



Foreign Surplus Lines Insurers

In addition to the documents that all Surplus Lines insurers must file, Foreign Surplus Lines insurers must also file the following:

ANNUALLY ON OR BEFORE MARCH 31:

- * NAIC Property and Casualty Annual Statement signed in ink and notarized. The statement must include a Page 24 for Texas and an actuarial certification page.
- * Current NAIC IRIS Ratio Results and the response to each unusual value. If the NAIC IRIS Ratio Results are not available on the due date, so indicate and provide an internally generated set of the twelve NAIC IRIS ratios with appropriate responses.
- * Most current Examination Report from domiciliary state with an explanation, response or corrective action taken on comments or recommendations.
- * Copy of the audited GAAP or SAP financial statements and opinions of the insurer and/or insurer's parent corporation.
- * Copy of the auditor's most recent "management letter" and management's response.
- * Copy of Management's Discussion and Analysis of Operations.
- * Texas-Specific Premium/Financial Projections
- * Copy of Certificate of Authority from Domiciliary State

QUARTERLY ON OR BEFORE MAY 15, AUGUST 15 and NOVEMBER 15:

NAIC Quarterly Statement – Eligible Surplus Lines Insurers wishing to maintain eligibility are not required to file a hard copy of the completed NAIC Quarterly Statement but must file a copy of the original signed JURAT Page and a written statement original quarterly statement has been timely filed with NAIC. If the Quarterly Statement is not filed the insurer must file written explanation.

NOTE: Foreign Surplus Insurers are NOT required to file a consolidated Annual Statement.

Send original documents to:

**Company Licensing & Registration MC 305-2C
Texas Department of Insurance
P.O. Box 149104
Austin, Texas 78714-9104**

Send copies of the above documents to:

**Surplus Lines Stamping Office of Texas
805 Las Cimas Parkway, Suite 150
Austin, Texas 78746-5493**

PLEASE CALL (512) 322-3535 IF YOU HAVE ANY QUESTIONS