

No. 2023-8280

**Official Order
of the
Texas Commissioner of Insurance**

Date: 10/12/2023

Subject Considered:

Northeast Agencies Inc.
3075 Sanders Rd Ste IGW
Northbrook, Illinois 60062

Consent Order
TDI Enforcement File No. 32850

General remarks and official action taken:

This is a consent order with Northeast Agencies Inc. for failure to timely file surplus lines policies and related documents. Northeast Agencies Inc. has agreed to pay an administrative penalty of \$4,200 for these violations.

Waiver

Northeast Agencies Inc. acknowledges that the Texas Insurance Code and other applicable law provide certain rights. Northeast Agencies Inc. waives all of these rights, and any other applicable procedural rights, in consideration of the entry of this consent order.

Findings of Fact

1. Northeast Agencies Inc., license number 15792, holds a surplus lines agency license issued by the Texas Department of Insurance.
2. Pursuant to TEX. INS. CODE § 981.105(a), a surplus lines insurance agent must file with the Surplus Lines Stamping Office of Texas either:
 - a. a copy of the policy issued or

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- b. if the policy has not yet been issued, a copy of the certificate, cover note, or other confirmation of insurance delivered to the insured.

This information is due not later than the 60th day after the later of the effective date or the issue date of new or renewal surplus lines insurance.

3. In 2022, Northeast Agencies Inc. filed surplus lines policies and related documents late.
4. The commissioner previously disciplined Northeast Agencies Inc. for violating TEX. INS. CODE § 981.105(a) in Official Order No. 12-0098, entered on February 6, 2012.
5. The commissioner previously disciplined Northeast Agencies Inc. for violating TEX. INS. CODE § 981.105(a) in Official Order No. 3499, entered on August 20, 2014.

Conclusions of Law

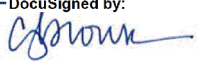
1. The commissioner of insurance has jurisdiction over this matter pursuant to TEX. INS. CODE §§ 82.051-82.055, 84.021-84.044, and 981.105.
2. The commissioner of insurance has authority to informally dispose of this matter under TEX. INS. CODE § 82.055, TEX. GOV'T CODE § 2001.056, and 28 TEX. ADMIN. CODE § 1.47.
3. Northeast Agencies Inc. has knowingly and voluntarily waived all procedural rights to which it may have been entitled regarding the entry of this order, including, but not limited to, issuance and service of notice of intention to institute disciplinary action, notice of hearing, a public hearing, a proposal for decision, rehearing by the commissioner, and judicial review.
4. Northeast Agencies Inc. violated TEX. INS. CODE § 981.105(a) by failing to timely file new or renewal surplus lines policies and related documents.

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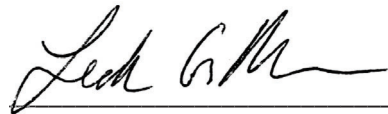
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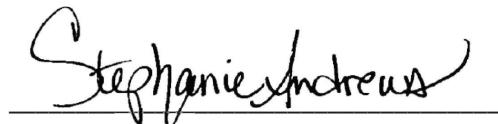
It is ordered that Northeast Agencies Inc. must pay an administrative penalty of \$4,200 within 30 days from the date of this order. The administrative penalty must be paid as instructed in the invoice, which the department will send after entry of this order.

DocuSigned by:

FC5D7EDDFB4F8... _____
Cassie Brown
Commissioner of Insurance

Recommended and reviewed by:



Leah Gillum, Deputy Commissioner
Fraud and Enforcement Division



Stephanie Andrews, Attorney
Enforcement

Affidavit

THE STATE OF Arizona §

§

COUNTY OF Maricopa §


§

Before me, the undersigned authority, personally appeared the affiant, who being by me duly sworn, deposed as follows:

"My name is Leslie P. Oches. I am of sound mind, capable of making this statement, and have personal knowledge of these facts which are true and correct.

I hold the office of Director-Commercial and am the authorized representative of Northeast Agencies Inc. I am duly authorized by the organization to execute this statement.

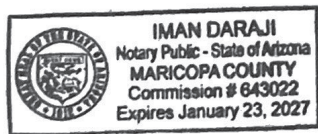
Northeast Agencies Inc has knowingly and voluntarily entered into the foregoing consent order and agrees with and consents to the issuance and service of the same by the commissioner of insurance of the state of Texas."

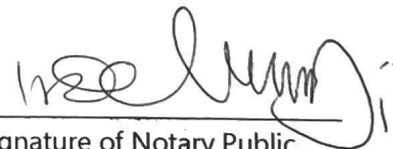


Affiant

SWORN TO AND SUBSCRIBED before me on 10/3, 2023.

(NOTARY SEAL)





Signature of Notary Public
Imman Daraji

Printed Name of Notary Public