

No. 2022-7601

**Official Order
of the
Texas Commissioner of Insurance**

Date: 11/07/2022

Subject Considered:

AON Risk Services Southwest Inc.
4 Overlook Pt.
Lincolnshire, Illinois 60069-4302

Consent Order
TDI Enforcement File No. 30643

General remarks and official action taken:

This is a consent order with Aon Risk Services Southwest Inc. Aon Risk Services Southwest Inc. failed to timely file surplus lines policies and related documents. Aon Risk Services Southwest Inc. has agreed to pay an administrative penalty of \$4,925 for these violations.

Waiver

Aon Risk Services Southwest Inc. acknowledges that the Texas Insurance Code and other applicable law provide certain rights. Aon Risk Services Southwest Inc. waives all of these rights, and any other applicable procedural rights, in consideration of the entry of this consent order.

Findings of Fact

1. Aon Risk Services Southwest Inc., organization identification number 11341, holds a surplus lines license issued by the Texas Department of Insurance.

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2. Pursuant to TEX. INS. CODE § 981.105(a), a surplus lines insurance agent must file with the Surplus Lines Stamping Office of Texas either:
 - a. a copy of the policy issued or
 - b. if the policy has not yet been issued, a copy of the certificate, cover note, or other confirmation of insurance delivered to the insured.

This information is due not later than the 60th day after the later of the effective date or the issue date of new or renewal surplus lines insurance.

3. In 2021, Aon Risk Services Southwest Inc. filed surplus lines policies late.
4. The commissioner previously disciplined Aon Risk Services Southwest Inc. for violating TEX. INS. CODE § 981.105(a) in Official Order No. 12-0108, entered on February 6, 2012, Official Order No. 4525, entered on June 21, 2016, and Official Order No. 2022-7224, entered on February 15, 2022.

Conclusions of Law

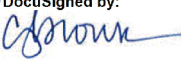
1. The commissioner of insurance has jurisdiction over this matter pursuant to TEX. INS. CODE §§ 82.051-82.055, 84.021-84.044, and 981.105.
2. The commissioner of insurance has authority to informally dispose of this matter under TEX. INS. CODE § 82.055, TEX. GOV'T CODE § 2001.056, and 28 TEX. ADMIN. CODE § 1.47.
3. Aon Risk Services Southwest Inc. has knowingly and voluntarily waived all procedural rights to which it may have been entitled regarding the entry of this order, including, but not limited to, issuance and service of notice of intention to institute disciplinary action, notice of hearing, a public hearing, a proposal for decision, rehearing by the commissioner, and judicial review.
4. Aon Risk Services Southwest Inc. violated TEX. INS. CODE § 981.105(a) by failing to timely file new or renewal surplus lines policies and related documents.

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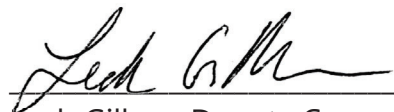
Order

It is ordered that Aon Risk Services Southwest Inc. must pay an administrative penalty of \$4,925 within 30 days from the date of this order. The administrative penalty must be paid as instructed in the invoice, which the department will send after entry of this order.

DocuSigned by:

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Cassie Brown
Commissioner of Insurance

Recommended and reviewed by:



Leah Gillum, Deputy Commissioner
Fraud and Enforcement Division



Sarah White, Attorney
Enforcement

Affidavit

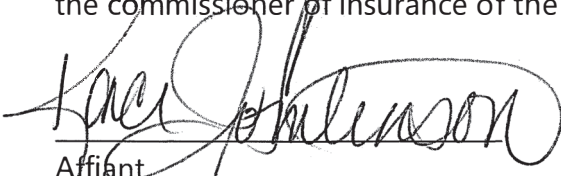
THE STATE OF Illinois §
 §
COUNTY OF Lake §

Before me, the undersigned authority, personally appeared the affiant, who being by me duly sworn, deposed as follows:

"My name is Kaci Tomlinson I am of sound mind, capable of making this statement, and have personal knowledge of these facts which are true and correct.

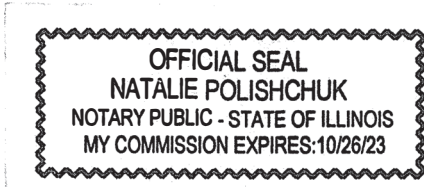
I hold the office of Vice President and am the authorized representative of Aon Risk Services Southwest Inc. I am duly authorized by the organization to execute this statement.

Aon Risk Services Southwest Inc has knowingly and voluntarily entered into the foregoing consent order and agrees with and consents to the issuance and service of the same by the commissioner of insurance of the state of Texas."


Affiant

SWORN TO AND SUBSCRIBED before me on October 18th, 2022.

(NOTARY SEAL)



Natalie Polishchuk
Signature of Notary Public
Natalie Polishchuk
Printed Name of Notary Public