



INSTRUCTIONS FOR COMPLETING SECURITIES DEPOSITED FORM 120

- 1. CUSTODIAN OR STATE COMPTROLLER** - Provide the designated custodian name.
- 2. SECURITIES DEPOSITED IN ACCORDANCE WITH ARTICLE** - Note the statute the statutory deposit will be held pursuant to.
- 3. IDENTIFICATION NUMBER** - Enter certificate, bond, or CUSIP number. Identifying securities proposed for deposit.
- 4. DESCRIPTION OF SECURITIES** - Enter complete description of securities to be filed (Maturity Date, Interest). Enter market value and source of market value as of date of report. (Note: Securities deposited need to be listed with the Securities Valuation Office and market value should be sufficient to maintain required value.)

*If deposit is held under the provisions of statute 481 of the Texas Insurance Code, Complete a DESIGNATION of securities under the description of securities column of Form 120.
- 5. AMOUNT DEPOSITED** - Par Value.
- 6. AUTHORIZED SIGNATURE** of an officer of the company (verifiable through the company's annual statement) should be affixed to each form on the blank indicated "FOR THE COMPANY". This blank is located in the lower left corner of the form.
- 7. TO THE CUSTODIAN OR STATE TREASURER** - Do not complete this portion of the form.
- 8. APPROVED AND WITHDRAWN BY STATE COMPTROLLER OR CUSTODIAN** - Do not complete the bottom right hand portion of the form.
- 9. RETURN ALL FORMS TO THIS OFFICE.** The officer/custodian of the bank will be asked to date and sign the forms and will be given instructions to send the forms to this office. In order to expedite a transaction; please furnish this department with the contact information of the officer at the bank that will handle the transaction. We will check the forms and sign them if in compliance. Then authorization will be sent from this office to the bank with approval letter.

Submit a complete filing to:

SDFilings@tdi.texas.gov

Or

Texas Department of Insurance
Company Licensing and Registration MC103-CL
333 Guadalupe
Austin, TX 78701