



Texas Department of Insurance

Financial Regulation Division - Company Licensing & Registration, Mail Code 305-2C
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Memorandum

To: **TEXAS ACCREDITED OR TRUSTEED REINSURERS**

From: Kathy A. Wilcox, Registration Officer
Company Licensing and Registration

Date: January 1, 2012

Subject: 2012 Filing Requirements for Certification as Accredited or Trusteed Reinsurer

The following are the requirements and due dates for filing documentation for 2012 certification of accredited and trusteed reinsurers in Texas. Each reinsurer wanting to maintain their certification or reinsurer requesting certification must submit required documentation.

Form R-1 (aka [FIN427](#)), Submission for Reinsurance Accreditation; Form R-3 (aka [FIN428](#)), Certificate of Assuming Reinsurer; and R-4 (aka [FIN426](#)), Accredited Reinsurer Checklist must be submitted with the year end 2011 financial statements. **DUE DATE: March 1, 2012.**

FIN427 and FIN428 must be completed, signed and notarized. FIN426 must be completed and returned with all other documents. Please note that some documentation on the Checklist is required to be filed every three years. A Biographical Affidavit must be submitted anytime there is a change in officers or directors, and updated biographicals for President, Secretary and Treasurer must be filed if current affidavit was filed prior to 2009.

A 3-year business plan, using [FIN429](#) as a guideline, must be submitted by all new companies seeking registration, or updated plans if the current business plan was filed prior to **2009. DUE DATE: March 1, 2012.**

The minimum capital and surplus requirement is \$20 million for an accredited/trusteed reinsurer. Failure to maintain at least \$20 million will result in the withdrawal of the accredited/trusteed status in Texas. Failure to comply with the filing requirements may also subject the insurer to withdrawal of their accredited/trusteed status.

If you have any questions regarding the filing requirements, or need assistance completing the forms, you may contact our office at 512/322-3535 fax 512/490-1035 or e-mail registrationteam@tdi.state.tx.us.