

No. 2023-7976

**Official Order  
of the  
Texas Commissioner of Insurance**

**Date:** 05/18/2023

**Subject Considered:**

Erik Harris

  
Houston, Texas 77020

Default Order  
SOAH Docket No. 454-22-01010  
TDI Enforcement File No. 27912

**General remarks and official action taken:**

The subject of this default order is whether a general lines agent license should be issued to Erik Harris (Respondent). Respondent did not appear at the hearing on the merits. This order denies Respondent's license application.

The following findings of fact and conclusions of law are adopted:

**Findings of Fact**

Failure to Appear at Hearing on the Merits

1. On January 17, 2023, the Texas Department of Insurance filed an Amended Notice of Hearing, attached as Exhibit A, and an Amended Petition, attached as Exhibit B, with the State Office of Administrative Hearings. On February 9, 2023, an order, attached as Exhibit C, was issued by Judge DeAngelo rescheduling the hearing on the merits for May 11, 2023.
2. The department's factual allegations set out in the attached Amended Notice of Hearing and Amended Petition are incorporated in this order as findings of fact.

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Commissioner's Order

Erik Harris

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3. The department sent the Amended Notice of Hearing and Amended Petition to Respondent's last known address provided in writing to the department, [REDACTED] [REDACTED] Houston, Texas 77020. The Amended Notice of Hearing and Amended Petition were also sent by electronic mail.
4. After receiving proper notice of the date, time, and location of the hearing on the merits, Respondent failed to appear for the hearing on May 11, 2023, as shown in the record in SOAH Docket No. 454-22-01010.

## Conclusions of Law

1. The commissioner has jurisdiction under Texas law, including TEX. INS. CODE §§ 82.051-82.055, 4001.002, 4005.101, 4005.102, and 4051.051; TEX. OCC. CODE §§ 53.021-53.023; 28 TEX. ADMIN. CODE § 1.502; and TEX. GOV'T CODE §§ 2001.051-2001.178.
2. The commissioner has authority to dispose of this case informally under TEX. GOV'T CODE § 2001.056; TEX. INS. CODE § 82.055; and 28 TEX. ADMIN. CODE §§ 1.47, 1.88, and 1.89.
3. The department provided proper notice of the hearing under TEX. GOV'T CODE §§ 2001.051, 2001.052, and 2001.054, and 28 TEX. ADMIN. CODE §§ 1.28, 1.88, 1.89, and 19.906.
4. Based on Respondent's failure to appear in person or by legal representative at the hearing, the department is entitled to disposition by default under 28 TEX. ADMIN. CODE §§ 1.88 and 1.89.
5. The department's factual and legal allegations set out in the attached Notice of Hearing and Original Petition are incorporated in this order and deemed admitted as true under 28 TEX. ADMIN. CODE § 1.89.

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Commissioner's Order


Erik Harris

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## Order

It is ordered that Erik Harris' application is denied. A copy of this order will be provided to law enforcement or other appropriate administrative agencies for further investigation as may be warranted.

DocuSigned by:  
  
FC5D7EDDFB4F8...

\_\_\_\_\_  
Cassie Brown  
Commissioner of Insurance

Prepared and reviewed by:



\_\_\_\_\_  
Stephanie Daniels, Staff Attorney  
Enforcement

# 2023-7976

Commissioner's Order

Erik Harris

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## Affidavit

**STATE OF TEXAS** §

§

**COUNTY OF TRAVIS** §


Before me, the undersigned authority, personally appeared David Moreno, who, being by me duly sworn, deposed as follows:

"My name is David Moreno and I am employed by the Texas Department of Insurance. I am of sound mind, capable of making this affidavit, and have personal knowledge of these facts which are true and correct.

I have reviewed TDI's records concerning Erik Harris. I have confirmed that:

- a. The last mailing address provided to the department in writing by Erik Harris is [REDACTED], Houston, Texas 77020.
- b. The file maintained by Enforcement contains an Amended Notice of Hearing and Amended Petition dated January 17, 2023, which were filed with the State Office of Administrative Hearings.
- c. On January 17, 2023, the Amended Notice of Hearing and Amended Petition addressed to Erik Harris were mailed certified, return receipt requested, to his last known address.

A copy of the certified mail log maintained by Enforcement is attached as Exhibit D."

DocuSigned by:  
  
BE54AED02F5749C... \_\_\_\_\_  
Affiant

# 2023-7976

Commissioner's Order

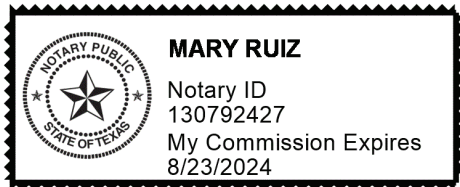
Erik Harris

SOAH Docket No. 454-22-01010

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SWORN TO AND SUBSCRIBED before me by means of an interactive two-way audio and video communication on 5/12/2023. This notarial act was an online notarization.

## Notary Seal



## Digital Certificate

DocuSigned by:  
*Mary Ruiz*  
795DC4D59167489...  
Notary Public State of Texas

ACCEPTED  
2023-7976  
454-22-01010  
1/17/2023 1:09:35 pm  
STATE OFFICE OF  
ADMINISTRATIVE HEARINGS  
Kevin Garza, CLERK

FILED  
454-22-01010  
1/17/2023 12:22 PM  
STATE OFFICE OF  
ADMINISTRATIVE HEARINGS  
Kevin Garza, CLERK

**SOAH DOCKET NO. 454-22-01010  
TDI ENFORCEMENT FILE NO. 27912**

**TEXAS DEPARTMENT OF INSURANCE,**

**Petitioner**

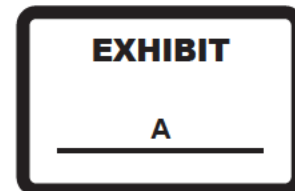
**v.**

**ERIK HARRIS,**

**Respondent**

**BEFORE THE STATE OFFICE**

**OF**



**ADMINISTRATIVE HEARINGS**

**AMENDED NOTICE OF HEARING**

The Texas Department of Insurance seeks to deny your license application. This Amended Notice of Hearing and the Amended Petition, which is attached and incorporated for all purposes, states the allegations against you and the relief sought by the Department.

A public hearing will be held before an Administrative Law Judge on **Thursday, February 2, 2023, at 9:00 a.m.** The hearing will take place via videoconference using a videoconference platform controlled by the State Office of Administrative Hearings, unless otherwise ordered to proceed in another manner by the Administrative Law Judge.

The hearing shall be conducted under TEX. GOV'T CODE, ch. 2001 and 1 TEX. ADMIN. CODE, ch. 155. Unless otherwise directed by the Administrative Law Judge, the hearing shall continue from day to day in the offices of the State Office of Administrative Hearings until concluded. You have the right to appear at this hearing and to be represented by an attorney.

**Parties that are not represented by an attorney may obtain information regarding contested case hearings on the public website of the State Office of Administrative Hearings at [www.soah.texas.gov](http://www.soah.texas.gov), or in printed format upon request to SOAH.**

**YOU MUST FILE A WRITTEN RESPONSE TO THE NOTICE OF HEARING WITH THE STATE OFFICE OF ADMINISTRATIVE HEARINGS WITHIN 20 DAYS OF THE DATE THE NOTICE OF HEARING WAS MAILED. FAILURE TO FILE A WRITTEN RESPONSE BY THIS DEADLINE SHALL ENTITLE TDI TO SEEK DISPOSITION BY DEFAULT UNDER 1 TEX. ADMIN. CODE § 155.501 AND 28 TEX. ADMIN. CODE §§ 1.88 AND 1.89.**

## 2023-7976

Amended Notice of Hearing

Erik Harris

SOAH Docket No. 454-22-01010

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**IF YOU FAIL TO FILE A WRITTEN RESPONSE, THE SCHEDULED HEARING CAN BE CANCELED AND WITHOUT FURTHER NOTICE TO YOU THE COMMISSIONER OF INSURANCE CAN ISSUE AN ORDER IN WHICH THE ALLEGATIONS IN THE NOTICE OF HEARING ARE DEEMED ADMITTED AS TRUE AND THE RELIEF SOUGHT IN THE NOTICE OF HEARING, INCLUDING DENIAL OF YOUR LICENSE APPLICATION, IS GRANTED BY DEFAULT.**

**IF YOU FILE A WRITTEN RESPONSE BUT THEN FAIL TO APPEAR ON THE DAY AND TIME SET FOR HEARING, WITHOUT FURTHER NOTICE TO YOU, THE COMMISSIONER OF INSURANCE CAN ISSUE AN ORDER IN WHICH THE ALLEGATIONS IN THE NOTICE OF HEARING ARE DEEMED ADMITTED AS TRUE AND THE RELIEF SOUGHT IN THE NOTICE OF HEARING, INCLUDING DENIAL OF YOUR LICENSE APPLICATION, IS GRANTED BY DEFAULT.**

In accord with 28 TEX. ADMIN. CODE § 1.90(e) and 1 TEX. ADMIN. CODE §§ 155.101 and 155.103, you should send copies of your written response to:

- (1) *(by mail, fax, or electronically)*  
Docketing Division  
State Office of Administrative Hearings  
300 West 15th Street, Room 504  
P.O. Box 13025  
Austin, Texas 78711-3025  
(512) 322-2061 (Fax);  
[www.soah.texas.gov](http://www.soah.texas.gov)
  
- (2) *(by mail, fax, or email)*  
Chief Clerk  
Texas Department of Insurance  
P.O. Box 12030, MC GC-CCO  
Austin, Texas 78711-2030  
(512) 490-1064 (Fax)  
[chiefclerk@tdi.texas.gov](mailto:chiefclerk@tdi.texas.gov); and
  
- (3) *(by mail, fax, or email)*  
Stephanie Daniels, Staff Attorney  
Texas Department of Insurance  
Enforcement Division, MC ENF

## **2023-7976**

Amended Notice of Hearing  
Erik Harris  
SOAH Docket No. 454-22-01010  
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P.O. Box 12030  
Austin, Texas 78711-2030  
(512) 490-1020 (Fax)  
Stephanie.Daniels@tdi.texas.gov

### **Receiving Documents Electronically and Filing Documents with SOAH**

The State Office of Administrative Hearings (SOAH) offers you the ability to electronically receive orders and other documents issued by SOAH by email instead of by mail. If you want to receive SOAH-issued documents by email, follow the instructions on SOAH's website: [www.soah.texas.gov](http://www.soah.texas.gov).

A request to receive SOAH-issued documents by email does not change the procedures you must follow to file documents or exhibits with SOAH. Instructions on how to file documents and exhibits are on SOAH's website. SOAH's website also includes guidance for representing yourself.

### **Important Security Notice** (for In-Person Hearings ONLY)

**ALL VISITORS TO THE WILLIAM P. CLEMENTS BUILDING WITHOUT AN AGENCY OR DPS ISSUED ID CARD MUST PROVIDE THE BUILDING SECURITY OFFICER WITH THE STATE OFFICE OF ADMINISTRATIVE HEARINGS DOCKET NUMBER AND RECEIVE A VISITOR'S PASS IN ORDER TO BE ALLOWED ACCESS TO THE HEARING ROOM. INDIVIDUALS SHOULD ALLOW ADDITIONAL TIME TO GO THROUGH THE SECURITY PROCESS.**



## 2023-7976

Amended Notice of Hearing  
Erik Harris  
SOAH Docket No. 454-22-01010  
Page 4 of 5

If you have any questions, please contact me at the address or telephone number shown below.

Respectfully Submitted,



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Stephanie Daniels  
State Bar No. 24107343  
Texas Department of Insurance  
Enforcement Division, MC ENF  
P.O. Box 12030  
Austin, Texas 78711-2030  
(512) 676-6334 (Direct)  
(512) 490-1020 (Fax)  
Stephanie.Daniels@tdi.texas.gov

ATTORNEY FOR THE PETITIONER  
TEXAS DEPARTMENT OF INSURANCE

Enclosure: Amended Petition

cc: Leah Gillum, Deputy Commissioner, Enforcement Division, MC ENF  
Ginger Loeffler, Litigation Director, Enforcement Division, MC ENF  
Administrative Review, MC CO-AAL

**2023-7976**

Amended Notice of Hearing

Erik Harris

SOAH Docket No. 454-22-01010

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**CERTIFICATE OF SERVICE**

I, Stephanie Daniels, certify that a true and correct copy of the *Amended Notice of Hearing* and the *Amended Petition* were sent by certified mail, return receipt requested, and via eFile, on this 17th day of January, 2023 to:

Erik Harris

[REDACTED]

Houston, Texas 77020

*Respondent Pro Se*

Via CM/RRR No.: 9214 8901 9403 8301 2858 18

Via Email: [REDACTED]



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Stephanie Daniels

**2023-7976**

**Automated Certificate of eService**

This automated certificate of service was created by the eFiling system. The filer served this document via email generated by the eFiling system on the date and to the persons listed below. The rules governing certificates of service have not changed. Filers must still provide a certificate of service that complies with all applicable rules.

Mary Ruiz on behalf of Stephanie Daniels  
Bar No. 24107343  
Enforcementgeneral@tdi.texas.gov  
Envelope ID: 71851111  
Status as of 1/17/2023 1:10 PM CST

Associated Case Party: Texas Department of Insurance

Name	BarNumber	Email	TimestampSubmitted	Status
Ginger Loeffler		Ginger.Loeffler@tdi.texas.gov	1/17/2023 12:22:10 PM	SENT
Texas Department of Insurance		Enforcementgeneral@tdi.texas.gov	1/17/2023 12:22:10 PM	SENT
Stephanie Daniels		Stephanie.Daniels@tdi.texas.gov	1/17/2023 12:22:10 PM	SENT
Leah Gillum		Leah.Gillum@tdi.texas.gov	1/17/2023 12:22:10 PM	SENT
Catarina Tapia		Catarina.Tapia@tdi.texas.gov	1/17/2023 12:22:10 PM	SENT

Associated Case Party: Chief Clerk

Name	BarNumber	Email	TimestampSubmitted	Status
Chief Clerk		ChiefClerk@tdi.texas.gov	1/17/2023 12:22:10 PM	SENT

Associated Case Party: Erik Harris

Name	BarNumber	Email	TimestampSubmitted	Status
Erik Harris		[REDACTED]	1/17/2023 12:22:10 PM	SENT

**2023-7976**

ACCEPTED  
454-22-01010  
1/17/2023 1:09:35 pm  
STATE OFFICE OF  
ADMINISTRATIVE HEARINGS  
Kevin Garza, CLERK

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**SOAH DOCKET NO. 454-22-01010  
TDI ENFORCEMENT FILE NO. 27912**

**TEXAS DEPARTMENT OF INSURANCE,**

**Petitioner**

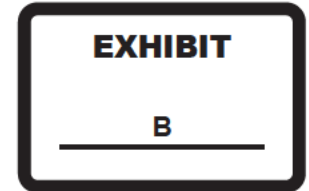
**v.**

**ERIK HARRIS,**

**Respondent**

**BEFORE THE STATE OFFICE**

**OF**



**ADMINISTRATIVE HEARINGS**

**AMENDED PETITION**

The Texas Department of Insurance seeks to deny Erik Harris' license application. The department previously filed an Original Petition and is now amending those allegations. In support of this amended petition, the department makes the following allegations upon information and belief:

**Jurisdiction**

The Commissioner of Insurance has jurisdiction over this matter under TEX. INS. CODE §§ 82.051-82.055, 4001.002, 4005.101, 4005.102, and 4051.051; 28 TEX. ADMIN. CODE § 1.502; TEX. OCC. CODE § 53.021-53.023; and TEX. GOV'T CODE §§ 2001.051-2001.178.

**Factual Allegations**

License Application

1. Erik Harris (Harris) applied for a general lines agent license with a property and casualty qualification on May 11, 2021.
2. The department proposed to deny his application on July 2, 2021. Harris appealed the decision and made a written request for a hearing.

Criminal History

3. On April 7, 2006, Harris committed misdemeanor Assault on a Family Member. He received a deferred adjudication on July 26, 2006, in the County Criminal Court at

Law No. 10, Harris County, Texas in Cause No. 1368851, and was sentenced to one year of community supervision and a fine of \$4,000. The judgment was adjudicated on February 15, 2007, due to Harris' failure to participate in a domestic violence treatment program, a condition of his community supervision, and failure to pay the fine. The Court sentenced Harris to thirty days confinement.

4. On June 4, 2011, Harris committed misdemeanor Assault on a Family Member. He was convicted on August 23, 2011, in the County Criminal Court at Law No. 4, Harris County, Texas in Cause No. 1761306, and was sentenced to 60 days confinement.
5. On May 11, 2019, Harris committed felony Assault by Intentionally or Recklessly Impeding Breathing or Circulation with Previous Convictions. He pled guilty on September 12, 2019, and received a deferred adjudication in the 176th District Court of Harris County, Texas in Cause No. 1631136, and was placed on community supervision for three years. He fulfilled the conditions of supervision, and was discharged on December 3, 2021.
6. On June 30, 2019, Harris committed misdemeanor Violation of a Protective Order. He received a deferred adjudication on November 12, 2019, in the County Criminal Court at Law No. 6 of Harris County, Texas in Cause No. 2266749, and was placed on community supervision for two years. He fulfilled the conditions of supervision and was discharged on November 12, 2021.

### **Legal Allegations**

1. Pursuant to TEX. OCC. CODE § 53.021 (d)(1)(B)(i) and (2)(A)-(B), the department considers Harris to be a convicted felon.
2. Harris committed offenses which directly relate to the duties and responsibilities of the licensed occupation, as contemplated by TEX. OCC. CODE § 53.021(a)(1) and 28 TEX. ADMIN. CODE § 1.502(d).
3. Harris committed offenses the department considers to be of such a serious nature that they are of prime importance in determining fitness for licensure, as contemplated by 28 TEX. ADMIN. CODE §§ 1.502(e)(4)(B) and (e)(4)(I).
4. Texas Department of Insurance guidelines, 28 TEX. ADMIN. CODE § 1.502(c)-(e), emphasize the department:

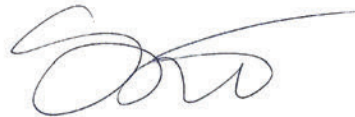
- a. considers it very important that applicants be honest, trustworthy, and reliable;
- b. may refuse to issue an original license if the department determines that the applicant has committed a felony or misdemeanor that directly relates to the duties and responsibilities of the licensed occupation;
- c. considers the offenses of assault and violation of a protective order to be of such a serious nature that they are of prime importance when determining fitness for licensure.

**Relief Sought**

If one or more of the above allegations is found to be true, the department asks the Administrative Law Judge to enter a Proposal for Decision recommending that the Commissioner of Insurance issue an order:

1. denying Erik Harris' license application and
2. imposing any other just and appropriate relief to which the department may be entitled to by law.

Respectfully Submitted,



---

Stephanie Daniels  
State Bar No. 24107343  
Texas Department of Insurance  
Enforcement, MC ENF  
P.O. Box 12030  
Austin, Texas 78711-2030  
(512) 676-6334 (Direct)  
(512) 490-1020 (Fax)  
Stephanie.Daniels@tdi.texas.gov

ATTORNEY FOR THE PETITIONER  
TEXAS DEPARTMENT OF INSURANCE

**2023-7976**

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Mary Ruiz on behalf of Stephanie Daniels  
Bar No. 24107343  
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Envelope ID: 71851111  
Status as of 1/17/2023 1:10 PM CST

Associated Case Party: Texas Department of Insurance

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Texas Department of Insurance		Enforcementgeneral@tdi.texas.gov	1/17/2023 12:22:10 PM	SENT
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Leah Gillum		Leah.Gillum@tdi.texas.gov	1/17/2023 12:22:10 PM	SENT
Catarina Tapia		Catarina.Tapia@tdi.texas.gov	1/17/2023 12:22:10 PM	SENT

Associated Case Party: Chief Clerk

Name	BarNumber	Email	TimestampSubmitted	Status
Chief Clerk		ChiefClerk@tdi.texas.gov	1/17/2023 12:22:10 PM	SENT

Associated Case Party: Erik Harris

Name	BarNumber	Email	TimestampSubmitted	Status
Erik Harris		[REDACTED]	1/17/2023 12:22:10 PM	SENT

**2023-7976**

**SOAH Docket No. 454-22-01010**

**Suffix: C**

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**BEFORE THE  
STATE OFFICE OF ADMINISTRATIVE  
HEARINGS**



**TEXAS DEPARTMENT OF INSURANCE,  
PETITIONER  
V.  
ERIK HARRIS,  
RESPONDENT**

FILED  
454-22-01010  
2/9/2023 7:42 AM  
STATE OFFICE OF  
ADMINISTRATIVE HEARINGS  
Pegah Nasrollahzadeh, CLERK

ACCEPTED  
454-22-01010  
2/9/2023 8:07:26 am  
STATE OFFICE OF  
ADMINISTRATIVE HEARINGS  
Pegah Nasrollahzadeh, CLERK

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**ORDER SCHEDULING HEARING ON THE MERITS**

1. **Case Referred.** This case has been docketed at the State Office of Administrative Hearings (SOAH) and assigned to the undersigned Administrative Law Judge (ALJ). The parties are encouraged to visit SOAH's website at [www.soah.texas.gov](http://www.soah.texas.gov) for information about hearings, including a link to SOAH's procedural rules, which govern this case.
2. **Hearing.** A hearing will be held at **9:00 AM (CT) on May 11, 2023**, remotely via Zoom videoconference. 1 Tex. Admin. Code § 155.405(c). The audio portion of the hearing will be recorded, and it will be the official record of the proceeding. Attend the hearing in one of these ways:



Join by computer or smart device:

Go to <https://soah-texas.zoomgov.com> and enter the following:

Meeting ID: 161 928 0787

Video Passcode: TD45EH

Join by telephone (audio only):

Call +1 669 254 5252, and then enter the following:

Meeting ID: 161 928 0787

Telephone Passcode: 116011

3. **Notice.** Staff for the Texas Department of Insurance must issue a notice of hearing. Tex. Gov't Code §§ 2001.051-052.

4. **Before the Hearing: Exhibit List, Witness List, and Witness Preparation.** SOAH's Chief Administrative Law Judge has issued a General Order Relating to Submission of Exhibits For General Docket Hearings (<https://www.soah.texas.gov/general-order-relating-submission-exhibits>). The following prehearing instructions shall be followed:

a. **Seven (7) days before the hearing**, the parties will receive an email from SOAH's Secure File Transfers ([noreply@moveitcloud.com](mailto:noreply@moveitcloud.com)) with a link to access the secure MOVEit platform.

b. **At least three (3) days before the hearing date**, submit a witness list and all exhibits and evidence to be offered at the hearing electronically using MOVEit.

c. **Exhibits:**

- Make an exhibit list;
- Number each exhibit sequentially; and
- Paginate or bates stamp multipage documents.

- Exhibits that are not timely submitted may be excluded from evidence.
- d. **Witness List:**
- Identify each witness you will call to testify;
  - Mention what each witness will testify about; and
  - Estimate how long each witness will testify.
  - Failure to identify a witness may result in the witness being excluded from testifying.
- e. **Witness Preparation.** Before the hearing, the parties must:
- Give each witness the information above to access the hearing;
  - Give each witness all documents needed to participate in the hearing;
  - Have each witness's contact information available so additional documents can be provided to each witness during the hearing if needed.
5. **After the Hearing, File Admitted Exhibits.** No later than the first business day following conclusion of the hearing, the parties shall eFile only the exhibits that were admitted as evidence or accompanied by an offer of proof. 1 Tex. Admin. Code § 155.101(b)(1)(G)(iii). At the conclusion of the hearing, the ALJ will confirm on the record the admitted exhibits that are required to be filed. The ALJ may answer any procedural questions regarding this requirement.
6. **eFile.** Except as otherwise permitted by the SOAH Rules of Procedure, attorneys, state agencies, and other governmental entities are required to file pleadings electronically through eFileTexas.gov and an electronic filing service provider certified by the Texas Office of Court Administration. *See* 1 Tex. Admin. Code § 155.101.

7. **If you are Self-Represented.**

- a. **A Guide.** Some contested-case parties choose to represent themselves in the contested-case process. Self-represented litigants must follow SOAH’s procedures, but SOAH has published material that will help parties understand how to do that. Here is the Guide for Self-Represented Litigants: <https://www.soah.texas.gov/representing-yourself-general-hearings-cases-state-office-administrative-hearings>.
  - b. **Filings.** If you are not represented by an attorney and you do not wish to file documents electronically, you may continue to file documents by fax or by mail addressed to SOAH, P.O. Box 13025, Austin, Texas 78711-3025; or by hand delivery to the appropriate SOAH office. All filings must contain the SOAH docket number and the names of the parties. For documents filed by mail, fax, or hand-delivery, the time of filing shall be determined by the SOAH file stamp.
  - c. **Electronic Service of SOAH orders.** SOAH is now offering you the ability to receive service of orders and other documents issued by SOAH through email rather than mail or fax. To elect to receive service of SOAH-issued documents by email, go to the E-Services tab on SOAH’s website ([www.soah.texas.gov](http://www.soah.texas.gov)), click on “Request Email Service” and follow the instructions. NOTE: Your request to receive SOAH-issued documents by email does not change the procedures you must follow in order to file documents with SOAH.
8. **Questions about Zoom, MOVEit, or Filings.** Call SOAH’s Chief Clerk’s Office at (512) 475-4993.

Signed February 8, 2023.

ALJ Signature(s):



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Katerina DeAngelo,

Presiding Administrative Law Judge

**2023-7976**

**Automated Certificate of eService**

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Envelope ID: 72590718  
Status as of 2/9/2023 8:07 AM CST

Associated Case Party: Texas Department of Insurance

Name	BarNumber	Email	TimestampSubmitted	Status
Ginger Loeffler		Ginger.Loeffler@tdi.texas.gov	2/9/2023 7:42:32 AM	SENT
Texas Department of Insurance		Enforcementgeneral@tdi.texas.gov	2/9/2023 7:42:32 AM	SENT
Stephanie Daniels		Stephanie.Daniels@tdi.texas.gov	2/9/2023 7:42:32 AM	SENT

Associated Case Party: Erik Harris

Name	BarNumber	Email	TimestampSubmitted	Status
Erik Harris		[REDACTED]	2/9/2023 7:42:32 AM	SENT

Associated Case Party: Chief Clerk

Name	BarNumber	Email	TimestampSubmitted	Status
Chief Clerk		ChiefClerk@tdi.texas.gov	2/9/2023 7:42:32 AM	SENT

2023-7976

EXHIBIT

D

Shipment Confirmation  
Acceptance Notice



A. Mailer Action

Note to Mailer: The labels and volume associated to this form online, must match the labeled packages being presented to the USPS® employee with this form.

Shipment Date: 01/17/2023

Shipped From:

Name: MC ENF M RUIZ

Address: 1601 CONGRESS AVENUE SUITE 6 900

City: AUSTIN

State: TX ZIP+4® 78711

Type of Mail	Volume
Priority Mail Express®*	
Priority Mail®	0
First-Class Package Service®	
Returns	
International*	
Other	1
Total	1

\*Start time for products with service guarantees will begin when mail arrives at the local Post Office™ and items receive individual processing and acceptance scans.

B. USPS Action

Note to RSS Clerk:

1. Home screen > Mailing/Shipping > More
2. Select Shipment Confirm
3. Scan or enter the barcode/label number from PS Form 5630
4. Confirm the volume count message by selecting Yes or No
5. Select Pay and End Visit to complete transaction

USPS EMPLOYEE: Please scan upon pickup or receipt of mail.  
Leave form with customer or in customer's mail receptacle.

USPS SCAN AT ACCEPTANCE



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