

**Title Insurers**

Title Insurers must refer to the [Holding Company Filings](#) link for additional filing instructions.

**IMPORTANT:** Refer also to the NAIC Filing Requirements checklist for [Title Companies](#).

Title Insurers must file the following documents:

**1. ANNUALLY ON OR BEFORE MARCH 1:**

<b>FINANCIAL ANALYSIS Domestic file electronically with TDI</b>	<b>NAIC Domestic/Foreign file electronically with NAIC</b>
<ul style="list-style-type: none"> <li>• Actuarial Opinion</li> <li>• All NAIC Annual Supplements due 3/1</li> <li>• Annual Statement%</li> <li>• Supplemental Compensation Exhibit<sup>^</sup></li> <li>• <a href="#">Texas Overhead Assessment Exemption Form</a>*</li> <li>• Policy Count Exhibit (submit electronically) <a href="#">Form</a>; <a href="#">Instructions</a></li> </ul>	<ul style="list-style-type: none"> <li>• Actuarial Opinion</li> <li>• All NAIC Annual Supplements</li> <li>• Annual Statement%</li> </ul>

% Foreign insurers file signed Jurat with the Department

<sup>^</sup> Required of Texas domestic companies only

\* To be filed only by domestic companies that have qualified pension contracts under TIC § 401.151.

**2. ANNUALLY ON OR BEFORE APRIL 1:**

<b>FINANCIAL ANALYSIS Domestic file electronically with TDI</b>	<b>NAIC Domestic/Foreign file electronically with NAIC</b>
<ul style="list-style-type: none"> <li>• Management’s Discussion and Analysis</li> <li>• All NAIC Annual Supplements due 4/1</li> </ul>	<ul style="list-style-type: none"> <li>• Management’s Discussion and Analysis</li> </ul>

**3. ANNUALLY ON OR BEFORE JUNE 1 (Texas multi-state)\* or JUNE 30 (Texas only)\*\*:**

<b>FINANCIAL ANALYSIS</b> Domestic file electronically with TDI	<b>NAIC</b> Domestic/Foreign file electronically with NAIC
<ul style="list-style-type: none"> <li>• CPA Audited Financial Report***</li> <li>• Accountants Letter of Qualifications***</li> </ul>	<ul style="list-style-type: none"> <li>• CPA Audited Financial Report***</li> </ul>

- \* **June 1 filer (Texas multi-state)** – domesticated in Texas and one of the following applies:
- a) License status as Licensed, Registered, Eligible, Qualified, or Domestic Surplus Lines Insurer other than Texas.
  - b) Reinsures business covering risks in at least one other state than Texas.
  - c) Is a member of a group with affiliates doing business in another state.

\*\* **June 30 filer (Texas only)** – Both the Company and its affiliates are licensed in and do business only in Texas.

\*\*\* **Exemption:** Companies are exempt from filing if they write **both** less than \$1 million in direct Texas premiums and assume less than \$1 million of reinsurance premiums (TIC § [401.006](#)). File the [Affidavit for Exemption form \(FIN 246\)](#) if requesting an exemption from filing audited financial reports.

**4. QUARTERLY ON OR BEFORE MAY 15, AUGUST 15 and NOVEMBER 15:**

<b>FINANCIAL ANALYSIS</b> Domestic file electronically with TDI	<b>NAIC</b> Domestic/Foreign file electronically with NAIC
<ul style="list-style-type: none"> <li>• All NAIC Quarterly Supplements</li> <li>• Quarterly Statement%</li> </ul>	<ul style="list-style-type: none"> <li>• All NAIC Quarterly Supplements</li> <li>• Quarterly Statement%</li> </ul>

% Foreign insurers file signed Jurat with TDI

**7. CORPORATE GOVERNANCE ANNUAL DISCLOSURE** is due annually on or before June 1\*

\*Refer to TIC § 831.0001 for applicability

**8. Liquidity Stress Test** is due annually on or before June 30\*

\*Refer to TIC § 823.0596 for applicability