

No. 2023-8007

**Official Order  
of the  
Texas Commissioner of Insurance**

**Date:** 6/20/2023

**Subject Considered:**

Lisa Ann Maxwell

[REDACTED]  
New Caney, Texas 77357

Default Order  
SOAH Docket No. 454-23-19092  
TDI Enforcement File No. 27695

**General remarks and official action taken:**

The subject of this default order is whether a general lines agent license should be issued to Lisa Ann Maxwell (Respondent). Respondent did not respond to a Notice of Hearing filed by the Texas Department of Insurance. This order denies Respondent's license application.

The following findings of fact and conclusions of law are adopted:

**Findings of Fact**

Failure to Respond to Notice of Hearing

1. On May 12, 2023, the department filed a Notice of Hearing, attached as Exhibit A, and an Original Petition, attached as Exhibit B, with the State Office of Administrative Hearings.
2. The department's factual allegations set out in the attached Notice of Hearing and Original Petition are incorporated in this order as findings of fact.
3. The department sent the Notice of Hearing and Original Petition to Respondent's last known address provided in writing to the department [REDACTED]

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Commissioner's Order

Lisa Ann Maxwell

SOAH Docket No. 454-23-19092

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■, New Caney, Texas 77357. The Notice of Hearing and Original Petition was also sent by electronic mail to an address associated with Respondent.

4. Respondent failed to file a written response to the Notice of Hearing within 20 days of the date the Notice of Hearing and Original Petition were mailed.

## Conclusions of Law

1. The commissioner has jurisdiction under Texas law, including TEX. INS. CODE §§ 82.051-82.055, 4001.002, 4005.101, 4005.102, and 4051.051; TEX. OCC. CODE §§ 53.021-53.023; 28 TEX. ADMIN. CODE § 1.502; and TEX. GOV'T CODE §§ 2001.051-2001.178.
2. The commissioner has authority to dispose of this case informally under TEX. GOV'T CODE § 2001.056; TEX. INS. CODE § 82.055; and 28 TEX. ADMIN. CODE §§ 1.47, 1.88, and 1.89.
3. The department provided proper notice of the hearing under TEX. GOV'T CODE §§ 2001.051, 2001.052, and 2001.054, and 28 TEX. ADMIN. CODE §§ 1.28, 1.88, 1.89, and 19.906.
4. Based on Respondent's failure to file a written response to the Notice of Hearing, the department is entitled to disposition by default under 28 TEX. ADMIN. CODE §§ 1.88 and 1.89.
5. The department's factual and legal allegations set out in the attached Notice of Hearing and Original Petition are incorporated in this order and deemed admitted as true under 28 TEX. ADMIN. CODE § 1.89.

# 2023-8007

Commissioner's Order

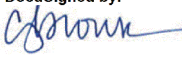
Lisa Ann Maxwell

SOAH Docket No. 454-23-19092

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## Order

It is ordered that Lisa Ann Maxwell's application is denied. A copy of this order will be provided to law enforcement or other appropriate administrative agencies for further investigation as may be warranted.

DocuSigned by:  
  
FC5D7EDDFFB84F8...

\_\_\_\_\_  
Cassie Brown  
Commissioner of Insurance

Prepared and reviewed by:



\_\_\_\_\_  
Stephanie Daniels, Staff Attorney  
Enforcement

**Affidavit**

**STATE OF TEXAS** §

§

**COUNTY OF TRAVIS** §

Before me, the undersigned authority, personally appeared David Moreno, who, being by me duly sworn, deposed as follows:

"My name is David Moreno and I am employed by the Texas Department of Insurance. I am of sound mind, capable of making this affidavit, and have personal knowledge of these facts which are true and correct.

I have reviewed TDI's records concerning Lisa Ann Maxwell. I have confirmed that:

- a. The last mailing address provided to the department in writing by Lisa Ann Maxwell [REDACTED] New Caney, Texas 77357.
- b. The file maintained by Enforcement contains a Notice of Hearing and Original Petition dated May 12, 2023, which were filed with the State Office of Administrative Hearings.
- c. On May 12, 2023, the Notice of Hearing and Original Petition addressed to Lisa Ann Maxwell were mailed certified, return receipt requested, to her last known address.

A copy of the certified mail log maintained by Enforcement is attached as Exhibit C."

DocuSigned by:  
  
 E5B049552C6D4CF

Affiant

# 2023-8007

Commissioner's Order

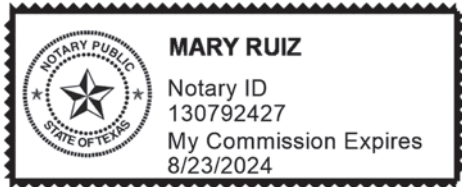
Lisa Ann Maxwell

SOAH Docket No. 454-23-19092

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SWORN TO AND SUBSCRIBED before me by means of an interactive two-way audio and video communication on 6/5/2023. This notarial act was an online notarization.

## Notary Seal



## Digital Certificate

DocuSigned by:  
*Mary Ruiz*  
795DC4D59167489...

Notary Public State of Texas

FILED **2023-8007**  
454-23-19092  
5/12/2023 12:39 PM  
STATE OFFICE OF  
ADMINISTRATIVE HEARINGS  
Crystal Rosas, CLERK

ACCEPTED  
454-23-19092  
5/12/2023 12:42:20 pm  
STATE OFFICE OF  
ADMINISTRATIVE HEARINGS  
Crystal Rosas, CLERK

**SOAH DOCKET NO. 454-23-19092**  
**TDI ENFORCEMENT FILE NO. 27695**

**TEXAS DEPARTMENT OF INSURANCE,**

**BEFORE THE STATE OFFICE**

**Petitioner**



**v.**

**OF**

**LISA ANN MAXWELL,**

**ADMINISTRATIVE HEARINGS**

**Respondent**

**NOTICE OF HEARING**

The Texas Department of Insurance seeks to deny your license application. This Notice of Hearing and the Original Petition, which is attached and incorporated for all purposes, states the allegations against you and the relief sought by the Department.

A public hearing will be held before an Administrative Law Judge on **Thursday, September 21, 2023, at 9:00 a.m.** The hearing will take place via videoconference using a videoconference platform controlled by the State Office of Administrative Hearings, unless otherwise ordered to proceed in another manner by the Administrative Law Judge.

The hearing shall be conducted under TEX. GOV'T CODE, ch. 2001 and 1 TEX. ADMIN. CODE, ch. 155. Unless otherwise directed by the Administrative Law Judge, the hearing shall continue from day to day in the offices of the State Office of Administrative Hearings until concluded. You have the right to appear at this hearing and to be represented by an attorney.

**Parties that are not represented by an attorney may obtain information regarding contested case hearings on the public website of the State Office of Administrative Hearings at [www.soah.texas.gov](http://www.soah.texas.gov), or in printed format upon request to SOAH.**

**YOU MUST FILE A WRITTEN RESPONSE TO THE NOTICE OF HEARING WITH THE STATE OFFICE OF ADMINISTRATIVE HEARINGS WITHIN 20 DAYS OF THE DATE THE NOTICE OF HEARING WAS MAILED. FAILURE TO FILE A WRITTEN RESPONSE BY THIS DEADLINE SHALL ENTITLE TDI TO SEEK DISPOSITION BY DEFAULT UNDER 1 TEX. ADMIN. CODE § 155.501 AND 28 TEX. ADMIN. CODE §§ 1.88 AND 1.89.**

## 2023-8007

Notice of Hearing

Lisa Ann Maxwell

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**IF YOU FAIL TO FILE A WRITTEN RESPONSE, THE SCHEDULED HEARING CAN BE CANCELED AND WITHOUT FURTHER NOTICE TO YOU THE COMMISSIONER OF INSURANCE CAN ISSUE AN ORDER IN WHICH THE ALLEGATIONS IN THE NOTICE OF HEARING ARE DEEMED ADMITTED AS TRUE AND THE RELIEF SOUGHT IN THE NOTICE OF HEARING, INCLUDING DENIAL OF YOUR LICENSE APPLICATION, IS GRANTED BY DEFAULT.**

**IF YOU FILE A WRITTEN RESPONSE BUT THEN FAIL TO APPEAR ON THE DAY AND TIME SET FOR HEARING, WITHOUT FURTHER NOTICE TO YOU, THE COMMISSIONER OF INSURANCE CAN ISSUE AN ORDER IN WHICH THE ALLEGATIONS IN THE NOTICE OF HEARING ARE DEEMED ADMITTED AS TRUE AND THE RELIEF SOUGHT IN THE NOTICE OF HEARING, INCLUDING DENIAL OF YOUR LICENSE APPLICATION, IS GRANTED BY DEFAULT.**

In accord with 28 TEX. ADMIN. CODE § 1.90(e) and 1 TEX. ADMIN. CODE §§ 155.101 and 155.103, you should send copies of your written response to:

- (1) *(by mail, fax, or electronically)*  
Docketing Division  
State Office of Administrative Hearings  
300 West 15th Street, Room 504  
P.O. Box 13025  
Austin, Texas 78711-3025  
(512) 322-2061 (Fax);  
[www.soah.texas.gov](http://www.soah.texas.gov)
  
- (2) *(by mail, fax, or email)*  
Chief Clerk  
Texas Department of Insurance  
P.O. Box 12030, MC GC-CCO  
Austin, Texas 78711-2030  
(512) 490-1064 (Fax)  
[chiefclerk@tdi.texas.gov](mailto:chiefclerk@tdi.texas.gov); and
  
- (3) *(by mail, fax, or email)*  
Stephanie Daniels, Staff Attorney  
Texas Department of Insurance  
Enforcement, MC ENF

## **2023-8007**

Notice of Hearing

Lisa Ann Maxwell

SOAH Docket No. 454-23-19092

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P.O. Box 12030  
Austin, Texas 78711-2030  
(512) 490-1020 (Fax)  
Stephanie.Daniels@tdi.texas.gov

### **Receiving Documents Electronically and Filing Documents with SOAH**

The State Office of Administrative Hearings (SOAH) offers you the ability to electronically receive orders and other documents issued by SOAH by email instead of by mail. If you want to receive SOAH-issued documents by email, follow the instructions on SOAH's website: [www.soah.texas.gov](http://www.soah.texas.gov).

A request to receive SOAH-issued documents by email does not change the procedures you must follow to file documents or exhibits with SOAH. Instructions on how to file documents and exhibits are on SOAH's website. SOAH's website also includes guidance for representing yourself.

### **Important Security Notice** (for In-Person Hearings ONLY)

**ALL VISITORS TO THE WILLIAM P. CLEMENTS BUILDING WITHOUT AN AGENCY OR DPS ISSUED ID CARD MUST PROVIDE THE BUILDING SECURITY OFFICER WITH THE STATE OFFICE OF ADMINISTRATIVE HEARINGS DOCKET NUMBER AND RECEIVE A VISITOR'S PASS IN ORDER TO BE ALLOWED ACCESS TO THE HEARING ROOM. INDIVIDUALS SHOULD ALLOW ADDITIONAL TIME TO GO THROUGH THE SECURITY PROCESS.**



## 2023-8007

Notice of Hearing

Lisa Ann Maxwell

SOAH Docket No. 454-23-19092

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If you have any questions, please contact me at the address or telephone number shown below.

Respectfully Submitted,



---

Stephanie Daniels  
State Bar No. 24107343  
Texas Department of Insurance  
Enforcement, MC ENF  
P.O. Box 12030  
Austin, Texas 78711-2030  
(512) 676-6334 (Direct)  
(512) 490-1020 (Fax)  
Stephanie.Daniels@tdi.texas.gov

ATTORNEY FOR THE PETITIONER  
TEXAS DEPARTMENT OF INSURANCE

Enclosure: Original Petition

cc: Leah Gillum, Deputy Commissioner, Fraud and Enforcement Division, MC ENF  
Ginger Loeffler, Litigation Director, Enforcement, MC ENF  
Administrative Review, MC CO-AAL

# 2023-8007

Notice of Hearing  
Lisa Ann Maxwell  
SOAH Docket No. 454-23-19092  
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## CERTIFICATE OF SERVICE

I, Stephanie Daniels, certify that a true and correct copy of the *Notice of Hearing* and the *Original Petition* were sent by certified mail, return receipt requested, and to an electronic address associated with Respondent on this 12th day of May, 2023 to:

Lisa Ann Maxwell

[REDACTED]

New Caney, TX 77357

*Respondent Pro Se*

*Via CM/RRR No.:*

9214 8901 9403 8314 9774 96

*Via Email* [REDACTED]



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Stephanie Daniels

**2023-8007**



SOAH DOCKET No 54- 3-19092

**SOAH DOCKET NO. 454-\_\_\_\_\_**  
**TDI ENFORCEMENT FILE NO. 27695**

FILED  
454-23-19092  
5/11/2023 5:12 PM  
STATE OFFICE OF  
ADMINISTRATIVE HEARINGS  
Carol Hale, CLERK

**TEXAS DEPARTMENT OF INSURANCE,**

**Petitioner**

**v.**

**LISA ANN MAXWELL,**

**Respondent**

**BEFORE THE STATE OFFICE**

ACCEPTED  
454-23-19092  
5/11/2023 5:14:15 pm  
STATE OFFICE OF  
ADMINISTRATIVE HEARINGS  
Carol Hale, CLERK

**OF**

**ADMINISTRATIVE HEARINGS**

**ORIGINAL PETITION**

The Texas Department of Insurance seeks to deny Lisa Ann Maxwell's license application. In support of this petition, the department makes the following allegations upon information and belief:

**Jurisdiction**

The Commissioner of Insurance has jurisdiction over this matter under TEX. INS. CODE §§ 82.051-82.055, 4001.002, 4005.101, 4005.102, and 4051.051; 28 TEX. ADMIN. CODE § 1.502; TEX. OCC. CODE § 53.021-53.023; and TEX. GOV'T CODE §§ 2001.051-2001.178.

**Factual Allegations**

License Application

1. Lisa Ann Maxwell (Maxwell) applied for a general lines agent license with a property & casualty qualification on December 30, 2020.
2. The department proposed to deny her application on May 17, 2021. Maxwell appealed the decision and made a written request for a hearing.

Criminal History

3. On November 9, 1982, Maxwell committed misdemeanor Harassing Communication. She was convicted on April 7, 1983, in the County Criminal Court

## 2023-8007

Original Petition  
Lisa Ann Maxwell  
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- at Law No. 9 of Harris County, Texas in Cause No. 682472, and was sentenced to 10 days confinement and a fine of \$150.
4. On August 27, 1987, Maxwell committed misdemeanor Public Lewdness. She was convicted on February 1, 1988, in the County Criminal Court at Law No. 4 of Harris County, Texas in Cause No. 962632, and was sentenced to three days confinement.
  5. On December 10, 1990, Maxwell committed misdemeanor Theft. She was convicted on December 12, 1990, in the County Criminal Court at Law No. 4 of Harris County, Texas in Cause No. 9048302, and was sentenced to 45 days confinement.
  6. Between November 28, 1992, and December 12, 1992, Maxwell committed felony Attempted Aggravated Burglary. She was convicted on April 5, 1993, in the 30th Judicial District Criminal Court of Shelby County, Tennessee in Cause No. 93-01756, and was sentenced to two years in a workhouse and a fine of \$500.
  7. On January 1, 1997, Maxwell committed felony Unlawful Possession of a Controlled Drug With Intent to Distribute and the misdemeanors Soliciting and Resisting an Officer. She was convicted of all three offenses on February 10, 1997, in the District Court of Tulsa County, Oklahoma in Cause No. CF-1997-00096. She was sentenced to five years confinement for the felony and six months confinement for each of the misdemeanors, all served concurrently.
  8. On June 20, 2000, Maxwell committed felony Robbery. She received a deferred adjudication on August 25, 2000, in the 204th District Court of Dallas County, Texas in Cause No. F-0050461, and was sentenced to probation for seven years and a fine of \$500. She was later convicted on December 22, 2000, in the same court, and was sentenced to two years confinement, served concurrently with Cause No. F-0052327, and a fine of \$500.
  9. On August 11, 2000, Maxwell committed felony Burglary of a Building. She received a deferred adjudication on August 25, 2000, in the 204th District Court of Dallas County, Texas in Cause No. F-0052327, and was sentenced to probation for five years and a fine of \$500. She was later convicted on December 22, 2000, in the same court, and was sentenced to one year confinement, served concurrently with Cause No. F-0050461, and a fine of \$500.

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Original Petition

Lisa Ann Maxwell

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10. On August 11, 2000, Maxwell committed misdemeanor Evading Arrest. She was convicted on August 28, 2000, in the County Criminal Court No. 1 of Dallas County, Texas in Cause No. MA0057427, and was sentenced to 45 days confinement.
11. On November 20, 2000, Maxwell committed felony Unauthorized Use of a Motor Vehicle. She was convicted on December 22, 2000, in the 204th District Court of Dallas County, Texas in Cause No. F-0055998, and was sentenced to one year confinement.
12. On November 20, 2000, Maxwell committed misdemeanor Evading Arrest. She was convicted on December 28, 2000, in the County Criminal Court No. 9 of Dallas County, Texas in Cause No. MA0063033, and was sentenced to 150 days confinement and a fine of \$400.
13. On December 10, 2002, Maxwell committed felony Possession of Cocaine Less Than One Gram. She was convicted on December 12, 2002, in the 337th District Court of Harris County, Texas in Cause No. 932990, and was sentenced to seven months confinement.
14. On October 28, 2003, Maxwell committed felony Possession of Cocaine less than One Gram. She was convicted on September 29, 2003, in the 185th District Court of Harris County, Texas in Cause No. 966293, and was sentenced to nine months confinement.
15. On August 10, 2004, Maxwell committed misdemeanor Prostitution. She was convicted on August 13, 2004, in the County Criminal Court at Law No. 5 of Harris County, Texas in Cause No. 1252314, and was sentenced to 10 days confinement.
16. On August 16, 2004, Maxwell committed misdemeanor Prostitution. She was convicted on August 18, 2004, in the County Criminal Court at Law No. 9 of Harris County, Texas in Cause No. 1253366, and was sentenced to 30 days confinement.
17. On September 7, 2004, Maxwell committed misdemeanor Prostitution 2nd. She was convicted on September 9, 2004, in the County Criminal Court at Law No. 7 of Harris County, Texas in Cause No. 1257392, and was sentenced to 90 days confinement.

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Original Petition  
Lisa Ann Maxwell  
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18. On December 7, 2004, Maxwell committed felony Delivery of a Controlled Substance. She was convicted on December 10, 2004, in the 185th District Court of Harris County, Texas in Cause No. 1009694, and was sentenced to 10 months confinement, to run concurrently with Cause No. 1009695.
19. On December 7, 2004, Maxwell committed felony Possession of Cocaine less than One Gram. She was convicted on December 10, 2004, in the 185th District Court of Harris County, Texas in Cause No. 1009695, and was sentenced to 10 months confinement, to run concurrent with Cause No. 1009694.
20. On September 15, 2006, Maxwell committed felony Possession of Cocaine less than One Gram. She was convicted on September 18, 2006, in the 178th District Court of Harris County, Texas in Cause No. 1084962, and was sentenced to 90 days confinement.
21. On August 12, 2007, Maxwell committed misdemeanor Possession of Drug Paraphernalia. She was convicted on February 8, 2008, in the 16th Judicial District Court Parish of St. Mary, Louisiana in Cause No. 2007-174305, and was placed on probation for one year. Her probation was repealed on February 27, 2008, due to violation of probation.
22. On October 9, 2007, Maxwell committed misdemeanors Possession of Drug Paraphernalia and Driving on Right Side of Road. She was convicted on December 11, 2007, in the 16th Judicial District Court Parish of St. Mary, Louisiana in Cause No. 2007-174712, and was sentenced to six months confinement, probated for six months. Her probation was repealed on February 27, 2008, due to violation of probation.
23. On December 11, 2008, Maxwell committed felony Prostitution. She was convicted on December 15, 2008, in the 174th District Court of Harris County, Texas in Cause No. 1195086, and was sentenced to 120 days confinement.
24. On October 25, 2009, Maxwell committed misdemeanor Driving While Intoxicated. She was convicted on February 10, 2010, in the County Court at Law No. 3 of Galveston County, Texas in Cause No. 298482, and was sentenced to 180 days confinement, probated for 1 year, and a fine of \$200. Her probation was revoked on March 1, 2011, due to her conviction in Cause No. 311637.

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Original Petition  
Lisa Ann Maxwell  
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25. On January 31, 2011, Maxwell committed misdemeanor Criminal Trespass. She was convicted on March 1, 2011, in the County Court at Law No. 3 of Galveston County, Texas in Cause No. 311637, and was sentenced to 180 days confinement.
26. On February 17, 2011, Maxwell committed misdemeanor Evading Arrest Detention. She was convicted on March 1, 2011, in the County Court at Law No. 3 of Galveston County, Texas in Cause No. 312288, and was sentenced to 180 days confinement.
27. On June 4, 2012, Maxwell committed misdemeanor Prostitution with Previous Convictions. She was convicted on September 13, 2013, in the 10th Judicial District Court of Galveston County, Texas in Cause No. 12-CR-1544, and was sentenced to 30 days confinement.
28. On May 17, 2014, Maxwell committed misdemeanor Criminal Trespass. She was convicted on May 28, 2014, in the Criminal County Court at Law No. 1 of Galveston County, Texas in Cause No. MD-0345549, and was sentenced to 14 days confinement.
29. On July 9, 2014, Maxwell committed felony Prostitution with 3rd or more. She was convicted on August 29, 2014, in the 10th Judicial District Court of Galveston County, Texas in Cause No. 14-CR-2106, and was sentenced to 90 days confinement.
30. On September 3, 2014, Maxwell committed misdemeanor Criminal Trespass. She was convicted on September 4, 2014, in the Criminal County Court at Law No. 2 of Galveston County, Texas in Cause No. MD-0348592, and was sentenced to 16 days confinement.
31. On May 28, 2019, Maxwell committed misdemeanor Driving While Intoxicated 2nd. She was convicted on October 10, 2019, in the County Court at Law No. 4 of Montgomery County, Texas in Cause No. 19-341507, and was sentenced to one year confinement, probated for 18 months.

**Legal Allegations**

1. Maxwell committed acts for which a license may be denied pursuant to TEX. INS. CODE § 4005.101, as contemplated by TEX. INS. CODE § 4005.102.
2. Maxwell has committed a felony, as contemplated by 28 TEX. ADMIN. CODE § 1.502(d), TEX. INS. CODE § 4005.101(b)(8) and TEX. OCC. CODE §§ 53.021-53.025.
3. Pursuant to TEX. OCC. CODE § 53.021(d)(1)(B)(i) and (d)(2)(A)-(B), the department considers Cause No. 19-341507 to be a conviction.
4. Maxwell committed offenses which directly relate to the duties and responsibilities of the licensed occupation, as contemplated by TEX. OCC. CODE § 53.021(a)(1) and 28 TEX. ADMIN. CODE § 1.502(e).
5. Maxwell committed offenses the department considers to be of such a serious nature that they are of prime importance in determining fitness for licensure, as contemplated by 28 TEX. ADMIN. CODE § 1.502(e)(1), (e)(3), and (4)(D)-(G) and TEX. OCC. CODE § 53.025.
6. Texas Department of Insurance guidelines, 28 TEX. ADMIN. CODE § 1.502(c)-(e), emphasize the department:
  - a. considers it very important that applicants be honest, trustworthy, and reliable;
  - b. may refuse to issue an original license if the department determines that the applicant has committed a felony or misdemeanor that directly relates to the duties and responsibilities of the licensed occupation;
  - c. considers any offense for which fraud, dishonesty, or deceit is an essential element to be of prime importance when determining fitness for licensure;
  - d. considers any felony involving moral turpitude to be of prime importance when determining fitness for licensure; and
  - e. considers the offenses of Theft, Attempted Aggravated Burglary, Unlawful Possession of a Controlled Drug With Intent to Distribute, Soliciting, Resisting Arrest, Robbery, Burglary of a Building, Prostitution, Delivery of a Controlled



Substance, and Criminal Trespass to be of such a serious nature that they are of prime importance when determining fitness for licensure.

7. Maxwell was convicted of a felony involving dishonesty or breach of trust, as specified in 18 U.S.C. § 1033, and requires written consent from the commissioner of insurance to engage or participate in the business of insurance.

**Relief Sought**

If one or more of the above allegations is found to be true, the department asks the Administrative Law Judge to enter a Proposal for Decision recommending that the Commissioner of Insurance issue an order:

1. denying Lisa Ann Maxwell's license application and
2. imposing any other just and appropriate relief to which the department may be entitled to by law.

Respectfully Submitted,



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Stephanie Daniels  
State Bar No. 24107343  
Texas Department of Insurance  
Enforcement, MC ENF  
P.O. Box 12030  
Austin, Texas 78711-2030  
(512) 676-6334 (Direct)  
(512) 490-1020 (Fax)  
Stephanie.Daniels@tdi.texas.gov

ATTORNEY FOR THE PETITIONER  
TEXAS DEPARTMENT OF INSURANCE

**2023-8007**

**Automated Certificate of eService**

This automated certificate of service was created by the eFiling system. The filer served this document via email generated by the eFiling system on the date and to the persons listed below. The rules governing certificates of service have not changed. Filers must still provide a certificate of service that complies with all applicable rules.

Mary Ruiz on behalf of Stephanie Daniels  
Bar No. 24107343  
Enforcementgeneral@tdi.texas.gov  
Envelope ID: 75565513  
Filing Code Description: Request to Docket  
Filing Description: Maxwell Request to Docket  
Status as of 5/11/2023 5:16 PM CST

Case Contacts

Name	BarNumber	Email	TimestampSubmitted	Status
Ginger Loeffler		Ginger.Loeffler@tdi.texas.gov	5/11/2023 5:12:58 PM	SENT
Chief Clerk		ChiefClerk@tdi.texas.gov	5/11/2023 5:12:58 PM	SENT
Stephanie Daniels		Stephanie.Daniels@tdi.texas.gov	5/11/2023 5:12:58 PM	SENT
Texas Department of Insurance		Enforcementgeneral@tdi.texas.gov	5/11/2023 5:12:58 PM	SENT
Lisa AnnMaxwell		[REDACTED]	5/11/2023 5:12:58 PM	SENT

**2023-8007**

**Automated Certificate of eService**

This automated certificate of service was created by the eFiling system. The filer served this document via email generated by the eFiling system on the date and to the persons listed below. The rules governing certificates of service have not changed. Filers must still provide a certificate of service that complies with all applicable rules.

Mary Ruiz on behalf of Stephanie Daniels  
Bar No. 24107343  
Enforcementgeneral@tdi.texas.gov  
Envelope ID: 75588547  
Filing Code Description: Notice of Hearing  
Filing Description: Notice of Hearing and Original Petition  
Status as of 5/12/2023 12:42 PM CST

Associated Case Party: chief clerk

Name	BarNumber	Email	TimestampSubmitted	Status
Chief Clerk		ChiefClerk@tdi.texas.gov	5/12/2023 12:39:22 PM	SENT

Associated Case Party: TDI

Name	BarNumber	Email	TimestampSubmitted	Status
Stephanie Daniels		Stephanie.Daniels@tdi.texas.gov	5/12/2023 12:39:22 PM	SENT
Ginger Loeffler		Ginger.Loeffler@tdi.texas.gov	5/12/2023 12:39:22 PM	SENT
Texas Department of Insurance		Enforcementgeneral@tdi.texas.gov	5/12/2023 12:39:22 PM	SENT
Catarina Tapia		Catarina.Tapia@tdi.texas.gov	5/12/2023 12:39:22 PM	SENT
Leah Gillum		Leah.Gillum@tdi.texas.gov	5/12/2023 12:39:22 PM	SENT

Associated Case Party: LisaAnnMaxwell

Name	BarNumber	Email	TimestampSubmitted	Status
Lisa AnnMaxwell		[REDACTED]	5/12/2023 12:39:22 PM	SENT

2023-8007



# Shipment Confirmation Acceptance Notice

## A. Mailer Action

**Note to Mailer:** The labels and volume associated to this form online, **must** match the labeled packages being presented to the USPS® employee with this form.

Shipment Date: 05/12/2023

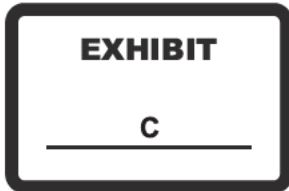
Shipped From:

Name: MC ENF M RUIZ

Address: 1601 CONGRESS AVENUE SUITE 6 900

City: AUSTIN

State: TX ZIP+4® 78711



Type of Mail	Volume
Priority Mail Express®	
Priority Mail®	0
First-Class Package Service®	
Returns	
International*	
Other	1
Total	1

\*Start time for products with service guarantees will begin when mail arrives at the local Post Office™ and items receive individual processing and acceptance scans.

## B. USPS Action

Note to RSS Clerk:

1. Home screen > Mailing/Shipping > More
2. Select Shipment Confirm
3. Scan or enter the barcode/label number from PS Form 5630
4. Confirm the volume count message by selecting Yes or No
5. Select Pay and End Visit to complete transaction

USPS EMPLOYEE: Please scan upon pickup or receipt of mail.  
Leave form with customer or in customer's mail receptacle.

USPS SCAN AT ACCEPTANCE



9275 0901 1935 6200 0044 4080 45

S.DANIELS/27695



Firm Mailing Book For Accountable Mail

Name and Address of Sender

MC ENF M RUIZ
TEXAS DEPARTMENT OF INSURANCE
1601 CONGRESS AVENUE, SUITE 6.900
AUSTIN TX 78711

Check type of mail or service

- Adult Signature Required
Adult Signature Restricted Delivery
Certified Mail
Certified Mail Restricted Delivery
Collect on Delivery (COD)
Insured Mail
Priority Mail
Priority Mail Express
Registered Mail
Return Receipt for Merchandise
Signature Confirmation
Signature Confirmation Restricted Delivery

USPS Tracking/Article Number

1. 9214 8901 9403 8314 9774 96

S.DANIELS/27695

Addressee (Name, Street, City, State, & ZIP Code™)

LISA ANN MAXWELL

[Redacted]

NEW CANEY, TX 77357

Affix Stamp Here

(for additional copies of this receipt).
Postmark with Date of Receipt.

2023-8007

Table with columns: Postage, (Extra Service) Fee, Handling Charge, Actual Value if Registered, Insured Value, Due Sender if COD, ASR Fee, ASRD Fee, RD Fee, RR Fee, SC Fee, SCRD Fee, S Fee. Includes values like 1.98, 4.15, 2.10, and various fee headers.

Total Number of Pieces Listed by Sender: 1

Total Number of Pieces Received at Post Office: 1

Postmaster, Per (Name of receiving employee)