

Parker Healthcare Management Organization, Inc.

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Certificate # 5301

February 19, 2007

ATTN: Program Administrator

Texas Department of Insurance/Workers Compensation Division

7551 Metro Center Drive, Suite 100

Austin, TX 78744

Delivered by fax: 512.804.4868

Notice of Determination

MDR TRACKING NUMBER: M2-07-0657-01

RE: Independent review for ____

The independent review for the patient named above has been completed.

- Parker Healthcare Management received notification of independent review on 1.12.07.
- Faxed request for provider records made on 1.12.07.
- TDI-DWC issued an Order for Payment on 1.25.07.
- The case was assigned to a reviewer on 2.7.07.
- The reviewer rendered a determination on 2.19.07.
- The Notice of Determination was sent on 2.19.07.

The findings of the independent review are as follows:

Questions for Review

Medical necessity of Lumbar posterior interbody fusion w/ 2-3 days LOS

Determination

PHMO, Inc. has performed an independent review of the proposed care to determine if the adverse determination was appropriate. After review of all medical records received from both parties involved, the PHMO, Inc. physician reviewer has determined to **uphold the denial** on the requested service(s).

Summary of Clinical History

Mr. ____ sustained a work related on the job injury on ____, while employed with _____.

Clinical Rationale

Mr. ____ per the lumbar MRI has three levels of disc involvement L3-4, L4-5, AND L5-S1. Dr. Simmons has proposed a fusion of L4-5 and L5-S1, which would transfer stresses to an abnormal disc already. Moreover, Dr. Vergara in his 8/4/05 office record stated that Mr. ____ was to have a right L5-S1 microdiscectomy performed by Dr. Geiger not a fusion procedure.

The second opinion surgeon, Dr. Cyr, had the same address as Dr. Simmons. Thus, an independent second opinion is not established.

Dr. Parsons noted multiple positive Waddell signs and referenced a prior impairment examination by Dr. Puente, who also noted significant “functional overlay”.

The *Official Disability Guidelines* do not support the use of a lumbar fusion for low back pain without instability. Given the records for review, the proposed two level fusions is not approved as a medical necessity.

Clinical Criteria, Utilization Guidelines or other material referenced

Official Disability Guidelines

The reviewer for this case is a Medical Doctor licensed by the Texas State Board of Medical Examiners. The reviewer is a diplomate of the American Board of Orthopedic Surgery, and is engaged in the full time practice of medicine.

The review was performed in accordance with Texas Insurance Code 21.58C and the rules of Texas Department of Insurance /Division of Workers' Compensation. In accordance with the act and the rules, the review is listed on the DWC's list of approved providers or has a temporary exemption. The review includes the determination and the clinical rationale to support the determination. Specific utilization review criteria or other treatment guidelines used in this review are referenced.

The reviewer signed a certification attesting that no known conflicts-of-interest exist between the reviewer and the treating and/or referring provider, the injured employee, the injured employee's employer, the injured employee's insurance carrier, the utilization review agent, or any of the treating doctors or insurance carrier health care providers who reviewed the case for decision before referral to the IRO. The reviewer also attests that the review was performed without any bias for or against the patient, carrier, or other parties associated with this case.

Your Right To Appeal

If you are unhappy with all or part of this decision, you have the right to appeal the decision. The decision of the Independent Review Organization is binding during the appeal process.

If you are disputing the decision (other than a spinal surgery prospective decision), the appeal must be made directly to a district court in Travis County (see Texas Labor Code §413.031). An appeal to District Court must be filed not later than 30 days after the date on which the decision that is the subject of the appeal is final and appealable.

If you are disputing a spinal surgery prospective decision, a request for a hearing must be in writing and it must be received by the Division of Workers' Compensation, Chief Clerk of Proceedings, within ten (10)

days of your receipt of this decision. The address for the Chief Clerk of Proceedings would be: P.O. Box 17787, Austin, Texas, 78744.

I hereby verify that a copy of this Findings and Decision was faxed to Texas Department of Insurance /Division of Workers Compensation, the requestor (if different from the patient) and the respondent. I hereby verify that a copy of this Findings and Decision was mailed to the injured worker applicable to Commission Rule 102.5 this 19th day of February, 2007.

Meredith Thomas
Administrator
Parker Healthcare Management Organization, Inc.

CC: James Simmons, M.D.

Texas Mutual
Attn: Richard Ball