An Independent Review Organization 8760 A Research Blvd #512 Austin, TX 78758 Phone: (512) 782-4560 Fax: (512) 870-8452

Email: manager@us-decisions.com

#### Review Outcome

#### Description of the service or services in dispute:

Chronic Pain Management Program for XX 97799 - Unlisted rehabilitation procedure

Description of the qualifications for each physician or other health care provider who reviewed the decision:

**Board Certified Anesthesiology** 

Upon Independent review, the reviewer finds that the previous adverse determination / adverse determinations should be:

Overturned (Disagree)

<b>√</b>	Upheld (Agree)	

#### Partially Overturned (Agree in part / Disagree in part)

#### Patient Clinical History (Summary)

XX is a XX who was diagnosed with a sprain of ligaments of the lumbar spine. XX was using Marijuana socially.

The patient sustained an injury to the lumbar disc on XX. XX was getting off XX. XX stated that XX really hard and did not feel pain but felt a slight pull in the lower back. XX stated XX had numbness/tingling in the left leg and inability to extend the leg. XX went to the emergency room, where XX was treated with Naproxen and x-rays were performed.

The patient was evaluated by XX on XXXX for a complaint of low back pain. The pain radiated into the right lower extremity. XX was able to stand, sit and walk for less than 30 minutes. The pain level was 4-9/10. XX recommended chronic pain program.

Per a Functional Capacity Evaluation dated XXXX, XX demonstrated the ability to perform within the heavy physical demand category. XX demonstrated the ability to perform 83.3% of the physical demands of his job as XX. The return work test items, which XX was unable to achieve successfully during this evaluation included constant floor to waist lift, 12 inches to waist lift, waist to shoulder lift, overhead lift, unilateral lift, bilateral carry, unilateral carry, constant push and constant pull.

A behavioral evaluation was conducted by XX on XXXX. Per the summary, the pain resulting from the patient's injury had severely impacted normal functioning physically and interpersonally. The patient reported frustration and anger related to the pain and pain behavior, in addition to decrease ability to manage pain. Pain had caused high stress in all major life areas. XX would benefit from a course of pain management. It would improve XX ability to cope with pain, anxiety, frustration, and stressors, which appeared to be impacting his daily functioning. He should be treated daily in a pain management program with both behavioral and physical modalities as well as medication monitoring. The program was staffed with multidisciplinary professionals trained in treating chronic pain. The program consisted of, but was not limited to, daily pain and stress management group, relaxation groups, individual therapy, nutrition education, medication management and vocational counseling as well as physical activity groups. These intensive services would address the ongoing problems of coping, adjusting, and returning to a higher level of functioning as possible.

An Appeal letter dated XXXX by XX. XX stated that the reviewer, XX, denied patient for the Chronic Pain Management program due to XX Marijuana use. The patient reported in the interview that XX used it socially. XX also reported that patient had a low

# Notice of Independent Review Decision

Case Number: XXXXXX

pain report at a 7. According the pain scale used for Behavioral evaluations and the Chronic Pain Management program, 7 was not considered low and would be attached. XX also denied due to it being unclear if XX was a surgery candidate. According to Official Disability Guidelines (ODG), the patient did not need a surgery evaluation to be a candidate for the Chronic Pain Management program. Also, this pain program did not utilize pain medication, in fact, it was to get patients to stop using pain medication and manage pain alternatively. The reviewer kept asking why the patient was not on pain medication. The patient had a right to not take medication and manage pain differently. XX had two injections that did not help. Per report, patient met Official Disability Guidelines.

Treatment to date included medications, epidural injections and physical therapy.

An MRI of the lumbar spine was performed on XXXX, which showed L5-S1 grade 1 (3 mm) retrolisthesis with uncovering of the intervertebral disc. There was a large broad-based 9 mm central disc extrusion (herniation) with 18 mm inferior left central disc migration and superimposed 10 mm posterior central annular fissure (high-Intensity zone). The disc extrusion severely contacted bilateral sacroiliac nerve roots in the lateral recesses, worse on the left. Severe central canal stenosis was noted, 6 mm anteroposterior. Bilateral facet arthropathy with moderate bilateral neural foraminal stenosis. There was L4-L5 broad-based 3 mm central disc protrusion (herniation) with a superimposed 8 mm posterior left central annular fissure (high Intensity zone). The disc protrusion moderately contacts bilateral L5 nerve roots in the lateral recesses, worse on the left. There was moderate-to-severe central canal stenosis, 7.5 mm anteroposterior. There was bilateral facet arthropathy with mild-to-moderate bilateral neural foraminal stenosis. There was L3-L4 broad-based 3.5 mm central disc protrusion (herniation) with a superimposed 7 mm posterior central annular fissure (high intensity zone). The disc protrusion moderately contacted bilateral L4 nerve roots in the lateral recesses. Moderate central canal stenosis was noted, 8 mm anteroposterior. Bilateral facet arthropathy with mild bilateral neural foraminal stenosis. There was multilevel foraminal stenosis with mild-to-moderate bilateral L4 and moderate bilateral L5 nerve root contact in the foraminal spaces.

Per a utilization review determination letter dated XXXX, the request for XX related to lumbar spine, as an outpatient was noncertified. Rationale: "Based on the documentation, the patient had low back pain with evidence of herniated nucleus pulposus. XX had no response to lumbar epidural steroid injections. It is unclear whether XX is a surgical candidate according to XX, who spoke with XX on the phone. NCV of lower extremity were normal. XX was on no psychotropics. XX said the patient wants to return to work but cannot because of pain. The treating provider won't prescribe any medications for the patient as XX continued to report XX smokes marijuana for XX pain. Therefore, the vicious cycle was this: XX had pain. XX was using tetrahydrocannabinol (THC). It did not relieve XX pain. The physician would not prescribe any medications for pain. The patient continued to have pain. It appeared that if the patient refused to be compliant by not discontinuation of smoking marijuana to enhance XX recovery, that XX was not a good candidate for a chronic pain management program CPMP. They suggested that patient should demonstrate XX cooperation and compliance by discontinuing the use of THC. This should be documented for XX by monthly urine drug screen UDS prior to any entrance into chronic pain management program (CPMP). XX stated that the patient has low back pain with evidence of HNP. XX had no response to LESI's. It is unclear whether XX is a surgical candidate according to XX, who spoke with me on the phone. NCV of LE were normal. XX on no psychotropics. XX said the patient wants to return to work but can't because of pain. The treating provider won't prescribe any medications for the patient as XX continues to report XX smokes marijuana for XX pain. Therefore, the vicious cycle Is this: XX has pain. XX uses THC. It does not relieve XX pain. The physician won1 prescribe any medications for pain. XX continues to have pain. Therefore, the requested XX related to the lumbar spine, as an outpatient is not medically necessary."

Per a reconsideration determination letter dated XXXX, the request for XX related to the lumbar spine, as an outpatient was non-certified. Rationale: "According to the Official Disability Guidelines, the request for chronic pain program is not supported. The clinical documentation submitted for review clearly stated that the patient was already performing at a heavy physical demand level which met XX job requirements as a XX. The physician also failed to address whether surgical Intervention could be utilized to address the patient's ongoing low back pain. Given that the patient was advised that XX needed surgery at the time of his functional capacity evaluation on XXXX, without first verifying that surgery was not being considered as a treatment option to address the patient's symptoms, proceeding with the chronic pain program would not be within guidelines standards. Based upon the provided information, the current request cannot be authorized. As such, the reconsideration for XX related to the lumbar spine, as an outpatient is not medically necessary."

Analysis and Explanation of the Decision include Clinical Basis, Findings and Conclusions used to support the decision.

# Notice of Independent Review Decision

Case Number: XXXXXX Date of Notice: XXXX

The UR performed in this patient raises 4 major issues:

- The patient is using marijuana and elects not to use opioids.
- The reviewer proposed a marijuana-free period to demonstrate compliance.
- · Surgery was recommended to the patient but is not addressed.
- The patient's documentation states that XX is at the heavy physical demand level, which the patient has reached. So, XX is eligible to return to XX job as a XX.

These 4 issues do not meet ODG guidelines with respect the chronic pain or functional restoration programs. Medical (pharm) management of the patient appears incomplete. Surgery is not ruled out. Patient compliance is uncertain. So, the decision not to approve the program is upheld.

# A description and the source of the screening criteria or other clinical basis used to make the decision:

	ACOEM-America College of Occupational and Environmental Medicine
	AHRQ-Agency for Healthcare Research and Quality Guidelines DWC-Division of Workers
	Compensation Policies and Guidelines European Guidelines for Management of Chronic Low Back
	Pain Interqual Criteria
<b>√</b>	Medical Judgment, Clinical Experience, and expertise in accordance with accepted medical standards
	Mercy Center Consensus Conference Guidelines
	Milliman Care Guidelines
<b>V</b>	ODG-Official Disability Guidelines and Treatment Guidelines  Pain (Chronic) (Updated 02/15/18)  Chronic pain programs (functional restoration programs)  Recommended where there is access to programs with proven successful outcomes (i.e., decreased pain and medication use, improved function and return to work, decreased utilization of the health care system), for patients with conditions that have resulted in "Delayed recovery."  See Biopsychosocial model of chronic pain. See also Chronic pain programs, intensity; Chronic pain programs, opioids; Functional restoration programs; Chronic pain programs, early intervention; Progressive goal attainment program (PGAP™).  Criteria for the general use of multidisciplinary pain management programs:  Outpatient pain rehabilitation programs may be considered medically necessary in the following circumstances:

(1) The patient has a chronic pain syndrome, with evidence of loss of function that persists beyond three months and has evidence of three or more of the following: (a) Excessive dependence on health-care providers, spouse, or family; (b) Secondary physical deconditioning due to disuse and/or fear-avoidance of physical activity due to pain; (c) Withdrawal from social activities or normal contact with others, including work, recreation, or other social contacts; (d) Failure to restore preinjury function after a period of disability such that the physical capacity is insufficient to pursue work, family, or recreational needs; (e) Development of psychosocial sequelae that limits function or recovery after the initial incident, including anxiety, fear-avoidance, depression, sleep disorders, or nonorganic illness behaviors (with a reasonable probability to respond to treatment intervention); (f) The diagnosis is not primarily a personality disorder or psychological condition without a physical component; (g) There is evidence of continued use of

# Notice of Independent Review Decision

Case Number: XXXXXX Date of Notice: XXXX

prescription pain medications (particularly those that may result in tolerance, dependence or abuse) without evidence of improvement in pain or function.

- (2) Previous methods of treating chronic pain have been unsuccessful and there is an absence of other options likely to result in significant clinical improvement.
- (3) An adequate and thorough multidisciplinary evaluation has been made. This should include pertinent validated diagnostic testing that addresses the following: (a) A physical exam that rules out conditions that require treatment prior to initiating the program. All diagnostic procedures necessary to rule out treatable pathology, including imaging studies and invasive injections (used for diagnosis), should be completed prior to considering a patient a candidate for a program. The exception is diagnostic procedures that were repeatedly requested and not authorized. Although the primary emphasis is on the work-related injury, underlying non-work related pathology that contributes to pain and decreased function may need to be addressed and treated by a primary care physician prior to or coincident to starting treatment; (b) Evidence of a screening evaluation should be provided when addiction is present or strongly suspected; (c) Psychological testing using a validated instrument to identify pertinent areas that need to be addressed in the program (including but not limited to mood disorder, sleep disorder, relationship dysfunction, distorted beliefs about pain and disability, coping skills and/or locus of control regarding pain and medical care) or diagnoses that would better be addressed using other treatment should be performed; (d) An evaluation of social and vocational issues that require assessment.
- (4) If a goal of treatment is to prevent or avoid controversial or optional surgery, a trial of 10 visits (80 hours) may be implemented to assess whether surgery may be avoided.
- (5) If a primary reason for treatment in the program is addressing possible substance use issues, an evaluation with an addiction clinician may be indicated upon entering the program to establish the most appropriate treatment approach (pain program vs. substance dependence program). This must address evaluation of drug abuse or diversion (and prescribing drugs in a non-therapeutic manner). In this particular case, once drug abuse or diversion issues are addressed, a 10-day trial may help to establish a diagnosis, and determine if the patient is not better suited for treatment in a substance dependence program. Addiction consultation can be incorporated into a pain program. If there is indication that substance dependence may be a problem, there should be evidence that the program has the capability to address this type of pathology prior to approval.
- (6) Once the evaluation is completed, a treatment plan should be presented with specifics for treatment of identified problems, and outcomes that will be followed.
- (7) There should be documentation that the patient has motivation to change, and is willing to change their medication regimen (including decreasing or actually weaning substances known for dependence). There should also be some documentation that the patient is aware that successful treatment may change compensation and/or other secondary gains. In questionable cases, an opportunity for a brief treatment trial may improve assessment of patient motivation and/or willingness to decrease habituating medications.
- (8) Negative predictors of success (as outlined above) should be identified, and if present, the pre-program goals should indicate how these will be addressed.
- (9) If a program is planned for a patient that has been continuously disabled for greater than 24 months, the outcomes for the necessity of use should be clearly identified, as there is conflicting evidence that chronic pain programs provide return-to-work beyond this period. These other desirable types of outcomes include decreasing post-treatment care including medications, injections and surgery. This cautionary statement should not preclude patients off work for over two years from being admitted to a multidisciplinary pain management program with demonstrated positive outcomes in this population.
- (10) Treatment is not suggested for longer than 2 weeks without evidence of compliance and significant demonstrated efficacy as documented by subjective and objective gains. (Note: Patients may get worse before they get better. For example, objective gains may be moving joints that are stiff from lack of use, resulting in increased subjective pain.) However, it is also not suggested that a continuous course of treatment be interrupted at two weeks solely to document these gains, if there are preliminary indications that they are being made on a concurrent basis.
- (11) Integrative summary reports that include treatment goals, compliance, progress assessment with objective measures and stage of treatment, must be made available upon request at least on a bi-weekly basis during the course of the treatment program.
- (12) Total treatment duration should generally not exceed 4 weeks (20 full-days or 160 hours), or the equivalent in part-day sessions if required by part-time work, transportation, childcare, or comorbidities. (Sanders, 2005) If

## Notice of Independent Review Decision

Case Number: XXXXXX Date of Notice: XXXX

treatment duration more than 4 weeks is required, a clear rationale for the specified extension and reasonable goals to be achieved should be provided. Longer durations require individualized care plans explaining why improvements cannot be achieved without an extension as well as evidence of documented improved outcomes from the facility (particularly in terms of the specific outcomes that are to be addressed).

- (13) At the conclusion and subsequently, neither re-enrollment in repetition of the same or similar rehabilitation program (e.g. work hardening, work conditioning, out-patient medical rehabilitation) is medically warranted for the same condition or injury (with possible exception for a medically necessary organized detox program). Prior to entry into a program the evaluation should clearly indicate the necessity for the type of program required, and providers should determine upfront which program their patients would benefit more from. A chronic pain program should not be considered a "stepping stone" after less intensive programs, but prior participation in a work conditioning or work hardening program does not preclude an opportunity for entering a chronic pain program if otherwise indicated.
- (14) Suggestions for treatment post-program should be well documented and provided to the referral physician. The patient may require time-limited, less intensive post-treatment with the program itself. Defined goals for these interventions and planned duration should be specified.
- (15) Post-treatment medication management is particularly important. Patients that have been identified as having substance abuse issues generally require some sort of continued addiction follow-up to avoid relapse. Inpatient pain rehabilitation programs: These programs typically consist of more intensive functional rehabilitation and medical care than their outpatient counterparts. They may be appropriate for patients who: (1) don't have the minimal functional capacity to participate effectively in an outpatient program; (2) have medical conditions that require more intensive oversight; (3) are receiving large amounts of medications necessitating medication weaning or detoxification; or (4) have complex medical or psychological diagnosis that benefit from more intensive observation and/or additional consultation during the rehabilitation process. (Keel, 1998) (Kool, 2005) (Buchner, 2006) (Kool, 2007) As with outpatient pain rehabilitation programs, the most effective programs combine intensive, daily biopsychosocial rehabilitation with a functional restoration approach. If a primary focus is drug treatment, the initial evaluation should attempt to identify the most appropriate treatment plan (a drug treatment /detoxification approach

vs. a multidisciplinary/interdisciplinary treatment program). See Chronic pain programs, opioids; Functional

There should be evidence that a complete diagnostic assessment has been made, with a detailed treatment plan of how to address physiologic, psychological and sociologic components that are considered components of the patient's pain. Patients should show evidence of motivation to improve and return to work, and meet the patient selection criteria outlined below. While these programs are recommended (see criteria below), the research remains ongoing as to (1) what is considered the "gold-standard" content for treatment; (2) the group of patients that benefit most from this treatment; (3) the ideal timing of when to initiate treatment; (4) the intensity necessary for effective treatment; and (5) cost-effectiveness. It has been suggested that interdisciplinary/multidisciplinary care models for treatment of chronic pain may be the most effective way to treat this condition. (Flor, 1992) (Gallagher, 1999) (Guzman, 2001) (Gross, 2005) (Sullivan, 2005) (Dysvik, 2005) (Airaksinen, 2006) (Schonstein, 2003) (Sanders, 2005) (Patrick, 2004) (Buchner, 2006) These treatment modalities are based on the biopsychosocial model, one that views pain and disability in terms of the interaction between physiological, psychological and social factors. (Gatchel, 2005)

Types of programs: There is no one universal definition of what comprises interdisciplinary/multidisciplinary treatment. These pain rehabilitation programs (as described below) combine multiple treatments, and at the least, include psychological care along with physical and/or occupational therapy (including an active exercise component as opposed to passive modalities). The most commonly referenced programs have been defined in the following general ways (Stanos, 2006):

- (1) Multidisciplinary programs: Involves one or two specialists directing the services of a number of team members, with these specialists often having independent goals. These programs can be further subdivided into four levels of pain programs:
- (a) Multidisciplinary pain centers (generally associated with academic centers and include research as part of their focus)
- (b) Multidisciplinary pain clinics
- (c) Pain clinics
- (d) Modality-oriented clinics

restoration programs.

## Notice of Independent Review Decision

Case Number: XXXXXX Date of Notice: XXXX

(2) Interdisciplinary pain programs: Involves a team approach that is outcome focused and coordinated and offers goal-oriented interdisciplinary services. Communication on a minimum of a weekly basis is emphasized. The most intensive of these programs is referred to as a Functional Restoration Program, with a major emphasis on maximizing function versus minimizing pain. See Functional restoration programs.

Types of treatment: Components suggested for interdisciplinary care include the following services delivered in an integrated fashion: (a) physical treatment; (b) medical care and supervision; (c) psychological and behavioral care; (d) psychosocial care; (e) vocational rehabilitation and training; and (f) education.

Outcomes measured: Studies have generally evaluated variables such as pain relief, function and return to work. More recent research has begun to investigate the role of comorbid psychiatric and substance abuse problems in relation to treatment with pain programs. Recent literature has begun to suggest that an outcome of chronic pain programs may be to "demedicalize" treatment of a patient, and encourage them to take a more active role in their recovery. These studies use outcomes such as use of the medical care system post-treatment. The role of the increasing use of opioids and other medications (using data collected over the past decade) on outcomes of functional restoration is in the early stages, and it is not clear how changes in medication management have affected outcomes, if at all. (See Opioids for chronic pain)

Outcomes (in terms of body parts)

Shoulder (and other upper extremity disorders): This large cohort study concluded that an interdisciplinary functional restoration program (FRP) is equally effective for patients with chronic upper extremity disorders, including the elbow, shoulder and wrist/hand, as for patients with lumbar spine disorders, regardless of the injury type, site in the upper extremity, or the disparity in injury-specific and psychosocial factors identified before treatment. (Howard, 2012) Knee (and other lower extremity disorders): This cohort study demonstrated that FRP was equally efficacious for patients with chronic lower extremity (LE) injuries (involving the hip, knee, ankle, and foot) and low back pain (LBP) injuries. Both patient groups significantly improved on measures of pain, disability, and depression after the FRP, and patients in both groups displayed similarly high return-to-work and work-retention rates one year later. (Mayer, 2013) Neck (and cervical spine): There are limited studies about the efficacy of chronic pain programs for neck disorders. (Karjalainen, 2003) This may be because rates of cervical claims are only 20-25% of the rates of lumbar claims. In addition, little is known as to chronicity of outcomes. Researchers using PRIDE Program (Progressive Rehabilitation Institute of Dallas for Ergonomics) data compared a cohort of patients with cervical spine disorders to those with lumbar spine disorders from 1990-1995 and found that they had similar outcomes. Cervical patients were statistically less likely to have undergone pre-rehabilitative surgery. (Wright, 1999) Interdisciplinary functional restoration programs (FRPs) are equally efficacious for treating both chronic occupational cervical and lumbar disorders, and FRPs are equally effective, irrespective of the compensable body part(s). (Hartzell, 2014)

Multidisciplinary back training: (involvement of psychologists, physiotherapists, occupational therapists, and/or medical specialists). The training program is partly based on physical training and partly on behavioral cognitive training. Physical training is performed according to the "graded activity" principle. The main goal is to restore daily function. A recent review of randomized controlled studies of at least a year's duration found that this treatment modality produced a positive effect on work participation and possibly on quality of life. There was no long-term effect on experienced pain or functional status (this result may be secondary to the instrument used for outcome measure). Intensity of training had no substantial influence on the effectiveness of the treatment. (van Geen, 2007) (Bendix, 1997) (Bendix, 1998) (Bendix2, 1998) (Bendix2, 1998) (Frost, 1998) (Harkapaa, 1990) (Skouen, 2002) (Mellin, 1990) (Haldorsen, 2002)

Intensive multidisciplinary rehabilitation of chronic low back pain: The most recent Cochrane study was withdrawn from the Cochrane (3/06) as the last literature search was performed in 1998. Studies selected included a physical dimension treatment and at least one other treatment dimension (psychological, social, or occupational). Back schools were not included unless they included the above criteria. There was strong evidence that intensive multidisciplinary biopsychosocial rehabilitation with functional restoration improved function when compared to inpatient or outpatient nonmultidisciplinary rehabilitation. Intensive (> 100 hours), daily interdisciplinary rehabilitation was moderately superior to noninterdisciplinary rehabilitation or usual care for short- and long-term functional status (standardized mean differences, -0.40 to -0.90 at 3 to 4 months, and -0.56 to -1.07 at 60 months). There was moderate evidence of pain reduction. There was contradictory evidence regarding vocational outcome. Less intensive programs did not show improvements in pain, function, or vocational outcomes. It was suggested that patients should not be referred to multidisciplinary biopsychosocial rehabilitation without knowing the actual content

## **Notice of Independent Review Decision**

Case Number: XXXXXX Date of Notice: XXXX

of the program, (Guzman, 2001) (Guzman-Cochrane, 2002) (van Geen, 2007) (Bendix, 1997) (Bendix, 1998) (Bendix2, 1998) (Bendix, 2000) (Frost, 1998) (Harkapaa, 1990) (Skouen, 2002) (Mellin, 1990) (Haldorsen, 2002) Multidisciplinary biopsychosocial rehabilitation for subacute low back pain among working age adults: The programs described had to include a physical component plus either a psychological, social and/or vocational intervention.

There was moderate evidence of positive effectiveness for multidisciplinary rehabilitation for subacute low back pain and that a workplace visits increases effectiveness. The trials included had methodological shortcomings, and further research was suggested. (Karjalainen, 2003)

Role of opioid use: See Chronic pain programs, opioids.

Role of comorbid psychiatric illness: Comorbid conditions, including psychopathology, should be recognized as they can affect the course of chronic pain treatment. In a recent analysis, patients with panic disorder, antisocial personality disorder and dependent personality disorder were > 2 times more likely to not complete an interdisciplinary program. Personality disorders appear to hamper the ability to successfully complete treatment. Patients diagnosed with post-traumatic stress disorder were 4.2 times more likely to have additional surgeries to the original site of injury. (Dersh, 2007) The prevalence of depression and anxiety in patients with chronic pain is similar. Cohort studies indicate that the added morbidity of depression and anxiety with chronic pain is more strongly associated with severe pain and greater disability. (Poleshuck, 2009) (Bair, 2008)

Predictors of success and failure: As noted, one of the criticisms of interdisciplinary/multidisciplinary rehabilitation programs is the lack of an appropriate screening tool to help to determine who will most benefit from this treatment. Retrospective research has examined decreased rates of completion of functional restoration programs, and there is ongoing research to evaluate screening tools prior to entry. (Gatchel, 2006) There is need for research in terms of necessity and/or effectiveness of counseling for patients considered to be "at-risk" for post-discharge problems. (Proctor, 2004) The following variables have been found to be negative predictors of efficacy of treatment with the programs as well as negative predictors of completion of the programs: (1) a negative relationship with the employer/supervisor; (2) poor work adjustment and satisfaction; (3) a negative outlook about future employment; (4) high levels of psychosocial distress (higher pretreatment levels of depression, pain and disability); (5) involvement in financial disability disputes; (6) greater rates of smoking; (7) increased duration of pre-referral disability time; (8) higher prevalence of opioid use; and (9) elevated pre-treatment levels of pain. (Linton, 2001) (Bendix, 1998) (McGeary, 2006) (McGeary, 2004) (Gatchel2, 2005) (Dersh, 2007)

Role of duration of disability: There is little research as to the success of return to work with functional restoration programs in long-term disabled patients (> 24 months).

Studies supporting programs for patients with long-term disability: Long-term disabled patients (at least 18 months) vs. short-term disabled (4 to 8 months) were evaluated using Pride data (1990-1993). No control was given for patients that did not undergo a program. During the time studied program dropouts averaged 8% to 12%. (It does appear that at the time of this study, participants in the program were detoxified from opioids prior to beginning.) The long-term disabled group was more likely to have undergone spinal surgery, with this likelihood increasing with time. Return to work was statistically different between the short-term disabled (93%) and the long-term disabled-18 months (80%). The long-term disabled-24 months group had a 75% return to work. Long-term disabled-18 month patients were statistically more likely to visit new health providers than short-term disabled patients (34% and 25% respectively). Work retention at one year in groups up to 24 months duration of disability was 80%. This dropped to 66% in the group that had been disabled for > 24 months. The percentage of recurrent lost time injury claims increased from around 1% in the groups disabled for < 35 months to 8.3% in the groups disabled for > 36 months. A main criterion for success appeared to be the decision of the patient to actively participate in the program rehabilitation goals. (Jordan, 1998)

Studies suggesting limited results in patients with long-term disability: While early studies have suggested that time out-of-work is a predictor of success for occupational outcomes, these studies have flaws when an attempt is made to apply them to chronic pain programs. (Gallagher, 1989) (Beals, 1972) (Krause, 1994) Washington State studied the role of duration of work injury on outcome using a statistical model that allowed for a comparison of patients that participated in a multidisciplinary pain program (using data from 1991-1993) vs. those that were evaluated and not treated. This was not an actual study of time of disability, but of duration of injury (mean years from injury to evaluation of 2.6 years for the treated group and 4.0 years for the evaluated only group). The original statistical analysis allowed for a patient to be included in a "treated group" for those individuals that both completed and did not complete the program. Data was collected from 10 sites. Each of the centers was CARF approved and included Pysch/behavioral treatment, vocation counseling and physical therapy. A sub-study evaluated a comparison of

## Notice of Independent Review Decision

Case Number: XXXXXX Date of Notice: XXXX

patients that were treatment completers vs. those that did not participate (78.6%, N=963). No information was given in terms of surgical procedures or medications. The primary outcome was time loss status of subjects 2 years after they had undergone the index pain center evaluation. In the 2001 study, if chronicity of duration of injury was controlled for, there was no significant benefit produced in terms of patients that were receiving time-loss benefits at 2-years post treatment between the two groups. Approximately 60% of both groups were not receiving benefits at the two-year period. As noted, the "treated patient" was only guaranteed to have started a program. A repeat analysis of only the patients who completed the study did not significantly change the results of the study. In a 2004 survey follow-up, no significant difference was found between treated and untreated groups, although the treated group had better response. The survey response was 50%, and the treatment responders were more likely to be disabled at the time of the survey. The authors suggest that the results indicated early intervention was a key to response of the programs, and that modest goals (improvement, not cure) be introduced. (Robinson, 2004) (Robinson, 2001) [The authors also concluded that there was no evidence that pain center treatment affects either disability status or clinical status of injured workers.]

Timing of use: Intervention as early as 3 to 6 months post-injury may be recommended depending on identification of patients that may benefit from a multidisciplinary approach (from programs with documented positive outcomes). See Chronic pain programs, early intervention.

Role of post-treatment care (as an outcome): Three variables are usually examined; (1) New surgery at the involved anatomic site or area; (2) Percentage of patients seeking care from a new provider; (3) Number of visits to the new provider over and above visits with the health-care professional overseeing treatment. It is suggested that a "new provider" is more likely to reorder diagnostic tests, provide invasive procedures, and start long-term analgesics. In a study to determine the relationship between post-treatment healthcare-seeking behaviors and poorer outcomes (using prospectively analyzed PRIDE data on patients with work-related musculoskeletal injuries), patients were compared that accessed healthcare with a new provider following functional restoration program completion (approximately 25%) to those that did not. The former group was significantly more likely to have an attorney involved with their case (22.7% vs. 17.1%, respectively), and to have had pre-rehabilitation surgery (20.7% vs. 12.1%, respectively). Return to work was higher in the group that did not access a new provider (90% vs. 77.6% in the group that did access). The group that did not access new providers also was more likely to be working at one year (88% vs. 62.2% in the group that accessed new providers). It should be noted that 18% of the patients that entered the program dropped out or were asked to leave. The authors suggested monitoring of additional access of healthcare over and above that suggested at the end of the program, with intervention if needed. (Proctor, 2004) The latest AHRQ Comparative Effectiveness Research supports the ODG recommendations. (AHRQ, 2011)

Pressley Reed, the Medical Disability Advisor
Texas Guidelines for Chiropractic Quality Assurance and Practice Parameters
Texas TACADA Guidelines
TMF Screening Criteria Manual
Peer Reviewed Nationally Accepted Medical Literature (Provide a description)
Other evidence based, scientifically valid, outcome focused guidelines (Provide a description)