

NOTICE OF INDEPENDENT REVIEW DECISION

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January 8, 2007

Requestor

Texas Back Institute
ATTN: Cory
6020 W. Parker Rd., Ste 200
Plano, TX 75093

Respondent

Sentry Insurance Company
ATTN: Katie Foster
Fax# : (512) 867-1733

RE: Claim #: _____
Injured Worker: _____
MDR Tracking #: M2-07-0380-01
IRO Certificate #: IRO4326

TMF Health Quality Institute (TMF) has been certified by the Texas Department of Insurance (TDI) as an independent review organization (IRO). The Division of Workers' Compensation (DWC) has assigned the above referenced case to TMF for independent review in accordance with DWC Rule §133.308 which allows for medical dispute resolution by an IRO.

TMF has performed an independent review of the proposed care to determine if the adverse determination was appropriate. In performing this review, relevant medical records, any documents utilized by the parties referenced above in making the adverse determination, and any documentation and written information submitted in support of the appeal was reviewed.

The independent review was performed by a TMF physician reviewer who is board certified in Orthopedic Surgery, by the American Board of Orthopaedic Surgery, Inc., licensed by the Texas State Board of Medical Examiners (TSBME) in 1969, and who provides health care to injured workers. This is the same specialty as the treating physician. The TMF physician reviewer has signed a certification statement stating that no known conflicts of interest exist between him or her and the provider, the injured employee, the injured employee's employer, the injured employee's insurance carrier, the utilization review agent, or any of the treating doctors or insurance carrier health care providers who reviewed the case for decision before referral to the IRO. In addition, the reviewer has certified that the review was performed without bias for or against any party to this case.

Clinical History

This patient sustained a work related injury on ___ when he was lifting a heavy object resulting in intractable low back pain that radiated into his right lower extremity with numbness and tingling in the right leg. The patient has been treated with physical therapy, nerve root injections and surgery.

Requested Service(s)

Arthroplasty L4-5 and L5-S1

Decision

It is determined that the arthroplasty L4-5 and L5-S1 is not medically necessary to treat this patient's condition.

Rationale/Basis for Decision

Current literature suggests that the long-term results of the Charite lumbar arthroplasty should still be considered undetermined. As such, the procedure should be considered experimental. Short term results are suggestive that the procedure will likely offer little additional benefit when compared to spinal fusion. It appears best suited for performance in single level degenerative disc disease. It does not appear to be applicable to this patient with multiple level degenerative disease and 2 prior spine surgeries. The Charite procedure appears best applied as a primary surgery and not as a revision on the multiply operated spine. Fusion would appear to offer better results under such circumstances.

This decision by the IRO is deemed to be a DWC decision and order.

YOUR RIGHT TO APPEAL

If you are unhappy with all or part of this decision, you have the right to appeal the decision. The decision of the Independent Review Organization is binding during the appeal process.

If you are disputing the decision (other than a spinal surgery prospective decision), the appeal must be made directly to a district court in Travis County (see Texas Labor Code § 413.031). An appeal to District Court must be filed not later than 30 days after the date on which the decision that is the subject of the appeal is final and appealable. If you are disputing a spinal surgery prospective decision, a request for a hearing must be in writing and it must be received by the Division of Workers' Compensation, Chief Clerk of Proceedings, within ten (10) days of your receipt of this decision.

This decision is deemed received by you 5 (five) days after it was mailed or the date of fax (28 Tex. Admin. Code 102.5(d)). A request for hearing and a **copy of this decision** must be sent to: Chief Clerk of Proceedings/Appeals Clerk, Texas Department of Insurance, Division of Workers' Compensation, P.O. Box 17787, Austin, Texas, 78744, Fax: 512-804-4011.

The party appealing this decision shall deliver a copy of its written request for a hearing to all other parties involved in this dispute.

Sincerely,

Gordon B. Strom, Jr., MD
Director of Medical Assessment

GBS:dm
Attachment

cc: _____, Injured Worker
Program Administrator, Medical Review Division, DWC

In accordance with Division Rule 102.4 (h), I hereby verify that a copy of this Independent Review Organization (IRO) Decision was sent to the carrier, the requestor and claimant via facsimile or U.S. Postal Service from the office of the IRO on this 8th day of January 2007.

Signature of IRO Employee:

Printed Name of IRO Employee:

Information Submitted to TMF for Review

Patient Name: ____

Tracking #: M2-07-0380-01

Information Submitted by Requestor:

- **Medical Dispute Resolution Request/Response**
- **Table of Disputed Services**
- **Surgery Scheduling Slip/Checklist**
- **Preauthorization Request Form**
- **Notification of Appeal Outcome**
- **Decision Letter**
- **Letter from Dr. Blumenthal**
- **Operative Reports**
- **History and Physical by Dr. Blumenthal**
- **Functional Capacity Evaluation**
- **Follow up office visit notes from Dr. Blumenthal**
- **Follow up office visit notes from Dr. Guyer**
- **Report of MRI of the lumbar spine**
- **Radiological report of lumbar myelogram**
- **Report of CT scan status post myelogram**

Information Submitted by Respondent:

None