

# Independent Resolutions Inc.

An Independent Review Organization

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Notice of Independent Review Decision

**DATE OF REVIEW:** May 13, 2008

**IRO CASE #:**

## **DESCRIPTION OF THE SERVICE OR SERVICES IN DISPUTE**

1) right sacroiliac joint injection, 2) 2 weeks later Epidural injection, unknown levels under fluoroscopy, 3) physical therapy 3 X 6 weeks total 18 sessions, 4) EMG/NCV bilateral lower extremities

## **A DESCRIPTION OF THE QUALIFICATIONS FOR EACH PHYSICIAN OR OTHER HEALTH CARE PROVIDER WHO REVIEWED THE DECISION**

Doctor of Medicine (M.D.)

Board Certified in Orthopaedic Surgery

## **REVIEW OUTCOME**

Upon independent review the reviewer finds that the previous adverse determination/adverse determinations should be:

- Upheld (Agree)
- Overturned (Disagree)
- Partially Overturned (Agree in part/Disagree in part)

The PT is not medically necessary.

The right sacroiliac joint injection, 2 weeks later Epidural injection, unknown levels under fluoroscopy, EMG bilateral lower extremities are medically necessary.

## **INFORMATION PROVIDED TO THE IRO FOR REVIEW**

OD Guidelines

Denial Letters 3/11/08 and 3/26/08

Progress Notes 2/21/08 and 4/16/08

New Patient Dr. 2/21/08  
 Office Visits 4/16/08 and 5/18/08  
 MRI 3/18/08  
 Memorial 3/7/08 thru 3/26/08  
 Diagnostic Studies 2/21/08, 4/16/08, and 5/18/08

**PATIENT CLINICAL HISTORY [SUMMARY]:**

The patient has axial low back pain with radiculopathy. He has previously undergone lumbar laminectomy at L4/L5. He has had a recent worsening of his low back and leg pain.

**ANALYSIS AND EXPLANATION OF THE DECISION INCLUDE CLINICAL BASIS, FINDINGS AND CONCLUSIONS USED TO SUPPORT THE DECISION.**

The requested services are all reasonable and necessary for this patient, except for the physical therapy. There is insufficient medical documentation to justify 18 visits. 12 visits over 10 weeks as per ODG guidelines is sufficient. Therefore, all requested services are approved; except, the 18 PT visits are not medically necessary.

Physical therapy (PT)	<p>Recommended. There is strong evidence that physical methods, including exercise and return to normal activities, have the best long-term outcome in employees with low back pain. See also <a href="#">Exercise</a>. Direction from physical and occupational therapists can play a role in this, with the evidence supporting active therapy and not extensive use of passive modalities. The most effective strategy may be delivering individually designed exercise programs in a supervised format (for example, home exercises with regular therapist follow-up), encouraging adherence to achieve high dosage, and stretching and muscle-strengthening exercises seem to be the most effective types of exercises for treating chronic low back pain. (<a href="#">Hayden, 2005</a>) Studies also suggest benefit from early use of aggressive physical therapy (“sports medicine model”), training in exercises for home use, and a functional restoration program, including intensive physical training, occupational therapy, and psychological support. (<a href="#">Zigenfus, 2000</a>) (<a href="#">Linz, 2002</a>) (<a href="#">Cherkin-NEJM, 1998</a>) (<a href="#">Rainville, 2002</a>) Successful outcomes depend on a functional restoration program, including intensive physical training, versus extensive use of passive modalities. (<a href="#">Mannion, 2001</a>) (<a href="#">Jousset, 2004</a>) (<a href="#">Rainville, 2004</a>) (<a href="#">Airaksinen, 2006</a>) One clinical trial found both effective, but chiropractic was slightly more favorable for acute back pain and physical therapy for chronic cases. (<a href="#">Skargren, 1998</a>) A spinal stabilization program is more effective than standard physical therapy sessions, in which no exercises are prescribed. With regard to manual therapy, this approach may be the most common physical therapy modality for chronic low back disorder, and it may be appropriate as a pain reducing modality, but it should not be used as an isolated modality because it does not concomitantly reduce disability, handicap, or improve quality of life. (<a href="#">Goldby-Spine, 2006</a>) Better symptom relief is achieved with directional preference exercise. (<a href="#">Long, 2004</a>) As compared with no therapy, physical therapy (up to 20 sessions over 12 weeks) following disc herniation surgery was effective. Because of the limited benefits of physical therapy relative to "sham" therapy (massage), it is open to question whether this treatment acts primarily physiologically, but psychological factors may contribute substantially to the benefits</p>
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observed. ([Erdogmus, 2007](#)) See also specific physical therapy modalities, as well as [Exercise](#); [Work conditioning](#); [Lumbar extension exercise equipment](#); [McKenzie method](#); & [Stretching](#). [Physical therapy is the treatment of a disease or injury by the use of therapeutic exercise and other interventions that focus on improving posture, locomotion, strength, endurance, balance, coordination, joint mobility, flexibility, activities of daily living and alleviating pain. ([BlueCross BlueShield, 2005](#)) As for visits with any medical provider, physical therapy treatment does not preclude an employee from being at work when not visiting the medical provider, although time off may be required for the visit.]

***Patient Selection Criteria:*** Multiple studies have shown that patients with a high level of fear-avoidance do much better in a supervised physical therapy exercise program, and patients with low fear-avoidance do better following a self-directed exercise program. When using the Fear-Avoidance Beliefs Questionnaire ([FABQ](#)), scores greater than 34 predicted success with PT supervised care. ([Fritz, 2001](#)) ([Fritz, 2002](#)) ([George, 2003](#)) ([Klaber, 2004](#)) ([Riipinen, 2005](#)) ([Hicks, 2005](#)) Without proper patient selection, routine physical therapy may be no more effective than one session of assessment and advice from a physical therapist. ([Frost, 2004](#)) Patients exhibiting the centralization phenomenon during lumbar range of motion testing should be treated with the specific exercises (flexion or extension) that promote centralization of symptoms. When findings from the patient's history or physical examination are associated with clinical instability, they should be treated with a trunk strengthening and stabilization exercise program. ([Fritz-Spine, 2003](#))

***Post Epidural Steroid Injections:*** ESIs are currently recommended as a possible option for short-term treatment of radicular pain (sciatica), defined as pain in dermatomal distribution with corroborative findings of radiculopathy. The general goal of physical therapy during the acute/subacute phase of injury is to decrease guarding, maintain motion, and decrease pain and inflammation. Progression of rehabilitation to a more advanced program of stabilization occurs in the maintenance phase once pain is controlled. There is little evidence-based research that addresses the use of physical therapy post ESIs, but it appears that most randomized controlled trials have utilized an ongoing, home directed program post injection. Based on current literature, the only need for further physical therapy treatment post ESI would be to emphasize the home exercise program, and this requirement would generally be included in the currently suggested maximum visits for the underlying condition, or at least not require more than 2 additional visits to reinforce the home exercise program. ESIs have been found to have limited effectiveness for treatment of chronic pain. The claimant should continue to follow a home exercise program post injection. ([Luijsterburg, 2007](#)) ([Luijsterburg2, 2007](#)) ([Price, 2005](#)) ([Vad, 2002](#)) ([Smeal, 2004](#))

#### ***ODG Physical Therapy Guidelines –***

Allow for fading of treatment frequency (from up to 3 or more visits per week to 1 or less), plus active self-directed home PT. Also see other general guidelines that apply to all conditions under Physical Therapy in the [ODG Preface](#).

**Lumbar sprains and strains (ICD9 847.2):**

10 visits over 8 weeks

**Sprains and strains of unspecified parts of back (ICD9 847):**

10 visits over 5 weeks

**Sprains and strains of sacroiliac region (ICD9 846):**

Medical treatment: 10 visits over 8 weeks

**Lumbago; Backache, unspecified (ICD9 724.2; 724.5):**

	<p>9 visits over 8 weeks</p> <p><b>Intervertebral disc disorders without myelopathy</b> (ICD9 722.1; 722.2; 722.5; 722.6; 722.8):</p> <p>Medical treatment: 10 visits over 8 weeks</p> <p>Post-injection treatment: 1-2 visits over 1 week</p> <p>Post-surgical treatment (discectomy/laminectomy): 16 visits over 8 weeks</p> <p>Post-surgical treatment (arthroplasty): 26 visits over 16 weeks</p> <p>Post-surgical treatment (fusion): 34 visits over 16 weeks</p> <p><b>Intervertebral disc disorder with myelopathy</b> (ICD9 722.7)</p> <p>Medical treatment: 10 visits over 8 weeks</p> <p>Post-surgical treatment: 48 visits over 18 weeks</p> <p><b>Spinal stenosis</b> (ICD9 724.0):</p> <p>10 visits over 8 weeks</p> <p>See 722.1 for post-surgical visits</p> <p><b>Sciatica; Thoracic/lumbosacral neuritis/radiculitis, unspecified</b> (ICD9 724.3; 724.4):</p> <p>10-12 visits over 8 weeks</p> <p>See 722.1 for post-surgical visits</p> <p><b>Curvature of spine</b> (ICD9 737)</p> <p>12 visits over 10 weeks</p> <p>See 722.1 for post-surgical visits</p> <p><b>Fracture of vertebral column without spinal cord injury</b> (ICD9 805):</p> <p>Medical treatment: 8 visits over 10 weeks</p> <p>Post-surgical treatment: 34 visits over 16 weeks</p> <p><b>Fracture of vertebral column with spinal cord injury</b> (ICD9 806):</p> <p>Medical treatment: 8 visits over 10 weeks</p> <p>Post-surgical treatment: 48 visits over 18 weeks</p> <p><b>Work conditioning</b> (See also <a href="#">Procedure Summary</a> entry):</p> <p>10 visits over 8 weeks</p>
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**A DESCRIPTION AND THE SOURCE OF THE SCREENING CRITERIA OR OTHER CLINICAL BASIS USED TO MAKE THE DECISION:**

- ACOEM- AMERICAN COLLEGE OF OCCUPATIONAL & ENVIRONMENTAL MEDICINE UM KNOWLEDGEBASE
- AHCPR- AGENCY FOR HEALTHCARE RESEARCH & QUALITY GUIDELINES
- DWC- DIVISION OF WORKERS COMPENSATION POLICIES OR GUIDELINES
- EUROPEAN GUIDELINES FOR MANAGEMENT OF CHRONIC LOW BACK PAIN
- INTERQUAL CRITERIA
- MEDICAL JUDGEMENT, CLINICAL EXPERIENCE AND EXPERTISE IN ACCORDANCE WITH ACCEPTED MEDICAL STANDARDS
- MERCY CENTER CONSENSUS CONFERENCE GUIDELINES

- MILLIMAN CARE GUIDELINES**
- ODG- OFFICIAL DISABILITY GUIDELINES & TREATMENT GUIDELINES**
- PRESSLEY REED, THE MEDICAL DISABILITY ADVISOR**
- TEXAS GUIDELINES FOR CHIROPRACTIC QUALITY ASSURANCE & PRACTICE PARAMETERS**
- TEXAS TACADA GUIDELINES**
- TMF SCREENING CRITERIA MANUAL**
- PEER REVIEWED NATIONALLY ACCEPTED MEDICAL LITERATURE (PROVIDE A DESCRIPTION)**
- OTHER EVIDENCE BASED, SCIENTIFICALLY VALID, OUTCOME FOCUSED GUIDELINES (PROVIDE A DESCRIPTION)**