

No. **2021-6655**

**Official Order
of the
Texas Commissioner of Insurance**

Date: 01/15/2021

Subject Considered:

Alliant Insurance Services, Inc.
701 B. Street, 6th Floor
San Diego, CA 92101-8156

Consent Order
TDI Enforcement File No. 25971

General remarks and official action taken:

This is a consent order with Alliant Insurance Services, Inc. Alliant Insurance Services, Inc. failed to timely file surplus lines policies and related documents. Alliant Insurance Services, Inc. has agreed to pay a \$4,200 administrative penalty for these violations.

Waiver

Alliant Insurance Services, Inc. acknowledges that the Texas Insurance Code and other applicable law provide certain rights. Alliant Insurance Services, Inc. waives all of these rights, and any other applicable procedural rights, in consideration of the entry of this consent order.

Findings of Fact

1. Alliant Insurance Services, Inc., organization identification number 18523, holds a surplus lines license issued by the Texas Department of Insurance.

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2. Pursuant to TEX. INS. CODE § 981.105(a), a surplus lines insurance agent must file with the Surplus Lines Stamping Office of Texas either:
 - a. a copy of the policy issued or
 - b. if the policy has not yet been issued, a copy of the certificate, cover note, or other confirmation of insurance delivered to the insured.

This information is due not later than the 60th day after the later of the effective date or the issue date of new or renewal surplus lines insurance.

3. In 2019, Alliant Insurance Services, Inc. filed surplus lines policies late.
4. The commissioner previously disciplined Alliant Insurance Services, Inc. for violating TEX. INS. CODE § 981.105(a) in Official Order No. 2017-2578, entered on November 14, 2017, and in Official Order No. 5748, entered on December 12, 2018.

Conclusions of Law

1. The commissioner of insurance has jurisdiction over this matter pursuant to TEX. INS. CODE §§ 82.051-82.055, 84.021-84.044, and 981.105.
2. The commissioner of insurance has authority to informally dispose of this matter under TEX. INS. CODE § 82.055, TEX. GOV'T CODE § 2001.056, and 28 TEX. ADMIN. CODE § 1.47.
3. Alliant Insurance Services, Inc. has knowingly and voluntarily waived all procedural rights to which it may have been entitled regarding the entry of this order, including, but not limited to, issuance and service of notice of intention to institute disciplinary action, notice of hearing, a public hearing, a proposal for decision, rehearing by the commissioner, and judicial review.
4. Alliant Insurance Services, Inc. violated TEX. INS. CODE § 981.105(a) by failing to timely file new or renewal surplus lines policies and related documents.

Order

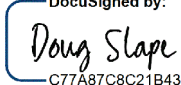
It is ordered that Alliant Insurance Services, Inc. must pay an administrative penalty of \$4,200. The administrative penalty must be paid within 30 days from the date of this order. The administrative penalty must be paid by cashier's check or money order made payable to the "State of Texas." Mail the administrative penalty to the Texas Department

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of Insurance, Attn: Enforcement Division, Division 60851, MC 9999, P.O. Box 149104,
Austin, Texas 78714-9104.

Commissioner of Insurance

DocuSigned by:

By: C77A87C8C21B435...
Doug Slape
Chief Deputy Commissioner
TEX. GOV'T CODE § 601.002
Commissioner's Order No. 2018-5528

Recommended and reviewed by:



Leah Gillum, Deputy Commissioner
Enforcement Division



Whitney Fraser, Attorney
Enforcement Division

Affidavit

THE STATE OF California §

COUNTY OF San Diego §

Before me, the undersigned authority, personally appeared the affiant, who being by me duly sworn, deposed as follows:

"My name is Jennifer A. Baumann ^{E. JB}. I am of sound mind, capable of making this statement, and have personal knowledge of these facts which are true and correct.

I hold the office of Executive Vice President and am the authorized representative of Alliant Insurance Services, Inc. I am duly authorized by the organization to execute this statement.

Alliant Insurance Services, Inc. has knowingly and voluntarily entered into the foregoing consent order and agrees with and consents to the issuance and service of the same by the commissioner of insurance of the state of Texas."

Jennifer A. Baumann
Affiant

SWORN TO AND SUBSCRIBED before me on December 28, 2020.

(NOTARY SEAL)

SEE ATTACHED CALIFORNIA JURAT

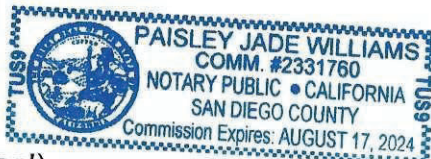
Risley Jade Williams
Signature of Notary Public
Risley Jade Williams
Printed Name of Notary Public

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California
County of San Diego

Subscribed and sworn to (or affirmed) before me on this 20
day of December, 20 , by Jennifer E. Baumann

proved to me on the basis of satisfactory evidence to be the
person(s) who appeared before me.



(Seal)

Signature

Paisley Jade Williams