$0	\$0	\$1,415,751
<hr/>					
7000 Data Center Consolidation					
	<i>1/1</i>	<i>DATA CENTER CONSOL (349, 3149)</i>			
Capital	5-1-2	INFORMATION RESOURCES	3,152,239	3,519,565	3,787,004
		TOTAL, PROJECT	\$3,152,239	\$3,519,565	\$3,787,004
<hr/>					
8000 Centralized Accounting and Payroll/Personnel System(CAPPS)					
	<i>5/5</i>	<i>CENTERALIZED ACCOUNTING SYSTEM</i>			
Capital	5-1-1	CENTRAL ADMINISTRATION	70,613	70,613	70,613
		TOTAL, PROJECT	\$70,613	\$70,613	\$70,613
<hr/>					
		TOTAL CAPITAL, ALL PROJECTS	\$5,941,635	\$9,324,759	\$11,490,673
		TOTAL INFORMATIONAL, ALL PROJECTS			
		TOTAL, ALL PROJECTS	\$5,941,635	\$9,324,759	\$11,490,673
<hr/>					

FEDERAL FUNDS SUPPORTING SCHEDULE

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IV.B. FEDERAL FUNDS SUPPORTING SCHEDULE
 83rd Regular Session, Fiscal Year 2014 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/22/2013**
 TIME: **2:41:10PM**

Agency code: **454** Agency name Department of Insurance

CFDA NUMBER/ STRATEGY	EXP 2012	EXP 2013	BUD 2014
16.738.000 Justice Assistance Grant			
3 - 1 - 1 FIRE MARSHALL	0	0	99,999
TOTAL, ALL STRATEGIES	\$0	\$0	\$99,999
ADDL FED FNDS FOR EMPL BENEFITS	0	0	0
TOTAL, FEDERAL FUNDS	\$0	\$0	\$99,999
ADDL GR FOR EMPL BENEFITS	\$0	\$0	\$0
16.803.000 Byrne Justice Grants - Stimulus			
3 - 1 - 1 FIRE MARSHALL	79,504	0	0
TOTAL, ALL STRATEGIES	\$79,504	\$0	\$0
ADDL FED FNDS FOR EMPL BENEFITS	0	0	0
TOTAL, FEDERAL FUNDS	\$79,504	\$0	\$0
ADDL GR FOR EMPL BENEFITS	\$0	\$0	\$0
17.005.001 OSHA BUREAU OF LABOR STATISTICS			
4 - 2 - 1 HEALTH AND SAFETY SERVICES	171,392	184,876	181,069
TOTAL, ALL STRATEGIES	\$171,392	\$184,876	\$181,069
ADDL FED FNDS FOR EMPL BENEFITS	0	0	0
TOTAL, FEDERAL FUNDS	\$171,392	\$184,876	\$181,069
ADDL GR FOR EMPL BENEFITS	\$0	\$0	\$0
17.504.001 OSHA Consultation Agreements			
4 - 2 - 1 HEALTH AND SAFETY SERVICES	1,885,337	1,936,991	2,009,190

IV.B. FEDERAL FUNDS SUPPORTING SCHEDULE
 83rd Regular Session, Fiscal Year 2014 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/22/2013**
 TIME: **2:41:16PM**

Agency code: **454** Agency name Department of Insurance

CFDA NUMBER/ STRATEGY	EXP 2012	EXP 2013	BUD 2014
TOTAL, ALL STRATEGIES	\$1,885,337	\$1,936,991	\$2,009,190
ADDL FED FNDS FOR EMPL BENEFITS	0	0	0
TOTAL, FEDERAL FUNDS	\$1,885,337	\$1,936,991	\$2,009,190
ADDL GR FOR EMPL BENEFITS	\$0	\$0	\$0
17.504.002 OSHA Consultation Agreements			
4 - 2 - 1 HEALTH AND SAFETY SERVICES	61,959	34,479	0
TOTAL, ALL STRATEGIES	\$61,959	\$34,479	\$0
ADDL FED FNDS FOR EMPL BENEFITS	0	0	0
TOTAL, FEDERAL FUNDS	\$61,959	\$34,479	\$0
ADDL GR FOR EMPL BENEFITS	\$0	\$0	\$0
93.511.000 ACA-Grnts St Hlth Ins Premium Revw			
1 - 1 - 1 CONSUMER EDUCATION AND OUTREACH	0	0	4,326,798
1 - 3 - 1 PROCESS RATES, FORMS & LICENSES	334,591	101,365	0
TOTAL, ALL STRATEGIES	\$334,591	\$101,365	\$4,326,798
ADDL FED FNDS FOR EMPL BENEFITS	0	0	0
TOTAL, FEDERAL FUNDS	\$334,591	\$101,365	\$4,326,798
ADDL GR FOR EMPL BENEFITS	\$0	\$0	\$0
93.519.000 ACA - Consumer Assist Prog Grants			
1 - 2 - 1 RESOLVE COMPLAINTS	295,786	0	0
1 - 2 - 3 INSURER FRAUD	49,298	0	0
1 - 2 - 4 PROVIDER AND CONSUMER FRAUD	49,297	0	0
1 - 3 - 1 PROCESS RATES, FORMS & LICENSES	591,571	0	0

IV.B. FEDERAL FUNDS SUPPORTING SCHEDULE
 83rd Regular Session, Fiscal Year 2014 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/22/2013**
 TIME: **2:41:16PM**

Agency code: **454** Agency name Department of Insurance

CFDA NUMBER/ STRATEGY	EXP 2012	EXP 2013	BUD 2014
TOTAL, ALL STRATEGIES	\$985,952	\$0	\$0
ADDL FED FNDS FOR EMPL BENEFITS	0	0	0
TOTAL, FEDERAL FUNDS	\$985,952	\$0	\$0
ADDL GR FOR EMPL BENEFITS	\$0	\$0	\$0
97.044.000 Assistance to Firefighters Grant			
3 - 1 - 1 FIRE MARSHALL	277,502	0	0
TOTAL, ALL STRATEGIES	\$277,502	\$0	\$0
ADDL FED FNDS FOR EMPL BENEFITS	0	0	0
TOTAL, FEDERAL FUNDS	\$277,502	\$0	\$0
ADDL GR FOR EMPL BENEFITS	\$0	\$0	\$0

IV.B. FEDERAL FUNDS SUPPORTING SCHEDULE
 83rd Regular Session, Fiscal Year 2014 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/22/2013**
 TIME: **2:41:16PM**

Agency code: **454** Agency name Department of Insurance

CFDA NUMBER/ STRATEGY	EXP 2012	EXP 2013	BUD 2014
<u>SUMMARY LISTING OF FEDERAL PROGRAM AMOUNTS</u>			
16.738.000 Justice Assistance Grant	0	0	99,999
16.803.000 Byrne Justice Grants - Stimulus	79,504	0	0
17.005.001 OSHA BUREAU OF LABOR STATISTICS	171,392	184,876	181,069
17.504.001 OSHA Consultation Agreements	1,885,337	1,936,991	2,009,190
17.504.002 OSHA Consultation Agreements	61,959	34,479	0
93.511.000 ACA-Grnts St Hlth Ins Premium Revw	334,591	101,365	4,326,798
93.519.000 ACA - Consumer Assist Prog Grants	985,952	0	0
97.044.000 Assistance to Firefighters Grant	277,502	0	0
TOTAL, ALL STRATEGIES	\$3,796,237	\$2,257,711	\$6,617,056
TOTAL , ADDL FED FUNDS FOR EMPL BENEFITS	0	0	0
TOTAL, FEDERAL FUNDS	\$3,796,237	\$2,257,711	\$6,617,056
TOTAL, ADDL GR FOR EMPL BENEFITS	\$0	\$0	\$0

FEDERAL FUNDS TRACKING SCHEDULE

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IV.C. FEDERAL FUNDS TRACKING SCHEDULE
 83rd Regular Session, Fiscal Year 2014 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/25/2013
 TIME : 1:02:56PM

Agency code: 454

Agency name: Department of Insurance

Federal FY	Expended SFY 2011	Expended SFY 2012	Expended SFY 2013	Budgeted SFY 2014	Estimated SFY 2015	Estimated SFY 2016	Total	Difference from Award
CFDA 16.738.000 Justice Assistance Grant								
2014	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Total	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
<hr/>								
Empl. Benefit Payment	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

IV.C. FEDERAL FUNDS TRACKING SCHEDULE
 83rd Regular Session, Fiscal Year 2014 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/25/2013
 TIME : 1:04:07PM

Agency code: **454**

Agency name: **Department of Insurance**

Federal FY		Expended SFY 2011	Expended SFY 2012	Expended SFY 2013	Budgeted SFY 2014	Estimated SFY 2015	Estimated SFY 2016	Total	Difference from Award
CFDA 16.803.000 Byrne Justice Grants - Stimulus									
2012	\$90,800	\$0	\$79,504	\$0	\$0	\$0	\$0	\$79,504	\$11,296
Total	\$90,800	\$0	\$79,504	\$0	\$0	\$0	\$0	\$79,504	\$11,296
<hr/>									
Empl. Benefit Payment		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

IV.C. FEDERAL FUNDS TRACKING SCHEDULE
 83rd Regular Session, Fiscal Year 2014 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/25/2013
 TIME : 1:04:07PM

Agency code: 454

Agency name: Department of Insurance

Federal FY		Expended SFY 2011	Expended SFY 2012	Expended SFY 2013	Budgeted SFY 2014	Estimated SFY 2015	Estimated SFY 2016	Total	Difference from Award
CFDA 17.005.001 OSHA BUREAU OF LABOR STATISTICS									
2011	\$291,600	\$225,916	\$28,018	\$0	\$0	\$0	\$0	\$253,934	\$37,666
2012	\$275,000	\$0	\$234,340	\$36,552	\$0	\$0	\$0	\$270,892	\$4,108
2013	\$278,500	\$0	\$0	\$250,858	\$27,642	\$0	\$0	\$278,500	\$0
2014	\$282,300	\$0	\$0	\$0	\$258,775	\$23,525	\$0	\$282,300	\$0
2015	\$282,300	\$0	\$0	\$0	\$0	\$258,775	\$23,525	\$282,300	\$0
Total	\$1,409,700	\$225,916	\$262,358	\$287,410	\$286,417	\$282,300	\$23,525	\$1,367,926	\$41,774

Empl. Benefit Payment	\$48,926	\$51,391	\$60,452	\$62,486	\$62,486	\$5,207	\$290,948		
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IV.C. FEDERAL FUNDS TRACKING SCHEDULE
 83rd Regular Session, Fiscal Year 2014 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/25/2013
 TIME : 1:04:07PM

Agency code: 454

Agency name: Department of Insurance

Federal FY	Expended SFY 2011	Expended SFY 2012	Expended SFY 2013	Budgeted SFY 2014	Estimated SFY 2015	Estimated SFY 2016	Total	Difference from Award
CFDA 17.504.001 OSHA Consultation Agreements								
2011	\$2,628,000	\$2,327,795	\$299,261	\$0	\$0	\$0	\$2,627,056	\$944
2012	\$2,741,000	\$0	\$2,229,094	\$511,496	\$0	\$0	\$2,740,590	\$410
2013	\$2,619,000	\$0	\$0	\$2,201,186	\$417,814	\$0	\$2,619,000	\$0
2014	\$2,619,000	\$0	\$0	\$2,400,750	\$218,250	\$0	\$2,619,000	\$0
2015	\$2,619,000	\$0	\$0	\$0	\$2,400,750	\$218,250	\$2,619,000	\$0
Total	\$13,226,000	\$2,327,795	\$2,528,355	\$2,712,682	\$2,619,000	\$218,250	\$13,224,646	\$1,354

Empl. Benefit Payment	\$431,983	\$416,305	\$403,449	\$447,714	\$447,714	\$3,310	\$2,150,475	
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TRACKING NOTES

Received increase in award in FFY 2012
 Sequestration decreased award in FFY 2013

IV.C. FEDERAL FUNDS TRACKING SCHEDULE
 83rd Regular Session, Fiscal Year 2014 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/25/2013
 TIME : 1:04:07PM

Agency code: 454

Agency name: Department of Insurance

Federal FY		Expended SFY 2011	Expended SFY 2012	Expended SFY 2013	Budgeted SFY 2014	Estimated SFY 2015	Estimated SFY 2016	Total	Difference from Award
CFDA 17.504.002 OSHA Consultation Agreements									
2011	\$113,620	\$75,786	\$17,609	\$0	\$0	\$0	\$0	\$93,395	\$20,225
2012	\$113,620	\$0	\$87,535	\$21,707	\$0	\$0	\$0	\$109,242	\$4,378
2013	\$41,630	\$0	\$0	\$41,630	\$0	\$0	\$0	\$41,630	\$0
Total	\$268,870	\$75,786	\$105,144	\$63,337	\$0	\$0	\$0	\$244,267	\$24,603

Empl. Benefit Payment		\$18,543	\$19,868	\$10,854	\$0	\$0	\$0	\$49,265	
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TRACKING NOTES

Sequestration eliminated award during FFY 2013, no further awards expected.

IV.C. FEDERAL FUNDS TRACKING SCHEDULE
 83rd Regular Session, Fiscal Year 2014 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/25/2013
 TIME : 1:04:07PM

Agency code: 454

Agency name: Department of Insurance

Federal FY		Expended SFY 2011	Expended SFY 2012	Expended SFY 2013	Budgeted SFY 2014	Estimated SFY 2015	Estimated SFY 2016	Total	Difference from Award
CFDA 93.511.000 ACA-Grnts St Hlth Ins Premium Revw									
2011	\$1,000,000	\$437,031	\$444,985	\$114,685	\$0	\$0	\$0	\$996,701	\$3,299
2014	\$4,326,798	\$0	\$0	\$0	\$4,326,798	\$0	\$0	\$4,326,798	\$0
Total	\$5,326,798	\$437,031	\$444,985	\$114,685	\$4,326,798	\$0	\$0	\$5,323,499	\$3,299
<hr/>									
Empl. Benefit Payment		\$29,781	\$59,508	\$4,869	\$0	\$0	\$0	\$94,158	

TRACKING NOTES

FFY 2011 - Cycle I Award
 FFY 2014 - Cycle III Award

IV.C. FEDERAL FUNDS TRACKING SCHEDULE
 83rd Regular Session, Fiscal Year 2014 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/25/2013
 TIME : 1:04:07PM

Agency code: 454

Agency name: Department of Insurance

Federal FY		Expended SFY 2011	Expended SFY 2012	Expended SFY 2013	Budgeted SFY 2014	Estimated SFY 2015	Estimated SFY 2016	Total	Difference from Award
CFDA 93.519.000 ACA - Consumer Assist Prog Grants									
2011	\$2,792,180	\$1,669,110	\$1,110,565	\$0	\$0	\$0	\$0	\$2,779,675	\$12,505
Total	\$2,792,180	\$1,669,110	\$1,110,565	\$0	\$0	\$0	\$0	\$2,779,675	\$12,505
<hr/>									
Empl. Benefit Payment		\$71,233	\$69,399	\$0	\$0	\$0	\$0	\$140,632	

IV.C. FEDERAL FUNDS TRACKING SCHEDULE
 83rd Regular Session, Fiscal Year 2014 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/25/2013
 TIME : 1:04:07PM

Agency code: 454

Agency name: Department of Insurance

Federal FY		Expended SFY 2011	Expended SFY 2012	Expended SFY 2013	Budgeted SFY 2014	Estimated SFY 2015	Estimated SFY 2016	Total	Difference from Award
CFDA 97.044.000 Assistance to Firefighters Grant									
2011	\$285,048	\$0	\$277,502	\$0	\$0	\$0	\$0	\$277,502	\$7,546
Total	\$285,048	\$0	\$277,502	\$0	\$0	\$0	\$0	\$277,502	\$7,546
<hr/>									
Empl. Benefit Payment		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

ESTIMATED REVENUE COLLECTIONS SUPPORTING SCHEDULE

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IV.D. ESTIMATED REVENUE COLLECTIONS SUPPORTING SCHEDULE

DATE: 11/22/2013

83rd Regular Session, Fiscal Year 2014 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

TIME: 2:41:59PM

Agency Code: **454**

Agency name: **Department of Insurance**

FUND/ACCOUNT	Exp 2012	Exp 2013	Bud 2014
<u>1</u> General Revenue Fund			
Beginning Balance (Unencumbered):	\$0	\$0	\$0
Estimated Revenue:			
3103 Limited Sales & Use Tax-State	444	401	521
3105 Discounts for Sales Tax-State	2	2	3
3175 Professional Fees	59,020	61,460	62,000
3206 Insurance Companies Fees	114,555	82,700	142,763
3210 Insurance Agents Licenses	685,824	643,497	282,466
3215 Insurance Dept Fees - Misc	(12,228)	290	0
3221 Unauthorized Insurance Penalty	0	250	0
3222 Ins Penalty Lieu of Suspension	6,244,365	9,915,722	2,206,867
3557 Health Care Facilities Fees	39,464	40,340	25,453
3714 Judgments	11,636	4,870	7,500
3727 Fees - Administrative Services	249,410	359,999	254,327
3733 Workers Compensation Penalties	1,020,808	790,897	948,000
3770 Administratve Penalties	12,806,179	0	0
3775 Returned Check Fees	1,110	1,020	1,200
3795 Other Misc Government Revenue	1,559	719	2,000
3839 Sale of Motor Vehicle/Boat/Aircraft	29,790	82,105	7,500
3852 Interest on Local Deposits-St Agy	85	0	0
Subtotal: Estimated Revenue	<u>21,252,023</u>	<u>11,984,272</u>	<u>3,940,600</u>
Total Available	<u>\$21,252,023</u>	<u>\$11,984,272</u>	<u>\$3,940,600</u>
DEDUCTIONS:			
Art IX sec 9.05, Tx Online Occ Lic	(149)	0	0
Expended/Budgeted/Requested	(6,520)	(5,364)	(6,520)
Art IX Sec 8.04 Surplus Property	(7,448)	(20,526)	0
Other (balances swept by Agency 902)	(21,237,906)	(11,958,382)	(3,934,080)
Total, Deductions	<u>\$(21,252,023)</u>	<u>\$(11,984,272)</u>	<u>\$(3,940,600)</u>
Ending Fund/Account Balance	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>

IV.D. ESTIMATED REVENUE COLLECTIONS SUPPORTING SCHEDULE

DATE: 11/22/2013

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Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **454**

Agency name: **Department of Insurance**

FUND/ACCOUNT

Exp 2012

Exp 2013

Bud 2014

REVENUE ASSUMPTIONS:

Fee rates will remain the same. The estimate for object 3206 includes \$35,000 for new application fee for captive insurers. Assumes amount for object 3222 will be less and it takes into account \$1.5 million being captured in appropriated receipts for rider 14.

CONTACT PERSON:

Joe Meyer

IV.D. ESTIMATED REVENUE COLLECTIONS SUPPORTING SCHEDULE

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Agency Code: **454**

Agency name: **Department of Insurance**

FUND/ACCOUNT	Exp 2012	Exp 2013	Bud 2014
36 Dept Ins Operating Acct			
Beginning Balance (Unencumbered):	\$16,842,168	\$33,815,275	\$31,231,578
Estimated Revenue:			
3149 Amusement Ride Inspection	271,945	334,724	250,000
3175 Professional Fees	2,768,428	2,744,457	2,726,399
3206 Insurance Companies Fees	308,051	348,737	309,525
3210 Insurance Agents Licenses	16,781,359	17,331,874	17,000,826
3211 Tx Work Comp Self - Ins App Fees	0	2,000	0
3212 Tx Work Comp Self - Ins Reg Fees	670,655	510,472	765,000
3213 Catastrophe Prop Ins Pool Fees	7,355	6,330	6,800
3214 Insur Maint Tax/Fee Coll-Comptrollr	54,511,445	49,049,814	58,569,194
3215 Insurance Dept Fees - Misc	984,884	994,401	953,030
3216 Insurance Dept Exam/Audit Fees	28,036,415	4,083,332	3,152,249
3219 Workers Comp Comm-Ins Co Maint Tax	1,087,758	938,470	860,689
3220 W/C Res & Oversight CNCL/Maint Tax	8,447	9,598	542,048
3727 Fees - Administrative Services	179,500	117,620	55,250
3777 Default Fund - Warrant Voided	7,132	0	7,000
3795 Other Misc Government Revenue	3,894	3,378	5,000
Subtotal: Estimated Revenue	<u>105,627,268</u>	<u>76,475,207</u>	<u>85,203,010</u>
Total Available	<u>\$122,469,436</u>	<u>\$110,290,482</u>	<u>\$116,434,588</u>
DEDUCTIONS:			
Expended/Budgeted/Requested	(52,845,902)	(47,712,052)	(55,485,559)
Art IX Sec 18.44 Cont Appn HB1772	(10,245)	(48,448)	0
Art IX Sec 18.50 Cont Appr HB2277	(19,200)	(2,184)	0
Art IX Sec 9.05 Tx Online (2012-13)	(93,502)	(81,119)	0
82nd SS1,SB2,Sec 12 Healthcare	(91,683)	(674,708)	0
Art IX Sec 17.06 Salary Increase	0	0	(474,382)
Transfer - Emp Benefits (oasi,ers, Benefit Replacement Pay	(11,990,600)	(10,290,961)	(10,850,954)
	(285,359)	(172,308)	(158,524)
SB 1291-Pymt from state appn to loc	0	(7,421,489)	0
82nd Leg, Art 1-31,Rider 17,Reimb	(10,355,261)	0	0
Art IX,13.10/15.04-Statewide Alloc	(1,609,038)	(1,389,145)	(1,548,366)
Unemployment Reimb.	(41,012)	(21,394)	(35,354)

IV.D. ESTIMATED REVENUE COLLECTIONS SUPPORTING SCHEDULE

DATE: 11/22/2013

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Agency Code: **454**

Agency name: **Department of Insurance**

FUND/ACCOUNT	Exp 2012	Exp 2013	Bud 2014
Art 1-36 & 1-36 TFC GAA	(1,030,083)	(1,030,083)	(1,030,083)
Art VIII-23 & 15-OIEC	(9,742,692)	(9,919,638)	(10,271,318)
Art VIII-98 & 80 lease Payments	(503,597)	(255,406)	(275,845)
Transfer to Comptroller	(35,987)	(39,969)	(42,802)
Total, Deductions	\$(88,654,161)	\$(79,058,904)	\$(80,173,187)
Ending Fund/Account Balance	\$33,815,275	\$31,231,578	\$36,261,401

REVENUE ASSUMPTIONS:

The Department assumes there will not be changes to the current fee rate structure. The Department assumes revenue for 3210 Agents License fees will be similar to 2013. The Commissioner of Insurance annually sets assessment rates for object codes 3214 and 3216 (examination overhead assessment). In setting the assessment rates, the Department takes into consideration appropriations, other revenue sources and fund balance. SB 1291(self directed account for the examination function) of the 82nd Legislature caused the decrease in revenue in 3216 to decrease 2013 and after.

CONTACT PERSON:

Joe Meyer

IV.D. ESTIMATED REVENUE COLLECTIONS SUPPORTING SCHEDULE

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Agency Code: **454**

Agency name: **Department of Insurance**

FUND/ACCOUNT	Exp 2012	Exp 2013	Bud 2014
444 Interagency Contracts - CJG			
Beginning Balance (Unencumbered):	\$0	\$0	\$0
Estimated Revenue:			
3725 State Grants Pass-thru Revenue	35,795	0	0
Subtotal: Estimated Revenue	<u>35,795</u>	<u>0</u>	<u>0</u>
Total Available	<u>\$35,795</u>	<u>\$0</u>	<u>\$0</u>
DEDUCTIONS:			
Art IX, Sec 14.01 (d) Approp Trfs-	(35,795)	0	0
Total, Deductions	<u>\$(35,795)</u>	<u>\$0</u>	<u>\$0</u>
Ending Fund/Account Balance	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>

REVENUE ASSUMPTIONS:

CONTACT PERSON:

Joe Meyer

IV.D. ESTIMATED REVENUE COLLECTIONS SUPPORTING SCHEDULE

DATE: 11/22/2013

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Automated Budget and Evaluation System of Texas (ABEST)

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Agency Code: **454**

Agency name: **Department of Insurance**

FUND/ACCOUNT	Exp 2012	Exp 2013	Bud 2014
666 Appropriated Receipts			
Beginning Balance (Unencumbered):	\$596,651	\$440,377	\$914,374
Estimated Revenue:			
3206 Insurance Companies Fees	40,547	683,060	125,000
3222 Ins Penalty Lieu of Suspension	0	0	1,500,000
3719 Fees/Copies or Filing of Records	223,441	207,365	382,262
3722 Conf, Semin, & Train Regis Fees	122,037	368,271	100,000
3752 Sale of Publications/Advertising	9,126	8,117	21,694
3777 Default Fund - Warrant Voided	325	0	0
3802 Reimbursements-Third Party	2,568,073	2,468,453	2,536,571
Subtotal: Estimated Revenue	2,963,549	3,735,266	4,665,527
Total Available	\$3,560,200	\$4,175,643	\$5,579,901
DEDUCTIONS:			
Expended/Budgeted/Requested	(379,048)	(480,798)	(675,030)
Art IX, Sec 8.08, Seminars & Confer	(26,342)	(181,815)	(25,000)
Art. IX, Section 8.03, Reimb; Art VIII	(2,135,386)	(1,697,389)	(1,744,503)
Art. VII-22, Rider 14 Appropriation	0	0	(1,500,000)
Art IX, Sec 8.03, Reimb and Pay 12-13	(16,522)	(75,213)	0
Transfer-Employee Benefits (OASI, E	(376,927)	(399,240)	(417,906)
Benefit Replacement Pay (Art. IX-41	(10,448)	(242,081)	(222,715)
Labor Code Sec 402.062, GAA 2009-10	(175,150)	(184,733)	(169,525)
Total, Deductions	\$(3,119,823)	\$(3,261,269)	\$(4,754,679)
Ending Fund/Account Balance	\$440,377	\$914,374	\$825,222

REVENUE ASSUMPTIONS:

Assumes revenues will be collected to sustain current appropriated receipt funding levels. The Department assumes that revenue reimbursements from Liquidation and Title Allocated accounts equal estimated disbursements including matching and BRP benefits.

CONTACT PERSON:

Joe Meyer

IV.D. ESTIMATED REVENUE COLLECTIONS SUPPORTING SCHEDULE

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Agency Code: **454**

Agency name: **Department of Insurance**

FUND/ACCOUNT	Exp 2012	Exp 2013	Bud 2014
777 Interagency Contracts			
Beginning Balance (Unencumbered):	\$332,155	\$0	\$0
Estimated Revenue:			
3971 Federal Pass-Through Rev/Exp Codes	7,703,453	4,957,334	195,000
Subtotal: Estimated Revenue	<u>7,703,453</u>	<u>4,957,334</u>	<u>195,000</u>
Total Available	<u>\$8,035,608</u>	<u>\$4,957,334</u>	<u>\$195,000</u>
DEDUCTIONS:			
Expended/Budgeted/Requested DADS	(130,000)	(130,000)	(130,000)
Art IX, Sec 14.01(d) Approp Trfs- GAA DADS	(13,449)	(8,701)	(65,000)
Art IX, Sec 14.01 (d) Approp Trfs- GAA DSHS	(7,857,686)	(4,802,545)	0
Transfer-Employee Benefits (OASI, ERS, Insurance)	(33,189)	(15,061)	0
Benefit Replacement Pay	(1,284)	(1,027)	0
Total, Deductions	<u>\$(8,035,608)</u>	<u>\$(4,957,334)</u>	<u>\$(195,000)</u>
Ending Fund/Account Balance	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>

REVENUE ASSUMPTIONS:

CONTACT PERSON:

Joe Meyer

IV.D. ESTIMATED REVENUE COLLECTIONS SUPPORTING SCHEDULE

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Agency name: **Department of Insurance**

FUND/ACCOUNT	Exp 2012	Exp 2013	Bud 2014
888 Earned Federal Funds			
Beginning Balance (Unencumbered):	\$0	\$0	\$0
Estimated Revenue:			
3702 Fed Receipts-Earned Federal Funds	395,706	443,387	330,007
3971 Federal Pass-Through Rev/Exp Codes	40,910	16,779	0
Subtotal: Estimated Revenue	<u>436,616</u>	<u>460,166</u>	<u>330,007</u>
Total Available	<u>\$436,616</u>	<u>\$460,166</u>	<u>\$330,007</u>
DEDUCTIONS:			
Expended/Budgeted/Requested	(224,406)	(224,406)	(224,406)
Art. IX Sec 6.22(c)- Earned Federal	(106,386)	(130,161)	0
Transfer-Employee Benefits (OASI, ERS,Insurance)	(45,086)	(40,710)	(42,836)
Benefit Replacement Pay	(1,027)	(1,027)	(945)
Swept by Comptroller	(59,711)	(63,862)	(61,820)
Total, Deductions	<u>\$(436,616)</u>	<u>\$(460,166)</u>	<u>\$(330,007)</u>
Ending Fund/Account Balance	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>

REVENUE ASSUMPTIONS:

CONTACT PERSON:

Joe Meyer

IV.D. ESTIMATED REVENUE COLLECTIONS SUPPORTING SCHEDULE

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Agency Code: **454**

Agency name: **Department of Insurance**

FUND/ACCOUNT	Exp 2012	Exp 2013	Bud 2014
5101 Subsequent Injury Fund			
Beginning Balance (Unencumbered):	\$62,354,702	\$63,385,532	\$65,072,053
Estimated Revenue:			
3777 Default Fund - Warrant Voided	35,269	0	0
3869 Workers'CompDeathBenefits to State	6,178,443	7,435,715	6,100,000
Subtotal: Estimated Revenue	<u>6,213,712</u>	<u>7,435,715</u>	<u>6,100,000</u>
Total Available	<u>\$68,568,414</u>	<u>\$70,821,247</u>	<u>\$71,172,053</u>
DEDUCTIONS:			
Expended/Budgeted, Requested	(4,420,140)	(4,420,033)	(4,420,140)
Art VIII, Rider 14 SIF	(762,742)	(1,329,161)	0
Total, Deductions	<u>\$(5,182,882)</u>	<u>\$(5,749,194)</u>	<u>\$(4,420,140)</u>
Ending Fund/Account Balance	<u>\$63,385,532</u>	<u>\$65,072,053</u>	<u>\$66,751,913</u>

REVENUE ASSUMPTIONS:

The Department assumes revenue collections for 2014 will be similar to 2012.

CONTACT PERSON:

Joe Meyer

IV.D. ESTIMATED REVENUE COLLECTIONS SUPPORTING SCHEDULE

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Agency name: **Department of Insurance**

FUND/ACCOUNT	Exp 2012	Exp 2013	Bud 2014
5138 Fire Prevention And Public Safety			
Beginning Balance (Unencumbered):	\$207,002	\$118,478	\$52,648
Estimated Revenue:			
DEDUCTIONS:			
Expended/Budgeted/Requested	(88,524)	(65,830)	0
Total, Deductions	\$(88,524)	\$(65,830)	\$0
Ending Fund/Account Balance	\$118,478	\$52,648	\$52,648

REVENUE ASSUMPTIONS:

CONTACT PERSON:

Joe Meyer

IV.D. ESTIMATED REVENUE COLLECTIONS SUPPORTING SCHEDULE

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Agency name: **Department of Insurance**

FUND/ACCOUNT	Exp 2012	Exp 2013	Bud 2014
8042 Insurance Maint Tax Fees			
Beginning Balance (Unencumbered):	\$42,362,336	\$48,349,393	\$51,032,458
Estimated Revenue:			
3203 Insurance Comp Maintenance Tax	79,924,673	76,105,791	82,200,798
3206 Insurance Companies Fees	226,813	217,099	225,000
3215 Insurance Dept Fees - Misc	0	0	1,202,745
Subtotal: Estimated Revenue	80,151,486	76,322,890	83,628,543
Total Available	\$122,513,822	\$124,672,283	\$134,661,001
DEDUCTIONS:			
Expended/Budgeted/Requested	(32,917,605)	(33,854,616)	(40,410,072)
82nd Leg. SS1, SB Section 12 Collabratives, 12-13 GAA	(61,122)	(449,805)	0
82nd Leg. SS1, SB Section 20 TWIA, 12-13 GAA	(75,305)	(128,336)	0
Art. IX Sec 18.44 Cont Appn HB1772 Certain Benefits- 82nd 12-13 GAA	(18,672)	(88,297)	0
Art. IX Sec 18.50 Cont Appn HB2277 Life Settlements- 82nd 12-13 GAA	(70,400)	(186,059)	0
Art. IX Sec 18.52 Cont Appn HB2408 Title	(94,611)	(388,653)	0
Art. IX Sec 17.06 Salary Increase 14-15 GAA	0	0	(328,883)
Transfer-Employee Benefits (OASI, ERS, Insurance)	(10,104,857)	(9,516,436)	(10,085,144)
Benenfit Replacement Pay	(191,691)	0	0
Art IX, 11.18/13.10 - Statewide Allocated Costs	(1,007,569)	(1,045,515)	(1,113,991)
Unemployment Reimb. (GAA)	(28,771)	(16,029)	(18,219)
81st Leg SB 1011 Transfer to Fund 5064 for TFS	(1,000,000)	(1,000,000)	(1,000,000)
Art. I - 4, 1-4 Attorney General (GAA)	(3,960,406)	(4,060,714)	(4,043,562)
Art. II - 41 & 47 Dept of Health Services (GAA)	(7,141,901)	(7,151,615)	(7,486,710)
Art. III - 213 & 227 Texas Forest Service (GAA)	(14,821,434)	(13,460,669)	(25,214,870)
Art. IV - 31 & 32 Comptroller Judiciary (GAA)	(1,050,134)	(824,808)	0
Art. VII p.18 VII-20 Crash Records Info (TXDot)(GAA)	(749,997)	(487,412)	(750,000)
Transfer to Comptroller (TIC Ar. 201.052)	(869,954)	(980,861)	(1,050,393)
Total, Deductions	\$(74,164,429)	\$(73,639,825)	\$(91,501,844)
Ending Fund/Account Balance	\$48,349,393	\$51,032,458	\$43,159,157

IV.D. ESTIMATED REVENUE COLLECTIONS SUPPORTING SCHEDULE

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Agency name: **Department of Insurance**

FUND/ACCOUNT

Exp 2012

Exp 2013

Bud 2014

REVENUE ASSUMPTIONS:

The Department assumes the appropriated FY 2014 funding level for agencies 302, 303, 454, T576, and 601 plus fringe benefits for object 3203 (maintenance taxes) and 3206 - Title maintenance fee. The Department also included other funding requirements such as reimbursement of statewide allocated costs and costs associated with the Comptroller tax function. The Department takes into consideration estimated fund balances and combined revenues and deductions in fund 8042 and account 0036 excluding DWC. The Commissioner sets maintenance tax rates annually. The Department did do not include the \$3.05 million deducted by the Comptroller as required by chapter 252 of the Texas Insurance Code.

CONTACT PERSON:

Joe Meyer

BUDGETARY IMPACTS RELATED TO HEALTH CARE REFORM SCHEDULE

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IV.F. BUDGETARY IMPACTS RELATED TO FEDERAL HEALTHCARE REFORM SCHEDULE, 2014 OPERATING BUDGET

Agency/IHE code: 454

Agency /IHE name: Texas Department of Insurance

Item Name: Establish Data Center

Includes Funding for the Following Strategy or Strategies:

Strategy	Description
01-01-01	Educate consumers and industry by providing outreach and information

		DESCRIPTION	FY 2012 Expended	FY 2013 Expended	FY 2014 Budgeted
OBJECTS OF EXPENSE:					
2001		PROFESSIONAL FEES AND SERVICES			\$4,095,225
2005		TRAVEL			\$24,288
2009		OTHER OPERATING EXPENSE			\$207,285
		TOTAL, OBJECT OF EXPENSE	\$0	\$0	\$4,326,798

		DESCRIPTION	FY 2012 Expended	FY 2013 Expended	FY 2014 Budgeted
METHOD OF FINANCING:					
FEDERAL FUNDS					
	555	093 511 000 ACA Premium Review Cycle III			\$4,326,798
TOTAL, METHOD OF FINANCING			\$0	\$0	\$4,326,798

FULL-TIME-EQUIVALENT POSITIONS (FTE):	0.0	0.0	0.0
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IV.F. BUDGETARY IMPACTS RELATED TO FEDERAL HEALTHCARE REFORM SCHEDULE, 2014 OPERATING BUDGET

Agency/IHE code: 454

Agency /IHE name: Texas Department of Insurance

Item Name: Establish Data Center

LEGAL AUTHORITY/STATUTORY REFERENCE FOR ITEM:

Section 2794 of the Affordable Care Act

DESCRIPTION/KEY ASSUMPTIONS:

The Department received a \$4.3 million data center grant from the U.S. Department of Health and Human Services (HHS). The project period for the grant extends from October 1, 2013 through September 30, 2015. To date the Department has not expended any grant funds.

Through the grant, the Department will contract with the University of Texas School of Public Health to perform data analysis, research, and quality audit services. The deliverables under this contract will enhance the accuracy and relevance of the reimbursement rate data that the Department shares with consumers. The Department will also contract for web development and consumer focus group services, to ensure the information published is easy for consumers to access and understand.

Federal law does not require states to establish data centers. Texas chose to establish a collaborative data center project with UTSPH to expand price transparency in health services and to support research opportunities in Texas. These objectives represent a continuation of previous efforts by Texas policymakers to promote a consumer-driven health care system.

CONCERNS AND COMMENTS:

In pursuing greater transparency of health care prices, the Department is committed to meeting the needs of Texas consumers, insurers and providers. The Department plans to solicit input from stakeholders and to leverage the expertise assembled through the Institute for Health Care Quality and Efficiency to promote data center grant objectives.

IV.F. BUDGETARY IMPACTS RELATED TO FEDERAL HEALTHCARE REFORM SCHEDULE, 2014 OPERATING BUDGET

Agency/IHE code: 454
 Agency /IHE name: Texas Department of Insurance

Item Name: Rate Filings Review

Includes Funding for the Following Strategy or Strategies:	
Strategy	Description
01-03-01	Process rates, forms & licenses promptly

		FY 2012 Expended	FY 2013 Expended	FY 2014 Budgeted
OBJECTS OF EXPENSE:				
1001	SALARIES AND WAGES	\$266,187	\$20,917	
2001	PROFESSIONAL FEES AND SERVICES	\$32,314	\$63,872	
2005	TRAVEL	\$2,575		
2009	OTHER OPERATING EXPENSE	\$33,515	\$16,576	
TOTAL, OBJECT OF EXPENSE		\$334,591	\$101,365	\$0

METHOD OF FINANCING:		FY 2012 Expended	FY 2013 Expended	FY 2014 Budgeted
FEDERAL FUNDS				
555	093 511 000 ACA Premium Review Cycle I	\$334,591	\$101,365	
TOTAL, METHOD OF FINANCING		\$334,591	\$101,365	\$0

FULL-TIME-EQUIVALENT POSITIONS (FTE):	4.3	0.3	0.0
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IV.F. BUDGETARY IMPACTS RELATED TO FEDERAL HEALTHCARE REFORM SCHEDULE, 2014 OPERATING BUDGET

Agency/IHE code: 454

Agency /IHE name: Texas Department of Insurance

Item Name: Rate Filings Review

LEGAL AUTHORITY/STATUTORY REFERENCE FOR ITEM:

Section 2794 of the Affordable Care Act

DESCRIPTION/KEY ASSUMPTIONS:

The Department received a \$1 million Premium Review grant from the U.S. Department of Health and Human Services (HHS). The grant period began August 9, 2010 and ended September 30, 2011; the Department applied for and was granted a one year extension to September 30, 2012.

To date the Department has expended \$511,210. Through the grant, the Department hired 6 temporary, full-time employees, contributed \$68,683 toward SERFF electronic filing enhancements, and purchased Milliman Health Care Cost Guidelines software. The Department has reviewed 223 rate filings through the grant.

Federal law does not require states to review rates. Texas chose to expand its review rate process to encourage market stability over the alternative of the federal government reviewing rates under the “unreasonable” threshold established by HHS.

CONCERNS AND COMMENTS:

TDI is working closely with Texas leadership to consider the range of options and implications for the state. In addition, many points of uncertainty will not be clarified until final regulations are issued.

IV.F. BUDGETARY IMPACTS RELATED TO FEDERAL HEALTHCARE REFORM SCHEDULE, 2014 OPERATING BUDGET

Agency/IHE code: **454**
 Agency /IHE name: **Texas Department of Insurance**

Item Name: Consumer Health Assistance Program Grant

Includes Funding for the Following Strategy or Strategies:	
Strategy	Description
01-02-01	Respond and act on complaints
01-02-03	Investigate insurer fraud and refer violations for prosecution
01-02-04	Investigate provider/consumer fraud and refer violations for prosecution
01-03-01	Process rates, forms & licenses promptly

		DESCRIPTION	FY 2012 Expended	FY 2013 Expended	FY 2014 Budgeted
OBJECTS OF EXPENSE:					
1001		SALARIES AND WAGES	\$291,842		
2001		PROFESSIONAL FEES AND SERVICES	\$3,250		
2003		CONSUMABLE SUPPLIES	\$9,507		
2005		TRAVEL	\$14,041		
2006		RENT - BUILDING	\$6,880		
2009		OTHER OPERATING EXPENSE	\$660,432		
TOTAL, OBJECT OF EXPENSE			\$985,952	\$0	\$0

METHOD OF FINANCING:		DESCRIPTION	FY 2012 Expended	FY 2013 Expended	FY 2014 Budgeted
FEDERAL FUNDS					
555		093 519 000 ACA Consumer Assistance Program Grants	\$985,952		
TOTAL, METHOD OF FINANCING			\$985,952	\$0	\$0

FULL-TIME-EQUIVALENT POSITIONS (FTE):	5.6	0.0	0.0
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IV.F. BUDGETARY IMPACTS RELATED TO FEDERAL HEALTHCARE REFORM SCHEDULE, 2014 OPERATING BUDGET

Agency/IHE code: 454

Agency /IHE name: Texas Department of Insurance

Item Name: Consumer Health Assistance Program Grant

LEGAL AUTHORITY/STATUTORY REFERENCE FOR ITEM:

Section 1002 of the Patient Protection and Affordable Care Act (March 23, 2010) added section 2793 of the Public Health Services Act, which provides for federal grants to states to establish, expand, or provide support for the establishment of independent offices of health insurance consumer assistance programs (CAPs).

DESCRIPTION/KEY ASSUMPTIONS:

The U.S. Department of Health and Human Services (HHS) awarded the Texas Department of Insurance a CAP grant for \$2,792,180. The grant period is October 15, 2010, through April 14, 2012. Key activities of the Texas CAP, named the Texas Consumer Health Assistance Program (CHAP), include:

helping consumers with health insurance questions, applications, enrollment, complaints, grievances, and appeals
educating consumers about their health insurance options, including the Pre-Existing Condition Insurance Plan and programs available under federal and Texas state law, via a consumer help line, outreach events, and a statewide educational campaign
reporting data about consumers' health insurance issues to HHS.

CONCERNS AND COMMENTS:

The CHAP staff included a director, researcher, four insurance specialists, two outreach specialists, and an administrative support staff member. From January 2011 through March 2012, they answered more than 12,000 consumer calls via a toll-free help line (855-839-2427 or 855-TEX-CHAP) and completed more than 200 outreach events throughout Texas. CHAP has assisted more than 7,600 consumers with health plan enrollment, insurance complaints, and appeals. From July through September 2011, TDI conducted a statewide educational campaign to inform consumers about CHAP. The campaign included print, Internet, radio, and cable TV ads and text messaging; TDI purchased additional ads in December 2011 through early 2012. When CHAP ended on April 14, 2012, consumers continued to receive assistance and information via the TDI Consumer Help Line.