



Fraternal

All domestic fraternal and commercially domiciled fraternal must also refer to the [Holding Company Filings](#) section for additional filing instructions.

IMPORTANT: All annual and quarterly statements and NAIC supplements and forms that are filed with TDI must be bar coded in accordance with the instructions contained in the NAIC Annual Statement Instructions manual.

Fraternal must file the following documents:

1. ANNUALLY ON OR BEFORE MARCH 1:

FINANCIAL ANALYSIS (Domestics file paper copy with TDI only)	NAIC (Domestics and Foreign file electronic with the NAIC only)	COMPANY LICENSING & REGISTRATION (paper copy filing or as instructed by filing)
<ul style="list-style-type: none"> •Actuarial Opinion •Advertising Certificate of Compliance •All NAIC Annual Supplements* •Analysis of Surplus^ •Annual Statement% •Annual Statement of the Separate Accounts •Supplemental Compensation Exhibit^ •Texas Overhead Assessment Form^ 	<ul style="list-style-type: none"> •Actuarial Opinion •All NAIC Annual Supplements* •Annual Statement% •Annual Statement of the Separate Accounts 	<ul style="list-style-type: none"> •Company Details Sheet •Biographical Affidavits for each Officer and Director as listed on the Texas Officers and Directors Page <i>(if changes)</i>^ •Texas Officers and Directors Page <i>(if changes)</i>

* If Applicable

^ Required of Texas domestic companies only

% Foreign insurers file signed Jurat with the Department

2. ANNUALLY ON OR BEFORE APRIL 1:

FINANCIAL ANALYSIS (Domestics file paper copy with TDI only)	NAIC (Domestics and Foreign file electronic with the NAIC only)
<ul style="list-style-type: none"> •Management's Discussion and Analysis 	<ul style="list-style-type: none"> •Management's Discussion and Analysis

* If Applicable

Fraternal (Continued)

3. ANNUALLY ON OR BEFORE JUNE 30:

FINANCIAL ANALYSIS (Domestics file paper copy with TDI only)	NAIC (Domestics and Foreign file electronic with the NAIC only)
•CPA Audited Financial Report	•CPA Audited Financial Report

*Under TX Insurance Code Article [401.006](#) (formerly 1.15A §4) §4), generally companies are exempt from filing CPA audited financial reports if they write **both** less than \$1 million in direct Texas premiums and less than \$1 million in nationwide assumed reinsurance premiums. **The Texas Only rule for a 6/30 filing date applies only if a Texas insurer and all of its affiliated insurers (if any) do business only in Texas.** NOTE: if a Texas company does business only in Texas, but its affiliates sell insurance outside of Texas, then the 6/1 date applies.

4. QUARTERLY ON OR BEFORE MAY 15, AUGUST 15 AND NOVEMBER 15:

FINANCIAL ANALYSIS (Domestics file paper copy with TDI only)	NAIC (Domestics and Foreign file electronic with the NAIC only)
<ul style="list-style-type: none"> •All NAIC Quarterly Supplements •Quarterly Statement % 	<ul style="list-style-type: none"> •All NAIC Quarterly Supplements • Quarterly Statement%

% Foreign insurers file signed Jurat with the Department