


## Notification to TDI to Act as a Life Settlement Broker For Life agents and General Lines, Life, Accident, and Health agents

To engage in the business of a life settlement broker pursuant to the provisions of [Texas Insurance Code \(TIC\), Chapter 1111A](#) and [28 Texas Administrative Code \(TAC\) Sections 3.1701 - 3.1760](#), you need a life settlement broker license. If you are a licensed Life agent or General Lines, Life, Accident, and Health agent, use this form to apply for your initial life settlement broker license or to renew an existing one.

Name \_\_\_\_\_

Texas license \_\_\_\_\_ Expiration date \_\_\_\_\_

 You must attach a current copy of your Texas Life agent or General Lines, Life, Accident, and Health agent license and life settlement license or registration from your domiciliary state.

- Will applicant act solely as a Life Expectancy Estimator?  Yes  No
- Initial applications must include the application fee of \$50 (submit with attached invoice)

With my signature below, I acknowledge that I will act as a life settlement broker pursuant to the provisions of TIC, Chapter IIIA, and 28 TAC Sections 3.1701 – 3.1760.

Full legal name \_\_\_\_\_ Agent signature \_\_\_\_\_

The state of \_\_\_\_\_ County of \_\_\_\_\_

Before me, \_\_\_\_\_, on this day appeared \_\_\_\_\_,  
Printed name of notary public Printed name of licensee

known to me (or proved to me) on the oath of \_\_\_\_\_  
Printed name of witness known to notary public

or through \_\_\_\_\_ to be the person whose name is subscribed to the  
Description of identity card or other document

instrument and acknowledged to me that they executed the same for purposes and consideration therein expressed.

Given under my hand and seal of office this \_\_\_\_\_ day of \_\_\_\_\_, A.D. \_\_\_\_\_

**(NOTARY SEAL)**

\_\_\_\_\_  
Notary public signature

Notary public, State of \_\_\_\_\_

My commission expires \_\_\_\_\_

## Sole proprietors

### If you are registering as sole proprietor, you must attest to the following:

No other individuals (including staff) will engage in the business of a life settlement broker under my license, as defined by TIC Chapter 1111A. The business of a life settlement broker includes:

- Offering or attempting to negotiate a life settlement contract between an owner and a provider; or
- Estimating life expectancies for a life settlement contract.

I certify that the above is true: \_\_\_\_\_

Agent signature

**If you are not a sole proprietor** and the above does not apply to you, you must apply to be licensed as a corporation or a partnership, as appropriate. In that case, you must submit biographical affidavits for all officers, directors, shareholders (10% or more), designated employees, and any other individual who will be acting as a broker or provider as defined by TIC, Chapter 1111A.

## Invoice

### For an agent acting as a life settlement broker

#### Payment of application fee

Agent name \_\_\_\_\_

Federal employer identification number \_\_\_\_\_

Mailing address \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ ZIP \_\_\_\_\_ Phone number \_\_\_\_\_

### You must return this form with the fee payment.

**Note:** Mail the application, application fee (make check payable to: Texas Department of Insurance), and this invoice to:

MC: CO-AAL

Agent and Adjuster Licensing

Texas Department of Insurance

PO Box 12030

Austin, TX 78711-2030

### For TDI use only

Receipt Number	Amount	CRE Code
		93