

No. 2023-8286

**Official Order  
of the  
Texas Commissioner of Insurance**

**Date: 10/12/2023**

**Subject Considered:**

National Transportation Association Inc.  
6602 Owens Dr Ste 300  
Pleasanton, California 94588

Consent Order  
TDI Enforcement File No. 32851

**General remarks and official action taken:**

This is a consent order with National Transportation Association Inc. for failure to timely file surplus lines policies and related documents. National Transportation Association Inc. has agreed to pay an administrative penalty of \$2,425 for these violations.

**Waiver**

National Transportation Association Inc. acknowledges that the Texas Insurance Code and other applicable law provide certain rights. National Transportation Association Inc. waives all of these rights, and any other applicable procedural rights, in consideration of the entry of this consent order.

**Findings of Fact**

1. National Transportation Association Inc., license number 2097717, holds a surplus lines gency license issued by the Texas Department of Insurance.
2. Pursuant to TEX. INS. CODE § 981.105(a), a surplus lines insurance agent must file with the Surplus Lines Stamping Office of Texas either:
  - a. a copy of the policy issued or

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- b. if the policy has not yet been issued, a copy of the certificate, cover note, or other confirmation of insurance delivered to the insured.

This information is due not later than the 60th day after the later of the effective date or the issue date of new or renewal surplus lines insurance.

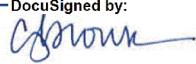
3. In 2022, National Transportation Association Inc. filed surplus lines policies and related documents late.

## Conclusions of Law

1. The commissioner of insurance has jurisdiction over this matter pursuant to TEX. INS. CODE §§ 82.051-82.055, 84.021-84.044, and 981.105.
2. The commissioner of insurance has authority to informally dispose of this matter under TEX. INS. CODE § 82.055, TEX. GOV'T CODE § 2001.056, and 28 TEX. ADMIN. CODE § 1.47.
3. National Transportation Association Inc. has knowingly and voluntarily waived all procedural rights to which it may have been entitled regarding the entry of this order, including, but not limited to, issuance and service of notice of intention to institute disciplinary action, notice of hearing, a public hearing, a proposal for decision, rehearing by the commissioner, and judicial review.
4. National Transportation Association Inc. violated TEX. INS. CODE § 981.105(a) by failing to timely file new or renewal surplus lines policies and related documents.

## Order

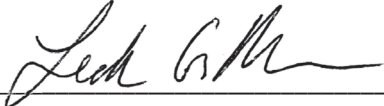
It is ordered that National Transportation Association Inc. must pay an administrative penalty of \$2,425 within 30 days from the date of this order. The administrative penalty must be paid as instructed in the invoice, which the department will send after entry of this order.

DocuSigned by:  
  
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Cassie Brown  
Commissioner of Insurance

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Recommended and reviewed by:

  
\_\_\_\_\_  
Leah Gillum, Deputy Commissioner  
Fraud and Enforcement Division

  
\_\_\_\_\_  
Stephanie Andrews, Attorney  
Enforcement

Affidavit

THE STATE OF California §

§

COUNTY OF Alameda §

Before me, the undersigned authority, personally appeared the affiant, who being by me duly sworn, deposed as follows:

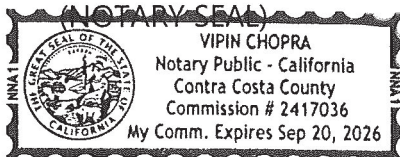
"My name is Rene Maddox. I am of sound mind, capable of making this statement, and have personal knowledge of these facts which are true and correct.

I hold the office of EVP Underwriting and am the authorized representative of National Transportation Association Inc. I am duly authorized by the organization to execute this statement.

National Transportation Association Inc has knowingly and voluntarily entered into the foregoing consent order and agrees with and consents to the issuance and service of the same by the commissioner of insurance of the state of Texas."

Rene Maddox  
Affiant

SWORN TO AND SUBSCRIBED before me on Sep. 28, 2023.



Vipin Chopra  
Signature of Notary Public

Vipin Chopra  
Printed Name of Notary Public