



Mutuals: Local Mutual Burial, Local Mutual Aid, Statewide Mutual, And Exempt Companies

This applies to companies domiciled in Texas which produce business only in Texas and which are controlled by the policyholders. However, any company that is a member of an Insurance Holding Company System must also refer to the [Holding Company Filings](#) link.

Local Mutual Burial Associations, Local Mutual Aid Associations, Statewide Mutual Assessment, and Exempt Companies must file the following documents:

1. ANNUALLY ON OR BEFORE APRIL 1:

FINANCIAL ANALYSIS (Domestics file paper copy with TDI only)	COMPANY LICENSING & REGISTRATION (paper copy filing or as instructed by filing)
<ul style="list-style-type: none"> •Advertising Certificate of Compliance •Annual Statement (TX Edition Orange)^ •3-1/2% Chamberlain Reserve Table (Reserve Valuation) •Inventory of Insurance in Force By Age of Issue or Reserving Year •Release of Contribution Form •Reserve Summary (1956 Chamberlain Table 3½%) •Summary of Inventory of Insurance In Force By Age and Calculation of Net Premiums •Texas Overhead Assessment Form 	<ul style="list-style-type: none"> •Company Details Sheet •Biographical Affidavits for each Officer and Director as listed on the Texas Officers and Directors Page <i>(if changes)</i> •Texas Officers and Directors Page <i>(if changes)</i>

^ Exempt companies are not required to complete lines 22, 23, 24, 25, and 25 on page 3, the special instructions at the bottom of page 3, and pages 4, 5, 6, and 7. All other pages are required.

2. ANNUALLY ON OR BEFORE JUNE 30:

FINANCIAL ANALYSIS (Domestics file paper copy with TDI only)
<ul style="list-style-type: none"> •CPA Audited Financial Report*

*Under TX Insurance Code Article [401.006](#), generally companies are exempt from filing CPA audited financial reports if they write **both** less than \$1 million in direct Texas premiums and less than \$1 million in nationwide assumed reinsurance premiums. **The Texas Only rule for a 6/30 filing date applies only if a Texas insurer and all of its affiliated insurers (if any) do business only in Texas. NOTE: if a Texas company does business only in Texas, but its affiliates sell insurance outside of Texas, then the 6/1 date applies.**