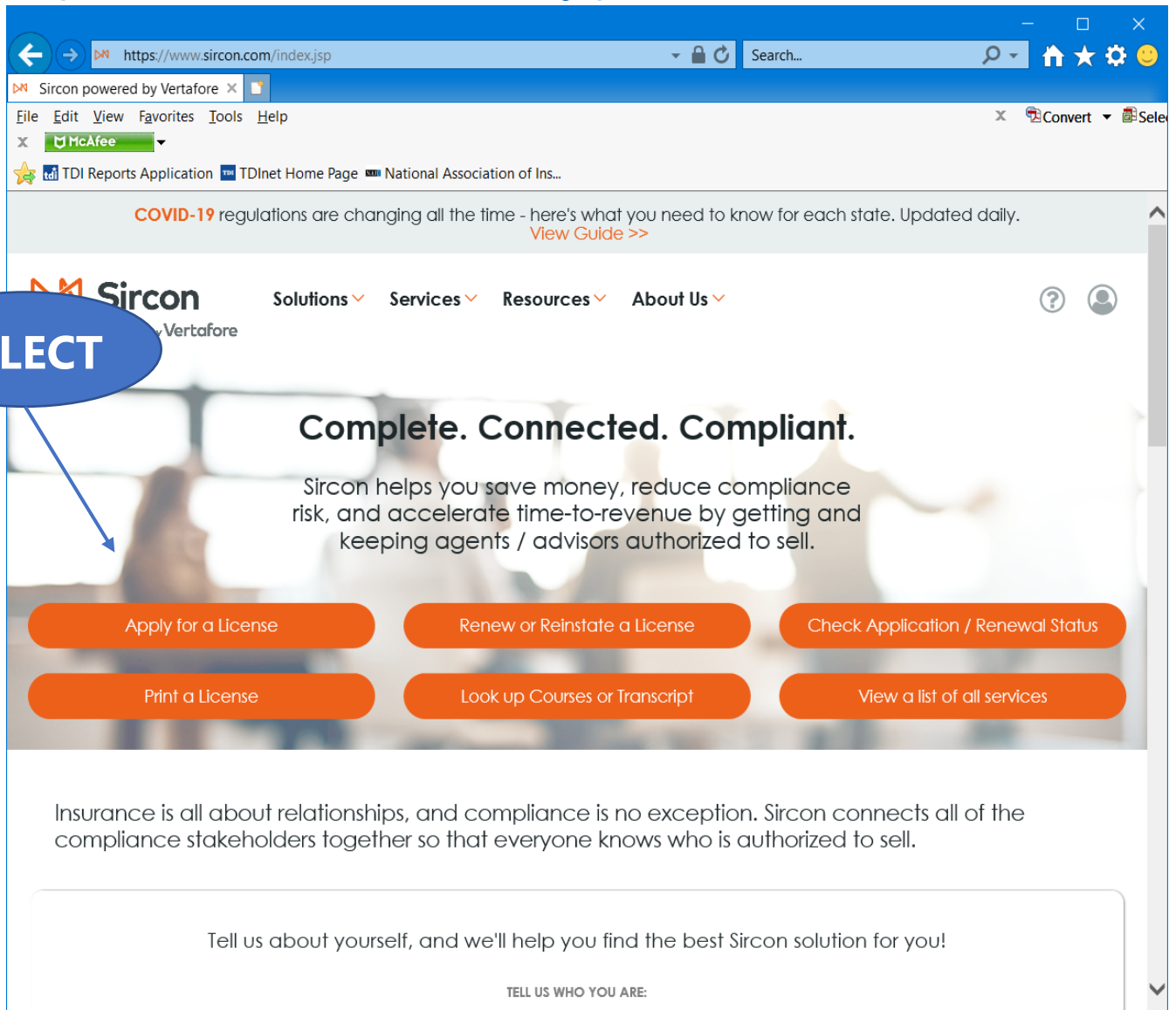


For Resident applications

<https://www.sircon.com/index.jsp>



The screenshot shows the Sircon website homepage. At the top, there is a navigation menu with links for Solutions, Services, Resources, and About Us. Below the navigation is a banner with the headline "Complete. Connected. Compliant." and a sub-headline: "Sircon helps you save money, reduce compliance risk, and accelerate time-to-revenue by getting and keeping agents / advisors authorized to sell." Below the banner are six orange buttons: "Apply for a License", "Renew or Reinstate a License", "Check Application / Renewal Status", "Print a License", "Look up Courses or Transcript", and "View a list of all services". A blue callout bubble with the word "SELECT" in white capital letters is positioned to the left of the "Apply for a License" button, with an arrow pointing to it. Below the buttons is a paragraph of text: "Insurance is all about relationships, and compliance is no exception. Sircon connects all of the compliance stakeholders together so that everyone knows who is authorized to sell." At the bottom of the page, there is a form with the text "Tell us about yourself, and we'll help you find the best Sircon solution for you!" and a label "TELL US WHO YOU ARE:".

Select **"Apply for a License"**

License Applications | Sirco... x

File Edit View Favorites Tools Help

McAfee

TDI Reports Application TDI.net Home Page National Association of Ins...

License Applications

i If you have recently submitted an address change request to your resident state, please allow 5 to 7 business days for processing before submitting a new or updated license application.

[Check the Status of an Existing Application](#) [Renew an Existing License](#)

NEW INSURANCE LICENSES

Start an application for a **new license** or **add new lines of authority** to an existing license [New Insurance License](#)

NEW ADJUSTER LICENSES

Start an application for a **new adjuster license** or **add new lines of authority** to an existing license [New Adjuster License](#)

OTHER LICENSES

Additional non-resident licenses that do not require an active resident license on the National Producer Database [Other Licenses](#)

You'll be able to select a license type on following screens

SELECT

Select "New Insurance License"

The screenshot shows a web browser window with the URL <https://uat.sircon.com/products/appl...>. The page title is "License Applications | Sircon pov".

License Applications

NEW INSURANCE LICENSES

Start an application for a **new license** or **add new lines of authority** to an existing license [New Insurance License](#)

Is this a Resident or Non-Resident license?	<input checked="" type="radio"/> Resident	<input type="radio"/> Non-Resident
Are you an individual or a firm?	<input type="radio"/> Individual	<input checked="" type="radio"/> Firm

[Cancel](#) [Continue](#)

NEW ADJUSTER LICENSES

Start an application for a **new adjuster license** or **add new lines of authority** to an existing license [New Adjuster License](#)

OTHER LICENSES

Additional non-resident licenses that do not require an active resident license on the National Producer Database [Other Licenses](#)

You'll be able to select a license type on following screens

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Annotations: A blue oval labeled "SELECT" has arrows pointing to the "New Insurance License" button, the "Resident" radio button, and the "Firm" radio button. A second blue oval labeled "THEN" has an arrow pointing to the "Continue" button.

Select **"Resident"**, select **"Firm"** for resident state license, and then **"Continue"**.

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https://uat.sircon.com/pr...

Firm Resident License Application

Firm Name *Required

EIN *Required

Preparer Applicant Authorized Submitter *Required

A paper copy of each requested license application will be generated at the end of the process regardless of submission method(s).

States Accepting Electronic License Applications

Click on a state name to view the license types available for each submission method.

GEORGIA - Principal and Branch Agency Requirements: An agency must have at least one Georgia Principal Agency license prior to obtaining Branch Agency licenses. The Principal Agency license can either be a resident or nonresident location. Before submitting a Branch Agency application, confirm that an active Principal Agency license exists for the EIN that will be used on the Branch Agency application.

CALIFORNIA - Sole proprietorship may not apply electronically using the business entity uniform application, they must apply as an individual.

CALIFORNIA - Business Entities applying as a Limited Liability Company (LLC's): LLC's are required to provide proof of satisfying the security requirements of Section 1647.5 of the California Insurance Code when applying for an insurance license and once licensed, must also file with the Commissioner an annual confirmation of coverage demonstrating continued compliance with the financial security requirements. Additional LLC application filing information, annual certification of coverage information, and links to forms that can be used as proof of fulfilling the security requirements, please go to the following link for Business Entity Limited Liability Company Requirements (<http://www.insurance.ca.gov/0200-industry/0020-apply-license/0300-business-license/business-entity-limited-liability.cfm>)

Attention Georgia Applicants: You are required to submit Citizenship Affidavit Form GID-276-EN with your application. Please copy and paste the following link into your browser to get the Citizenship Affidavit form: <https://oci.georgia.gov/citizenship-affidavit>.

<input type="radio"/> Alabama	<input type="radio"/> Hawaii	<input type="radio"/> Massachusetts	<input type="radio"/> New Mexico	<input type="radio"/> South Dakota
<input type="radio"/> Alaska	<input type="radio"/> Idaho	<input type="radio"/> Michigan	<input type="radio"/> North Carolina	<input type="radio"/> Tennessee
<input type="radio"/> Arizona	<input type="radio"/> Illinois	<input type="radio"/> Minnesota	<input type="radio"/> North Dakota	<input type="radio"/> Texas
<input type="radio"/> Arkansas	<input type="radio"/> Indiana	<input type="radio"/> Mississippi	<input type="radio"/> Ohio	<input type="radio"/> Utah
<input type="radio"/> California	<input type="radio"/> Iowa	<input type="radio"/> Missouri	<input type="radio"/> Oklahoma	<input type="radio"/> Vermont
<input type="radio"/> Colorado	<input type="radio"/> Kansas	<input type="radio"/> Montana	<input type="radio"/> Oregon	<input type="radio"/> Vermont
<input type="radio"/> Connecticut	<input type="radio"/> Kentucky	<input type="radio"/> Nebraska	<input type="radio"/> Pennsylvania	<input type="radio"/> Virginia
<input type="radio"/> Delaware	<input type="radio"/> Louisiana	<input type="radio"/> Nevada	<input type="radio"/> Puerto Rico	<input type="radio"/> West Virginia
<input type="radio"/> District of Columbia	<input type="radio"/> Maine	<input type="radio"/> New Hampshire	<input type="radio"/> Rhode Island	<input type="radio"/> Wisconsin
<input type="radio"/> Georgia	<input type="radio"/> Maryland	<input type="radio"/> New Jersey	<input type="radio"/> South Carolina	<input type="radio"/> Wyoming

States Accepting Paper License Applications

There are currently no states accepting paper license applications.

Payment Method

Credit Card/Electronic Check Submission
** We accept VISA, MASTERCARD, AMERICAN EXPRESS, DISCOVER and electronic checks. **

I am actively working with a Sircon insurance carrier, agency or partner who is responsible for all or part of the transaction fee. I understand that I am responsible for paying any fees not paid for by the carrier/agency/partner.
** We accept VISA, MASTERCARD, AMERICAN EXPRESS, DISCOVER and electronic checks. **

I am actively working with a Sircon insurance carrier, agency or partner to obtain licensure. I understand that, by checking this box and entering a username/password below, my request will be sent to the carrier/agency/partner who will determine whether to process with the state.

The information on the following pages may include information provided from the National Insurance Producer Registry's Producer Database and may contain information subject to the Fair Credit Reporting Act, 15 U.S.C. 1681 et seq. A Summary of Consumer Rights is provided [here](#), and is available for viewing.

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Complete the required information,
Select **Texas**,
Then Select **Continue**

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Firm Resident License Application

Not all license types are available in all states. If the license type that you seek is not listed, please contact the state directly and do not apply at this time. State contact information can be found here: [State Information Center](#)

License Information

State Texas

License Type

- Adjuster
- County Mutual Agency
- General Lines Agency
- Life Agency
- Life Agy Not Exceed \$25,000
- Life Settlement Broker
- Life Settlement Provider
- Life Stimnt LE Estimator
- Limited Lines Agency
- Managing General Agency
- Pers Lines Prop and Cas Agency
- Pre-Need Agency
- Public Insurance Adjuster
- Risk Manager
- Specialty Insurance Agency
- Title Agency
- Title Direct Operations

Previously licensed ? Yes No

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Select the **License type**,
Answer Previously
Licensed Question.
Then Select **Continue**

Select the **license type**, answer the **Previously Licensed question**, then select "**Continue**".

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Convert Select

Texas Government Power ... tdi TDI Reports Application TDI TDI Home Page National Association of In...

Firm Resident License Application

Agency Information

FEIN: [REDACTED]
Firm Name: [REDACTED]
Alias Name: [REDACTED]
Incorporation Date: MM-DD-YYYY * Required (mm-dd-yyyy)
Agency Type Code: [REDACTED] * Required
Domicile Country: [REDACTED] * Required
Affiliated with a Bank? [REDACTED] * Required
Email Address: [REDACTED] * Required
Business Web Address: [REDACTED]
FINRA CRD Identifier: [REDACTED]

Agency Business Address

The Business address must be the physical business address at which business records of insurance transactions are maintained. DO NOT enter a P.O. Box address.

Line One: [REDACTED] * Required
Line Two: [REDACTED]
Line Three: [REDACTED]
City: [REDACTED] * Required
State: [REDACTED]
Postal Code: [REDACTED] * Required
Country: [REDACTED] * Required

Agency Mailing Address

Mailing address will be used as the address of record with TDI. Texas requires the Mailing Address to be in the resident state.

Line One: [REDACTED] * Required
Line Two: [REDACTED]
Line Three: [REDACTED]
City: [REDACTED] * Required
State: [REDACTED]
Postal Code: [REDACTED] * Required
Country: [REDACTED] * Required

Agency Business Phone

Phone Number: [REDACTED] * Required
Extension: [REDACTED]

Agency FAX

Fax Number: [REDACTED] * Required

Cancel Back Continue

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Fill out the required information

Address and phone number information is required.

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Firm Resident License Application

Owners and Officers

Please enter information into the sections below (at least one is required).

Identify all executive officers, directors, or partners who administer the applicant entity's operations in Texas and all individuals in control of the applicant entity's insurance operations. The social security number, date of birth, complete mailing address and fingerprint information must be provided for each individual listed. Additional information on those listed here must be forwarded to the state. See 'Additional State Requirements' for details.

Owner/Officer Type * Required

SSN * Required

First Name * Required

Last Name * Required

Title * Required

Owner * Required

Percent Ownership

Birth Date (mm-dd-yyyy) * Required

Add any Officers, Directors, and Owners

Select Continue

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Provide the information required for each **Officer, Director, and Owner** for the applicant entity.

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Firm Resident License Application

TX Resident State Specific Questions

All questions are required unless otherwise specified

Please answer the following TX Resident State Specific Questions

Question 1

Will the applicant entity conduct the business under the Life Settlement license in a name other than the applicant entity's full legal name?

A copy of an assumed name certificate that has been filed with the County Clerk's office of the county in which the assumed name is utilized, or if a corporation, with Texas Secretary of State, must be attached to this application. Texas Secretary of State rather than the County Clerk.

No
 Yes

Question 1A

If "Yes", Enter the business or assumed name in the space below.

Question 2

Does the applicant entity understand that if **additional business or assumed names** are used, a Texas Department of Insurance form [LDTL, FIN528](#) must be filed separately with the Department for each?

No
 Yes

Question 3

All resident and nonresident corporations, limited liability companies, limited partnerships, and limited liability partnerships must provide evidence of authority to do business in the state of Texas by providing a copy of their Charter, Certificate of Authority, Certificate of Status, or registration that was obtained from the Texas Secretary of State's office. You may contact the Texas Secretary of State's office at www.sos.state.tx.us or call 512-463-5555.

All depository institutions (i.e. banks, credit unions, etc.) must provide a copy of the document issued by a federal or Texas state agency authorizing the entity to do business in Texas.

Will a copy of one of the documents listed above be attached to this application?

No
 Yes

Question 4

Has the business entity or any owner, partner, officer or director of the business entity, or member or manager of a limited liability company ever had an insurance agency or company appointment cancelled for cause (e.g., misrepresentation, misappropriation, etc.)?

If "Yes", provide details on a separate attachment.

No
 Yes

Cancel Back Continue

Answer all questions
carefully.

Attach any required
documentation to this
application after you
submit the application.

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Firm Resident License Application

Uniform Background Questions - Agency

All questions are required unless otherwise specified

Please answer the following Uniform Background Questions - Agency

Please read the following very carefully and answer every question. All written statements submitted by the Applicant must include an original signature.

Question 1

NOTE: For Questions 1a, 1b, and 1c "Convicted" includes, but is not limited to, having been found guilty by verdict of a judge or jury, having entered a plea of guilty or nolo contendere or no contest, or having been given probation, a suspended sentence or a fine.

If you answer yes to any of these questions, you must attach to this application:

- a written statement identifying all parties involved (including their percentage of ownership, if any) and explaining the circumstances of each incident,
- a copy of the charging document,
- a copy of the official document which demonstrates the resolution of the charges or any final judgment.

Question 1A

Has the business entity or any owner, partner, officer or director of the business entity, or member or manager of a limited liability company, ever been convicted of a misdemeanor, had a judgment withheld or deferred or is the business entity or any owner, partner, officer or director of the business entity, or member or manager currently charged with, committing a misdemeanor?

You may exclude the following misdemeanor convictions or pending misdemeanor charges: traffic citations, driving under the influence (DUI) or driving while intoxicated (DWI), driving without a license, reckless driving, or driving with a suspended or revoked license.

You may also exclude juvenile adjudications (offenses where you were adjudicated delinquent in juvenile court.)

No
 Yes

Question 1B

Has the business entity or any owner, partner, officer or director of the business entity, or member or manager of a limited liability company ever been convicted of a felony, had judgment withheld or deferred, or is the business entity or any owner, partner, officer or director of the business entity or member or manager of a limited liability company currently charged with committing a felony?

You may exclude juvenile adjudications (offenses where you were adjudicated delinquent in a juvenile court.)

No
 Yes

Answer all questions
carefully.

Attach any required
documentation to this
application after you
submit the application.

License Applications | Sircon pow x

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Question 1B1

If you have a felony conviction involving dishonesty or breach of trust, have you applied for written consent to engage in the business of insurance in your home state as required by 18 USC 1033?

No
 Yes
 Not Applicable

Question 1B2

If so, was consent granted? (Attach copy of 1033 consent approved by home state.)

No
 Yes
 Not Applicable

Question 1C

Has the business entity or any owner, partner, officer or director of the business entity or member or manager of a limited liability company, ever been convicted of a military offense, had a judgment withheld or deferred, or is the business entity or any owner, partner, officer or director of the business entity or member or manager of a limited liability company, currently charged with committing a military offense?

No
 Yes

Question 2

Has the business entity or any owner, partner, officer or director of the business entity, or manager or member of a limited liability company, ever been named or involved as a party in an administrative proceeding, including a FINRA sanction or arbitration proceeding regarding any professional or occupational license, or registration?

"Involved" means having a license censured, suspended, revoked, canceled, terminated; or, being assessed a fine, a cease and desist order, a prohibition order, a compliance order, placed on probation, sanctioned or surrendering a license to resolve an administrative action. "Involved" also means being named as a party to an administrative or arbitration proceeding, which is related to a professional or occupational license or registration. "Involved" also means having a license application denied or the act of withdrawing an application to avoid a denial. You may EXCLUDE terminations due solely to noncompliance with continuing education requirements or failure to pay a renewal fee.

If you answer yes, you must attach to this application:

a) a written statement identifying the type of license, all parties involved (including their percentage of ownership, if any) and explaining the circumstances of each incident,
b) a copy of the Notice of Hearing or other document that states the charges and allegations, and
c) a copy of the official document which demonstrates the resolution of the charges or any final judgment.

No
 Yes

Answer all questions carefully.

Attach any required documentation to this application after you submit the application.

License Applications | Sircon pow x

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Question 3

Has any demand been made or judgment rendered against the business entity or any owner, partner, officer or director of the business entity, or member or manager of a limited liability company, for overdue monies by an insurer, insured or producer, or have you ever been subject to a bankruptcy proceeding? Do not include personal bankruptcies, unless they involve funds held on behalf of others.

If you answer yes, submit a statement summarizing the details of the indebtedness and arrangements for repayment.

No
 Yes

Question 4

Has the business entity or any owner, partner, officer or director of the business entity, or member or manager of a limited liability company, ever been notified by any jurisdiction to which you are applying of any delinquent tax obligation that is not the subject of a repayment agreement?

If you answer yes, identify the jurisdiction(s):

No
 Yes

Comment

Question 5

Is the business entity or any owner, partner, officer or director of the business entity, or member or manager of a limited liability company, a party to, or ever been found liable in any lawsuit or arbitration proceeding involving allegations of fraud, misappropriation or conversion of funds, misrepresentation or breach of fiduciary duty?

If you answer yes, you must attach to this application:

- a) a written statement summarizing the details of each incident,
- b) a copy of the Petition, Complaint or other document that commenced the lawsuit arbitrations, or mediation proceedings and
- c) a copy of the official documents which demonstrates the resolution of the charges or any final judgment.

No
 Yes

Question 6

Has the business entity or any owner, partner, officer or director of the business entity, or member or manager of a limited liability company ever had an insurance agency contract or any other business relationship with an insurance company terminated for any alleged misconduct?

If you answer yes, you must attach to this application:

- a) a written statement summarizing the details of each incident and explaining why you feel this incident should not prevent you from receiving an insurance license, and
- b) copies of all relevant documents.

No
 Yes

Answer all questions **carefully.**

Attach any required documentation to this application after you submit the application.

Select Continue

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https://uat.sircon.com/prod...

Firm Resident License Application

Attestation Information for State of Texas: Life Settlement Broker

On behalf of the business entity or limited liability company, the undersigned owner, partner, officer, director of the business entity, or member or manager of a limited liability company, hereby certifies, under penalty of perjury, that:

All of the information submitted in this application and attachments is true and complete and I am aware that submitting false information or omitting pertinent or material information in connection with this application is grounds for license or registration revocation and may subject me and the business entity or limited liability company to civil or criminal penalties.

Unless provided otherwise by law or regulation of the jurisdiction, the business entity or limited liability company hereby designates the Commissioner, Director, Superintendent of Insurance, or an appropriate representative in each jurisdiction for which this application is made to be its agent for service of process regarding all insurance matters in the respective jurisdiction and agree that service upon the Commissioner or Director of that jurisdiction is of the same legal force and validity as personal service upon the business entity.

The business entity or limited liability company grants permission to the Commissioner or Director of Insurance in each jurisdiction for which this application is made to verify any information supplied with any federal, state or local government agency, current or former employer or insurance company.

Every owner, partner, officer or director of the business entity, or member or manager of a limited liability company, either a) does not have a current child-support obligation, or b) has a child-support obligation and is currently in compliance with that obligation.

I authorize the jurisdictions to which this application is made to give any information they may have concerning me, as permitted by law, to any federal, state or municipal agency, or any other organization and I release the jurisdictions and any person acting on their behalf from any and all liability of whatever nature by reason of furnishing such information.

I acknowledge that I understand and comply with the insurance laws and regulations of the jurisdictions to which I am applying for licensure/registration.

I certify that the account status issued by the Texas Comptroller of Public Accounts reflects that the licensee is in good standing or temporary good standing
or
I certify that the licensee is not subject to the Texas Tax Code Chapter 171.

If Sole Proprietorship.
If applying for a **Life Settlement Broker** or **Life Settlement Provider** license:
I certify that no other individuals (including staff) will engage in the business of a life settlement broker or provider under this license, as defined by the Texas Insurance Code Chapter 1111A.

I hereby certify that upon request, I will furnish the jurisdiction(s) to which I am applying, certified copies of any documents attached to this application or requested by the jurisdiction(s).

I understand if the applicant will be conducting an insurance agency business in Texas in a name other than its full legal name, a [Registration of Assumed Name / Entity Name Change form](#) (TDI Form FIN528) must be submitted to the department for each name. The FIN528 form can be accessed from our [Information Update Forms](#) page at <http://www.tdi.texas.gov/forms/form11update.html>.

I Agree* *Required*

Cancel Back Continue

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Read **Attestation** carefully,
Select Agree

Note: Fees and information may be different than the example provided based on License Type Selected.

Firm Resident License Application

License Application Summary

State to Apply **Texas**
Firm Name **TEST APPLICANT**
[Review License Application](#)

Electronic Applications

Dest. State	License Type	Qualification Type	Total State Fee
Texas	Life Settlement Broker		\$50.00
State Fee Total			\$50.00
Sircon Service Fee			\$8.55

Fee Summary

Electronic Applications State Fee Total	\$50.00
Sircon Service Fee Total	\$8.55
Processing Fee Total	\$2.63
Total	\$61.18

Note: The above amount will not be charged to your credit card until you complete the payment process. Click the Submit button to proceed with the payment process.

I understand that all license application fees are non-refundable.
[Click here to view additional state requirements](#)

I would like to receive email notifications concerning state insurance deadlines, renewal notices, new electronic services and related issues.

Please send email notifications to:

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Review complete application. Also, Review **ASR** document carefully **before** submitting the application.

Must be checked

Supply / Verify email address

Select Submit

Firm Resident License Application

License Application Additional State Requirements

Texas - Life Settlement Broker

- Before Submitting Your Application Verify the License Type/Qualification **selected** is the correct License Type/Qualification.
- To ensure proper processing of application, please note the following:
 - Enter all data for the application in Capital Letters only.
 - Do not enter a P.O. Box address in the Business address field.
 - Do not enter punctuation in any address field.
 - Verify the **background questions** were answered correctly before the application is submitted.
- **Method of Submitting:** After submitting your license application electronically to the Texas Department of Insurance, print a copy of the license application to retain for your own records; DO NOT mail it to the state.
- All required attachments including documentation required in response to a "Yes" answer on a background question or other requirements should be submitted to the state as follows:
 - (1) On the License Application Confirmation page or the License Application Activity Inquiry, the applicant will be offered the Attach Supporting Documents button (paperclip icon) in the Action column.
 - (2) Click the button to open the Attach Supporting Documents page.
 - (3) There you can browse for the electronic document on your computer system, provide a description to give context for the reviewer, and
 - (4) upload the document(s) to the license application.
 If you do not have scan capability, fax all the required documents along with a cover letter to the number listed below or mail to:
- **Texas Department of Insurance**
 Agents Licensing Division
 PO Box 12030, MC-CO-AAL
 Austin, TX 78711-2030
 Phone: (512) 676-6500
 Fax: (512) 490-1052
- **Authorized submitters** are allowed to enter license applications on behalf of the producer.
- **Certificate of Status from State of Domicile - Non-Resident applicants**
 - A certificate of status is a document issued by the applicant's state of domicile public records custodian for corporate records, generally the Secretary of State. The certificate documents that the company is duly organized and that all state taxes and fees have been paid. The certificate must show good standing, be sealed by the state, and be an original document dated within 30 days of application date.
- **Business Authority in Texas:** Most entities are required to register to do business in this state prior to obtaining an insurance license.
 - All resident and non-resident corporations, limited liability companies, limited partnerships, limited liability partnerships, and agricultural cooperatives must provide a copy of their Charter, Certificate of Formation, Certificate of Status, or registration that was obtained from the Texas Secretary of State's office. You may contact the Texas Secretary of State's office at www.sos.state.tx.us or call 512-463-5701.
 - All banks and farm credit administration entities must provide a copy of the document issued by a federal or Texas state agency authorizing the entity to do business in Texas.
 Most entities are required to register to do business in this state prior to obtaining an insurance license.
- **Control** means the power to direct or cause the direction of the management and policies of a license holder, whether directly or indirectly. A person is considered to control:
 - a corporate license holder if the person, individually or acting with others, directly or indirectly, holds with the power to vote, owns, or controls, or holds proxies representing, at least 10 percent of the voting stock or voting rights of the corporate license-holder; or
 - a partnership if the person through a right to vote or through any other right or power exercises rights in the management, direction, or conduct of the business of the partnership. At least one officer or one active partner of the applicant entity must be individually licensed by the Texas Department of Insurance.
- **Fingerprinting is only required for those individuals who have not previously been fingerprinted for TDI.**
- The **Fingerprint Receipt** requirement may be waived if one of the following applies:
 - The **Fingerprint Requirement** is waived if the applicant entity holds an active resident entity license that is similar to the license requested on this application.
 - The individual holds an **active TDI license** and has already submitted fingerprints to TDI with another license application, or
 - The non-resident individual holds a current similar license in good standing in the individual's home state as reflected on the National Association of Insurance Commissioner's Producer Database.
- **Fingerprinting**
 The fingerprint requirement is authorized in Texas Insurance Code 801.056 and amended 28 TAC 1.501 and 1.503-1.509. The complete text of the rule may be accessed at www.tdi.texas.gov/rules/2006/1003e-059.html.
 The Texas Department of Insurance strongly encourages all resident applicants to utilize electronic fingerprinting through approved vendors as authorized under the rule.
 Electronic fingerprinting is fast and accurate, and in most cases will avoid potential delays in the processing of your submission.
- **Electronic Fingerprinting**
 View the Electronic Fingerprinting instructions at the following location:
<https://www.tdi.texas.gov/agent/fingerprint-instructions.html>.
 (Fingerprints provided for an application will be used to check criminal history records of the Texas Department of Public Safety (DPS) and the Federal Bureau of Investigation (FBI), in accordance with applicable statutes).
NOTE: TDI cannot complete processing of an entity application until it receives a criminal history report from DPS and FBI for each individual that is required to furnish a fingerprint receipt.

Review **ASR**
document
carefully.

Select close once
read.

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https://uat.sircon.com/ComplianceExpress/LicenseApplications/JurSrvLicMsgs.jsp?...

- **Additional attachments required:**
 - **Plan of Operation** (to be submitted by **Providers Only**)
 - **Management**
 - If the applicant is a subsidiary of a parent or holding company, provide an organizational chart showing the relationship of all related persons
 - Other information
 - **Marketing Plan**
 - A detailed description of the applicant's marketing plan
 - Three-year volume projection Texas and nationwide
 - Other information
 - **Financial Information**
 - Amount and type of funds to meet planned projections identified
 - Special purpose entity or financing entity identified
 - **Name, address, and contact person identified**
 - **Copy of agreement between applicant and entity**
 - Third-party escrow agent(s)/trustee(s) information
 - Copy of agreement between applicant and entity
 - Third-party escrow agent(s)/trustee(s) information
 - **Related provider trust identified**
 - Identify any related provider trust, if applicable, and include a copy of the organizational documents for the trust as well as copies of all forms the trust will utilize in transacting business for which the applicant seeks licensure.
 - **Any bankruptcy proceeding**
 - Submit a statement summarizing the details of the indebtedness and arrangements for payment
 - Type and location of bankruptcy
 - **Proof of Financial Responsibility**
 - Errors and Omissions, Directors, and Officer, Liability, etc.
 - **Additional Information** (to be submitted by both, **Providers and Brokers**)
 - **Location of Books and Records and**
 - Provide the address of the applicant's home office where all records are maintained
 - All branches operating in and out of Texas
 - The location of any single storage facility where books or records pertaining to the business of the captioned company applicant are, or will be stored
 - **Anti-Fraud Plan**
 - Provide an anti-fraud plan as required by the [Texas Insurance Code Section 1111A.072](#)
 - To check on the **status of your application**, please use the following steps:
 - In your web browser, go to www.sircon.com/Texas
 - Click on the "Check License Application Status" link in the left-hand column
 - Enter your confirmation ID number, EIN and Producer Type
 - Click the Submit button
 - Once your license application or renewal is approved, it may be printed at www.sircon.com/Texas. There will be no fee for printing your license for 30 days from the date the license application was approved.
 - Once 30 days have passed since your license was approved, a processing fee will be charged to print your license.
 - If you obtain a Sircon account (available at no cost), there is no fee for printing your license from your Sircon account at any time. Refer to www.sircon.com/Texas for information on Sircon accounts.

Review ASR document carefully.
Select close once read.

Close This Window

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Note: Fees and information on the next page may be different than the example provided based on License Type Selected.

Compliance Express™

https://uat.sircon.com/Com...

Firm Resident License Application

License Application Confirmation

✔ Your License Application(s) were successfully submitted. Please review the information on this page, and then print the page for your records using the Print link below.

NEXT I would like to:

- [Attach documents](#) to this application
- [Print](#) this confirmation page

Note: This page is your confirmation and receipt of the transactions listed below. Please print this page for your records.

To print each state license application click on the confirmation ID below.

State to Apply : Texas

Electronic Applications

Important: Sircon has submitted your license application electronically to the following states. A copy of each application, along with additional state instructions, will be sent to the email address you provided. Please review this information carefully and, where applicable, take the necessary steps to complete the application process.

You may return to Sircon Compliance Express to [check the status](#) of your electronic application(s). If the state sent back a response for the application, it will be posted under the heading of Result. If there are no results you may follow up directly with the state to which you applied. Contact information for the state is available through the State Information Center on the Sircon homepage.

Note: If you wish to change any information on this application, please send the changes in writing to the appropriate state office (please refer to the additional state Requirements for instructions). Do not attempt to submit changes electronically via 'Compliance Express'. Submitting another application with updated information is considered a new request, and will result in an additional charge to you.

Confirmation ID	Dest. State	License Type	Qualification Type	Status	Total State Fee
44399021	Texas	Life Settlement Broker		Submitted	\$50.00
State Fee Total					\$50.00
Sircon Service Fee					\$8.55

Click on a Confirmation ID above to view a printable version of your license application.

Fee Summary

Electronic Applications State Fee Total	\$50.00
Sircon Service Fee Total	\$8.55
Processing Fee Total	\$2.63
Total	\$61.18

[Click here to view additional state requirements](#)

You may wish to print this page for your records.

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Attach files to your submission. Capture your Confirmation ID. Select Done

This is a **sample** of what you will see after you submit your application. This screen will provide you the opportunity to attach required documentation with your application submission. Be sure to provide a **Document Description** to each attached file.

Attach Supporting Documents

License Applications
You may attach files to the license applications below.

State	License Number	License Type	Date Submitted	Status
TX		FM-Fire Alarm Instructor	05-11-2020	Submitted

Attachments

- Use the fields below to locate and describe documents to attach to your license application requests.
- Clearly identify why you are attaching the document in the Document Description field.
- Note that the attachments you provide will only be sent to the specific states listed above.
- Please see the FAQs below for more information.

Select a Document No file chosen

Document Description

Frequently Asked Questions

- How do I know what documents to attach for each state?
- What if I don't have the documentation right now, or I don't have an electronic copy?
- Why can't I attach documents to other license applications?
- Are my documents secure when I attach them?
- What if I do not see my license listed above?

Choose a file to **attach** to your submission, attach the file, provide a Document Description, then click submit