



LIFE SETTLEMENT PROVIDER DATA REPORT

1. This annual report is due no later than March 1st. Refer to [Texas Insurance Code Section 1111A.006](#).
2. Each provider must submit an annual report, whether or not any reportable transactions occurred.
3. If no reportable transactions occurred, complete page 1 only and submit.
4. Report transactions based on insureds who are Texas residents.
5. Numerical fields will only allow numbers; if the value is none or N/A, use the number 0.
6. Prior to submission, you may save with a unique name and print a copy for your records.
7. If the e-mail or print does not work, check the following:
 - This form uses Java Script. You must download, then fill out the form. Do not fill out on your browser.**
 - Make sure your PDF reader is capable of running Java Script.**
 - Make sure Java Script is enabled.**
 - Make sure your PDF reader is updated to the latest version.**
 - For further assistance, please contact your company's IT support.**
8. When submitting by e-mail, the form will attach as an .xml data attachment, not the form itself. The recipient is automatically populated, just click on the send button. You will not receive a confirmation e-mail.

Calendar Year _____

Provider Name: _____

Address: _____

City: _____ State: _____ Zip: _____

TDI License Number: _____

Contact Name: _____

Contact E-mail: _____

Phone Number: _____

Fax Number: _____

28 TAC §3.1760(b)(3)(A)-(C) Policy Issue Year	Total Number of Life Settlement Contracts entered into during:	Aggregate Face Amount of Policies Settled during:	Proceeds of Life Settlement Contract entered into during:
Past year (2017)			
2 years ago (2016)			
3 years ago (2015)			
4 years ago (2014)			
5 years ago (2013)			

[28 TAC §3.1760\(3\)\(D\)](#)

Full Name of each Insurance Company whose policies have been settled:	Full Name of each Broker that settled the policies:

[28 TAC §3.1760\(3\)\(E\)](#)

Name of Life Settlement Broker who estimated Life Expectancies for a Life Settlement Contract	Life Settlement Broker's TDI License Number